

PREA Facility Audit Report: Final

Name of Facility: Solano County Stanton Correctional Facility

Facility Type: Prison / Jail

Date Interim Report Submitted: 08/06/2023

Date Final Report Submitted: 12/08/2023

Auditor Certification	
The contents of this report are accurate to the best of my knowledge.	<input type="checkbox"/>
No conflict of interest exists with respect to my ability to conduct an audit of the agency under review.	<input type="checkbox"/>
I have not included in the final report any personally identifiable information (PII) about any inmate/resident/detainee or staff member, except where the names of administrative personnel are specifically requested in the report template.	<input type="checkbox"/>
Auditor Full Name as Signed: Eric I Woodford	Date of Signature: 12/08/ 2023

AUDITOR INFORMATION	
Auditor name:	Woodford, Eric
Email:	eiw@comcast.net
Start Date of On-Site Audit:	06/19/2023
End Date of On-Site Audit:	06/23/2023

FACILITY INFORMATION	
Facility name:	Solano County Stanton Correctional Facility
Facility physical address:	2450 Claybank Road, Fairfield, California - 94533
Facility mailing address:	

Primary Contact	
Name:	Craig Collins
Email Address:	cacollins@solanocounty.com
Telephone Number:	707-784-7438

Warden/Jail Administrator/Sheriff/Director	
Name:	Thomas A. Ferrara
Email Address:	Tferrara@solanocounty.com
Telephone Number:	707-784-7030

Facility PREA Compliance Manager	
Name:	Steve Clemente
Email Address:	seclemente@solanocounty.com
Telephone Number:	O: (707) 784-7515

Facility Health Service Administrator On-site	
Name:	Sgt. Jeff Poblete
Email Address:	JLPoblete@solanocounty.com
Telephone Number:	707-784-1389

Facility Characteristics	
Designed facility capacity:	362
Current population of facility:	233
Average daily population for the past 12 months:	249
Has the facility been over capacity at any point in the past 12 months?	No

Which population(s) does the facility hold?	Males
Age range of population:	18 to 65 plus
Facility security levels/inmate custody levels:	Med, Max, ADSEP, GENSEP, PC
Does the facility hold youthful inmates?	No
Number of staff currently employed at the facility who may have contact with inmates:	80
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	153
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	17

AGENCY INFORMATION	
Name of agency:	Solano County Sheriff's Office
Governing authority or parent agency (if applicable):	
Physical Address:	530 Union Avenue Suite 100, Fairfield, California - 94533
Mailing Address:	
Telephone number:	

Agency Chief Executive Officer Information:	
Name:	
Email Address:	
Telephone Number:	

Agency-Wide PREA Coordinator Information

Name:	Craig Collins	Email Address:	cacollins@solanocounty.com
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Facility AUDIT FINDINGS

Summary of Audit Findings

The OAS automatically populates the number and list of Standards exceeded, the number of Standards met, and the number and list of Standards not met.

Auditor Note: In general, no standards should be found to be "Not Applicable" or "NA." A compliance determination must be made for each standard. In rare instances where an auditor determines that a standard is not applicable, the auditor should select "Meets Standard" and include a comprehensive discussion as to why the standard is not applicable to the facility being audited.

Number of standards exceeded:

1

- 115.73 - Reporting to inmates

Number of standards met:

44

Number of standards not met:

0

POST-AUDIT REPORTING INFORMATION

GENERAL AUDIT INFORMATION

On-site Audit Dates

1. Start date of the onsite portion of the audit:	2023-06-19
2. End date of the onsite portion of the audit:	2023-06-23

Outreach

10. Did you attempt to communicate with community-based organization(s) or victim advocates who provide services to this facility and/or who may have insight into relevant conditions in the facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Identify the community-based organization(s) or victim advocates with whom you communicated:	Just Detention International SAFEQUEST Solano Crisis Intervention Solano Recovery Advocacy

AUDITED FACILITY INFORMATION

14. Designated facility capacity:	362
15. Average daily population for the past 12 months:	249
16. Number of inmate/resident/detainee housing units:	12
17. Does the facility ever hold youthful inmates or youthful/juvenile detainees?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> Not Applicable for the facility type audited (i.e., Community Confinement Facility or Juvenile Facility)

Audited Facility Population Characteristics on Day One of the Onsite Portion of the Audit

Inmates/Residents/Detainees Population Characteristics on Day One of the Onsite Portion of the Audit

36. Enter the total number of inmates/residents/detainees in the facility as of the first day of onsite portion of the audit:	245
38. Enter the total number of inmates/residents/detainees with a physical disability in the facility as of the first day of the onsite portion of the audit:	6
39. Enter the total number of inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) in the facility as of the first day of the onsite portion of the audit:	1
40. Enter the total number of inmates/residents/detainees who are Blind or have low vision (visually impaired) in the facility as of the first day of the onsite portion of the audit:	1
41. Enter the total number of inmates/residents/detainees who are Deaf or hard-of-hearing in the facility as of the first day of the onsite portion of the audit:	1
42. Enter the total number of inmates/residents/detainees who are Limited English Proficient (LEP) in the facility as of the first day of the onsite portion of the audit:	9
43. Enter the total number of inmates/residents/detainees who identify as lesbian, gay, or bisexual in the facility as of the first day of the onsite portion of the audit:	2

<p>44. Enter the total number of inmates/residents/detainees who identify as transgender or intersex in the facility as of the first day of the onsite portion of the audit:</p>	<p>2</p>
<p>45. Enter the total number of inmates/residents/detainees who reported sexual abuse in the facility as of the first day of the onsite portion of the audit:</p>	<p>5</p>
<p>46. Enter the total number of inmates/residents/detainees who disclosed prior sexual victimization during risk screening in the facility as of the first day of the onsite portion of the audit:</p>	<p>15</p>
<p>47. Enter the total number of inmates/residents/detainees who were ever placed in segregated housing/isolation for risk of sexual victimization in the facility as of the first day of the onsite portion of the audit:</p>	<p>0</p>
<p>48. Provide any additional comments regarding the population characteristics of inmates/residents/detainees in the facility as of the first day of the onsite portion of the audit (e.g., groups not tracked, issues with identifying certain populations):</p>	<p>No additional information to add</p>
<p>Staff, Volunteers, and Contractors Population Characteristics on Day One of the Onsite Portion of the Audit</p>	
<p>49. Enter the total number of STAFF, including both full- and part-time staff, employed by the facility as of the first day of the onsite portion of the audit:</p>	<p>34</p>
<p>50. Enter the total number of VOLUNTEERS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>0</p>

<p>51. Enter the total number of CONTRACTORS assigned to the facility as of the first day of the onsite portion of the audit who have contact with inmates/residents/detainees:</p>	<p>13</p>
<p>52. Provide any additional comments regarding the population characteristics of staff, volunteers, and contractors who were in the facility as of the first day of the onsite portion of the audit:</p>	<p>No additional information to add</p>
<p>INTERVIEWS</p>	
<p>Inmate/Resident/Detainee Interviews</p>	
<p>Random Inmate/Resident/Detainee Interviews</p>	
<p>53. Enter the total number of RANDOM INMATES/RESIDENTS/DETAINEES who were interviewed:</p>	<p>10</p>
<p>54. Select which characteristics you considered when you selected RANDOM INMATE/RESIDENT/DETAINEE interviewees: (select all that apply)</p>	<p> <input type="checkbox"/> Age <input checked="" type="checkbox"/> Race <input checked="" type="checkbox"/> Ethnicity (e.g., Hispanic, Non-Hispanic) <input checked="" type="checkbox"/> Length of time in the facility <input checked="" type="checkbox"/> Housing assignment <input checked="" type="checkbox"/> Gender <input type="checkbox"/> Other <input type="checkbox"/> None </p>
<p>55. How did you ensure your sample of RANDOM INMATE/RESIDENT/DETAINEE interviewees was geographically diverse?</p>	<p>Reviewed population rosters provided by the Facility. Made substitutions with additional inmates from the same housing units and gender when selected inmates either were transferred to another facility or released from custody.</p>

56. Were you able to conduct the minimum number of random inmate/resident/detainee interviews?	<input checked="" type="radio"/> Yes <input type="radio"/> No
57. Provide any additional comments regarding selecting or interviewing random inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):	All male inmate facility. No barriers to hamper completion of interviews.
Targeted Inmate/Resident/Detainee Interviews	
58. Enter the total number of TARGETED INMATES/RESIDENTS/DETAINEES who were interviewed:	14
<p>As stated in the PREA Auditor Handbook, the breakdown of targeted interviews is intended to guide auditors in interviewing the appropriate cross-section of inmates/residents/detainees who are the most vulnerable to sexual abuse and sexual harassment. When completing questions regarding targeted inmate/resident/detainee interviews below, remember that an interview with one inmate/resident/detainee may satisfy multiple targeted interview requirements. These questions are asking about the number of interviews conducted using the targeted inmate/resident/detainee protocols. For example, if an auditor interviews an inmate who has a physical disability, is being held in segregated housing due to risk of sexual victimization, and disclosed prior sexual victimization, that interview would be included in the totals for each of those questions. Therefore, in most cases, the sum of all the following responses to the targeted inmate/resident/detainee interview categories will exceed the total number of targeted inmates/residents/detainees who were interviewed. If a particular targeted population is not applicable in the audited facility, enter "0".</p>	
60. Enter the total number of interviews conducted with inmates/residents/detainees with a physical disability using the "Disabled and Limited English Proficient Inmates" protocol:	1
61. Enter the total number of interviews conducted with inmates/residents/detainees with a cognitive or functional disability (including intellectual disability, psychiatric disability, or speech disability) using the "Disabled and Limited English Proficient Inmates" protocol:	3

<p>62. Enter the total number of interviews conducted with inmates/residents/detainees who are Blind or have low vision (i.e., visually impaired) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>Agency does not house blind or visually impaired inmates at the Stanton Facility</p>
<p>63. Enter the total number of interviews conducted with inmates/residents/detainees who are Deaf or hard-of-hearing using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>1</p>
<p>64. Enter the total number of interviews conducted with inmates/residents/detainees who are Limited English Proficient (LEP) using the "Disabled and Limited English Proficient Inmates" protocol:</p>	<p>5</p>
<p>65. Enter the total number of interviews conducted with inmates/residents/detainees who identify as lesbian, gay, or bisexual using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>1</p>

<p>66. Enter the total number of interviews conducted with inmates/residents/detainees who identify as transgender or intersex using the "Transgender and Intersex Inmates; Gay, Lesbian, and Bisexual Inmates" protocol:</p>	<p>0</p>
<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input checked="" type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>67. Enter the total number of interviews conducted with inmates/residents/detainees who reported sexual abuse in this facility using the "Inmates who Reported a Sexual Abuse" protocol:</p>	<p>3</p>
<p>68. Enter the total number of interviews conducted with inmates/residents/detainees who disclosed prior sexual victimization during risk screening using the "Inmates who Disclosed Sexual Victimization during Risk Screening" protocol:</p>	<p>1</p>
<p>69. Enter the total number of interviews conducted with inmates/residents/detainees who are or were ever placed in segregated housing/isolation for risk of sexual victimization using the "Inmates Placed in Segregated Housing (for Risk of Sexual Victimization/Who Allege to have Suffered Sexual Abuse)" protocol:</p>	<p>0</p>

<p>a. Select why you were unable to conduct at least the minimum required number of targeted inmates/residents/detainees in this category:</p>	<p><input checked="" type="checkbox"/> Facility said there were "none here" during the onsite portion of the audit and/or the facility was unable to provide a list of these inmates/residents/detainees.</p> <p><input type="checkbox"/> The inmates/residents/detainees in this targeted category declined to be interviewed.</p>
<p>b. Discuss your corroboration strategies to determine if this population exists in the audited facility (e.g., based on information obtained from the PAQ; documentation reviewed onsite; and discussions with staff and other inmates/residents/detainees).</p>	<p>PREA Coordinator and PREA Compliance Manager stated Agency does not house residents in segregated housing for risk of sexual victimization.</p>
<p>70. Provide any additional comments regarding selecting or interviewing targeted inmates/residents/detainees (e.g., any populations you oversampled, barriers to completing interviews):</p>	<p>No additional information to add</p>
<p>Staff, Volunteer, and Contractor Interviews</p>	
<p>Random Staff Interviews</p>	
<p>71. Enter the total number of RANDOM STAFF who were interviewed:</p>	<p>10</p>
<p>72. Select which characteristics you considered when you selected RANDOM STAFF interviewees: (select all that apply)</p>	<p><input checked="" type="checkbox"/> Length of tenure in the facility</p> <p><input checked="" type="checkbox"/> Shift assignment</p> <p><input checked="" type="checkbox"/> Work assignment</p> <p><input type="checkbox"/> Rank (or equivalent)</p> <p><input checked="" type="checkbox"/> Other (e.g., gender, race, ethnicity, languages spoken)</p> <p><input type="checkbox"/> None</p>
<p>If "Other," describe:</p>	<p>Gender, race and languages spoken</p>

<p>73. Were you able to conduct the minimum number of RANDOM STAFF interviews?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>74. Provide any additional comments regarding selecting or interviewing random staff (e.g., any populations you oversampled, barriers to completing interviews, barriers to ensuring representation):</p>	<p>No additional information to add</p>
<p>Specialized Staff, Volunteers, and Contractor Interviews</p>	
<p>Staff in some facilities may be responsible for more than one of the specialized staff duties. Therefore, more than one interview protocol may apply to an interview with a single staff member and that information would satisfy multiple specialized staff interview requirements.</p>	
<p>75. Enter the total number of staff in a SPECIALIZED STAFF role who were interviewed (excluding volunteers and contractors):</p>	<p>31</p>
<p>76. Were you able to interview the Agency Head?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>77. Were you able to interview the Warden/Facility Director/Superintendent or their designee?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>78. Were you able to interview the PREA Coordinator?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p>
<p>79. Were you able to interview the PREA Compliance Manager?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if the agency is a single facility agency or is otherwise not required to have a PREA Compliance Manager per the Standards)</p>

80. Select which SPECIALIZED STAFF roles were interviewed as part of this audit from the list below: (select all that apply)

- Agency contract administrator
- Intermediate or higher-level facility staff responsible for conducting and documenting unannounced rounds to identify and deter staff sexual abuse and sexual harassment
- Line staff who supervise youthful inmates (if applicable)
- Education and program staff who work with youthful inmates (if applicable)
- Medical staff
- Mental health staff
- Non-medical staff involved in cross-gender strip or visual searches
- Administrative (human resources) staff
- Sexual Assault Forensic Examiner (SAFE) or Sexual Assault Nurse Examiner (SANE) staff
- Investigative staff responsible for conducting administrative investigations
- Investigative staff responsible for conducting criminal investigations
- Staff who perform screening for risk of victimization and abusiveness
- Staff who supervise inmates in segregated housing/residents in isolation
- Staff on the sexual abuse incident review team
- Designated staff member charged with monitoring retaliation
- First responders, both security and non-security staff
- Intake staff

	<input type="checkbox"/> Other
If "Other," provide additional specialized staff roles interviewed:	IT technology staff, Inmate Mail staff, Training staff, Grievance Response staff, Inmate Hearing /Disciplinary staff.
81. Did you interview VOLUNTEERS who may have contact with inmates/residents/detainees in this facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No
82. Did you interview CONTRACTORS who may have contact with inmates/residents/detainees in this facility?	<input checked="" type="radio"/> Yes <input type="radio"/> No
a. Enter the total number of CONTRACTORS who were interviewed:	4
b. Select which specialized CONTRACTOR role(s) were interviewed as part of this audit from the list below: (select all that apply)	<input type="checkbox"/> Security/detention <input checked="" type="checkbox"/> Education/programming <input checked="" type="checkbox"/> Medical/dental <input checked="" type="checkbox"/> Food service <input type="checkbox"/> Maintenance/construction <input checked="" type="checkbox"/> Other
83. Provide any additional comments regarding selecting or interviewing specialized staff.	Healthrights 360 Case management contractor

SITE REVIEW AND DOCUMENTATION SAMPLING

Site Review

PREA Standard 115.401 (h) states, "The auditor shall have access to, and shall observe, all areas of the audited facilities." In order to meet the requirements in this Standard, the site review portion of the onsite audit must include a thorough examination of the entire facility. The site review is not a casual tour of the facility. It is an active, inquiring process that includes talking with staff and inmates to determine whether, and the extent to which, the audited facility's practices demonstrate compliance with the Standards. Note: As you are conducting the site review, you must document your tests of critical functions, important information gathered through observations, and any issues identified with facility practices. The information you collect through the site review is a crucial part of the evidence you will analyze as part of your compliance determinations and will be needed to complete your audit report, including the Post-Audit Reporting Information.

84. Did you have access to all areas of the facility?

Yes

No

Was the site review an active, inquiring process that included the following:

85. Observations of all facility practices in accordance with the site review component of the audit instrument (e.g., signage, supervision practices, cross-gender viewing and searches)?

Yes

No

86. Tests of all critical functions in the facility in accordance with the site review component of the audit instrument (e.g., risk screening process, access to outside emotional support services, interpretation services)?

Yes

No

87. Informal conversations with inmates/residents/detainees during the site review (encouraged, not required)?

Yes

No

88. Informal conversations with staff during the site review (encouraged, not required)?

Yes

No

<p>89. Provide any additional comments regarding the site review (e.g., access to areas in the facility, observations, tests of critical functions, or informal conversations).</p>	<p>Critical functions tested and documented in the PREA Final Report.</p>
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Documentation Sampling

Where there is a collection of records to review-such as staff, contractor, and volunteer training records; background check records; supervisory rounds logs; risk screening and intake processing records; inmate education records; medical files; and investigative files-auditors must self-select for review a representative sample of each type of record.

<p>90. In addition to the proof documentation selected by the agency or facility and provided to you, did you also conduct an auditor-selected sampling of documentation?</p>	<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>
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<p>91. Provide any additional comments regarding selecting additional documentation (e.g., any documentation you oversampled, barriers to selecting additional documentation, etc.).</p>	<p>20 Randomly selected inmate records to include 3 promotional records 24 Randomly selected staff records 10 Randomly selected contractor records 0 Volunteer records as volunteers are not assigned to the Stanton Facility 3 Sexual Abuse, 8 Sexual Harassment records where investigations were conducted over the past 12 months 16 Randomly selected custody staff training records 10 Randomly selected contractor training records</p>
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SEXUAL ABUSE AND SEXUAL HARASSMENT ALLEGATIONS AND INVESTIGATIONS IN THIS FACILITY

Sexual Abuse and Sexual Harassment Allegations and Investigations Overview

Remember the number of allegations should be based on a review of all sources of allegations (e.g., hotline, third-party, grievances) and should not be based solely on the number of investigations conducted. Note: For question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, or detainee sexual abuse allegations and investigations, as applicable to the facility type being audited.

92. Total number of SEXUAL ABUSE allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual abuse allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual abuse	2	2	0	0
Staff-on-inmate sexual abuse	1	1	0	0
Total	3	3	0	0

93. Total number of SEXUAL HARASSMENT allegations and investigations overview during the 12 months preceding the audit, by incident type:

	# of sexual harassment allegations	# of criminal investigations	# of administrative investigations	# of allegations that had both criminal and administrative investigations
Inmate-on-inmate sexual harassment	2	0	2	0
Staff-on-inmate sexual harassment	6	0	6	0
Total	8	0	8	0

Sexual Abuse and Sexual Harassment Investigation Outcomes

Sexual Abuse Investigation Outcomes

Note: these counts should reflect where the investigation is currently (i.e., if a criminal investigation was referred for prosecution and resulted in a conviction, that investigation outcome should only appear in the count for “convicted.”) Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual abuse investigation files, as applicable to the facility type being audited.

94. Criminal SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual abuse	0	0	0	0	0
Staff-on-inmate sexual abuse	0	0	0	0	0
Total	0	0	0	0	0

95. Administrative SEXUAL ABUSE investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual abuse	0	0	2	0
Staff-on-inmate sexual abuse	0	1	0	0
Total	0	1	2	0

Sexual Harassment Investigation Outcomes

Note: these counts should reflect where the investigation is currently. Do not double count. Additionally, for question brevity, we use the term “inmate” in the following questions. Auditors should provide information on inmate, resident, and detainee sexual harassment investigation files, as applicable to the facility type being audited.

96. Criminal SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Referred for Prosecution	Indicted/ Court Case Filed	Convicted/ Adjudicated	Acquitted
Inmate-on-inmate sexual harassment	0	0	0	0	0
Staff-on-inmate sexual harassment	0	0	0	0	0
Total	0	0	0	0	0

97. Administrative SEXUAL HARASSMENT investigation outcomes during the 12 months preceding the audit:

	Ongoing	Unfounded	Unsubstantiated	Substantiated
Inmate-on-inmate sexual harassment	0	2	0	0
Staff-on-inmate sexual harassment	0	6	0	0
Total	0	8	0	0

Sexual Abuse and Sexual Harassment Investigation Files Selected for Review

Sexual Abuse Investigation Files Selected for Review

98. Enter the total number of SEXUAL ABUSE investigation files reviewed/ sampled:	3
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<p>99. Did your selection of SEXUAL ABUSE investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual abuse investigation files)</p>
<p>Inmate-on-inmate sexual abuse investigation files</p>	
<p>100. Enter the total number of INMATE-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>2</p>
<p>101. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>102. Did your sample of INMATE-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual abuse investigation files)</p>
<p>Staff-on-inmate sexual abuse investigation files</p>	
<p>103. Enter the total number of STAFF-ON-INMATE SEXUAL ABUSE investigation files reviewed/sampled:</p>	<p>1</p>
<p>104. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include criminal investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>

<p>105. Did your sample of STAFF-ON-INMATE SEXUAL ABUSE investigation files include administrative investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual abuse investigation files)</p>
<p>Sexual Harassment Investigation Files Selected for Review</p>	
<p>106. Enter the total number of SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>8</p>
<p>107. Did your selection of SEXUAL HARASSMENT investigation files include a cross-section of criminal and/or administrative investigations by findings/outcomes?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any sexual harassment investigation files)</p>
<p>Inmate-on-inmate sexual harassment investigation files</p>	
<p>108. Enter the total number of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:</p>	<p>2</p>
<p>109. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT files include criminal investigations?</p>	<p><input type="radio"/> Yes</p> <p><input checked="" type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>
<p>110. Did your sample of INMATE-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?</p>	<p><input checked="" type="radio"/> Yes</p> <p><input type="radio"/> No</p> <p><input type="radio"/> NA (NA if you were unable to review any inmate-on-inmate sexual harassment investigation files)</p>

Staff-on-inmate sexual harassment investigation files	
111. Enter the total number of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files reviewed/sampled:	6
112. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include criminal investigations?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
113. Did your sample of STAFF-ON-INMATE SEXUAL HARASSMENT investigation files include administrative investigations?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> NA (NA if you were unable to review any staff-on-inmate sexual harassment investigation files)
114. Provide any additional comments regarding selecting and reviewing sexual abuse and sexual harassment investigation files.	No additional information to add
SUPPORT STAFF INFORMATION	
DOJ-certified PREA Auditors Support Staff	
115. Did you receive assistance from any DOJ-CERTIFIED PREA AUDITORS at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.	<input type="radio"/> Yes <input checked="" type="radio"/> No

Non-certified Support Staff

116. Did you receive assistance from any NON-CERTIFIED SUPPORT STAFF at any point during this audit? REMEMBER: the audit includes all activities from the pre-onsite through the post-onsite phases to the submission of the final report. Make sure you respond accordingly.

Yes

No

AUDITING ARRANGEMENTS AND COMPENSATION

121. Who paid you to conduct this audit?

The audited facility or its parent agency

My state/territory or county government employer (if you audit as part of a consortium or circular auditing arrangement, select this option)

A third-party auditing entity (e.g., accreditation body, consulting firm)

Other

Standards	
Auditor Overall Determination Definitions	
<ul style="list-style-type: none"> • Exceeds Standard (Substantially exceeds requirement of standard) • Meets Standard (substantial compliance; complies in all material ways with the stand for the relevant review period) • Does Not Meet Standard (requires corrective actions) 	
Auditor Discussion Instructions	
<p>Auditor discussion, including the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.</p>	

115.11	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.11: Policy 606 Zero Tolerance mandates that Solano County Sheriff's Office has zero tolerance with regard to sexual abuse and sexual harassment in this facility. This office will take appropriate affirmative measures to protect all inmates from sexual abuse and harassment, and promptly and thoroughly investigate all allegations of sexual abuse and sexual harassment. Policy Section 606.1.1 Purpose and Scope provides guidance for complying with the Prison Rape Elimination Act of 2003 (PREA) and the implementing regulation that establishes standards (PREA Rule) to prevent, detect, and respond to sexual abuse, harassment, and retaliation against inmates in the Solano County Sheriffs Office Detention Facilities (28 CFR 115.11; 15 CCR 1029). Definitions related to the policy are also provided which prohibits behaviors regarding sexual abuse and sexual harassment. Policy Sections 606/22 & 606.12 includes sanctions for both inmates and staff found to have participated in prohibited behaviors. Policy Section 606.5 Reporting Sexual Abuse, Harassment and Retaliation describes Agency's strategies and responses to reduce and prevent sexual abuse and sexual harassment of inmates.</p>

	<p>115.11(b): According to the Solano Sheriff's Organizational Chart FY 2022 provided by Agency, the PREA Coordinator's position is identified in an upper-level, agency-wide position under the Custody Captain, 4th in line from the Sheriff. The PREA Coordinator's designation is positioned third in line from the Sheriff.</p> <p>Interview with PREA Coordinator indicates he has enough time to manage all of his PREA-related responsibilities. There are 3 PREA Compliance Managers throughout the agency which maintains 3 facilities. Facility Commanders for each facility also serve as PREA Compliance Manager for their respective facility. He interacts with each of the PREA Compliance Managers. Should a compliance issue arise, the PREA Coordinator acts to fix the issue through training or verbal counseling with staff, policy updates and updating the Staffing Plan.</p> <p>115.11(c): The Sheriff's Organizational Chart FY 22 designates a PREA Compliance Manager for all 3 Solano County Detention Facilities, each in the position of a Custody Lieutenant who reports to the PREA Coordinator.</p> <p>Interview with the Facility Commander who also serves as the PREA Compliance Manager indicates that he has enough time to manage all of his PREA related responsibilities.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.16.</p>
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115.12	Contracting with other entities for the confinement of inmates
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.12(a): N/A - Agency states that the agency has not entered into or renewed a contract for the confinement of inmates since the last PREA audit.</p> <p>115.12(b): N/A - Agency states that they do not contract with private agencies or other entities for the confinement of inmates. Interview with the Contract Administrator who is also the HR Administrator indicates that the Agency does not have contracts with any agency for the confinement services for inmates.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.16.</p>

115.13	Supervision and monitoring
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Auditor Overall Determination: Meets Standard

Auditor Discussion

115.13(a): Policy 606 PREA mandates that Agency develop a staffing plan to provide adequate levels of staffing and video monitoring, where applicable, in order to protect detainees from sexual abuse. This includes documenting deviations and the reasons for deviations from the staffing plan, as well as reviewing the staffing plan a minimum of once per year. In calculating adequate staffing levels and determining the need for video monitoring, facilities shall take into consideration (28 CFR 115.13). Agency provided auditor with copy of the 2023 Stanton Facility Staffing Plan which discussed in detail, the facility housing units, inmate population, inmate programs, staff training, PREA case statistics, staffing for each shift & staffing summary.

Agency states that The last audit was in 2020. The average population was calculated from 4-6-20 to 4-6-22. Since last PREA audit, the average daily number of inmates on which the staffing plan was predicated was identified as 362.

Interview with Facility Commander who is also the PREA Compliance Manager indicates that the facility has a 2023 Staffing Plan which provides adequate staffing levels to protect inmate from sexual abuse, video monitoring throughout the facility and is documented and maintained on the Agency Sharepoint site. The Staffing Plan identifies and discusses 11 items mandated by the PREA Standards. Compliance with the Staffing Plan is accomplished through coordination with the PREA Coordinator and reviewed After Action Reports by the Facility Commander. the After Action Reports identifies inadequacies or corrective action issues to direct planning to correct any issues. There are only 2 staff shifts (0700 - 1900 & 1900-0700). No volunteers are assigned to the facility. Only contractors who provide institutional programs or education opportunities to inmates between the 0700-1900HR SHIFT.

Review of Staffing Plan fails to include Institutional Programs occurring on a particular shift.

115.13(b): Agency indicates that in 2022 and 2023 suffered deviations from the Staffing Plan due to vacant staffing positions, and COVID related absences. Agency provided 12 memorandums addressed to the Administrative Captain documenting Notices of Deviation whenever deviations from the Staffing Plan occurred at the Stanton facility during 2022 and 2023. The memorandums identified the dates where Staffing Plan deviations occurred, number of reduced staffing levels, shift(s) affected, reason for understaffed positions and changes made to mitigate the shortages and endure sufficient supervision and security of incarcerated individuals were maintained.

Interview with Facility Commander indicates that the facility documents all instances and documentation of non-compliance with the Staffing Plan.

115.13(c): PREA Policy 606 PREA mandates that at least once every year the facility/ agency, in collaboration with the PREA Coordinator, reviews the staffing plan to see whether adjustments are needed to: (a) the staffing plan, (b) the deployment of monitoring technology, or (c) the allocation of facility/agency resources to commit to the staffing plan to ensure compliance with the staffing plan. Agency provided auditor with copy of the January 3, 2022 Staffing Plan. Agency provided auditor with copies

of Stanton Facility Staffing Plans for 2020, 2021, 2022 and 2023.

Interview with the PREA Coordinator indicates that he is consulted at least annually regarding any assessments of, or adjustments to , the Staffing Plan for this facility.

115.13(d): Policy 606 PREA mandates that Agency implements a protocol requiring mid-level or higher-level supervisors to conduct and document unannounced inspections to identify and deter sexual abuse and sexual harassment. The protocol shall prohibit announcing when such inspections are to occur, unless it is necessary for operational considerations (28 CFR 115.13). Agency provided auditor with copy of the Sharepoint Spreadsheet roster on unannounced rounds conducted between 2020 and 2023. The roster identifies whether the Supervisor spoke with staff, spoke with inmates, which shift was contacted and the area the Supervisor visited to include notes which identifies the discussions conducted with staff or inmates.

Interview with Intermediate staff indicates that unannounced rounds are conducted and documented daily on each shift in the electronic Sharepoint. Staff is prevented from alerting other staff that unannounced rounds are being conducted by staff randomly selecting path to housing units and area selections in the facility.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.13(a) and corrective action is required.

Corrective Action Recommended:

115.13(a): Review of Staffing Plan fails to include Institutional Programs occurring on a particular shift.

1. Agency to include institutional and educational program narrative on Item 8 of page 9 of the 2023 Staffing Plan.

Corrective Action Completion Date: 8/17/23:

115.13(a): Agency provided auditor with copy of updated 2023 Staffing Plan which includes the institutional and educational program narrative on item 8 of page 9 of the Staffing Plan per Standard provision 115.13(a) requirement.

The agency/facility has met the requirements of Standard provision(s) 115.13(a) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.13.

115.14	Youthful inmates
	Auditor Overall Determination: Meets Standard
	<p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 338 1485 499">115.14(a): N/A - Agency reports they do not house youthful inmates under 18 years of age per California Welfare & Institution Code 207. Informal conversations with staff during the Physical Plant Review and auditor's observation during the week-long PREA audit verifies this claim.</p> <p data-bbox="256 539 1485 701">115.14(b): N/A - Agency reports they do not house youthful inmates under 18 years of age per California Welfare & Institution Code 207. Informal conversations with staff during the Physical Plant Review and auditor's observation during the week-long PREA audit verifies this claim.</p> <p data-bbox="256 741 1485 902">115.14(c): N/A - Agency reports they do not house youthful inmates under 18 years of age per California Welfare & Institution Code 207. Informal conversations with staff during the Physical Plant Review and auditor's observation during the week-long PREA audit verifies this claim.</p> <p data-bbox="256 936 480 969"><u>CONCLUSION:</u></p> <p data-bbox="256 1014 1358 1093">Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.14.</p>

115.15	Limits to cross-gender viewing and searches
	Auditor Overall Determination: Meets Standard
	<p data-bbox="256 1447 544 1480">Auditor Discussion</p> <p data-bbox="256 1525 1485 1765">115.15(a): Policy 518 PREA mandates that unless conducted by a qualified health care professional a strip search shall be conducted by staff members of the same sex as the person being searched (Penal Code§ 4030). Cross-gender strip searches are prohibited. (c) Only a physician may conduct a physical body cavity search. Except in exigent circumstances, only a physician who is not responsible for providing ongoing care to the inmate may conduct the search (15 CCR 1206(0)).</p> <p data-bbox="256 1776 1485 1888">(d) Except for the physician conducting the search, persons present should be of the same sex as the person being searched. Only the necessary staff needed to maintain the safety and security of the medical personnel shall be present (Penal Code § 4030).</p> <p data-bbox="256 1899 1485 1977">(e) Privacy requirements, including restricted touching of body parts and sanitary condition requirements are the same as required for a strip search.</p> <p data-bbox="256 1989 858 2022">(f) All such searches shall be documented.</p> <p data-bbox="256 2033 1409 2067">In the past 12 months, the Agency has stated that no cross-gender strip or cross-</p>

gender visual body cavity searches of inmates have been conducted. In the past 12 months, no cross-gender strip or cross-gender visual body cavity searches of inmates that did not involve exigent circumstances or were performed by non-medical staff. During the onsite audit, auditor observed areas in intake where strip searches are conducted. Only male staff conduct strip searches of inmates as the inmate population is male. Opposite gender staff is not allowed to enter the intake hallway where the strip search rooms are provided until strip searches have been completed. The hallway, located in intake, has cameras which views the male officer observing the officer conducting the intake strip search. Review of medical treatment rooms verifies that medical screens are available in the treatment rooms during medical treatment where treatment is being conducted and obstructs any cross-gender viewing of genitalia or buttocks of male inmates. There are no cameras in the treatment rooms.

115.15(b): Policy 518 PREA mandates that except in exigent circumstances, male staff may not pat down female inmates and female staff may not pat down male inmates. Absent the availability of a same sex staff member, it is recommended that a witnessing staff member be present during any pat-down search of an individual of the opposite sex. All cross-gender pat-down searches shall be documented in the Jail Management System (JMS) outlining the exigency. Documentation shall be forwarded to the Facility Commander and the PREA Coordinator.

Under no circumstances shall male custody staff perform non-emergency clothed body searches of female inmates (28 CFR 115.15). There are no female inmates at SCF. However, females have access to multiple programs. "Female inmates have access to multiple programs per Legacy Policy.

Custody Division Procedures 19.002 mandates that the facility does not restrict female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision. It is the policy of the Solano County Sheriff's Office Custody Division to offer evidence-based educational, vocational, substance abuse and other services designed to reduce recidivism to inmates in its custody. No inmate shall be required or coerced to participate in programs, nor penalized for refusing to participate. Inmates may refuse to participate in a rehabilitation or treatment program, except as required by statute or ordered by the sentencing court or paroling authority. No inmates, program beneficiaries, or participants shall be denied participation in programs or work assignments based on race, religion, national origin, sex, disability, or political beliefs. The Solano County jail facilities shall not restrict female inmates' access to regularly available programming or other out-of-cell opportunities. Agency reports that no pat-down searches of female inmates were conducted by male staff. Interview of random sample of 10 custody staff indicates that cross-gender pat-down searches of male inmates are not performed except during exigent circumstances. Cross-gender searches conducted due to exigent circumstances shall be documented. The facility houses no female inmates.

115.15(c): Facility policy requires that all cross-gender strip searches and cross-gender visual body cavity searches be documented. Per Policy 3.013 Documenting Strip searches of opposite gender Legacy P&P mandates that custody staff shall not

conduct pat, strip or visual body searches of inmates of the opposite gender unless there are well-documented emergency or exigent circumstances.

Policy 518 PREA mandates that under no circumstances shall male custody staff perform non-emergency clothed body searches of female inmates (28 CFR 115.15). The facility houses no female inmates.

115.15(d): Policy 3.013 Documenting Strip searches of opposite gender Legacy P&P mandates that:

2. The presence of opposite gender staff or visitors in all housing units shall be announced to the inmates, prior to staff entry. The announcement will provide appropriate warning to inmates who may be changing clothing or using the toilet. These announcements shall consist of "male on the floor" in a female housing module or unit and "female on the floor" in a male housing module or unit.

3. The presence of opposite gender staff or visitors in areas where inmates may be strip searched and/or dressed in and/or out, such as the safety cell, intake area or inmate release area, shall be announced to the inmates, prior to staff entry.

4. Custody staff shall not enter the shower and toilet areas, living spaces or cells of opposite gender inmates, except in exigent or emergency circumstance, and/or when accompanied by a staff member who is the same gender as the inmate.

5. Custody staff may perform observation log checks on inmates of the opposite gender; however, the previously mentioned announcement must be made.

Custody Policy & Procedure Policy 3.013 mandates that The presence of opposite sex gender staff or visitors in all housing units shall be announced to the inmates, prior to staff entry. The announcement will provide appropriate warning to inmates who may be changing clothing or using the toilet. These announcements shall consist of ""male on the floor"" in a female housing module or unit and ""female on the floor" in a male housing module or unit.

Agency places "Announce Yourself" imogi outside the doors of all housing units to remind all cross-gender staff, contractors and visitors to announced themselves before entering a cross-gender housing unit.

During the onsite audit, auditor observed "Announce Yourself" imogi's placed outside door of every housing unit and medical area. Stanton Facility houses only male inmates. During the facility walkthrough, auditor noticed cross-gender announcements being conducted by both custody and medical staff when entering housing units of cross-gender inmates.

Interview with 10 random sample of inmates verifies that cross-gender staff announce their presence when entering housing units. Some inmates note that staff can view them during toileting in their cell as there is no privacy through the cell doors.

During the onsite audit of the Stanton Facility, each of the 12 housing units, both upstairs and downstairs, allowed full view of toilets by way of one of the cell entry door windows. Review of exercise yards in each housing unit, auditor observed full viewing of the toilet from the downstairs walking area. Agency is non-compliant with Standard provision 115.15(d).

115.15(e): Policy 518 PREA mandates that staff shall not search or physically examine a transgender or intersex inmate for the sole purpose of determining genital

status (see Prison Rape Elimination Act Policy for transgender and intersex definitions). If genital status is unknown, it may be determined during conversations with the inmate or by reviewing arrest history, available databases, available medical records or, if necessary, by obtaining that information as part of a broader medical examination conducted in private by a qualified health care professional (28 CFR 115.15). Agency reports that such searches have not occurred in the past 12 months. Interview with random sample of 10 staff indicates that they are aware of the policy prohibiting staff from searching or physically examining a transgender or intersex inmate for the sole purpose of determining that inmate's genital status. If the staff member is to conduct a search of a transgender inmate, they have been instructed to ask the inmate of their preference or speak with their Sergeant to ascertain the inmate's assigned preference prior to conducting said search.

115.15(f): Agency reports that 100% of all security staff who received training on conducting cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner, consistent with security needs. All custody staff are trained on cross-gender searches and searches of transgender and intersex inmates. Agency provided auditor with the 4 hour refresher training on cross-gender and transgender pat searches PREA Custody 2022. Auditor was provided the electronic Activity Detail and Student rosters which includes Activity Notes on the rosters which states: "By signing this, the student affirms they understand the content provided in this PREA training." , which identified all staff assigned to Justice Center Detention Facility (JCDF), ,highlighting the names of assigned staff who attended the electronic training from March 2, 2022 to March 17, 2022. Auditor was also provided the National PREA Resource Center Guidance in Cross-Gender and Transgender Pat Searches Facilitator Guide & PPT, PREA Pat Search Training final and 4 hour Refresher PREA Custody 2022.

Interview with random sample of 10 staff indicates that they have all been trained on how to conduct cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner, consistent with security needs. Training was conducted in either the academy or bi-annual refresher training.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.15(d) and corrective action is required.

Corrective Action Recommended:

115.15(d): During the onsite audit of the Stanton Facility, each of the 12 housing units, both upstairs and downstairs, allowed full view of toilets by way of one of the cell entry door windows. Review of exercise yards in each housing unit, auditor observed full viewing of the toilet from the downstairs walking area. Agency is non-compliant with Standard provision 115.15(d).

1. Agency to apply window barrier in the cell doors both upstairs and downstairs of each housing unit to enable inmates to perform bodily functions without opposite gender viewings per Standard provision 115.15(d).

	<p>2. Agency to apply window barrier along the window wall of each housing unit exercise yard along both 1st floor and 2nd floor yard windows to enable inmates to perform bodily functions without opposite gender viewings per Standard provision 115.15(d).</p> <p>3. Housing Units 9 cell 12 and Unit 10 cell 9 both have etching scraped which allows cross-gender viewing of toilet in cell. Etching needs repair. Housing Unit 12 has etching but staff can still see yard toilets from the 2nd floor when viewing the upper 2nd & 3rd yard window etchings. Etchings need to be raised 3 inches to dissuade cross-gender viewing from 2nd floor of housing unit.</p> <p><u>Corrective Action Completion 11/15/23:</u></p> <p>115.15(d): 11/14/23 Onsite Review - Etching conducted on the following Upper and Lower yard windows in housing Units 1 thru 8. Etching allows security viewing of exercise yards from lower and upper floors of each housing unit while removing cross-gender viewing of yard toilets from lower and upper floors.</p> <p>11/15/23 - The PREA Compliance Manager provided auditor photo verification of Housing Units 9 cell 12 and Unit 10 cell 9 which identifies both cell doo windows scraped etching has been repaired and dissuades cross-gender viewing of toilet in both cells.</p> <p>the PREA Compliance Manager provided auditor with verification photos of Housing Unit 12 which has etching on exercise yard windows but staff was able see yard toilets from the 2nd floor when viewing the upper 2nd & 3rd yard window etchings. Photos verifies that etchings have been raised 3 inches to dissuade cross-gender viewing from 2nd floor of housing unit. Photo locations have been identified and all windows are PREA compliant.</p> <p>The agency/facility has met the requirements of Standard provision(s) 115.15(d) completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.15.</p>
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115.16	Inmates with disabilities and inmates who are limited English proficient
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.16(a): The agency has established procedures to provide disabled inmates equal

opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment by providing inmate who are disabled and Limited English Proficient with Point Book and Language line. Agency provided auditor a written contract with Language Line initiated on 8/7/18 and initial term expires on 8/7/2021 for interpreter services usage. Each subsequent year is an automatic successive renewal extending the overall term.

Contract renews annually for 1 year periods unless either party cancel or changes the contract. Contract renews annually for 1 year periods unless either party cancel or changes the contract. Contract will extend into it's 7th year on 8/7/23. Agency describes Accommodating inmates with Special Needs as outlined in Policy 13.015 Americans with Disability Accommodations Section III Compliance With ADA, items F thru H, described as:

F. A telecommunication device for the hearing-impaired (TTY/TDD) is available for use by attorneys, volunteers, program providers, and the public upon request. All housing areas shall have access to a TDD phone for hearing-impaired inmates to use.

G. A Rulebook in Braille will be made available by custody staff for those inmates with limited reading skills and/or those who are visually impaired.

H. A Point Book will be made available by custody staff to inmates who are unable to communicate verbally, in writing, or via an interpreter, to identify daily hygiene and service-related needs.

- The Point Book will be maintained in all officers' stations, AND Intake.

- The Point Book will be made available by custody staff to those identified inmates whenever necessary or upon request. Inmates will browse through the book, and point out any service-related needs, including medical, dental, religious, etc.

- When an inmate points out a service-related need, an officer will complete the appropriate inmate request slip and route it to the service provider.

- Hygiene, clothing and other in-house needs will be provided at the officer's earliest convenience.

- Urgent requests will be immediately relayed to the appropriate service-related provider.

I. Disabled visitors will be afforded the same visiting privileges as non-disabled visitors.

115.16(b): The agency has established procedures to provide disabled inmates equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment by providing inmate who are disabled and Limited English Proficient with Point Book and Language line. Agency provided auditor with written contract with Language Line initiated on 8/7/18 and expires on 8/7/2023 for interpreter services usage.

Interview with 4 Limited English Proficient inmates determines that during intake and each instance where inmates required translation services through medical translation services, Language Line or custody staff translation, the Facility provided the need for translation services.

115.16(c): Policy 606 PREA mandates that Should an investigation involve inmates who have disabilities or who have limited English proficiency, the first responder shall not rely on inmate interpreters, inmate readers or other types of inmate assistants,

	<p>except in limited circumstances where an extended delay in obtaining an interpreter could compromise inmate safety, the performance of first responder duties or the investigation of sexual abuse or sexual harassment allegations (28 CFR 115.16). Agency reports that in the past 12 months, there has been no instances where inmate interpreters, readers, or other types of inmate assistants have been used and there was no case that an extended delay in obtaining another interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations.</p> <p>Interview with random sample of 10 staff indicates that translation services can be provided through medical translation services or custody staff translators. Interview with 4 Limited English Proficient inmates determined that custody staff assisted with translation through the intake process and for the signed PREA education acknowledgement form.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.16.</p>
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115.17	Hiring and promotion decisions
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.17(a): Policy 300 Custody Policy Manual mandates that no members or contractors shall be hired who have (28 CFR 115.17):</p> <ul style="list-style-type: none"> (a) Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 USC § 1997). (b) Been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, by overt or implied threats of force, or by coercion, or if the victim did not consent, or was unable to consent or refuse. (c) Been civilly or administratively adjudicated to have engaged in the activity described in paragraph {b) of this section. <p>Agency states the Stanton Facility has no volunteers who work at the facility per the PREA Coordinator.</p> <p>115.17(a): Policy 300 Custody Policy Manual mandates that no members or contractors shall be hired who have (28 CFR 115.17):</p> <ul style="list-style-type: none"> (a) Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 USC § 1997). (b) Been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, by overt or implied threats of force, or by coercion, or if the victim did not consent, or was unable to consent or refuse. (c) Been civilly or administratively adjudicated to have engaged in the activity described in paragraph {b) of this section.

Agency states the Stanton Facility has no volunteers who work at the facility per the PREA Coordinator.

24 randomly selected custody staff HR records review for PREA Compliance. 21 FBI Livescan background checks and the 3 Required Questions were completed prior to hire date for each custody staff new hire. 3 promotional custody staff HR records review determined FBI Livescan background checks were completed prior to hire date for all 3 custody staff hired in the 12 months prior to the onsite audit and 3 Required Questions were also completed prior to date of promotion.

10 randomly selected Contracted staff HR records reviewed for PREA Compliance. FBI Livescan background checks and 3 Required Questions were completed prior to hire for all contracted staff.

115.17(b): Policy 300 Custody Policy Manual mandates that employees must have the ability to resolve problems in a way that shows sensitivity for the feelings of others. Factors related to this ability include empathy, discretion, effectiveness in dealing with people without arousing antagonism and the ability to understand the motives of people and how they will react and interact.

Disqualifying factors include:

- (a) Having been disciplined by any employer (including the military and/or any correctional officer or law enforcement training facility) for acts constituting racial, ethnic or sexual harassment or discrimination.
- (b) Uttering any epithet derogatory of another person's race, religion, gender, national origin or sexual orientation.
- (c) Having been disciplined by any employer as an adult for fighting in the workplace. Interview with Human Resources Administrator indicates that the facility considers prior incidents of sexual harassment, when determining whether to hire or promote anyone, or to Melissa services of any contractor, who may have contact with inmates.

115.17(c): Policy 300 Section 300.5 Background Investigation mandates that every candidate for employment shall undergo a thorough background investigation to verify his/ her personal integrity and high ethical standards, and to identify any past behavior that may be indicative of the candidate's unsuitability to perform duties relevant to the operation of the Solano County Sheriffs Office (11 CCR 1953). The narrative report and any other relevant background information shall be shared with the psychological evaluator. Information shall also be shared with others involved in the hiring process if it is relevant to their respective evaluations (11 CCR 1953).

Agency reports that in the past 12 months, 59 people were hired who may have contact with inmates who have had criminal background records checks are:

- 23 Correctional Officers
- 13 Deputies
- 23 other Sheriff's Office Employees

24 randomly selected custody staff HR records review for PREA Compliance. 21 FBI Livescan background checks and 3 Required Questions were completed prior to hire date for all custody staff. 3 randomly selected promotional custody staff HR records review determined FBI Livescan background checks were completed prior to hire date for all 3 promotional custody staff and 3 Required Questions were completed prior to

date of promotion.

Interview with Administrative Human Resources staff indicates that facility performed criminal records, background checks, or considers, permanent, civil or administrative education for all newly hired employees and contractors who may have contact with and meets, and all employees who may have contact with inmates, who are considered for promotions.

10 randomly selected Contracted staff HR records reviewed for PREA Compliance. FBI Livescan background checks and 3 Required Questions were completed prior to hire for all contracted staff.

115.17(d): Policy 300 Section 300.5 Background Investigation mandates that when a correctional officer promotes to sergeant or above and updated background investigation is required for a peace officer candidate due to the change in employment status.

The Office shall either conduct a criminal background records check at least every five years on current members who may have contact with inmates or have in place a system for otherwise capturing such information for current employees.

Agency reports that in the past 12 months no contracts for services where criminal background record checks were conducted on all staff covered in the contract who might have contact with inmates.

Interview with Administrative Human Resources staff indicates that facility performed criminal records, background checks, or considers, permanent, civil or administrative education for all newly hired employees who may have contact with and meets, and all employees who may have contact with inmates, who are considered for promotions.

10 randomly selected Contracted staff HR records reviewed for PREA Compliance. FBI Livescan background checks and 3 Required Questions were completed prior to hire for all contracted staff.

115.17(e): Policy 300 Custody Policy Manual pg 3 mandates that the Office shall either conduct a criminal background records check at least every five years on current members who may have contact with inmates or have in place a system for otherwise capturing such information for current employees. Review of 24 randomly selected HR records employees and 10 randomly selected HR records for contractors indicates all background checks were completed through LiveScan where both DOJ and FBI records checks were selected on LiveScan forms with followup FBI Clearance verification.

Interview with Human Resources Administrator indicates that the systems facility presently uses to conduct criminal background record check is the DOJ LifeScan system. LifeScan and checked for DOJ and FBI to receive state and national background check responses. If staff or contractors are arrested either by State or National law enforcement, we are notified. Checks are conducted on a daily basis by our HR staff.

115.17(f): Policy 300 Custody Policy Manual mandates that every candidate for employment shall undergo a thorough background investigation to verify his/ her personal integrity and high ethical standards, and to identify any past behavior that may be indicative of the candidate's unsuitability to perform duties relevant to the

operation of the Solano County Sheriffs Office (11 CCR 1953). Members or contractors shall not be hired who have (28 CFR 115.17):

(a) Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 USC § 1997).

(b) Been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, by overt or implied threats of force, or by coercion, or if the victim did not consent, or was unable to consent or refuse.

When a correctional officer promotes to sergeant or above and updated background investigation is required for a peace officer candidate due to the change in employment status.

(c) Been civilly or administratively adjudicated to have engaged in the activity described in paragraph {b) of this section.

The Office shall ask all candidates who may have contact with inmates to disclose any conduct described above in written applications or interviews. Material omissions regarding such misconduct, or the provision of materially false information, are grounds for termination.

The Office shall make reasonable efforts to contact prior institutions that the candidate has been employed by to inquire about sexual abuse allegations in accordance with 28 CFR 115.17.

Interview with Human Resources Administrator indicates that the background check system uses the three require questions for promotions and interview processes for hires. The processes also imposes a continuing affirmative duty to disclose such previous misconduct upon employees.

115.17(g): Policy 300 Custody Policy Manual mandates that any applicant for employment with this office who knowingly and deliberately makes false statements or omissions of material fact on any application document submitted to the office shall be denied appointment. False statements include but are not limited to deliberately being non-responsive to answers and knowingly providing evasive statements of any type.

115.17(h): Policy 300 Custody Policy Manual mandates that the Office shall make reasonable efforts to contact prior institutions that the candidate has been employed by to inquire about sexual abuse allegations in accordance with 28 CFR 115.17.

Interview with the Human Resources Administrator indicates that one foreign Ploy, place work, at another institution, upon request from an institution, facility provides information on substantial and allegations of sexual abuse, or sexual harassment involved in the former employee, unless prohibitive by law. This information will be provided upon receipt of a signed waiver prior to the release of the request of information.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.17.

115.18	Upgrades to facilities and technologies
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>115.18(a): Agency reports that the agency/facility has not acquired a new facility or made a substantial expansion or modification to existing facilities since the last PREA audit, whichever is later. Interview with Agency Head designee and Facility Commander indicates the Agency as not acquired a new facility or made a substantial expansion or modification to existing facilities since the last PREA audit.</p> <p>115.18(b): Agency reports that agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since the last PREA audit. Interview with Agency Head designee and Facility Commander indicates the facility has not installed or updated video monitoring system, electronic surveillance system, or other monitoring technology since the last PREA audit.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.18.</p>

115.21	Evidence protocol and forensic medical examinations
	<p>Auditor Overall Determination: Meets Standard</p> <hr/> <p>Auditor Discussion</p> <p>115.21(a): Agency reports that The agency/facility is responsible for conducting administrative and criminal sexual abuse investigations (including inmate-on-inmate sexual abuse or staff sexual misconduct). When conducting a sexual abuse investigation, the agency investigators follow a uniform evidence protocol. Policy 606 PREA mandates that An administrative investigation, criminal investigation or both shall be completed for all allegations of sexual abuse and sexual harassment (28 CFR 115.22). Administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71). Policy 304 PREA mandates that Specialized investigative training for investigators</p>

shall include the uniform evidence protocol to maximize potential for obtaining useable physical evidence; techniques for interviewing sexual abuse victims; proper use of Miranda and Garrity warnings; sexual abuse evidence collection in confinement settings; and the criteria and evidence required to substantiate a case for administrative action or referral for prosecution (28 CFR 115.21; 28 CFR 115.34). Interview with random sample of 10 staff indicates that all staff know and understand the agency's protocol for obtaining usable physical evidence if an inmate alleges sexual abuse.

115.21(b): Policy 606 PREA mandates that Evidence collection shall be based on a uniform evidence protocol that is adapted from or otherwise based on the most recent edition of the DOJ's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/ Adolescents, or similarly comprehensive and authoritative protocols developed after 2011 (28 CFR 115.21).

Protocol is identified in Policy 606 PREA sections 606.7, 606.8 & 606.9.

115.21(c): Policy 606 PREA mandates that inmates who are victims of sexual abuse shall be transported to the nearest appropriate location for treatment of injuries and collection of evidence, and for crisis intervention services (28 CFR 115.82).

Depending on the severity of the injuries, transportation may occur by a deputy or by ambulance, in either case with appropriate security to protect the staff, the inmate and the public, and to prevent escape. (a) Forensic medical examinations shall be performed as evidentiarily or medically appropriate, without financial cost to the victim. Where possible, these examinations shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs). If neither SAFEs nor SANEs are available, other qualified medical practitioners can perform the examination. The Office shall document its efforts to provide SAFEs or SANEs (28 CFR 115.21).

Agency reports that no SANE/SAFE forensic examinations have occurred during the past 12 months.

Interview of SAFEs/SANEs Nurse Practitioner indicates that SART nurses are on-call and available 24/7 to respond and conduct forensic medical examinations for the Napa, Solano and Marin County Jail facilities. Examinations are conducted in the hospital. Victim advocates are allowed to be present during the forensic examinations and the examinations are documented. Forensic evidence obtained during the examination is provided to the on-site investigator for their case.

115.21(d): Agency provided a copy of the SafeQuest 2021-2024 MOU which took effect in 7/1/2021 and remains in effect until 6/30/2024. The responsibilities of SafeQuest Solano Inc. is as follows:

A. Provide a toll-free telephone number inmates can call to report sexual assault or harassment in Sheriff facilities. Installation of the telephone lines at Sheriff facilities will be at Sheriff's expense.

B. Contact the appropriate Sheriff Facility Commander when a report of sexual assault/harassment is made to them.

C. Provide Sheriff with a list of current advocates for sexual assault victims and inform

the Watch Commander/Incident Commander of the name of the responding advocate.

D. Maintain confidentiality as required by state standards for certified crisis counselors and NBMC policies and procedures.

E. Provide any necessary follow-up appointments for counseling requested by inmates.

F. Comply with all applicable Sheriff's facility policies and procedures as they pertain to safety, security and professional conduct including On-Site Visitation Rules and Guidelines and meet all Clearance Requirements upon entry to any Sheriff facility, as described in Exhibit B, section 10.

G. Communicate any questions or concerns to the Sheriff PREA Coordinator.

H. Work with the Sheriff's third party mental health staff and their multidisciplinary team to communicate any medical and mental health conditions, as appropriate, of sexual assault victims and develop individualized treatment plans for these inmates.

I. Respond to annual inquiries by the Sheriff's contracted PREA Auditor regarding statistical and performance measures described in this agreement.

Interview with the PREA Compliance Manager indicates that if requested by the victim, a victim advocate accompanies and provides emotional support, crisis intervention, information, and referrals during the forensic medical examination process and investigatory interviews per the MOU. SafeQuest is the agency who provides that service. The SART nurse requests the victim advocates prior to the start of the forensic examination.

Interview with the PREA Compliance Manager indicates that trained SART nurses are on-call and available 24/7 to respond and conduct forensic medical examinations for the Solano County Jail facilities. Examinations are conducted in the hospital.

Interview with 3 inmates who reported sexual abuse while confined in the facility indicates that they can report sexual abuse or sexual harassment through the PREA hotline, grievance system, report to staff, medical or mental health.

115.21(e): Policy 606 PREA mandates that If requested by the victim, a victim advocate, a qualified office member, or a qualified community organization staff member shall accompany the victim through the forensic medical examination process and investigatory interviews. That person will provide emotional support, crisis intervention, information, and referrals (28 CFR 115.21).

Interview with the PREA Compliance Manager indicates that have a great crisis center provides victim, advocate services, they meet the qualification describes in standard, 115.2 1(d) through the MOU agreement with Solano County Jail.

Interview with 3 inmates who reported sexual abuse while confined in the facility indicates that they can report sexual abuse or sexual harassment through the PREA hotline, grievance system, report to staff, medical or mental health.

115.21(f): N/A - Agency/Facility is responsible for conducting administrative and criminal sexual abuse investigation.

115.21(g): Auditor is not required to audit this provision.

115.21(h): N/A - Agency reports that a qualified agency staff member or a qualified community-based staff member shall be an individual who has been screened for appropriateness to serve in this role and has received education concerning sexual

assault and forensic examination issues in general. Agency always makes a victim advocate from SafeQuest available to victims. SafeQuest to fulfill the requirements outlined in the SafeQuest 2021-2024 MOU which took effect in 7/1/2021 and remains in effect until 6/30/2024.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.21.

115.22 Policies to ensure referrals of allegations for investigations

Auditor Overall Determination: Meets Standard

Auditor Discussion

115.22(a): Policy 606 PREA mandates that the agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including inmate-on-inmate sexual abuse and staff sexual misconduct). Agency reports that in the past 12 months, 10 administrative allegations of sexual harassment that were received. Agency reports that all 10 sexual harassment allegations received during the past 12 months were investigated administratively and completed. None of the cases received were investigated criminally as there were no allegations of sexual abuse.

Interview with Agency Head designee indicates that the agency ensures that in Administrative or criminal investigation is completed for all allegations of sexual abuse or sexual harassment, including review of policies and procedures to look into complaints. Administrative, and criminal investigations are completed for all sexual abuse and sexual-harassment. When allegations occur, the first priority is safety of the victim, launch criminal & administrative investigation for criminal cases and investigate administrative investigation administratively or criminally when warranted.

115.22(b): Policy 606 PREA mandates that an administrative investigation, criminal investigation or both shall be completed for all allegations of sexual abuse and sexual harassment (28 CFR 115.22). Administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71).

The following are published on the office's website or by other means, if no website

exists:

1. Office policy governing investigations of allegations of sexual abuse and sexual harassment or the referral of such investigations of sexual abuse or sexual harassment (unless the allegation does not involve potentially criminal behavior)(28 CFR 115.22)

2. Information on how to report sexual abuse and sexual harassment on behalf of an inmate (28 CFR 115.54)

Agency's website PREA section states:

The Solano County Sheriff's Office is committed to providing inmates housed at its facilities, the safest environment possible. The Solano County Sheriff's Office has a zero tolerance policy toward sexual abuse and sexual harassment of any kind.

Inmates who experience these types of behaviors are strongly encouraged to report the behavior, as it will be investigated thoroughly and completely, whether criminally or administratively, whichever is most appropriate.

Auditor verified that investigative policy language is on agency website and states:

The Prison Rape Elimination Act of 2003 was signed into law by President George W. Bush with the intent to provide protection for individuals from prison rape. The act also created the National Prison Rape Elimination Commission and charged it with developing draft standards for the elimination of prison rape. Those standards were published in June 2009, and were turned over to the Department of Justice for review and passage as a final rule. That final rule became effective August 20, 2012.

The Solano County Sheriff's Office is committed to providing inmates housed at its facilities, the safest environment possible. The Solano County Sheriff's Office has a zero tolerance policy toward sexual abuse and sexual harassment of any kind.

Inmates who experience these types of behaviors are strongly encouraged to report the behavior, as it will be investigated thoroughly and completely, whether criminally or administratively, whichever is most appropriate.

Inmates experiencing sexual abuse or harassment may report the behavior to any correctional officer, deputy or civilian staff at their facility, or to a third party, such as friend or family member, attorney or advocate, who may make the report for them, or by calling the sexual assault hotline at SafeQuest Solano at 866-487-7233. Inmates can also call SafeQuest toll-free by dialing *93 from any inmate phone.

If you were assaulted as an inmate at one of our facilities, or someone you know who is currently in custody has been assaulted, you can report the assault by calling Sheriff's Dispatch non-emergency line at 707-421-7090, or the facility where they were assaulted:

- Justice Center Detention Facility 707-784-7100
- Claybank Detention Facility 707-784-7190
- Stanton Correctional Facility 707-784-4830

<https://www.solanocounty.com/depts/sheriff/prea.asp>

Interview with Investigative staff indicates that agencies policy requires that sexual-harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior.

115.22(c): N/A - The Agency/facility is responsible for criminal investigations.

	<p>115.22(d): Auditor is not required to audit this provision.</p> <p>115.22(e): Auditor is not required to audit this provision.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.22.</p>
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115.31	Employee training
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>115.31(a): Policy 304 PREA mandates that all members who may have contact with inmates shall receive office-approved training on the prevention and detection of sexual abuse and sexual harassment within these facilities. The Training Manager shall ensure that each member receives training in prevention and intervention techniques, that they have sufficient knowledge to answer any questions the arrestees and inmates may have regarding sexual assault or abuse, and that they are familiar enough with the reporting process to take an initial report of a sexual assault or abuse. The Training Manager shall be responsible for developing and administering this training, covering at minimum (28 CFR 115.31; 28 CFR 115.32):</p> <ul style="list-style-type: none"> (a) The zero-tolerance policy for sexual abuse and sexual harassment and how to report such incidents. (b) The dynamics of sexual abuse and sexual harassment in confinement. (c) The common reactions of sexual abuse and sexual harassment victims. (d) Individual responsibilities under sexual abuse and sexual harassment prevention, detection, reporting and response policies and procedures. (e) An individuals' right to be free from sexual abuse and sexual harassment. (f) The right of inmates and members to be free from retaliation for reporting sexual abuse and sexual harassment. (g) How to detect and respond to signs of threatened and actual sexual abuse. (h) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex or gender non-conforming inmates. (i) How to comply with relevant laws related to mandatory reporting of sexual abuse and sexual harassment to outside authorities. U) How to avoid inappropriate relationships with inmates <p>Agency provided auditor the 8 hour in-class PREA - Custody 2022 PPT presentation. Interview with random sample of 12 staff indicates that staff recall a number of topics discussed in the PREA refresher training and relevant topics discussed in the initial PREA training.</p> <p>Interview with random sample of 10 custody staff indicates that 95% of interviewed</p>

custody staff assigned to Stanton facility attended PREA refresher training in 2022 or 2023. Their initial PREA training came years before that. The 2022/2023 refresher training that staff recalled involved topics covering Zero-Tolerance, Sex Abuse and Sexual Harassment responsibilities, Inmate right to be free from sexual abuse, sexual harassment and retaliation, dynamics of sexual abuse, detect and respond to signs of threatened and actual sexual abuse and compliance with relevant laws related to mandatory reporting of sexual abuse to outside authorities. When questioned regarding the remaining mandated training topics covered in Standard 115.31, staff recalled said topics and indicated they were covered in either the Academy or previous PREA refresher trainings.

115.31(b): Policy 304 PREA mandates that training shall be tailored according to the sex of the inmates at the facility. Members should receive additional training on security measures and the separation of male and female populations in the same facility if inmates have been reassigned from a facility that houses only male or female inmates.

Auditor's review of the mandatory 8-hour PREA training powerpoint verifies that training all staff receive includes discussion regarding both male and female inmates including inmates from the LGBTI community. Agency has provided copies of randomly selected PREA training records for custody staff, contractors and volunteers.

115.31(c): Policy 304 PREA mandates that the Training Manager shall ensure that members undergo refresher training every two years that covers the office's sexual abuse and sexual harassment policies and related procedures. On the years the "member does not receive refresher training, the office shall provide information on sexual abuse and sexual harassment (28 CFR 115.31). Refresher training is provided to employees every 2 years. Agency provided auditor copy of the 4 hour refresher PREA - Custody 2022 new.pdf training. The training covers the following topics:

PREA Guidelines

- Policy & Procedures
- General Orders
- Videos
- Group Interaction

115.31(d): Policy 304 PREA mandates that the Training Manager shall document, through signature or electronic verification, that members have received and understand the training. The Training Unit will maintain training records on all those receiving training in accordance with procedures developed by the Training Manager. 16 Signed Employee Training Acknowledgement documentation fails to include narrative mandated by Standard provision 115.31(d) which states "The agency shall document, through employee signature or electronic verification, that employees understand the training they have received."

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.31(d) and corrective action is required.

	<p><u>Corrective Action Recommended:</u></p> <p>115.31(d): 16 Signed Employee Training Acknowledgement document fails to include narrative mandated by Standard provision 115.31(d) which states “The agency shall document, through employee signature or electronic verification, that employees understand the training they have received.”</p> <p>1. Agency to provide auditor with documented review all custody staff hired after the onsite audit to verify employees understand the training they have recieved.</p> <p><u>Corrective Action Completion 10/25/23:</u></p> <p>115.31(d): On 10/25/23, Agency provided auditor with PREA Audit Training Records Review tool which outlines the Hire date, PREA Training Dates, Signed Training Acknowledgement forms for 16 custody staff who completed the Sheriff's Academy and currently going through the 2 week orientation program. The PREA Compliance Manager also provided a Memorandum dated 11/6/23, with copies to the PREA Coordinator and Custody Division Commander which states that custody staff hired by the Sheriff's Office enters a two-week orientation period following the Training Academy. Custody staff completes their PREA training during the two-week orientation and are not scheduled to work in any Solano County Sheriff's Office Detention Facilities until they complete their two-week orientation.</p> <p>The agency/facility has met the requirements of Standard provision(s) 115.31(d), completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.31.</p>
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115.32	Volunteer and contractor training
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.32(a): Agency acknowledges that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response. Agency provided auditor with copy of the PREA Contractor Training PPT. Agency indicates that 153 volunteers and contractors who may have contact with inmates, who have been trained in agency's policies and procedures regarding sexual abuse and sexual harassment prevention, detection, and response. Review of the PREA Contractor Training PPT outlines Solano County Sheriff's Zero Tolerance, What to look for, Victim Characteristics, Duty to Report, Mandatory</p>

	<p>Reporting, Staff's reporting response and process. Agency indicates that Stanton Facility has no volunteers assigned at the facility. The PREA Coordinator verifies this via interview during onsite audit.</p> <p>Review of 10 randomly selected Contractor training records verifies the signee affirms understanding of their role as a contractor and Agency's Zero Tolerance Policy and PREA Standards regarding inmate sexual abuse and how to report sexual abuse.</p> <p>115.32(b): Pre-audit Questionnaire states that all contractors and volunteers go through the same training no matter the level of inmate contact. All volunteers and contractors who have contact with inmates have been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents. Agency states that all contractors and volunteers go through the same training no matter the level of contact. Agency indicates that Stanton Facility has no volunteers assigned at the facility. The PREA Coordinator verifies this via interview during onsite audit.</p> <p>Interview with random sample of 4 contractors indicated they have been trained on Agency's Zero Tolerance Policy to include to whom they report to and responsibilities regarding their 1st Responder protocol.</p> <p>115.32(c): Policy 304 PREA mandates that the Training Manager shall document, through signature or electronic verification, that members have received and understand the training. The Training Unit will maintain training records on all those receiving training in accordance with procedures developed by the Training Manager. Review of 10 randomly selected contractor employment documents were selected. Review of documentation verified the selected contractors completed their PREA training prior to entry into the facility and contact with inmates. The signed PREA Training acknowledgements indicates that the signee affirms understanding of their role as a contractor and Agency's Zero Tolerance Policy and PREA standards regarding inmate sexual abuse and how to report sexual abuse. Agency indicates that Stanton Facility has no volunteers who work at the facility.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.32.</p>
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115.33	Inmate education
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.33(a): Policy 606 PREA mandates that During intake the Office shall notify all inmates of the zero-tolerance policy regarding sexual abuse and sexual harassment, and of at least one way to report abuse or harassment to a public or private entity that is not part of the Office and that is able to receive and immediately forward</p>

inmate reports of sexual abuse and sexual harassment to office officials. This allows the inmate to remain anonymous (28 CFR 115.32; 28 CFR 115.51). Agency reports that 624 inmates admitted during past 12 months who were given this information at intake. Document reviews were conducted by auditor on 20 inmates randomly selected from intake roster who entered Intake over last 12 months. All inmates received initial screening within 72 hours of intake and 30-day reassessments within 30 days of intake except for 2 inmates who was released from custody prior to the 30-day PREA reassessment requirement.

Interview with intake staff indicates that I'm a find information about the zero tolerance policy on how to report incidents or suspicions of sexual abuse or sexual harassment during intake, and sign acknowledgements of understanding. Inmates are provided interpretive services through bi-lingual staff, or language line who is contracted by the Agency to provide translation if required. POINT books, TTY/TDD and braille is provided at the booking desk. Interpretation services information for inmates is detailed in PREA Standard 115.16.

Interview with 20 randomly selected inmates indicates that upon intake, inmates receive an inmate Handbook in their preferred language (English or Spanish), interpreter (staff or contractor) available for translation if needed. Inmates also receive initial PREA education during classification briefing and comprehensive PREA education every Wednesday between 9am & 12pm provided on the televisions in each housing unit. Televisions also have closed captions for Limited English Proficient inmates. Inmates sign acknowledgement of PREA rights regarding zero tolerance policy and how to report sexual abuse and sexual harassment, use of the PREA Hotline in the housing unit and right to be free from sexual abuse, sexual harassment and retaliation,

115.33(b): Agency reports that 221 inmates admitted during the past 12 months (whose length of stay in the facility was for 30 days or more) who received comprehensive education on their rights to be free from both sexual abuse and sexual harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake. Document reviews were conducted by auditor on 20 inmates randomly selected from intake roster who entered Intake over last 12 months. All inmates received initial screening within 72 hours of intake and 30-day reassessments within 30 days of intake except for 2 inmates who was released from custody prior to the 30-day PREA reassessment requirement.

Interview with intake staff indicates that the agency ensures inmates are educated regarding their rights to be free from sexual abuse and sexual harassment, and to be free from retaliation's for reporting such incidents regarding agency policies and procedures for responding to such incidents through receipt of the Inmate PREA training brochure provided to inmates at intake. Inmates are also provided a PREA video each Wednesday between 9am and 12pm in their housing units and signing an acknowledgment of understanding for the PREA training. PREA training is accomplished within 72 hours of intake.

Interview with random sample of 20 inmates indicates that upon arrival at booking and during classification briefing, inmates were provided their rights not to be sexually abused, or sexually harassed, how to report sexual abuse or sexual

harassment, their rights not to be punished for reporting sexual abuse or sexual harassment.

115.33(c): Agency reports that all inmates are PREA educated within 30 days of intake. Policy 18.001 PREA Requirements 115.41-1 & 2 mandates that all newly booked inmates shall receive, upon completion of the booking process, a copy of the Inmate Rules, which provides the inmate with information pertaining to avoiding sexual assault while in custody, how to report an assault, and services available to victims of sexual assault, both in and out of custody. Section IV Procedure A. Screening Process states that all inmates shall be assessed during an intake screening and upon transfer to another facility for their risk of being sexually abused by other inmates or for being sexually abusive toward other inmates. Any information provided by the inmate is confidential and will be released on a need-to-know basis. Auditor observed transfers of inmates being housed from the main intake facility (JCDF) to the Stanton facility. During the Classification Officer intake process at Stanton, classification reviewed the PREA questionnaire again with each inmate in a confidential holding cell, reviewed with them their PREA training form and had inmates again sign the PREA 30-day reassessment form.

Interview with intake staff indicates that current inmates, as well as those transfers from other facilities, have been educated on zero tolerance, tolerance policy on sexual needs for sexual-harassment, questions are asked on the pre-screening questionnaire. Correction staff also follow up to ensure inmate understands the language in the PREA screening questionnaire, which is in English, or Spanish, the inmates preferred language.

Auditor observed the transfer screening between the Classification officer and inmate at the Stanton Facility. Classification officer reviewed the PREA questionnaire form JCDF and reviewed PREA questions to verify with inmate. The back of the questionnaire was read, inmate was informed that the PREA video is played every Wednesday between 7am & 1pm continually with closed captions. Classification reviewed the inmate rights and had inmate sign the acknowledgement form for comprehensive PREA education.

115.33(d): Agency reports that Inmate PREA education is available in formats accessible to all inmates, including those who are:

- Limited English Proficient (LEP) Visually impaired
- Otherwise disabled
- Limited Reading Skills

Page 28 of The Inmate Handbook provides information for inmates to access the Title II Americans with Disability Act of 1990. As states "There are TTY and TDD telephones available within the Solano County Sheriff's Office Detention Facilities.

Inmate requiring the use of either a TTY or TDD telephone must inform the Module Officer, who will obtain the device for use by the hearing impaired or deaf inmate."

Pages 29 & 30 of the Inmate Handbook provides inmates with the following:

Inmate Notice -

"The Solano County Sheriff's Office does not discriminate on the basis of disability in providing access to its facilities, programs, services, and activities. This notice is provided as required by Title II of the Americans with Disabilities Act

of 1990. If you have questions, complaints or request for additional information regarding the ADA, forward your inquiry to the designated ADA Division Representative at the facility at which you are housed by completing the "ADA Request for Accommodation" form. This form is available upon request from your module officer."

Solano County Sheriff's Office Americans with Disabilities Act (ADA) Policy:

No inmate who is a qualified individual with a disability shall by reason of such disability, be excluded from participation in, or be denied benefits of services, programs, or activities of the jail or be subjected to discrimination.

If an inmate is requesting accommodation in order to participate in a service, program, or activity provided by the jail, the inmate shall notify his/ her Module Officer of the request. If an inmate believes that he / she has not been provided with a reasonable accommodation, the inmate may file a formal grievance.

Instructions for submitting a formal grievance may be found in this publication under "Inmate Grievances."

SOLANO COUNTY ADA COMPLAINT PROCEDURE:

If an inmate believes that the Solano County Sheriff's Office has failed to comply with and/or carry out its responsibilities under the American's with Disabilities Act, the inmate may contact the Custody Division ADA Coordinator at 530 Union Ave. Suite 100 Fairfield Ca. 94533, or file a formal grievance. Instructions for submitting a formal grievance may be found in this publication under "Inmate Grievances"

Specific educational materials available for inmates who are hearing impaired or deaf, blind, LEP and sign language interpreters through Language Line, PREA posters in English and Spanish, POINT Book in intake and housing units when requested, limited English proficient, and other resources outlined in Policy 13.015 ADA through the ADA Division Coordinator.

115.33(e): Agency reports they maintain documentation of inmate participation in "PREA education sessions. Agency provided auditor with:

- Admission dates
- PREA signed education acknowledgement dates
- PREA initial and comprehensive education date
- Initial screening documentation

115.33(f): The agency ensures and auditor verified during the onsite facility audit that key information about the agency's PREA policies is continuously and readily available or visible through posters, inmate handbooks in both English and Spanish, or other written formats through the inmate handbook and posters. Agency provided auditor copy of the July 2021 Inmate Rule Book, provided to inmates upon intake, which outlines PREA narratives for inmate education on pages 30 & 31 with topics such as:

- Your Sexual Safety
- What to do if you are victimized You to Report the Incident
- Filing a grievance Seeking medical help Confidentiality
- Understanding the Investigative process
- Counseling Program

Auditor observed PREA posters throughout the facility and in each housing unit,

	<p>medical, attorney interview rooms, Lobby area, visiting and intake. PREA "What You Need to Know" video plays in entrance lobby. Auditor also observed the PREA Brochures both in English and Spanish which is provided to inmates during intake. Bi-lingual staff and Language Line available to read to inmates and provide language translation should the inmate have limited reading skills or be Limited English Proficient.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.33.</p>
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115.34	Specialized training: Investigations
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.34(a): Policy 606 PREA mandates that An administrative investigation, criminal investigation or both shall be completed for all allegations of sexual abuse and sexual harassment (28 CFR 115.22). Administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71).</p> <p>Agency has investigators take the NIC PREA Learning Center course on Investigation of Sexual Abuse in a Confinement Setting Course through the online PREA Learning Center investigations class PPT.</p> <p>Interview with investigative staff indicates that they receive training specific to conducting sex abuse investigations in a confinement setting. The training was conducted by the National Institute of Corrections which covered the following topics: Sexual abuse and sexual harassment.</p> <p>Victims, Witnesses and suspects, Interviews, Miranda and Garrity warnings, Physical evidence, Electronic monitoring, Crime scene preservation and examination Sexual abuse complaints, Forensic examinations and documentation.</p> <p>Interview with 2 staff investigators indicates that they both received certification training to conduct sexual abuse investigations in a confinement settings. The topics included Internal Affairs responsibilities, sexual abuse situations in confinement settings.</p>

	<p>115.34(b): Agency to provide auditor with copy of the NIC PREA Investigation of Sexual Abuse in a Confinement Setting course curriculum to verify that the course meets Standard provision 115.34(b). Interview with 2 staff investigators indicates their certification training included techniques for interviewing six abuse victims, proper use of Miranda in the early warnings, sexual abuse evidence collection in confinement settings, the criteria and evidence required to substantiate a case for Administrative for prosecution referral.</p> <p>115.34(c): Agency provided auditor with copies of the NIC PREA Investigation of Sexual Abuse in a Confinement Setting course certificates of completion for 129 investigators identified as assigned to investigate sexual abuse cases. Agency has trained all deputies in the NIC course but do not use all deputies for the investigations.</p> <p>115.34(d): Auditor is not required to audit this provision.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.34.</p>
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115.35	Specialized training: Medical and mental health care
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.35(a): Policy 304 PREA mandates that all full- and part-time qualified health care and mental health professionals who work regularly in the detention facilities shall receive all of the member training listed above, as well as training that includes (28 CFR 115.35):</p> <ul style="list-style-type: none"> (a) Detecting and assessing signs of sexual abuse and sexual harassment. (b) Preserving physical evidence of sexual abuse. (c) Responding effectively and professionally to victims of sexual abuse and sexual harassment. (d) Reporting allegations or suspicions of sexual abuse and sexual harassment. <p>If the qualified health care and mental health professionals employed at these facilities request that a sexual assault forensic examination be conducted, a criminal investigation shall be initiated and will coordinate the examination through the contracted forensic examination medical provider.</p> <p>Agency reports that 67 of all medical and mental health care practitioners who work regularly at this facility and have received the training required by agency policy (100%).</p> <p>Interview with medical and mental health staff indicates that neither staff received any other specialized training regarding sexual abuse and sexual harassment, except</p>

	<p>for the training, provided by well, path, prayer training, and by annual refresher training from Solano County Jail.</p> <p>115.35(b): N/A - Agency medical staff at the facility do not conduct forensic exams. Interview with both medical and mental health staff indicate they do not conduct forensic examinations. Inmates are treated at North Bay Medical Center for forensic examinations.</p> <p>115.35(c): The agency maintains documentation verifying that medical and mental health practitioners have completed the required training. Agency provided auditor with 69 PREA training certification documents.</p> <p>115.35(d): Agency states they maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere. Agency provided training verification by way of signed and dated training acknowledgements in Standard provision 115.35(c). Contract medical and mental health care practitioners receive training mandated for contractors and volunteers per Standard 115.32.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.35.</p>
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115.41	Screening for risk of victimization and abusiveness
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.41(a): Policy 18001 PREA Requirements 115.41 - 1 & 2 mandates that all inmates shall be assessed during an intake screening and upon transfer to another facility for their risk of being sexually abused by other inmates or for being sexually abusive toward other inmates. Any information provided by the inmate is confidential and will be released on a need-to-know basis.</p> <p>Inmates who are being transferred out of the facility shall have their files reviewed the day they are to be transferred to another facility. If an inmate is found to have either been victimized or suspected of sexual assault or abuse while in our custody, a notification letter shall be authored and provided to the receiving facility.</p> <p>Interview with Risk Screening staff indicates that inmates are provided with information about zero-tolerance policy and how to report incidents or suspicions of sexual abuse or sexual harassment via Q&A from PREA Screening Questionnaire, inmate Brochure, "End of Silence" video in housing unit.</p> <p>Interview with random sample of 20 inmates indicate that when inmates arrive in Booking, they all are asked questions such as:</p> <ul style="list-style-type: none"> - Have they been in prison or jail before - Whether they have ever been sexually abused

- Whether they identify with being LGBTI
 - If they believe they may be in danger of sexual abuse while housed in this facility
- Random sample of 20 inmates recall being asked the same questions when transferred to another facility. Auditor observed and verified the risk screening actions at the Stanton Facility when they have been transferred from JCDF.

115.42(b): Policy 18001 PREA Requirements 115.41 - 1 & 2 mandates that all inmates shall be screened within 24 hours of arrival for potential vulnerabilities to become victimized or tendencies of acting out with sexually aggressive behavior. The initial screening shall consider prior acts of sexual abuse, prior convictions for violent offenses and history of prior institutional violence or sexual abuse, as known to the office; in assessing inmates for risk of being sexually abusive.

Agency reports 624 inmates entered the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 72 hours or more and who were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility.

Interview with Risk Screening Staff indicates that it makes a screen risk of sexual victimization or risk of sexual sexually abusing other inmates within 72 hours of intake. Screening takes place before inmate is housed and is usually conducted within 24 hours of intake.

Classification process change-PREA memorandum dated 6/1/17 mandates that transferred from one facility to another facility the following needs to occur:

- 1) Complete the initial inmate pre-screening questionnaire and
- 2) Scan and upload the completed pre-screening questionnaire to the jail management system.

Interview with random sample of 20 inmates indicates that risk screening is conducted upon arrival at Solano County Jail and when transferred to another facility, normally within 24 hours from arrival.

115.41(c): Agency indicates that Risk assessment is conducted using an objective screening instrument. Agency provided auditor with copy of their PREA Screening Checklist & Education Combo Sheet New. Agency also provided auditor with copy of their Classification Questions. Both Screening Checklist and Classification Questions meets the Standard or a weighted objective screening instrument with an override component. The instrument also includes the PREA Comprehensive Education Sheet which verifies that inmate has been provided both initial and comprehensive PREA education and inmate acknowledges that he or she has the opportunity ask questions of the Classification Officer. Inmate acknowledges receipt of the inmate Brochure and Inmate Rulebook, reviewed the video titled ""End of Silence" and "PREA What you need to know" to include review of the Zero-Tolerance Policy. Here is the complete number of components signed for and acknowledged buy each inmate after screening, signed by the intake or classification Sergeant:

- > A 16 minute video titled "PREA: What You Need To Know" may be played in your housing unit.
- > I understand that the Solano County Sheriff's Office has a Zero Tolerance policy for sexual abuse and harassment.

- > I understand what Sexual Harassment is.
- > I understand what Sexual Abuse is.
- > I understand what Staff Voyeurism is.
- > I understand that I have the right to report sexual abuse privately, safely, and through multiple channels
- > I understand that I have the right to get help from trained medical and mental health care professionals
- > I understand that all Sheriff's Office Employees, Volunteers and Contractors (including medical and mental health) are mandatory reporters for any Sexual Abuse/ Harassment.
- > I understand that sexual activity between a staff member and an inmate can never be consensual and is always against the law.
- > I understand that I have the right to be protected from sexual abuse.
- > I have received a brochure titled "END THE SILENCE" & a rule book with PREA information as well as outside reporting telephone numbers (pages 27-29 in the rule book)"

115.41(d): Review of the initial screening, Classification Questions and objective screening instrument includes all criteria identified to assess inmates for risk of sexual victimization per PREA.

Interview with Risk Screening Staff indicates that initial rescreening considers potential or known victim or predator information follow up questions with written responses, and is waited based upon the response. Three more questions provide potential victim or perpetrator information. Based on the additional questions asked to make determination regarding housing and programming.

115.41(e): Review of the initial screening, Classification Questions and objective screening instrument includes all criteria identified to assess inmates for risk of sexual victimization per PREA.

Interview with risk screening staff indicates that the initial risk screening considers:

- Former sexual assault victim
- Age
- Developmental or Handicap disparities
- LGBTI population
- Victim of predator
- Gang affiliation
- History of predation
- Violence assessment

The process for conducting, the initial screening is isolate inmate in confidential area and go over the screening questions. Utilize and provide a copy of the PREA brochure available for each individual intake session and inform the inmate regarding the PREA video accessibility every Wednesday from 8 AM to 6 PM in each housing unit throughout the facility.

115.41(f): Policy 18.004 Classification Review mandates that D. Each inmate will be reviewed once every thirty (30) days if there is no specific problem. The Classification Officer will review any change in:

1. Sentence status.

2. Behavior patterns.
3. Incident reports.
4. Rule violations.
5. Disciplinary actions.
6. Any information source:
 - a. Correctional Officer.
 - b. Medical staff.
 - c. Referrals.

Agency indicates that 221 inmates entering the facility (either through intake or transfer) within the past 12 months whose length of stay in the facility was for 30 days or more and who were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake.

Interview with risk screening staff indicates that inmates are reassessed every 7 days if assigned as Administrative Separation and every 30 days if assigned as General Population.

Interview with random sample of 20 inmates indicate that the only time inmates are asked the screening questions is upon transfer to a different facility and again upon arrival to the facility they have been transferred to.

115.41(g): Policy 18.004 Classification Review mandates that D. Each inmate will be reviewed once every thirty (30) days if there is no specific problem. The Classification Officer will review any change in:

1. Sentence status.
2. Behavior patterns.
3. Incident reports.
4. Rule violations.
5. Disciplinary actions.
6. Any information source:
 - a. Correctional Officer.
 - b. Medical staff.
 - c. Referrals.

Interview with risk screening staff indicates that inmates are reassessed every 7 days if assigned as Administrative Separation and every 30 days if assigned as General Population.

Interview with random sample of 20 inmates indicate that the only time inmates are asked the screening questions is upon transfer to a different facility and again upon arrival to the facility they have been transferred to.

115.41(h): Policy 18.000 Classification Intake Process mandates that "If, during the interview process, an inmate refuses to answer questions, including those which may pertain to a disability, sexual orientation, past victimization, or their own perception, of vulnerability for abuse, he or she shall not be subject to any discipline for failing or refusing to answer.

Interview with risk screening staff indicates that inmates are not disciplined for refusing to respond to the PREA questions during intake, Booking or classification interviews.

	<p>115.41(i): Intake classification systems are maintained through the Jail Management System (JMS) which is maintained by Classification.</p> <p>Interview with the PREA Coordinator indicates that the Agency outlines who should have access to inmates risk assessment, within the facility, in order to protect, sensitive information from exploitation, based on the need to know and right to know model.</p> <p>Interview with the PREA Compliance Manager indicates that policy identifies the users rights on the Jail Management System (JMS). The JMS access is based upon job classification, such as the Receiving Booking Officer, Sergeants and Classification.</p> <p>Interview with risk screening staff indicates that need to see as outlined who can have access to an inmates risk assessment within the facility in order to protect sensitive information from exploitation. The outline items are provided in the PREA policy.</p> <p>Interview with Internet Technology (IT) staff indicates that Intake, Classification and Medical documentation are computerized documents:</p> <ul style="list-style-type: none"> - Determined safeguards regarding access and security of gathered information - County user has access credentials - Permission granted levels to access specific documents - Locked security permissions - Security permission level settings - Settings made by Business Units or IT, depending on level of access needed - Must have permission to access the system based upon specific details - Read only for Business Access - Electronic systems that require permissions: (ATIMS, RIMS & SHAREPOINT) <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.41.</p>
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115.42	Use of screening information
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.42(a): The agency/facility uses information from the risk screening required by §115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive. Agency obtains and maintains the PREA Screening Checklist & Education Combo Sheet New.PDF & Classification Questions to obtain this information.</p> <p>Interview with the PREA Compliance Manager indicates that the facility uses information from risk screening during intake through the classification matrix to determine an inmates' safest placement.</p> <p>Interview with Risk Screening staff indicates that information from risk screening</p>

during intake is used for housing and programming decisions, keeping perpetrators and victims or potential victims separate and safe. Determining factors are provided to the PREA Coordinator to ensure proper housing and non-contact between possible victims and predators.

115.42(b): Policy 18.000 Classification Intake Process 6-5-17.PDF mandates that when an individual is received at intake as an arrestee or remanded from court, the receiving personnel shall determine the inmate's initial custody status and separate inmates who are violent from inmates who are non-violent. Policy 18.001 Classification Section IV PROCEDURE A Screening Process Item 2 mandates that the safety of each inmate shall be determined on an individualized basis.

Interview with Risk Screening staff indicates that information from risk screening during intake is used for housing and programming decisions, keeping perpetrators and victims or potential victims separate and safe. Determining factors are provided to the PREA Coordinator to ensure proper housing and non-contact between possible victims and predators. Inmate intake and classification screening is conducted on an individual basis in a confidential setting.

115.42(c): Policy 18.003 Classification Assignment, Status & Housing mandates that:

1. As part of the classification process, each new inmate will be screened within 24 hours for potential vulnerabilities or tendencies to act out with sexually aggressive behavior. Housing assignments will be made accordingly. Inmates identified as at risk for sexual victimization, or with tendencies to act out with sexually aggressive behavior, will be assessed by Mental Health personnel.
2. Male and female inmates will be housed in separate housing areas. Their sleeping quarters will be separated both visually and acoustically.
3. Inmates who identify as lesbian, gay, bisexual, transgender or intersex shall not be housed based solely on such identification. An inmate's personal views shall also be taken into consideration when deciding where they shall be housed.
4. Completed classification reports will be forwarded to the Classification Sergeant so they are available for follow-up the next day if needed.

Interview with the PREA Compliance Manager indicates that housing and program assignments for transgender or intersex is based upon the classification matrix, safety and securing and housed by gender. Protective housing is provided with no split searches. Transgender housing and program assignments are considered on a case-by-case basis to ensure the health and safety of each transgender inmate. The transgender inmate housed at the Stanton Facility refused to cooperate during the interview process by refusing to answer questions.

115.42(d): Policy 18.004 Classification Review mandates that:

D. Each inmate will be reviewed once every thirty (30) days if there is no specific problem. The Classification Officer will review any change in:

1. Sentence status.
2. Behavior patterns.
3. Incident reports.
4. Rule violations.
5. Disciplinary actions.
6. Any information source:

- a. Correctional Officer.
- b. Medical staff.
- c. Referrals.

E. The Classification Officer will update and change an inmate's classification when necessary. Any change and the reason for change will be documented. If no change is necessary it shall be documented also.

Interview with the PREA Compliance Manager indicates that who's gonna program assignments for each transgender or intersex inmate is reassessed to review any threats to safety experience by the inmate every 30 days or sooner.

Interview with Risk Screening staff indicates that transgender placement and programming assignments for each transgender or intersex inmate is reassessed at least twice a year to review any threats to safety experience by being made. Records review conducted by the auditor for the one transgender inmate housed at Stanton facility has been incarcerated and housed 154 days and is currently housed in Administrative Separation, reassessed every 30 days to review any experience of threats to safety.

115.42(e): Agency mandates that placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate. Agency conducts case review every 30 days while any inmate is housed in any of the 3 Solano County Jail Detention Facilities per Policy 18.004 Classification Review and outlined in Standard provision 115.42(d) above.

Interview with PREA Compliance Manager and Risk Screening staff indicates that do you mean is given opportunity to provide their own views, with respect to his or her own safety. Intake and Classification and give serious consideration to their views. The transgender inmate housed at the Stanton Facility refused to cooperate during the interview process by refusing to answer questions.

115.42(f): Agency states that Transgender and intersex inmate shall be given the opportunity to shower separately from other inmates. Review of the physical plant verifies all showers are separate showers in view of the custody control booth in each housing unit. Interviews with PREA Compliance Manager, risk screening staff and Transgender inmates verifies auditor observations and provides statement that all inmates can shower separately from other inmates.

Interview with PREA Compliance Manager and Risk Screening staff indicates that Transgender and Intersex inmates are given the opportunity to shower separately from other inmates. The Stanton facility maintains only individual showers on both upper and lower floors of each housing unit, with doors, and the showers are observed via camera.

115.42(g): Agency states they do not place lesbian, gay, bisexual, transgender, or intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status, unless such placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting such inmates as they do not possess such facilities. Physical plant review and interviews with the PREA Coordinator, PREA Compliance Manager and Transgender inmates verifies the Agency statement of no dedicated

	<p>facilities, units or wings utilized solely on the basis of an inmate's identification or status.</p> <p>The transgender inmate housed at the Stanton Facility refused to cooperate during the interview process by refusing to answer questions.</p> <p>Interview with the PREA Coordinator indicates that the agency insurance against placing LGBT, inmates in dedicated facilities, units or wings solely on the basis of their sexual orientation, general status, or gender identity, due to the fact that the agency does not possess or operate any dedicated wings or facility classification risk assessments to house inmates safely. Agency does not possess any dedicated facilities, units, or wings solely on the basis of inmates' sexual orientation at any of their three facilities.</p> <p>Interview with the PREA Compliance manager indicates that Stanton facility is not subject any consent decree, legal settlement, or legal judgment, requiring that established a dedicated facility, unit, or wing for lesbian, gay, bisexual, transgender, or intersex inmates.</p> <p>Interview with the only Gay inmate assigned to the Stanton Facility indicates that he or other inmates are not ever naked in full view of female staff during toileting, showering or changing clothing. When he first arrived at Solano CJ, he was given information about the facility rules against sexual abuse and sexual harassment, an inmate Rule Book, sign a PREA education form and viewed the PREA video played every Wednesday in the housing unit between 7am and 12pm.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.42.</p>
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115.43 Protective Custody	
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.43(a): Policy 18.001 Classification PREA Requirements mandates that:</p> <ol style="list-style-type: none"> 1. As part of the classification process, each new inmate will be screened within 24 hours for potential vulnerabilities or tendencies to act out with sexually aggressive behavior. Housing assignments will be made accordingly. Inmates identified as at risk for sexual victimization, or with tendencies to act out with sexually aggressive behavior, will be assessed by Mental Health personnel. 2. Male and female inmates will be housed in separate housing areas. Their sleeping quarters will be separated both visually and acoustically.

3. Inmates who identify as lesbian, gay, bisexual, transgender or intersex shall not be housed based solely on such identification. An inmate's personal views shall also be taken into consideration when deciding where they shall be housed.

4. Completed classification reports will be forwarded to the Classification Sergeant so they are available for follow-up the next day if needed."

Policy 606 PREA mandates that Inmates at high risk for sexual victimization shall not be placed in involuntary segregated housing unless an assessment of available alternatives has been made and it has been determined that there is no reasonably available alternative means of separation. Inmates may be held in involuntary segregated housing for less than 24 hours while an assessment is completed.

If an involuntary segregated housing assignment is made because of a high risk for victimization, the Facility Commander or designee shall clearly document the basis for the concern for the inmate's safety and the reasons why no alternative means of separation can be arranged (28 CFR 115.43).

The facility shall assign these inmates to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged, not ordinarily in excess of 30 days. Inmates placed in temporary segregated housing shall continue to have reasonable access to

programs, privileges, education and work opportunities. If restrictions are put in place, the Facility Commander shall document the following:

- (a) The opportunities that have been limited
- (b) The duration of the limitation
- (c) The reasons for such limitations

Agency states that no inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment.

Interview with the Facility Commander indicates that Segregated Housing does not exist at the Stanton Facility. inmates at high risk for sexual victimization and inmates who have alleged sexual abuse are placed in Administrative Separation housing Ad-Sep. Inmates at high risk for sexual victimization are reassessed no more than 7 days to conduct an assessment to determine available alternative means of separation from potential abusers. All other inmates are reassessed every 30 days.

115.43(b): Policy 606 PREA mandates that if an involuntary segregated housing assignment is made because of a high risk for victimization, the Facility Commander or designee shall clearly document the basis for the concern for the inmate's safety and the reasons why no alternative means of separation can be arranged (28 CFR 115.43).

The facility shall assign these inmates to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged, not ordinarily in excess of 30 days. Inmates placed in temporary segregated housing shall continue to have reasonable access to

programs, privileges, education and work opportunities. If restrictions are put in place, the Facility Commander shall document the following:

- (a) The opportunities that have been limited
- (b) The duration of the limitation
- (c) The reasons for such limitations

Agency states that no inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment. Agency verified there are no inmates in segregated housing for risk of victimization or who alleged to have suffered sexual abuse. Stanton Facility does not possess a Segregated Housing Unit. No inmates are assigned to Segregated Housing.

Interview with staff who may supervise inmates in Segregated Housing if one existed indicates that should Segregated Housing exist, Policy 18.001 Classification PREA Requirements mandates inmates at risk of sexual victimization have access to Programs, Privileges, Education and Work Opportunities. The only available programs at the Stanton Facility is Education and limited Work Opportunities that may be provided as 1 mod worker per MOD (Stanton Facility only has 12 MODs. Solano CJ is in the process of practicing the State of California Legislature mandate per Assembly Bill 2632 all inmates, regardless of housing, have the opportunity for at least 4 hours per day of out of cell opportunity.

115.43(c): Agency states that In the past 12 months, no inmates at risk of sexual victimization who were assigned to involuntary segregated housing for longer than 30 days while awaiting alternative placement. Interview with the Facility Commander indicates that Segregated Housing does not exist at the Stanton Facility. inmates at high risk for sexual victimization and inmates who have alleged sexual abuse are placed in Administrative Separation housing Ad-Sep. Inmates at high risk for sexual victimization are reassessed no more than 7 days to conduct an assessment to determine available alternative means of separation from potential abusers. All other inmates are reassessed every 30 days.

Interview with staff who supervises inmates in Segregated Housing indicates that there is no Segregated Housing at the Solano CJ Stanton Facility. Staff indicates that if an inmate at risk of sexual victimization was placed in involuntary housing, the placement would be only until an alternative means of separation from likely abusers is arranged. Said housing would last no longer than one week to 30 days before inmate is placed into appropriate housing. There have been no inmates placed in Segregated Housing in the past 12 months.

115.43(d): Agency reports there have been no inmates at risk of sexual victimization who were held in involuntary segregation housing in the past 12 months.

115.43(e): Policy 606 PREA mandates that every 30 days, the Facility Commander or designee shall afford each such inmate a review to determine whether there is a continuing need for segregated housing.

Interview with staff who supervises inmates in Segregated Housing indicates that there is no Segregated Housing at the Solano CJ Stanton Facility. Once in me is assigned to involuntary segregated housing, Silvia reviews the inmates circumstances every seven days to determine placement involuntary segregated housing is needed. Written assessments are documented in ATIMS Jail Management System.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully

	compliant with Standard 115.43.
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115.51	Inmate reporting
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.51(a): Policy 606 PREA PG 5 and Inmate Rulebook July 2021 pg 29 & 31 mandates that Reports can be made in writing or verbally, in the following ways. You can tell correctional staff, medical, mental health, chaplain, contractor, volunteer or any other person that you trust. You can contact SafeQuest Solano by direct dialing # 93 (free call) or (707-422-7345) on any inmate phone. You can tell your attorney or have someone from the outside, such as a friend or family member make the report for you. Personnel are instructed to keep the reported information confidential, and only discuss it with the appropriate officials on a need to know basis. You can also submit a request or file a grievance. This type of grievance is considered an “emergency” grievance.</p> <ul style="list-style-type: none"> • When filing an “emergency” grievance, be very clear about your complaint. • If it is against a staff member, provide the staff members name. • These grievances are acted upon immediately and taken very seriously. • There is no time limit associated with grievances related to sexual abuse. • You are not required to give the grievance to the person in question, nor will you or your grievance be referred to them. • If you need help completing the grievance, you may seek help from others if needed. WARNING: The filing of a grievance in bad faith (attempting to get someone in trouble) is in violation of jail rules. Those found to be in violation will be punished as outlined in the jail rules. <p>Information concerning the identity of the inmate victim reporting the sexual abuse and the facts of the report itself shall be limited to those who have a need to know in order to make decisions concerning the inmate victim’s welfare and for law enforcement investigative purposes.</p> <p>Interview with random sample of 10 staff indicates that inmates can privately report sexual abuse, sexual-harassment or retaliation by other inmates or staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment by way of reporting to a third-party, staff member, medical or SafeQuest.</p> <p>Interview with random sample of 10 inmates indicates that they can report sexual abuse, sexual harassment or retaliation privately through the SafeQuest hotline and by way of medical request slips placed in locked medical box in the housing units. Information on reporting is posted throughout the facility which outlines public and private entities and reporting availability through the SafeQuest hotline, medical, mental health, 3rd party or letter to facility commander. During the site review, the auditor observed signage posted in each housing unit, medical, intake and</p>

throughout other areas in the facility.

Interview of staff responsible for inmate mail process indicates that inmates with no funding are provided a welfare pack once a week which contains pen, paper, 2 envelopes, bar of soap, toothbrush and toothpaste.

REGULAR OUTGOING MAIL - The floor officer searches inmate mail envelopes in the inmate's presence, for contraband. Contraband is identified as anything that could hurt staff or inmates, escape information or information that could cause someone else harm. Envelope is then sealed, staff places their call-sign on the envelope along with a stamp and places in the Inmate Mail bag. Inmate Mail bag is brought to the visiting lobby to be picked up by official Postal Carrier.

CONFIDENTIAL MAIL - Inmate shows open envelope to staff for review, then places the letter in the envelope and seals in presence of officer. The officer initials envelope and puts their call-sign on the letter. Officer brings letter to visiting lobby where it is stamped "Inmate Mail" and picked up by official Postal Carrier.

INCOMING MAIL - Mail is opened and searched, scanned letter. Postage stamp is removed, then provided to the inmate.

115.51(b): The agency provides SAFEQUEST as one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency. Agency has obtained an MOU with SAFEQUEST which is in force from 2021 to 2024. Responsibilities of SAFEQUEST Solano Inc. are as follows:

- A. Provide a toll-free telephone number inmates can call to report sexual assault or harassment in Sheriff facilities. Installation of the telephone lines at Sheriff facilities will be at Sheriff's expense.
- B. Contact the appropriate Sheriff Facility Commander when a report of sexual assault/harassment is made to them.
- C. Provide Sheriff with a list of current advocates for sexual assault victims and inform the Watch Commander/Incident Commander of the name of the responding advocate.
- D. Maintain confidentiality as required by state standards for certified crisis counselors and NBMC policies and procedures.
- E. Provide any necessary follow-up appointments for counseling requested by inmates.
- F. Comply with all applicable Sheriff's facility policies and procedures as they pertain to safety, security and professional conduct including On-Site Visitation Rules and Guidelines and meet all Clearance Requirements upon entry to any Sheriff facility, as described in Exhibit B, section 10.
- G. Communicate any questions or concerns to the Sheriff PREA Coordinator.
- H. Work with the Sheriff's third party mental health staff and their multidisciplinary team to communicate any medical and mental health conditions, as appropriate, of sexual assault victims and develop individualized treatment plans for these inmates.
- I. Respond to annual inquiries by the Sheriff's contracted PREA Auditor regarding statistical and performance measures described in this agreement.

Policy 606 PREA pg 4 & Inmate Rulebook pg 34 informs inmates detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security. During the onsite audit, auditor conducted a SAFEQUEST test to ensure both the phones work inside the Stanton Facility and that SAFEQUEST responds by providing

both test by inmate phones. On 6/23/23, SAFEQUEST Advocate contacted the Stanton Facility Commander to inform him that John Doe (tester) had reached out and stated he had been sexually assaulted and could not contact anyone and wanted to seek aid and possible testing. Auditor was provided copy of the notification as recieved by the Facility Commander.

Agency never houses inmates detained solely for civil immigration, however, consulate-general addresses in San Francisco is available on page 33 of the inmate handbook.

Interview with random sample of 10 inmates indicates that inmates can report sexual abuse or sexual harassment through an Officer, medical request slips and SafeQuest hotline. Inmates are aware they are able to make a report anonymously.

Interview with PREA Compliance Manager indicates that silly provide at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency by using the inmate phones in the housing units to call SAFEQUEST, using the hotline *93 on the inmate phones in the housing units. PREA Posters are posted near the phones which provide narrative for access to SAFEQUEST via the hotline. *93 direct access hotline enables receipt and immediate transmission of inmate reports of sexual abuse and sexual harassment to agency officials that allow the inmate to remain anonymous upon request by way of reporting to a SAFEQUEST advocate.

115.51(c): Policy 606 PREA mandates that Inmates may report incidents anonymously or to any member they choose. In addition, they may report member neglect or violation of responsibilities that may have contributed to sexual abuse, sexual harassment or retaliation. Members shall accommodate all inmate requests to report such allegations. Members shall accept reports made verbally, in writing, anonymously, or from third parties and shall promptly document all verbal reports immediately or when safe to do so as outlined in Policy 606 PREA pg 5 (28 CFR 115.51; 15 CCR 1029).

Threats or allegations of sexual abuse, sexual harassment, or retaliation, regardless of the source, shall be documented and referred for investigation. Reports shall only be made available to those who have a legitimate need to know, and in accordance with this policy and applicable law (28 CFR 115.61).

During intake the Office shall notify all inmates of the zero-tolerance policy regarding sexual abuse and sexual harassment, and of at least one way to report abuse or harassment to a public or private entity that is not part of the Office and that is able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to office officials. This allows the inmate to remain anonymous (28 CFR 115.32; 28 CFR 115.51).

Interview with random sample of 10 staff indicates that alleges, sexual abuse or sexual harassment, here she can do so, verbally, in writing, anonymously, and from third parties. The report is documented upon receipt of verbal report.

Interview with random sample of 10 inmates indicate that they are aware of making reports of sexual abuse and sexual harassment either in person or in writing.

115.51(d): Policy 606 PREA mandates that any member who becomes aware of an incident of sexual abuse, sexual harassment, or retaliation against inmates or

	<p>members shall immediately notify a supervisor, who will forward the matter to the appropriate PREA Manager and the PREA Coordinator (28 CFR 115.61). Members may also privately report sexual abuse and sexual harassment of inmates (e.g., report to the Facility Commander) (28 CFR 115.51; 15 CCR 1029). Agency reports that reporting procedures by staff can be written, verbal or contact with facility commanders. Staff are informed of private reporting options through training to Facility Commander, PREA Coordinator or PREA Compliance Manager. Interview with random sample of 10 staff indicates that they can privately report sexual abuse outside the chain of command to the PREA Compliance Manager, Lt, Captain, through SafeQuest hotline and Criminal Investigators.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.51.</p>
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115.52	Exhaustion of administrative remedies
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>115.52(a): Policy 609 PREA mandates that inmates may submit a grievance regarding an allegation of sexual abuse at any time. Third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, are permitted to assist inmates in filing such grievances and to file such grievances on behalf of inmates if the inmate agrees to have the grievance filed on his/her behalf. Staff members who receive a grievance filed by a third party on behalf of an inmate shall inquire whether the inmate wishes to have the grievance processed and shall document the inmate's decision.</p> <p>Grievances may be submitted to any staff member and need not be submitted to the member who is the subject of the complaint</p> <p>(d) Staff receiving a grievance shall forward the grievance to a supervisor. Grievances shall not be forwarded to any supervisor who is the subject of the complaint. The supervisor receiving the grievance shall take immediate action if necessary and forward the grievance to the PREA Manager and PREA Coordinator for investigation. The PREA Manager and PREA Coordinator will notify the Facility Commander.</p> <p>(e) The PREA Manager shall ensure that grievances related to sexual abuse are investigated and resolved within 90 days of the initial filing. The PREA Coordinator may grant an extension of _up to 70 days if reasonable to make an appropriate decision. If an extension is granted, the inmate shall be notified and provided a date by which a decision will be made.</p> <p>(f) At any level of the process, including the appeal, if the inmate does not receive a response within the allotted time, including any properly noticed extension, the</p>

inmate may consider the absence of a response to be a denial at that level.

(g) Inmates may be disciplined for filing a false grievance.

115.52(b): Policy 609 PREA mandates that inmates may submit a grievance regarding an allegation of sexual abuse at any time. Third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, are permitted to assist inmates in filing such grievances and to file such grievances on behalf of inmates if the inmate agrees to have the grievance filed on his/her behalf. Staff members who receive a grievance filed by a third party on behalf of an inmate shall inquire whether the inmate wishes to have the grievance processed and shall document the inmate's decision.

Grievances may be submitted to any staff member and need not be submitted to the member who is the subject of the complaint.

(d) Staff receiving a grievance shall forward the grievance to a supervisor. Grievances shall not be forwarded to any supervisor who is the subject of the complaint. The supervisor receiving the grievance shall take immediate action if necessary and forward the grievance to the PREA Manager and PREA Coordinator for investigation. The PREA Manager and PREA Coordinator will notify the Facility Commander.

(e) The PREA Manager shall ensure that grievances related to sexual abuse are investigated and resolved within 90 days of the initial filing. The PREA Coordinator may grant an extension of up to 70 days if reasonable to make an appropriate decision. If an extension is granted, the inmate shall be notified and provided a date by which a decision will be made.

(f) At any level of the process, including the appeal, if the inmate does not receive a response within the allotted time, including any properly noticed extension, the inmate may consider the absence of a response to be a denial at that level.

(g) Inmates may be disciplined for filing a false grievance.

Agency policy does not require an inmate to use an informal grievance process, or otherwise to attempt to resolve with staff, an alleged incident of sexual abuse. Grievance lock boxes are available in each housing unit and the Shift Watch Sergeant or above has access to the lock box. Documents in Lock boxes are retrieved each shift for processing.

115.52(c): Policy 609 PREA pgs 2 & 4 mandates that grievances may be submitted to any staff member and need not be submitted to the member who is the subject of the complaint. Staff receiving a grievance shall forward the grievance to a supervisor. Grievances shall not be forwarded to any supervisor who is the subject of the complaint.

115.52(d): Policy 609 PREA mandates that the PREA Manager shall ensure that grievances related to sexual abuse are investigated and resolved within 90 days of the initial filing. The PREA Coordinator may grant an extension of up to 70 days if reasonable to make an appropriate decision. If an extension is granted, the inmate shall be notified and provided a date by which a decision will be made. In the past 12 months 3 grievances were filed that alleged sexual abuse. In the past 12 months, 3 grievances alleging sexual abuse that reached final decision within 90 days after being filed. No grievance took longer than a 70 day extension to resolve. The agency always notifies an inmate in writing when the agency files for an extension, including

notice of the date by which a decision will be made.

Interview with inmates who reported sexual abuse indicates that Agency provided quick notification regarding report decisions. I was told in a timely manner regarding decision or outcome of the case.

115.52(e): Policy 609 PREA pg 4 mandates that third parties,-including fellow inmates, staff members, family members, attorneys, and outside advocates, are permitted to assist inmates in filing such grievances and to file such grievances on behalf of inmates if the inmate agrees to have the grievance filed on his/her behalf. Staff members who receive a grievance filed by a third party on behalf of an inmate shall inquire whether the inmate wishes to have the grievance processed and shall document the inmate's decision. Sheriff's PREA Website (available to the public) states "Inmates experiencing sexual abuse or harassment may report the behavior to any correctional officer, deputy or civilian staff at their facility, or to a third party, such as friend or family member, attorney or advocate, who may make the report for them, or by calling the sexual assault hotline at SafeQuest Solano at 866-487-7233." There are no grievances alleging sexual abuse filed by inmates in the past 12 months in which the inmate declined third-party assistance, containing documentation of the inmate's decision to decline.

115.52(f): Policy 609 PREA mandates that Any inmate who believes he/she or any other inmate is in substantial risk of imminent sexual abuse may file an emergency grievance with any member. The member shall notify a supervisor who shall determine whether immediate action is reasonably necessary to protect the inmate and shall provide an initial response within 48 hours.

The supervisor shall refer the grievance to the PREA Manager and PREA Coordinator, who will investigate and issue a final decision within five calendar days. Upon receipt of the emergency grievance, the PREA Manager and PREA Coordinator shall notify the Facility Commander.

The initial response and final decision shall be documented and shall include a determination whether the inmate is in substantial risk of imminent sexual abuse and identify actions taken in response to the emergency grievance (28 CFR 115.52).

Agency reports that 1 emergency grievances alleging substantial risk of imminent sexual abuse were filed in the past 12 months. Agency reports that no grievances alleging substantial risk of imminent sexual abuse were filed in the past 12 months that reached final decisions within 5 days.

115.52(g): Policy 609 PREA mandates that Inmates shall use the grievance process only for legitimate problems or complaints. If there is concern that an inmate is abusing the grievance process, he/she shall be informed that continued behavior may result in disciplinary action. Inmates may be disciplined for filing a false grievance.

Agency reports in the past 12 months one inmate grievances alleging sexual abuse that resulted in disciplinary action by the agency against the inmate for having filed the grievance in bad faith. Agency provided auditor with copy of the PREA Investigation Report and accompanying letter written by the inmate. The investigation was deemed unfounded as it was determined the inmate filed a false complaint.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.52(e) and corrective action is required.

Corrective Action Recommended:

115.52(e): No PREA Posters available in Video Monitoring for visitors

1. Agency to provide PREA posters that include 3rd Party Reporting information and post in the Visiting Video Monitoring room for immediate public access
2. Auditor to conduct 3rd Party test.

Corrective Action Completion 8/4/23:

1. 115.52(e): Agency provided photos of 3rd party PREA poster and television in lobby entrance which plays PREA "What You Need to Know" video. Second photo includes 3rd Party poster in the video kiosk room for visitors to meet with inmates. Both areas have immediate public access.
2. On 8/1/23, auditor conducted a 3rd Party test through SAFEQUEST by way of the phone contact identified in the 3rd Party PREA poster located in both the entrance lobby and the visiting kiosk room. The number was answered by a service who asked my name and the purpose of the call. When auditor identified himself and purpose being a 3rd Party test, he was referred to the Advocate Director who refers 3rd Party communication to the Agency. I provided the Advocate Director with the purpose of the call and contact number in the event of return call if needed. He indicated that he would immediately contact the Stanton Facility Commander's Office to refer the call for action. Auditor contacted the PREA Coordinator and was informed on 8/3/23 that no call was received. Auditor contacted the Advocate Director the same day and was informed his call to the Facility Commander went to voicemail and he left a voicemail message to indicate a 3rd Party test call from SAFEQUEST was initiated by the auditor and requests response to verify his call was recieved.
8/4/23, received call from PREA Coordinator to verify that on 8/2/23, the Stanton Facility Commander received 3rd Party test call from SAFEQUEST initiated by auditor to indicate that inmate's sister reported that her brother was being sexually abused and wanted to report it.
The Facility Commander was off duty when call was made & returned to work today. He returned the call left on voicemail from the Advocate Director & called the auditor to provide verification that the test was successfully completed.

The agency/facility has met the requirements of Standard provision(s) 115.52(e), completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.52.

115.53	Inmate access to outside confidential support services
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.53(a): Policy 606 PREA mandates that A victim advocate from a rape crisis center should be made available to the victim. If a rape crisis center is not available, the Office shall make available a qualified member of a community-based organization, or a qualified health care or mental health professional from the Office, to provide victim advocate services. Efforts to secure services from a rape crisis center shall be documented. A rape crisis center refers to an entity that provides intervention and related assistance, such as the services specified in (34 USC§ 12511 (b)(2)(C), to sexual assault victims of all ages. A rape crisis center that is part of a government unit may be used if it is not part of the criminal justice system (such as a law enforcement agency) and it offers a level of confidentiality comparable to the level at a nongovernmental entity that provides similar victim services (28 CFR 115.21).</p> <p>Inmate Rulebook pg 31 outlines the different levels of emotional support and advocacy available to inmates. PREA Posters both in English & Spanish have been posted in all housing units and throughout the facility. PREA Brochures are provided to inmates during intake and are in both English and Spanish. The facility provides inmates with access to such services by giving inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for immigrant services agencies for persons detained solely for civil immigration purposes. This information is located on pg 33 in the Inmate Rulebook and page 34 in the electronic version of the Rule Book which can be accessed by the inmates through an IPAD available to all inmates. The facility provides inmates with access to such services by enabling reasonable communication between inmates and these organizations in as confidential a manner as possible on pg 31 of the Inmate Rulebook.</p> <p>During the onsite audit, auditor conducted a SAFEQUEST test to ensure both the phones work inside the Stanton Facility and that SAFEQUEST responds by providing both test by inmate phones. On 6/23/23, SAFEQUEST Advocate contacted the Stanton Facility Commander to inform him that John Doe (tester) had reached out and stated he had been sexually assaulted and could not contact anyone and wanted to seek aid and possible testing. Auditor was provided copy of the notification as recieved by the Facility Commander.</p> <p>Interview with random sample of 10 inmates indicates that they can report sexual abuse, sexual harassment or retaliation privately through the SafeQuest hotline and by way of medical request slips placed in locked medical box in the housing units which only medical staff have access to.</p> <p>Information on reporting is posted throughout the facility which outlines public and private entities and reporting availability through the SafeQuest hotline, medical, mental health, 3rd party or letter to facility commander. During the site review, the auditor observed signage posted in each housing unit, medical, intake and throughout other areas in the facility.</p> <p>Interview of staff responsible for inmate mail process indicates that inmates with no</p>

funding are provided a welfare pack once a week which contains pen, paper, 2 envelopes, bar of soap, toothbrush and toothpaste.

REGULAR OUTGOING MAIL - The floor officer searches inmate mail envelopes in the inmate's presence, for contraband. Contraband is identified as anything that could hurt staff or inmates, escape information or information that could cause someone else harm. Envelope is then sealed, staff places their call-sign on the envelope along with a stamp and places in the Inmate Mail bag. Inmate Mail bag is brought to the visiting lobby to be picked up by official Postal Carrier.

CONFIDENTIAL MAIL - Inmate shows open envelope to staff for review, then places the letter in the envelope and seals in presence of officer. The officer initials envelope and puts their call-sign on the letter. Officer brings letter to visiting lobby where it is stamped "Inmate Mail" and picked up by official Postal Carrier.

INCOMING MAIL - Mail is opened and searched, scanned letter. Postage stamp is removed, then provided to the inmate.

115.53(b): Agency indicates that The facility informs inmates, prior to giving them access to outside support services, the extent to which such communications will be monitored. This information is in the Brochure provided to inmates at intake, the Inmate Rulebook and located on IPAD Tablets and PREA posters located throughout the facility.

Interview with random sample of 10 inmates indicates that majority of inmates interviewed indicate they are aware that they are allowed to make a report of sexual abuse and sexual harassment anonymously and either through person or in writing.

A small number know that private outside services Agency has provided to report are private.

Interview with 3 inmates who reported sexual abuse indicate that most communication regarding sexual abuse and sexual harassment is confidential based on information provided in the PREA posters posted throughout the facility and in the housing units.

115.53(c): Agency maintains a current SafeQuest MOU 2021 - 2024 to provide emotional support services related to sexual abuse. Auditor tested hotline access in multiple phones throughout the facility. Hotline worked using *93, number answered by operator who connects to SafeQuest advocate. Advocate was interviewed and indicated that the answering service routes phone calls to the available advocates and are not to ask questions of the caller regarding purpose. SafeQuest responds to anonymous callers and provides emotional support and reporting sexual abuse, sexual harassment or retaliation to the jail if agreed to by the caller. PREA posters with access phone hotline, rule book with contact information and information on reporting guidance is available to inmates and 3rd parties.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.53.

115.54	Third-party reporting
	<p data-bbox="256 188 959 221">Auditor Overall Determination: Meets Standard</p> <hr/> <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 340 1461 456">115.54(a): The Agency or facility provides a method to receive third-party reports of inmate sexual abuse or sexual harassment through their website, PREA signs and Inmate Rule Book. Website: https://www.solanocounty.com/depts/sheriff/prea.asp.</p> <p data-bbox="256 499 480 533"><u>CONCLUSION:</u></p> <p data-bbox="256 575 1401 654">Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.54(a) and corrective action is required.</p> <p data-bbox="256 763 788 797">Corrective Action Recommended:</p> <p data-bbox="256 840 1461 994">115.54(a): Agency provided photos of 3rd party PREA poster and television in lobby entrance which plays PREA "What You Need to Know" video. Second photo includes 3rd Party poster in the video kiosk room for visitors to meet with inmates. Both areas have immediate public access.</p> <p data-bbox="256 1037 1067 1070">No PREA Posters available in Video Monitoring for visitors</p> <ol data-bbox="256 1113 1461 1254" style="list-style-type: none"> 1. Agency to provide PREA posters that include 3rd Party Reporting information and post in the Visiting Video Monitoring room for immediate public access 2. Auditor to conduct 3rd Party test. <p data-bbox="256 1364 855 1397">Corrective Action Completion 8/4/23 :</p> <p data-bbox="256 1440 1430 1594">115.54(a): 1. Agency provided photos of 3rd party PREA poster and television in lobby entrance which plays PREA "What You Need to Know" video. Second photo includes 3rd Party poster in the video kiosk room for visitors to meet with inmates. Both areas have immediate public access.</p> <p data-bbox="256 1637 1474 2085">2. On 8/1/23, auditor conducted a 3rd Party test through SAFEQUEST by way of the phone contact identified in the 3rd Party PREA poster located in both the entrance lobby and the visiting kiosk room. The number was answered by a service who asked my name and the purpose of the call. When auditor identified himself and purpose being a 3rd Party test, he was referred to the Advocate Director who refers 3rd Party communication to the Agency. I provided the Advocate Director with the purpose of the call and contact number in the event of return call if needed. He indicated that he would immediately contact the Stanton Facility Commander's Office to refer the call for action. Auditor contacted the PREA Coordinator and was informed on 8/3/23 that no call was received. Auditor contacted the Advocate Director the same day and was informed his call to the Facility Commander went to voicemail and he left a</p>

	<p>voicemail message to indicate a 3rd Party test call from SAFEQUEST was initiated by the auditor and requests response to verify his call was recieved.</p> <p>8/4/23, received call from PREA Coordinator to verify that on 8/2/23, the Stanton Facility Commander received 3rd Party test call from SAFEQUEST initiated by auditor to indicate that inmate's sister reported that her brother was being sexually abused and wanted to report it.</p> <p>The Facility Commander was off duty when call was made & returned to work today. He returned the call left on voicemail from the Advocate Director & called the auditor to provide verification that the test was successfully completed.</p> <p>The agency/facility has met the requirements of Standard provision(s) 115.54, completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.54.</p>
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115.61	Staff and agency reporting duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.61(a): Policy 606 PREA pg 5 mandates that inmates may report incidents anonymously or to any member they choose. In addition, they may report member neglect or violation of responsibilities that may have contributed to sexual abuse, sexual harassment or retaliation. any member who becomes aware of an incident of sexual abuse, sexual harassment, or retaliation against inmates or members shall immediately notify a supervisor, who will forward the matter to the appropriate PREA Manager and the PREA Coordinator (28 CFR 115.61). Members may also privately report sexual abuse and sexual harassment of inmates (e.g., report to the Facility Commander) (28 CFR 115.51; 15 CCR 1029). Inmates may report incidents anonymously or to any member they choose. In addition, they may report member neglect or violation of responsibilities that may have contributed to sexual abuse, sexual harassment or retaliation.</p> <p>If there is an allegation that an inmate was sexually abused while he/she was confined at another facility, the Facility Commander shall notify the head of that facility as soon as possible but not later than 72 hours after receiving the allegation. The Facility Commander shall ensure that the notification has been documented (28 CFR 115.63).</p> <p>Interview with random sample of 10 staff indicates that knowledge of requirements and policy where staff is mandated to Report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, retaliation against inmates or staff, who reported such an incident, and any neglect, or violaton of responsibilities that may have contributed to an incident of or retaliation.</p>

115.61(b): Policy 606 PREA pg 5 mandates that reports shall only be made available to those who have a legitimate need to know, and in accordance with this policy and applicable law (28 CFR 115.61).

Interview with random sample of 10 staff indicates that yes, requires all staff to report any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in the facility, retaliation against inmates, or staff reported such an instant, and any new staff, neglect or violation of responsibilities that may have contributed to an incident or retaliation. The agency/facility, policy or procedure for reporting any information related to an inmate sexual abuse is to the immediate supervisor.

115.61(c): Policy 606 PREA mandates that medical and mental health practitioners shall ensure that information related to sexual victimization that occurred in an institutional setting is limited to medical and mental health practitioners and other members as necessary to inform about security or management decisions (28 CFR 115.81).

Interview with medical and mental health staff indicates that the initiation of services, medical and mental health staff disclose limitations of confidentiality and their duty to report. Medical and mental health staff are mandated reporters. Should the staff become aware of such incidents, they are to conduct a welfare check, safety assessment and followup services of inmates.

115.61(d): Policy 606 PREA mandates that the health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

Agency does not house persons under 18 years of age, however, should a victim occur under age of 18 years, Child Protection Services (CPS) is notified.

Interview with Facility Commander and PREA Coordinator indicates that response to an allegation of sexual abuse or sexual harassment is made by someone under the age of 18 or someone considered vulnerable adult under state or local law, However Child Protective Services (CPS) and Adult Protective Services are notified. No one is housed at Solano County Jail facilities under the age of 18.

115.61(e): Policy 606 PREA mandates that the facility shall provide information to all visitors or third parties on how they may report any incident, or suspected incident of sexual abuse, or sexual harassment to a member (28 CFR 115.54; 15 CCR 1029).

Threats or allegations of sexual abuse, sexual harassment, or retaliation, regardless of the source, shall be documented and referred for investigation.

Interview with the Facility Commander indicates that all allegations of sexual abuse and sexual harassment are reported directly to the designated facility investigators through the PREA Coordinator.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.61.

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115.62	Agency protection duties
	Auditor Overall Determination: Meets Standard
	<p data-bbox="256 510 544 544">Auditor Discussion</p> <p data-bbox="256 584 1477 992">115.62(a): Policy 608 PREA mandates that the agency has zero tolerance with regard to sexual abuse and sexual harassment in this facility. This office will take appropriate affirmative measures to protect all inmates from sexual abuse and harassment, and promptly and thoroughly investigate all allegations of sexual abuse and sexual harassment. Agency has developed a written plan to coordinate response among staff first responders, medical and mental health practitioners, investigators, and facility management to an incident of sexual abuse. The plan must also outline the Office's approach to identifying imminent sexual abuse toward inmates and preventing and detecting such incidents (28 CFR 115.11; 28 CFR 115.65; 28 CFR 115.62).</p> <p data-bbox="256 1003 1477 1077">Agency states that In the past 12 months, no inmate within the agency or facility was found to be a subject to a substantial risk of imminent sexual abuse.</p> <p data-bbox="256 1088 1477 1283">Interview with Agency Head designee indicates that inmate is subject to a substantial risk of him and sexual abuse. The protective action that we should be taken is ensuring that the end made it safe from that thread. Move the inmate victim, and re-house, if warranted, or move the perpetrator and ensure a keep-away status is documented.</p> <p data-bbox="256 1294 1461 1413">Interview with Facility Commander indicates that wheelers in the meat is subject to substantial risk of sexual abuse, protective action facility takes is rehousing of them, and meet your classification to enhance in the inmate's safety.</p> <p data-bbox="256 1424 1461 1581">Interview with a random sample of 10 staff indicates all staff are required to remove victim from the threat, contact immediate supervisor, inform Classification to recommend rehousing victim and/or perpetrator pending outcome of the investigation.</p> <p data-bbox="256 1619 480 1653">CONCLUSION:</p> <p data-bbox="256 1704 1358 1778">Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.62.</p>

115.63	Reporting to other confinement facilities
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

115.63(a): Policy 606 PREA mandates that if there is an allegation that an inmate was sexually abused while he/she was confined at another facility, the Facility Commander shall notify the head of that facility as soon as possible but not later than 72 hours after receiving the allegation. The Facility Commander shall ensure that the notification has been documented (28 CFR 115.63).

Agency reports that in the past 12 months, the facility has not received any notices that an inmate was abused while confined at another facility.

115.63(b): Policy 606 PREA mandates that if there is an allegation that an inmate was sexually abused while he/she was confined at another facility, the Facility Commander shall notify the head of that facility as soon as possible but not later than 72 hours after receiving the allegation. The Facility Commander shall ensure that the notification has been documented (28 CFR 115.63).

115.63(c): Policy 606 PREA mandates that if there is an allegation that an inmate was sexually abused while he/she was confined at another facility, the Facility Commander shall notify the head of that facility as soon as possible but not later than 72 hours after receiving the allegation. The Facility Commander shall ensure that the notification has been documented (28 CFR 115.63).

115.63(d): Policy 606 PREA mandates that the agency has zero tolerance with regard to sexual abuse and sexual harassment in this facility. This office will take appropriate affirmative measures to protect all inmates from sexual abuse and harassment, and promptly and thoroughly investigate all allegations of sexual abuse and sexual harassment.

Agency reports that in the past 12 months, there have been no allegations of sexual abuse the facility received from other facilities.

Interview with Agency Head designee indicates that should another agency or facility refer allegations of sexual abuse or sexual harassment that occurred within one of our facilities, the designated point of contact is the PREA Coordinator. When we receive such allegation, we obtain the information provided or collected, investigate the allegation to determine whether the claim can be substantiated, unsubstantiated or unfounded.

Interview with Facility Commander indicates that there are no examples of another facility or agency reporting such allegations over the past 12 months.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.63.

115.64	Staff first responder duties
	Auditor Overall Determination: Meets Standard
	Auditor Discussion

115.64(a): Policy 606 PREA mandates that if an allegation of inmate sexual abuse is made, the first officer to respond shall (28 CFR 115.64):

(a) Separate the parties.

(b) Request medical assistance as appropriate. If no qualified health care or mental health

(c) Establish a crime scene to preserve and protect any evidence. Identify and secure witnesses until steps can be taken to collect any evidence.

(d) If the time period allows for collection of physical evidence, request that the alleged victim, and ensure that the alleged abuser, do not take any actions that could destroy physical evidence (e.g., washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, eating).

(e) Consider whether a change in classification or housing assignment for the victim is needed or whether witnesses to the incident need protection, both of which may include reassignment of housing.

(f) Determine whether the alleged perpetrator should be administratively segregated or administratively transferred during the investigation.

Agency reports that in the past 12 months, no allegations that an inmate was sexually abused were reported. Review by auditor determined that 2 cases were sexual abuse allegations. Of the two, one "Staff on Inmate" investigation was determined to be unfounded and the inmate was provided written notification as to the outcome of the investigation. The other case, "Inmate on Inmate", was determined to be unsubstantiated during the closeout of the investigation and the Investigative Review Board (IRB) came to the same conclusion. The inmate was provided and signed the notification acknowledging receipt of the case disposition. Interview with security & non-security staff first responders indicates that the 1st Responder Protocol for both security and non-security staff is utilized. In addition both staff types carry the 1st Responder cards when on duty and provided them to the auditor when asked.

Interview with inmates who reported sexual abuse indicates that response to sexual abuse allegation arrived ASAP! Upon arrival, staff immediately separated me from my cell mate.

115.64(b): Policy 606 PREA mandates that if the first responder is not a correctional officer, the responder shall request the alleged victim to refrain from any actions that could destroy physical evidence and then immediately notify a correctional officer.

Agency reports that there were no instances where a non-security staff member was the first responder and no allegations where the allegation was responded to first by a non-security staff member, the number of times that staff member requested that the alleged victim not take any actions that could destroy physical evidence.

Interview with security & non-security staff first responders indicates that the 1st Responder Protocol for both security and non-security staff is utilized. In addition, both staff types carry the 1st Responder cards when on duty and provided them to the auditor when asked.

Interview with random sample of custody staff indicates 1st Responder Protocol is as follows:

- Separate victim & perpetrator
- Inform both victim and perpetrator not to take any actions that may destroy physical

	<p>evidence</p> <ul style="list-style-type: none"> - Place both victim & perpetrator in interview room with no access to running water - Contact Supervisor and Investigation - Seal the crime scene <p>1st Responder who is not a security staff member:</p> <ul style="list-style-type: none"> - Separates both victim and perpetrator - Inform both victim and perpetrator not to take any actions that may destroy physical evidence - Keep both victim and perpetrator under staff supervision - Contact immediate supervision <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.64.</p>
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115.65	Coordinated response
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.65: Policy 606 PREA mandates that the agency has zero tolerance with regard to sexual abuse and sexual harassment in this facility. This office will take appropriate affirmative measures to protect all inmates from sexual abuse and harassment, and promptly and thoroughly investigate all allegations of sexual abuse and sexual harassment.</p> <p>Agency provided auditor with copy of their Coordinated Response Protocol July 2018 which provides the 1st Responder Protocol, responses from the Facility PREA Manager, CFMG, Shift Sergeant, Patrol, Criminal Investigators, SafeQuest for advocacy, Solano Sane/Sart at Northbay Medical Center.</p> <p>Interview with Facility Commander indicates that each facility has their own Coordinated Response Protocol.</p> <p>Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.65(a) and corrective action is required.</p> <p><u>Corrective Action Recommended:</u></p> <p>115.65(a): The current Coordinated Response Protocol of July 2018 does not meet the PREA Standard requirement as it does not identify each separate facility per</p>

	<p>Standards in Focus 115.65 (5.5.23).</p> <ol style="list-style-type: none"> 1. Agency shall amend narrative within the Coordinated Response Protocol to ensure each facility is identified within each Plan with their own separate Coordinated Response Protocol. 2. Agency to coordinate with auditor to accomplish compliance with Standard provision 115.65(a) <p><u>Corrective Action Completion 8/17/23:</u></p> <p>115.65(a): 8/17/23 - Agency provided auditor with copies of Coordinated Response Protocols for each of the Solano County Jail facilities Justice Center Detention Facility (JCDF) Stanton Correctional Facility (SCF) Claybank Detention Facility (CDF)</p> <p>The agency/facility has met the requirements of Standard provision(s) 115.65(a), completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.65.</p>
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115.66	Preservation of ability to protect inmates from contact with abusers
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.66(a): Agency has provided auditor with the Correctional Supervisors 2022 - 2025 MOU Unit 14. pdf and Correctional Officers 2022 - 2025 MOU Unit 13 , Solano County Deputy Sheriff's Association 2022 - 2025 MOU UNIT 4, Solano County Deputy Sheriff's Association 2022 - 2025 MOU UNIT 3. There is no narrative in either of the Collective Bargaining agreements that govern:</p> <ol style="list-style-type: none"> (1) The conduct of the disciplinary process, as long as such agreements are not inconsistent with the provisions of §§ 115.72 and 115.76; or (2) Whether a no-contact assignment that is imposed pending the outcome of an investigation shall be expunged from or retained in the staff member's personnel file following a determination that the allegation of sexual abuse is not substantiated. <p>Interview with Agency Head designee indicates that Solano County Jail is entered into or renewed the collective bargaining agreements since August 20, 2012. All agreements are up-to-date for unit 13 MOU for MOU unit 14 MOU and unit 3 MOU. Auditor has verified the veracity of these agreements as mandated by Standard</p>

	<p>provision 115.66(a).</p> <p>115.66(b): Auditor is not required to audit this provision.</p> <p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.66.</p>
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115.67	Agency protection against retaliation
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.67(a): Policy 606 PREA mandates that All inmates and members who report sexual abuse or sexual harassment, or who cooperate with sexual abuse or sexual harassment investigations, shall be protected from retaliation. Protective measures, including housing changes, transfers, removal of alleged abusers from contact with victims, administrative reassignment, or reassignment of the victim or alleged perpetrator to another housing area, and support services for inmates or members who fear retaliation, shall be utilized (28 CFR 115.67; 15 CCR 1029). If any other individual who cooperates with an investigation expresses a fear of retaliation, the facility shall take reasonable measures to protect that individual against retaliation (28 CFR 115.67). Agency has designated three custody staff members assigned to monitor for possible retaliation within each facility.</p> <p>115.67(b): Policy 606 PREA mandates that Protective measures, including housing changes, transfers, removal of alleged abusers from contact with victims, administrative reassignment, or reassignment of the victim or alleged perpetrator to another housing area, and support services for inmates or members who fear retaliation, shall be utilized (28 CFR 115.67; 15 CCR 1029). If any other individual who cooperates with an investigation expresses a fear of retaliation, the facility shall take reasonable measures to protect that individual against retaliation (28 CFR 115.67). Agency reports there have been no instances of retaliation occurring in the past 12 months.</p> <p>Interview with Agency Head designee indicates that inmates are protected from retaliation for sexual abuse ore. sexual harassment allegations by the Agency conducting initial assessment of complaint. Ensure the victim is safe, ensure no contact with the perpetrator and investigate. Move the suspect or perpetrator away from the victim and monitor for retaliation for 90 days or longer.</p> <p>Interview with Facility Commander indicates that the different measures he takes to protect inmates and staff from retaliation includes monitoring the victim for at least 90 days, document monitoring, conduct staff interviews. Conduct housing changes and transfer for inmate victim when and if needed. Conduct movement to different</p>

positions for staff victims.

Interview with Designated Staff Member Charged with Monitoring Retaliation indicates that the role he plays in preventing retaliation against inmates and staff report sexual abuse or sexual harassment or who cooperate with sexual abuse or sexual harassment investigations includes retaliation monitoring to ensure no retaliation by other staff or inmates occur. Retaliation, follow up with 90-day monitoring, remove staff from assignment if they are involved in the retaliation, move inmate to another cell, housing unit, or facility to alleviate retaliation. Conduct investigation regarding retaliation issues. Review inmate files for write-ups or disciplinaries and monitor staff for retaliation from other staff and conduct a movement schedule review. I make contact with inmates initially and followup with inmate file review, face to face only if suspicion of increased or continuing retaliation is identified.

No inmates were housed in Segregated Housing at Stanton Facility so no interviews were conducted.

Inmates who Reported a Sexual Abuse indicated he feels protected enough against possible revenge from staff or other inmates because he reported what happened to it.

115.67(c): Policy 606 PREA mandates that The Facility Commander or the authorized designee shall assign a supervisor to monitor, for at least 90 days, the conduct and treatment of inmates or members who report sexual abuse or sexual harassment, as well as inmates who were reported to have suffered sexual abuse, to determine if there is any possible retaliation. The supervisor shall act promptly to remedy any such retaliation. The assigned supervisor should consider inmate disciplinary reports, housing or program changes, negative member performance reviews, or reassignment of members. Monitoring may continue beyond 90 days if needed. Inmate monitoring shall also include periodic status checks. The Facility Commander should take reasonable steps to limit the number of people with access to the names of individuals being monitored and should make reasonable efforts to ensure that members who pose a threat of retaliation are not entrusted with monitoring responsibilities.

Agency reports there have been no instances of retaliation occurring in the past 12 months.

Interview with Facility Commander indicates that the different measures he takes to protect inmates and staff from retaliation includes monitoring the victim for at least 90 days, document monitoring, conduct staff interviews. Conduct housing changes and transfer for inmate victim when and if needed. Conduct movement to different positions for staff victims.

Interview with Designated Staff Member Charged with Monitoring Retaliation indicates that in order to monitor retaliation, reviews the inmate file and writeups. If monitoring involves staff, he reviews the staff schedule and workplace moves. He conducts face-to-face meetings when monitoring. Inmates and staff are monitored until it is no longer needed or released from custody. Should monitoring need to be extended, it could be continued indefinitely or until release from custody.

115.67(d): Policy 606 PREA mandates that The Facility Commander or the authorized designee shall assign a supervisor to monitor, for at least 90 days, the conduct and

	<p>treatment of inmates or members who report sexual abuse or sexual harassment, as well as inmates who were reported to have suffered sexual abuse, to determine if there is any possible retaliation. The supervisor shall act promptly to remedy any such retaliation. The assigned supervisor should consider inmate disciplinary reports, housing or program changes, negative member performance reviews, or reassignment of members. Monitoring may continue beyond 90 days if needed. Inmate monitoring shall also include periodic status checks. The Facility Commander should take reasonable steps to limit the number of people with access to the names of individuals being monitored and should make reasonable efforts to ensure that members who pose a threat of retaliation are not entrusted with monitoring responsibilities.</p> <p>Interview with Designated Staff Member Charged with Monitoring Retaliation indicates that in order to monitor retaliation, reviews the inmate file and writeups. If monitoring involves staff, he reviews the staff schedule and workplace moves. He conducts face-to-face meetings when monitoring.</p> <p>115.67(e): Policy 606 PREA mandates that If any other individual who cooperates with an investigation expresses a fear of retaliation, the facility shall take reasonable measures to protect that individual against retaliation (28 CFR 115.67). Interview with Agency Head designee indicates that anyone who cooperates with an investigation expresses fear of retaliation, the agency takes measures to protect that inmate against retaliation by placing the victim in a safe location or rehouse. And continue a 90-day monitoring program or longer if required.</p> <p>Interview with Facility Commander indicates that measures taken to protect inmates and staff from retaliation include anonymous reporting handling confidential manners, investigations of discipline and counseling, rehousing of inmates, movement of staff, changing facilities, relocation pending investigation.</p> <p>115.67(f): Agency is not required to audit this provision.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.67.</p>
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115.68	Post-allegation protective custody
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.68(a): Policy 18.001 PREA mandates that inmates at high risk for sexual victimization shall not be placed in involuntary separated housing unless an

assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers. Inmates may be held in involuntary protective custody for less than 24 hours while an assessment is completed.

Agency reports that no inmates who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment. No inmates who allege to have suffered sexual abuse who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement. Agency states that if an involuntary segregated housing assignment is made, the facility affords each such inmate a review every 30 days to determine whether there is a continuing need for separation from the general population.

Interview with Facility Commander indicates that inmates are placed in the best housing available to allow them to access programming. Agency prohibits placing inmates at high risk for sexual victimization or who have alleged sexual abuse in involuntary segregated housing in lieu of other housing areas, unless an assessment has determined there are no available alternative means of separation from potential abusers. Inmates for high risk for sexual victimization are screened no more than 7 days as an Administrative Segregated housing placement or no more than 30 days in general housing placement.

Interview with staff who supervises inmates in Segregated Housing indicates that when inmates are placed in segregated housing for protection from sexual abuse or after having alleged sexual abuse, they have access to the following programs (to the extent possible):

- Programs
- Privileges
- Education
- Work Opportunities (MOD Workers)

Should the facility restrict access to programs, privileges, education or work opportunities, the restriction is documented to identify the opportunities that have been limited, duration of the limitations and reasons for such limitations. These types of limitations are only restricted for disciplinary reasons. Inmates may be placed in involuntary segregated housing only until alternative means of separation from likely abusers can be arranged. The facility does not separate victim from the abuser, the abuser is always removed pending investigation and inmate is reviewed every 7 days on Ad-Sep status.

The Stanton Facility has no Segregated Housing. Housing is identified as Administrative Segregation or General Housing. Inmates who have suffered sexual abuse are subject to the requirements of Standard 115.43 Protective Custody and have access to programs, privileges, education or work opportunities when available.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.68(a).

115.71	Criminal and administrative agency investigations
	<p data-bbox="256 188 959 221">Auditor Overall Determination: Meets Standard</p> <p data-bbox="256 264 544 297">Auditor Discussion</p> <p data-bbox="256 340 1477 999">115.71(a): Policy 606 PREA mandates that an administrative investigation, criminal investigation or both shall be completed for all allegations of sexual abuse and sexual harassment (28 CFR 115.22). Administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71). Agency provided auditor with copies of the NIC PREA Investigation of Sexual Abuse in a Confinement Setting course certificates of completion for 129 investigators identified as assigned to investigate sexual abuse cases. Agency has trained all deputies in the National Institute of Corrections (NIC) course but do not use all deputies for the investigations. Agency provided Investigator NIC certification certificates for Standard provision 115.34(c) for the Pre-Audit Questionnaire during the Pre-Audit phase.</p> <p data-bbox="256 1010 1461 1126">Interview with investigative staff indicates that initiation of an investigation is conducted as soon as the allegation is brought to our attention. Allegations of sexual misconduct are handled as if received from the victim.</p> <p data-bbox="256 1167 1477 1532">115.71(b): Policy 606 PREA mandates that only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71). Agency provided auditor with copies of the NIC PREA Investigation of Sexual Abuse in a Confinement Setting course certificates of completion for 129 investigators identified as assigned to investigate sexual abuse cases. Agency has trained all deputies in the NIC course but do not use all deputies for the investigations. Agency provided Investigator NIC certification certificates for Standard provision 115.34(c) for the Pre-Audit Questionnaire during the Pre-Audit phase.</p> <p data-bbox="256 1543 1453 1827">Interview from investigative staff indicates that Agency provided auditor with copies of the NIC PREA Investigation of Sexual Abuse in a Confinement Setting course certificates of completion for 129 investigators identified as assigned to investigate sexual abuse cases. Interviewed investigators received training in 2020 which included interviewing techniques, Miranda and Garrity warnings, sexual abuse evidence gathering in a confinement setting and criteria and evidence required to substantiate a case for administrative or prosecution referral.</p> <p data-bbox="256 1868 1477 2067">115.71(c): Policy 606 PREA mandates that an administrative investigation, criminal investigation or both shall be completed for all allegations of sexual abuse and sexual harassment (28 CFR 115.22). Administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports</p>

that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Only investigators who have completed office-approved training on sexual abuse and sexual harassment investigation shall be assigned to investigate these cases (28 CFR 115.71).

Interview with investigative staff indicates that initial steps in conducting an investigation would be to determine if administrative or criminal investigation is to be conducted. The investigative process is conducted in the following manner:

- Receipt & review of the allegation
- Obtain medical and mental health information
- Obtain and review forensic evidence and examination data
- Conduct interviews of victim, perpetrator & witnesses to include staff
- Conduct followup investigation
- Discuss with prosecutor

115.71(d): Agency reports that when the quality of evidence appears to support criminal prosecution, the agency shall conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution.

Interview with investigative staff indicates that investigators consult with prosecutors before conducting compelled interviews if it is discovered that a prosecutable crime has been committed.

115.71(e): Policy 606 PREA mandates that all administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Inmates alleging sexual abuse shall not be required to submit to a polygraph examination or other truth-telling device as a condition for proceeding with an investigation (28 CFR 115. 71). Inmates alleging sexual abuse shall not be required to submit to a polygraph examination or other truth-telling device as a condition for proceeding with an investigation (28 CFR 115. 71).

Interview with investigative staff indicates that credibility of alleged victim, suspect or witness is judged on a case by case basis. Agency investigators do not, under any circumstances, require anyone who alleges sexual abuse to submit to a polygraph, examination or truth, telling device as a condition for proceeding with an investigation.

Interview with inmates who reported sexual abuse indicates that they were not required to take a polygraph test as a condition for proceeding with a sexual abuse investigation.

115.71(f): Policy 606 PREA mandates that administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Interview with Internal Affairs investigative staff indicates that do you ever taken during Administrative mitigation to determine whether staff actions or failures to add contributed to the sexual abuse? Includes looking at physical evidence, taking into

account witness statements, compare actions, to policy, observed person, and put against policies and regulations to make determinations of compliance. All of Ministry of investigation's are documented and written reports information includes all findings based upon interviews and physical evidence, such as videos, phone calls, etc. Internal Affairs investigators, complete the NIC course on sex abuse investigation in a confinement setting.

Interview with Internet Technology (IT) staff indicates that Intake, Classification and Medical documentation are computerized documents:

- Determined safeguards regarding access and security of gathered information
- County user has access credentials
- Permission granted levels to access specific documents
- Locked security permissions
- Security permission level settings
- Settings made by Business Units or IT, demanding on level of access needed
- Must have permission to access the system based upon specific details
- Read only for Business Access
- Electronic systems that require permissions: (ATIMS, RIMS & SHAREPOINT)

115.71(g): Policy 606 PREA mandates that administrative investigations shall include an effort to determine whether the staff's actions or inaction contributed to the abuse. All administrative and/or criminal investigations shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Interview with investigative staff indicates that all criminal investigations are documented to include property, evidence, contract information for all involved parties. It includes description of physical evidence, testimonial, and documentary evidence, as well as attached copies of documentary, evidence, and interviews.

115.71(h): Policy 606 PREA mandates that If criminal acts are identified as a result of the investigation, the case shall be presented to the appropriate prosecutor's office for filing of new charges (28 CFR 115. 71). Agency reports that no substantiated allegations of conduct that appear to be criminal were referred for prosecution since the last PREA audit which occurred in 2021.

Interview with investigative staff indicates that cases are referred for prosecution what is determined that the cases are criminal in nature.

115.71(i): Policy 606 PREA mandates that All case records and reports associated with a claim of sexual abuse and sexual harassment, including incident reports, investigative reports, offender information, case disposition, medical and counseling evaluation findings, and recommendations for post-release treatment or counseling shall be retained in accordance with confidentiality laws.

The Office shall retain all written reports from administrative and criminal investigations pursuant to this policy for as long as the alleged abuser is held or employed by the Office, plus five years (28 CFR 115.71).

Investigation documentation of both sexual assault and sexual harassment which occurred over the past 12 months was provided to the Supplemental Report by the Agency.

	<p>115.71(j): Policy 606 PREA mandates that the departure of the alleged abuser or victim from the employment or control of the facility or Office shall not provide a basis for terminating an investigation (28 CFR 115.71). Interview with investigative staff indicates that the investigative case continues when a staff member alleged to have committed sexual abuse, terminates employment prior to a continued investigation, or into his or her conduct.</p> <p>115.71(k): N/A - Auditor is not required to audit this provision.</p> <p>115.71(l): Policy 606 PREA mandates that ff the investigation is referred to another agency for investigation, the Office shall request that the investigating agency follow the requirements as provided in 28 CFR 115.21 (a) through (e). The referral shall be documented. The Office shall cooperate with the outside agency investigation and shall request to be informed about the progress of the investigation (28 CFR 115.71). Interview with Facility Commander indicates that Interview with the PREA Coordinator indicates that if an outside agency investigates allegations of sexual abuse , the agency remains informed of the progress of an investigation through cooperation by the PREA coordinator, and through a request that the outside agency keep us apprised of the progress and outcome of the investigation. Interview with the PREA Compliance Manager indicates that upside agency investigates allegations of sexual abuse. The silly remains informed of the progress of a sexual abuse investigation by way of the criminal investigative unit. Interview with Investigative Staff indicates that we cooperate with anything they need an act as liaison between the investigators and the Agency.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.71.</p>
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115.72	Evidentiary standard for administrative investigations
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.72(a): Policy 606 PREA mandates that all completed written investigations shall be forwarded to the facility PREA Manager and PREA Coordinator. The PREA Coordinator shall review the investigation and determine whether any allegations of sexual abuse or sexual harassment have been substantiated by a preponderance of the evidence (28 CFR 115. 71; 28 CFR 115. 72). Interview with investigative staff indicates that the standard of evidence required to substantiate allegations of sexual abuse and sexual harassment is preponderance of the evidence.</p>

	<p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.72.</p>
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115.73	Reporting to inmates
	Auditor Overall Determination: Exceeds Standard
	Auditor Discussion
	<p>115.73(a): Policy 606 PREA mandates that the facility PREA Manager, PREA Coordinator or the authorized designee shall inform a victim inmate in writing whether an allegation has been substantiated, unsubstantiated or unfounded. If the Office did not conduct the investigation, the Office shall request relevant information from the investigative agency in order to inform the inmate.</p> <p>Agency indicates that 9 criminal and/or administrative investigations of alleged inmate sexual abuse that were completed by the agency/facility in the past 12 months. Of the alleged sexual abuse investigations that were completed in the past 12 months, all 9 inmates were notified, verbally or in writing, of the results of the investigation.</p> <p>Interview with Facility Commander indicates that an inmate victim is notified in writing as to the outcome an allegation of sexual abuse investigation, when the allegations determine to be substantial and substantially or unfounded following review of the Investigative Review Board.</p> <p>Interview with Investigative staff indicates that the PREA Coordinator writes the notification letter to the inmate victim upon completion of the sexual abuse investigation. Auditor has discovered that Agency provides investigative notification for each inmate victim of sexual abuse and sexual harassment with regards to the determination as to the findings of the sexual abuse and sexual harassment investigations unless the inmate was released from custody prior to the completion of the investigation. This action exceeds the Standard requirement.</p> <p>Interview with inmates who reported sexual abuse indicates that inmates are aware the Agency is required to inform them regarding the outcome of a sexual investigation as substantiated, unsubstantiated or unfounded.</p> <p>115.73(b): N/A - Agency /facility is responsible for conducting administrative and criminal investigations.</p> <p>115.73(c): Policy 606 PREA mandates that If a member is the accused (unless the Office has determined that the allegation is unfounded), the inmate shall also be informed whenever:</p> <ul style="list-style-type: none"> (a) The member is no longer assigned to the inmate's unit or employed at the facility. (b) The Office learns that the member has been indicted or convicted on a charge related to sexual abuse within the facility. <p>Agency reports that there has been no substantiated or unsubstantiated complaint</p>

	<p>(i.e., not unfounded) of sexual abuse committed by a staff member against an inmate in an agency facility in the past 12 months.</p> <p>Auditor's review of cases of inmates who reported sexual abuse indicates that cases involving staff did not meet the response criteria of Standard provision 115.73(c)-3 and Agency was correct in answering no to this Standard provision. In each case the investigation of the staff members were determined to be unfounded.</p> <p>115.73(d): Policy 606 PREA mandates that If another inmate is the accused, the alleged victim shall, be notified whenever the Office learns that the alleged abuser has been indicted or convicted on a charge related to sexual abuse within the facility. All notifications or attempted notifications shall be documented. When notification is made while the inmate is in custody, the inmate will sign a copy of the notification letter. The letter will be added to the case file (28 CFR 115.73).</p> <p>Interview with inmates who reported sexual abuse indicates that he was not informed if the alleged abuser had been indicted or convicted on the sexual abuse charge. The finding of the investigation was determined to be unsubstantiated as the investigation provided insufficient evidence to corroborate the allegation of sexual abuse. The inmate victim signed the written notification acknowledging receipt of the case disposition 19 days following the date of the allegation of sexual abuse and initiation of the investigation.</p> <p>115.73(e): Policy 606 PREA mandates that all notifications or attempted notifications shall be documented. When notification is made while the inmate is in custody, the inmate will sign a copy of the notification letter. The letter will be added to the case file (28 CFR 115.73).</p> <p>Agency reports that in the past 12 months, no notifications to inmates were provided pursuant to this standard as no incidents were charged or convicted.</p> <p>115.73(f): Auditor is not required to audit this provision.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.73(f).</p>
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115.76	Disciplinary sanctions for staff
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.76(a): Policy 606 PREA mandates that members shall be subject to disciplinary sanctions, up to and including termination, for violating this policy. All discipline shall be commensurate with the nature and circumstances of the acts committed, the member's disciplinary history, and the sanctions imposed for comparable offenses by</p>

	<p>other members with similar histories.</p> <p>115.76(b): Agency reports that in the past 12 months, no staff from the facility who have violated agency sexual abuse or sexual harassment policies and no staff from the facility who have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies. Policy 606 PREA mandates that all terminations for violations of sexual abuse or sexual harassment policies, or resignations by members who would have been terminated if not for their resignation, shall be reported to the law enforcement agency that would handle any related investigation and to any relevant licensing bodies (28 CFR 115. 76).</p> <p>115.76(c): Policy 606 PREA mandates that members shall be subject to disciplinary sanctions, up to and including termination, for violating this policy. All discipline shall be commensurate with the nature and circumstances of the acts committed, the member's disciplinary history, and the sanctions imposed for comparable offenses by other members with similar histories.</p> <p>Agency reports that in the past 12 months, no staff from the facility who have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies (other than actually engaging in sexual abuse).</p> <p>115.76(d): Policy 606 PREA mandates that all terminations for violations of sexual abuse or sexual harassment policies, or resignations by members who would have been terminated if not for their resignation, shall be reported to the law enforcement agency that would handle any related investigation and to any relevant licensing bodies (28 CFR 115. 76).</p> <p>Agency reports that in the past 12 months, no number of staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.76.</p>
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115.77	Corrective action for contractors and volunteers
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.77(a): Policy 606 PREA mandates that any contractor or volunteer who engages in sexual abuse within the facility shall be immediately prohibited from having any contact with inmates. He/she shall be promptly reported to the law enforcement agency that would investigate such allegations and brought to the attention of any relevant licensing bodies (28 CFR 115. 77).</p> <p>Agency reports that in the past 12 months, no contractors or volunteers have been</p>

	<p>reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates.</p> <p>115.77(b): Policy 606 PREA mandates that any contractor or volunteer who engages in sexual abuse within the facility shall be immediately prohibited from having any contact with inmates. He/she shall be promptly reported to the law enforcement agency that would investigate such allegations and brought to the attention of any relevant licensing bodies (28 CFR 115. 77).</p> <p>Interview with Facility Commander indicates that in the case of any violation of agency sexual abuse, or sexual harassment policies by a contractor or volunteer, the remedial measures taken includes prohibiting further contact with inmates. Agency removes the access card, to prohibit volunteers or contractors access to inmates or the facility pending investigation. There are no cases in the last 12 months where this has occurred.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.77.</p>
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115.78	Disciplinary sanctions for inmates
	Auditor Overall Determination: Meets Standard
	<p>Auditor Discussion</p> <p>115.78(a): Policy 15.002 Inmate Rules and Discipline-Reporting Rule Violations mandates that:</p> <p>A. Rule violations will be classified as major, minor, or verbal warnings. The staff member will document the rule violation and indicate the severity level of the act in the IMS report.</p> <p>B. Major violations will be handled on a formal basis. Violations of Federal, State, County or local statutes, which may lead to criminal prosecution are considered major offenses and will be referred to the immediate supervisor for evaluation. In instances in which an inmate is alleged to have committed a crime, the case will be referred to the appropriate law enforcement officials for prosecution. The supervisor will call dispatch, who in turn will call for a deputy to complete a Crime Report. Additionally, major violations may include behavior that is likely to cause a direct danger to the health and safety of other inmates, the staff or significantly interferes with the order of the facility.</p> <p>C. Minor violations may be handled on an informal or formal basis at the discretion of the officer. Staff members are encouraged to resolve minor incidents on an informal basis through counseling, verbal warning, which shall be logged in the inmate management system (IMS). NOTE: Three (3) or more minor documented violations of prohibited acts may be considered a major violation. Minor violations resulting in any action other than verbal reprimand, counseling, etc., will be handled on a formal basis</p>

and require due process.

Agency reports that inmates are subject to disciplinary sanctions only pursuant to a formal disciplinary process following a criminal finding of guilt for inmate-on-inmate sexual abuse. In the past 12 months, there is no record or instances of administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility. In the past 12 months, there have been no criminal findings of guilt for inmate-on-inmate sexual abuse that have occurred at the facility.

115.78(b): Agency reports that sanctions are commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories. Policy 606 PREA mandates that all discipline shall be commensurate with the nature and circumstances of the acts committed, the member's disciplinary history, and the sanctions imposed for comparable offenses by other members with similar histories. Policy 15003 Disciplinary Hearing and Basic Rules mandates that facilities will establish authority, guidelines, and time schedules for facility disciplinary procedures to provide fair and impartial hearings and corrective dispositions, within pre-determined limitations, to those found guilty of not conforming to facility rules and regulations.

Interview with Facility Commander indicates that disciplinary sanctions are up to criminal finding that the inmate engagement in inmate on inmate sexual abuse. Sanctions are outlined inmate handbook. Sanctions are proportionate to the nature and circumstance of the abuses combined committed, and the inmates disciplinary histories and the sanctions imposed for similar offenses by other inmates with similar histories. Mental disability, or mental illness is considered when determining sanctions.

115.78(c): Agency reports that the disciplinary process shall consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed. Policy 15003 Disciplinary Hearing and Basic Rules mandates that the disciplinary process shall consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior when determining what type of sanction, if any, should be imposed for PREA incidents.

Interview with Facility Commander indicates that disciplinary sanctions are up to criminal finding that the inmate engagement in inmate on inmate sexual abuse. Sanctions are outlined inmate handbook. Sanctions are proportionate to the nature and circumstance of the abuses combined committed, and the inmates disciplinary histories and the sanctions imposed for similar offenses by other inmates with similar histories. Mental disability, or mental illness is considered when determining sanctions.

115.78(d): Agency reports that the facility offers therapy, counseling, or other interventions designed to address and correct the underlying reasons or motivations for abuse and the facility considers whether to require the offending inmate to participate in such interventions as a condition of access to programming or other benefits.

Interview with medical and mental health staff indicates that Agency offers brief

therapy for stabilization but no formal sit-down talk therapy in relation to sexual abuse. Inmates are not required to participate in the services provided as a condition of access to programming or other benefits.

115.78(e): Policy 15.002 Inmate Rules and Discipline-Reporting Rule Violations mandates that an inmate may be disciplined for sexual contact with staff only upon a finding that the staff member did not consent to such contact.

Policy 606 PREA mandates that sexual abuse and sexual harassment between staff, volunteers or contract personnel and inmates is strictly prohibited. The fact that an inmate may have initiated a relationship or sexual contact is not recognized as a defense to violating this policy.

Any incident involving allegations of staff-on-inmate sexual abuse or sexual harassment shall be referred to the Internal Affairs Unit for investigation.

115.78(f): Policy 15003 Disciplinary Hearing and Basic Rules mandates that the Sheriff's Office prohibits disciplinary action for a report of sexual abuse made in good faith and based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence to substantiate the allegation.

115.78(g): Inmate Rule Book July 2021 mandates that any sexual act between inmates and personnel (even when no objection is raised) is always illegal.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.78.

115.81	Medical and mental health screenings; history of sexual abuse
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.81(a): N/A - Facility is not a prison.</p> <p>115.81(b): N/A - Facility is not a prison.</p> <p>115.81(c): 115.81(a)/(c) - Wellpath Rape and Sexual Assault Policy mandates that inmates identified as being ""at risk"" for sexual victimization or abusiveness with no identified immediate medical and/or mental health need, will be referred for medical/mental health screening within 14 days of intake. Custody is responsible for the initial ""at risk"" screening. However; medical and mental health staff should be alert for the presence of ""at risk"" criteria in all ongoing interactions with inmates. The following minimum criteria for risk are provided as general information for health services personnel who have inmate contact.</p>

Agency reports that In the past 12 months, 100 percent of inmates who disclosed prior victimization during screening who were offered a follow-up meeting with a medical or mental health practitioner. Medical and mental health staff maintain secondary materials (e.g., form, log) documenting compliance with the above required services. Agency provided auditor with copy of Inmate Sampling documentation which indicates 16 inmates reported prior sexual victimization over the past 12 months and was provided followup meetings with mental health practitioners within 14 days of intake. Secondary materials from mental health identified an additional 42 inmates who disclosed prior victimization during intake. All were offered a followup meeting with a medical or mental health practitioner within 14 days of the intake screening.

Interview with Screening staff indicates that if a screening indicates an inmate previously perpetrated sexual acts, they're offered a followup meeting with a mental health practitioner

Interview with inmates who disclosed prior sexual victimization during risk screening indicates that they were offered a meeting with mental health practitioner the next day.

115.81(d): Agency indicates that the provided PREA Screening Checklist & Education Combo Sheet New is not strictly limited to medical and mental health practitioners, the information is shared with Classification officers and the PREA Compliance Sergeant who is strictly limited to informing security and management decisions, including treatment plans, housing, bed, work, education, and program assignments, or as otherwise required by federal, state, or local law.

Interview with Information Technology (IT) staff indicates that Intake, Classification and Medical documentation are computerized documents:

- Determined safeguards regarding access and security of gathered information
- County user has access credentials
- Permission granted levels to access specific documents
- Locked security permissions
- Security permission level settings
- Settings made by Business Units or IT, depending on level of access needed
- Must have permission to access the system based upon specific details
- Read only for Business Access
- Electronic systems that require permissions: (ATIMS, RIMS & SHAREPOINT)

Note: Stanton Facility does not possess record storage abilities as all documentation is electronically documented and secure on the Sharepoint drive.

115.81(e): Agency provided auditor with copy of the PREA Acknowledgement for Adults which provides informed consent and duty to report prior to the initiation of services to be signed by both inmate and practitioner. The informed consent form is obtained only if the incident happened within the last 72 hours per WellPath policy. Interview with medical and mental health staff indicates that they obtain informed consent from inmates before reporting about sexual abuse it's the sexual victimization that did not occur in institutional setting. This consent form is the well path receiving screening acknowledgment form where the inmate must sign along with the practitioner. There is no separate informed consent process for inmates

	<p>under the age of 18 as no inmates under age of 18 years are housed in Solano County Jails.</p> <p>Interview with medical and mental health staff indicates that they obtain informed consent from inmates before reporting about sexual abuse.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.81.</p>
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115.82	Access to emergency medical and mental health services
	<p>Auditor Overall Determination: Meets Standard</p>
	<p>Auditor Discussion</p> <p>115.82(a): Agency indicates that inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services to include that the nature and scope of such services are determined by medical and mental health practitioners according to their professional judgment. Agency reports that there are medical and mental health staff maintain secondary materials (e.g., form, log) documenting the timeliness of emergency medical treatment and crisis intervention services that were provided; the appropriate response by non-health staff in the event health staff are not present at the time the incident is reported; and the provision of appropriate and timely information and services concerning contraception and sexually transmitted infection prophylaxis.</p> <p>Interview with medical and mental health staff indicates that inmate victims of sexual abuse receive timely and unimpeded access to emergency medical treatment and crisis intervention services. They are provided this access as quickly as emergency services arrives. The scope of the services are determined according to the practitioners professional judgment per California law as a mandatory reporter. Interview with inmates who reported a sexual abuse indicates that they were provided the opportunity to see a medical or mental health practitioner in a timely fashion, after the sexual abuse was reported.</p> <p>115.82(b): Agency indicates that if no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the victim pursuant to § 115.62 and shall immediately notify the appropriate medical and mental health practitioners. Interview with security staff 1st Responders indicates that security staff:</p> <ol style="list-style-type: none"> a. Separates the alleged victim and abuser, keep victim in a safe place b. Requests the last victim not take any actions that can destroy physical evidence c. Ensure that the alleged abuser does not take any above it actions that can destroy physical evidence d. Immediately notify supervisor and medical and mental health practitioners

Interview with non-security staff member 1st Responders indicates that:

- a. Separates the alleged victim and abuser, keep victim in a safe place
- b. Requests the last victim not take any actions that can destroy physical evidence
- c. Ensure that the alleged abuser does not take any above it actions that can destroy physical evidence
- d. Immediately notify supervisor or custody staff.

Interview with security and non-security staff 1st Responders describes the following when alerted possible sexual abuse occurs,

1st Responder Protocol is as follows:

- Separate victim & perpetrator
- Inform both victim and perpetrator not to take any actions that may destroy physical evidence
- Place both victim & perpetrator in interview room with no access to running water
- Contact medical and mental health
- Contact Supervisor and Investigation
- Seal the crime scene

1st Responder who is not a security staff member:

- Separates both victim and perpetrator
- Inform both victim and perpetrator not to take any actions that may destroy physical evidence
- Keep both victim and perpetrator under staff supervision
- Contact immediate supervision

115.82(c): Policy 606 PREA mandates that examination, testing, and treatment shall include the following (15 CCR 1206):

(a) Forensic medical examinations shall be performed as evidentiarily or medically appropriate, without financial cost to the victim. Where possible, these examinations shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs). If neither SAFEs nor SANEs are available, other qualified medical practitioners can perform the examination. The Office shall document its efforts to provide SAFEs or SAN Es (28 CFR 115.21).

(b) If requested by the victim, a victim advocate, a qualified office member, or a qualified community organization staff member shall accompany the victim through the forensic medical examination process and investigatory interviews. That person will provide emotional support, crisis intervention, information, and referrals (28 CFR 115.21).

(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).

(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.

(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.

(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive

comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.

(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).

(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

(i) The health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).

(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.

(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.

(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.

(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).

(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

(i) The health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

Interview with medical and mental health staff indicates that victims of sexual abuse are offered timely information about access to emergency contraception and sexually transmitted infection prophylaxis.

Interview with inmates who reported a sexual abuse indicates inmates were evaluated by medical but it was determined that there was no sexual physical contact and/therefore they were not provided emergency contraception or sexually transmitted infection prophylaxis.

115.82(d): Policy 606 PREA mandates that treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

	<p>CONCLUSION:</p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.82.</p>
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115.83	Ongoing medical and mental health care for sexual abuse victims and abusers
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.83(a): Policy 606 PREA mandates that examination, testing, and treatment shall include the following (15 CCR 1206):</p> <p>(a) Forensic medical examinations shall be performed as evidentiarily or medically appropriate, without financial cost to the victim. Where possible, these examinations shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SAN Es). If neither SAFEs nor SANEs are available, other qualified medical practitioners can perform the examination. The Office shall document its efforts to provide SAFEs or SANEs (28 CFR 115.21).</p> <p>(b) If requested by the victim, a victim advocate, a qualified office member, or a qualified community organization staff member shall accompany the victim through the forensic medical examination process and investigatory interviews. That person will provide emotional support, crisis intervention, information, and referrals (28 CFR 115.21).</p> <p>(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).</p> <p>(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.</p> <p>(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.</p> <p>(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.</p> <p>(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).</p> <p>(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).</p> <p>(i) The health authority or mental health staff shall obtain informed consent from</p>

inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).

(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.

(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.

(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.

(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).

(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

(i) The health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

115.83(b): Policy 606 PREA mandates that examination, testing, and treatment shall include the following (15 CCR 1206):

(a) Forensic medical examinations shall be performed as evidentiarily or medically appropriate, without financial cost to the victim. Where possible, these examinations shall be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs). If neither SAFEs nor SANEs are available, other qualified medical practitioners can perform the examination. The Office shall document its efforts to provide SAFEs or SANEs (28 CFR 115.21).

(b) If requested by the victim, a victim advocate, a qualified office member, or a qualified community organization staff member shall accompany the victim through the forensic medical examination process and investigatory interviews. That person will provide emotional support, crisis intervention, information, and referrals (28 CFR 115.21).

(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).

(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.

(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.

(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.

(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).

(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

(i) The health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

(c) Provisions shall be made for testing the victim for sexually transmitted diseases (28 CFR 115.82).

(d) Counseling for the treatment of sexually transmitted diseases, if appropriate, shall be provided.

(e) Victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner.

(f) Victims of sexually abusive vaginal penetration while incarcerated shall be offered pregnancy tests. If pregnancy results from the abuse, such victims shall receive comprehensive information about, and access to, all lawful pregnancy-related medical services (28 CFR 115.83). This shall be done in a timely manner.

(g) Victims shall be provided with follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody (28 CFR 115.83).

(h) Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83).

(i) The health authority or mental health staff shall obtain informed consent from inmates before reporting information to members about prior sexual victimization that occurred somewhere other than an institutional setting, unless the inmate is under the age of 18 (28 CFR 115.81).

Interview with medical and mental health staff indicates that evaluation and treatment of inmates who have been victimized includes providing coping skills, special needs, programming, and regularly scheduled follow-ups. Medication prescriptions are also included.

Interview with inmates who reported sexual abuse indicates that no medical or mental health nurse followup services or treatment plans were necessary.

115.83(c): Agency reports that the facility shall provide such victims with medical and mental health services consistent with the community level of care.

Interview with medical and mental health indicates that during intake health inventory and exams and inmates are asked about history of sex abuse. If not acute,

	<p>they are referred to mental health if a acute, they are referred to the hospital for urgent evaluation. Medical and mental health services are offered consistent with community level of care.</p> <p>115.83(d): N/A - Stanton facility is a male only jail facility and Standard provision 115.83(d) does not apply.</p> <p>115.83(e): N/A - Stanton facility is a male only jail facility and Standard provision 115.83(e) does not apply.</p> <p>115.83(f): Policy 606 PREA mandates that victims shall be offered information about, and given access to, emergency contraception, prophylaxis for sexually transmitted infections, and follow-up treatment for sexually transmitted diseases (28 CFR 115.82; 28 CFR 115.83). This shall be done in a timely manner. Interview with inmates to reported a sexual abuse indicate that they did not require tests for sexually transmitted infection following medical practitioner assessment.</p> <p>115.83(g): Policy 606 PREA mandates that treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident (28 CFR 115.82; 28 CFR 115.83). Interview with inmates who reported sexual abuse indicates that they did not pay for any treatment related to this incident of sexual abuse.</p> <p>115.83(h): N/A - Facility is a Jail and this Standard provision does not apply.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.83.</p>
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115.86	Sexual abuse incident reviews
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.86(a): Policy 606 PREA mandates that an incident review shall be conducted at the conclusion of every sexual abuse investigation unless the allegation has been determined to be unfounded. The review should occur within 30 days of the conclusion of the investigation (28 CFR 115.86). Agency provided educational slides identified as Sexual Abuse Investigation. Agency also provided PREA Investigation Report dated 3/25/22 which occurred at Stanton Correctional Facility on an inmate on inmate sexual abuse allegation which was found to be substantiated. Case was investigated by investigative staff who is certified to conduct sexual abuse</p>

investigations in a confinement setting. In the past 12 months all criminal and /or administrative investigations of alleged sexual abuse have been completed at the Justice facility excluding only unfounded incidents. Agency indicates there have been no sexual abuse investigations found to be substantiated or unsubstantiated. Auditor's review of all sexual abuse case investigations conducted in the past 12 months verifies there was one sexual abuse allegations that was determined to be unsubstantiated. An Incident Review was conducted at the conclusion of the investigation and findings.

115.86(b): Policy 606 PREA mandates that An incident review shall be conducted at the conclusion of every sexual abuse investigation unless the allegation has been determined to be unfounded. The review should occur within 30 days of the conclusion of the investigation (28 CFR 115.86). In the past 12 months all criminal and /or administrative investigations of alleged sexual abuse have been completed at the facility excluding only unfounded incidents. Agency indicates there have been no sexual abuse investigations found to be substantiated or unsubstantiated. Auditor's review of all sexual abuse case investigations conducted in the past 12 months verify all sexual abuse allegations have been determined to be unfounded except one which was found to be unsubstantiated. An Incident review was conducted within 30 days of the completion of the investigation.

115.86(c): Policy 606 PREA mandates that the review team shall include upper-level management officials and seek input from line supervisors, investigators and qualified health care and/or mental health professionals, as appropriate. Interview with the Facility Commander indicates that the facility possesses a sexual abuse incident review team. The team includes upper-level management officials, line supervisors, investigators and medical or mental health practitioners.

115.86(d): Policy 606 PREA mandates that the review team shall prepare a report of its findings, including any determinations made pursuant to this section and any recommendations for improvement. The report shall be submitted to the PREA Coordinator. The Facility Commander or the authorized designee shall implement the recommendations for improvement or document the reasons for not doing so. Interview with the Facility Commander indicates that the Incident Review Team (IRB) uses information from the sexual incident review to update policies, procedures, training and update of cameras throughout the facility if needed.

Interview with the PREA Compliance Manager indicates that an IRB is documented and report of findings from the review includes determinations and recommendations for improvement. These reports are are forwarded to the PREA Compliance Manager for review to discuss with the PREA Coordinator to ensure there are no non-compliant issues.

Interview with the Incident Review Team member indicates that the team discusses the possible motivation for the incident or allegation to occur. The area in the facility where the incident allegedly occurred is physically examined to assess whether physical barriers in the area may enable abuse. The Team assesses the adequacy of staffing levels in that area during different shifts and whether monitoring technology should be deployed or augmented to supplement supervision by staff.

	<p>115.86(e): Policy 606 PREA mandates that The review team shall prepare a report of its findings, including any determinations made pursuant to this section and any recommendations for improvement. The report shall be submitted to the PREA Coordinator. The Facility Commander or the authorized designee shall implement the recommendations for improvement or document the reasons for not doing so. One of the two sexual abuse investigations conducted within the past 12 months was found to be unsubstantiated and the other was determined to be unfounded.</p> <p><u>CONCLUSION:</u></p> <p>Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.86.</p>
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115.87	Data collection
	<p>Auditor Overall Determination: Meets Standard</p> <p>Auditor Discussion</p> <p>115.87(a): Policy 606 PREA mandates that the Office shall conduct an annual review of collected and aggregated incident-based sexual abuse data. The review should include, as needed, data from incident-based documents, including reports, investigation files and sexual abuse incident reviews (28 CFR 115.87). Policy contains as standardized set of definitions. Agency to provide auditor with a copy of the standardized instrument and set of definitions utilized to collect and maintain accurate, uniform data for every allegation of sexual abuse at facilities under its direct control in order to verify compliance with Standard provision 115.87(a)-1.</p> <p>115.87(b): Policy 606 PREA mandates that the Office shall conduct an annual review of collected and aggregated incident-based sexual abuse data. Agency to provide sample of aggregated data obtained for year 2022.</p> <p>115.87(c): Agency reports that they use a standardized instrument which includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice. Agency has provided a copy of said instrument in order to provide verification of compliance with Standard provision 115.87(c)-1.</p> <p>115.87(d): Policy 606 PREA mandates that The Office shall retain all written reports from administrative and criminal investigations pursuant to this policy for as long as the alleged abuser is held or employed by the Office, plus five years (28 CFR 115.71).</p> <p>115.87(e): N/A - Agency reports they do not contract for the confinement of inmates.</p>

115.87(f): N/A - DOJ has not requested agency data.

Based upon the analysis of evidence, the auditor finds the facility is not fully compliant with Standard provision(s) 115.87(a) & 115.87(b), and corrective action is required.

Corrective Action Recommended:

115.87(a): Agency to provide auditor with a copy of the standardized instrument and set of definitions utilized to collect and maintain accurate, uniform data for every allegation of sexual abuse at facilities under its direct control in order to verify compliance with Standard provision 115.87(a)-1.

115.87(b): Agency to provide auditor a sample of aggregated data obtained for year 2022

Corrective Action Completion 8/17/23:

115.87(a): 8/17/2023 - Agency provided auditor with copy of 2021 SSV3 Collection 508 & 2022 PREA Annual Report both completed and uploaded to the Supplemental File.

115.87(b): 8/17/23 - Agency provided auditor with copies of the SSVIA 2022(1) and SSVIA 2022(2) forms utilized to gather aggregated incident-based sexual abuse data annually, uploaded to the Supplemental File

The agency/facility has met the requirements of Standard provision(s) 115.87(a) & 115.87(b), completed during the corrective action period. The auditor has determined that the agency/facility has met the standard provisions and complies with Standard 115.87.

115.88	Data review for corrective action
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	115.88(a): Upon review of the 1/20/22 Annual Report provided by Agency, the

agency reviews data collected and aggregated pursuant to §115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, and training, including: • Identifying problem areas; • Taking corrective action on an ongoing basis; and • Preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole. Agency 2022 PREA Annual Report is available on the PREA section of the Sheriff's website for public access.

Interview with Agency Head designee indicates that incident based sexual abuse data is used to assess and improve sexual abuse, prevention, detection, and response, policies, practices and training. If some of these areas are identified as problem areas, we immediately take corrective action on ongoing basis, to correct the issues and come into compliance.

Interview with the PREA Coordinator indicates that reviews data collected and aggregated in order to assess and improve the effectiveness of its, sexual abuse, prevention, detection, and response, policies, and training. The agency insures the data is collected and are securely retain on the secure servers throughout the facility. Agency takes corrective action on an ongoing basis with this data.

Interview with the PREA Compliance Manager indicates that he works together with the PREA Coordinator to ensure compliance with the PREA Standards by reviewing the aggregated data collected.

115.88(b): The annual report includes a comparison of the current year's data and corrective actions with those from prior years and an assessment of the agency's progress in addressing sexual abuse.

115.88(c): Agency states the annual report is readily available to the public at least annually through it's website and approved by the Agency Head:

<https://www.solanocounty.com/depts/sheriff/prea.asp>

<https://www.solanocounty.com/civicax/filebank/blobdload.aspx?blobid= 36365>.

Interview with the Agency Head designee indicates that he assists the Sheriff with approval of annual reports prior to them being uploaded to the Sheriff's website.

115.88(d): Agency reports that when they redacts material from an annual report for publication, the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and security of the facility. The agency indicates the nature of the material redacted. Review of the 2022 Annual Report indicates narrative in the end of the first paragraph of page #5 which indicates reasoning for redacted material.

Interview with the PREA Coordinator indicates that all Personal Identifying Information (PII) is redacted from the Annual Report prior to placement on the Agency website.

Any data that would threaten safety and security of the facility or institution is redacted.

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.88.

115.89	Data storage, publication, and destruction
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.89(a): Policy 606 PREA mandates that the Office shall retain all written reports from administrative and criminal investigations pursuant to this policy for as long as the alleged abuser is held or employed by the Office, plus five years (28 CFR 115.71). All other data collected pursuant to this policy shall be securely maintained for at least 10 years after the date of the initial collection, unless federal, state or local law requires otherwise (28 CFR 115.89).</p> <p>Interview with Internet Technology (IT) staff indicates that Intake, Classification and Medical documentation are computerized documents:</p> <ul style="list-style-type: none"> - Determined safeguards regarding access and security of gathered information -County user has access credentials -Permission granted levels to access specific documents -Locked security permissions -Security permission level settings -Settings made by Business Units or IT, depending on level of access needed -Must have permission to access the system based upon specific details -Read only for Business Access -Electronic systems that require permissions: (ATIMS, RIMS & SHAREPOINT) <p>Interview with the PREA Coordinator indicates that the Agency ensures that data collected pursuant to Standard 115.87 are securely retained. via secure servers throughout the facilities for ATIMS, SHAREPOINT, RIMS databases.</p> <p>115.89(b): Policy 606 PREA mandates that all aggregated sexual abuse data from Solano County Sheriff's Office Detention Facilities and private facilities with which it contracts shall be made readily available to the public at least annually through the office website. Before making aggregated sexual abuse data publicly available, all personal identifiers shall be removed (28 CFR 115.89). 2022 Annual Report aggregated data is available to the public through the agency website: https://www.solanocounty.com/depts/sheriff/prea.asp.</p> <p>115.89(c): Policy 606 PREA mandates that all aggregated sexual abuse data from Solano County Sheriff's Office Detention Facilities and private facilities with which it contracts shall be made readily available to the public at least annually through the office website. Before making aggregated sexual abuse data publicly available, all personal identifiers shall be removed (28 CFR 115.89). Statement of the removal of personal identifiers is available on pg #4 of the 2022 Annual report.</p> <p>115.89(d): Policy 606 PREA mandates that The Office shall retain all written reports from administrative and criminal investigations pursuant to this policy for as long as the alleged abuser is held or employed by the Office, plus five years (28 CFR 115.71). All other data collected pursuant to this policy shall be securely maintained for at least 10 years after the date of the initial collection, unless federal, state or local law requires otherwise (28 CFR 115.89).</p>

CONCLUSION:

Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.89.

115.401 Frequency and scope of audits

Auditor Overall Determination: Meets Standard

Auditor Discussion

115.401(a): Upon review of the Agency website, the auditor observed that during the prior three year audit period, the Agency ensured that each facility operated by the Agency was audited each 3 year period at least once between 2017 and 2022.

115.401(b): At least 1/3 of the Agency's facility has been audited each year beginning in 2017. The Agency has 3 facility that it operates.

115.401(h): The Agency provided auditor with full access to all areas of the Stanton facility to conduct observations and conduct interviews of all staff, inmates and contractors.

115.401(i): Auditor has been granted full access to request and receive copies of any relevant documents, including electronically stored information.

115.401(m): Auditor has been permitted to conduct private interviews with both inmates and staff.

115.401(n): Auditor observed the facility during the site review. Notice of auditor is posted in every housing unit and throughout the facility. Inmates were asked during interviews how long the posters were up and most that could remember indicated at least 7 or 8 months. Auditor received a few letters from inmates prior to the onsite audit. The mail protocol is available in the audit notes as mail staff was interviewed during the audit.

Interview of staff responsible for inmate mail process indicates that inmates with no funding are provided a welfare pack once a week which contains pen, paper, 2 envelopes, bar of soap, toothbrush and toothpaste.

REGULAR OUTGOING MAIL - The floor officer searches inmate mail envelopes in the inmate's presence, for contraband. Contraband is identified as anything that could hurt staff or inmates, escape information or information that could cause someone else harm. Envelope is then sealed, staff places their call-sign on the envelope along with a stamp and places in the Inmate Mail bag. Inmate Mail bag is brought to the visiting lobby to be picked up by official Postal Carrier.

CONFIDENTIAL MAIL - Inmate shows open envelope to staff for review, then places the letter in the envelope and seals in presence of officer. The officer initials envelope and puts their call-sign on the letter. Officer brings letter to visiting lobby where it is stamped "Inmate Mail" and picked up by official Postal Carrier.

	<p>INCOMING MAIL - Mail is opened and searched, scanned letter. Postage stamp is removed, then provided to the inmate.</p> <p>CONCLUSION: Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.401.</p>
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115.403	Audit contents and findings
	Auditor Overall Determination: Meets Standard
	Auditor Discussion
	<p>115.43(f): The Justice Center Detention Facility (JCDF) Final Report was completed on 3/27/2023. All Final Reports from 2017 to 2023 are posted on the Agency website for public access. Agency website address is: https://www.solanocounty.com/depts/sheriff/prea.asp</p> <p>CONCLUSION: Based upon the final analysis of evidence, the auditor finds the facility is fully compliant with Standard 115.43.</p>

Appendix: Provision Findings		
115.11 (a)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment?	yes
	Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment?	yes
115.11 (b)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	Has the agency employed or designated an agency-wide PREA Coordinator?	yes
	Is the PREA Coordinator position in the upper-level of the agency hierarchy?	yes
	Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?	yes
115.11 (c)	Zero tolerance of sexual abuse and sexual harassment; PREA coordinator	
	If this agency operates more than one facility, has each facility designated a PREA compliance manager? (N/A if agency operates only one facility.)	yes
	Does the PREA compliance manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)	yes
115.12 (a)	Contracting with other entities for the confinement of inmates	
	If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	na
115.12 (b)	Contracting with other entities for the confinement of inmates	
	Does any new contract or contract renewal signed on or after August 20, 2012 provide for agency contract monitoring to ensure	na

	that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.)	
115.13 (a)	Supervision and monitoring	
	Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into	yes

	consideration: Any applicable State or local laws, regulations, or standards?	
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse?	yes
	In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors?	yes
115.13 (b)	Supervision and monitoring	
	In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)	yes
115.13 (c)	Supervision and monitoring	
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies?	yes
	In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan?	yes
115.13 (d)	Supervision and monitoring	
	Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment?	yes
	Is this policy and practice implemented for night shifts as well as day shifts?	yes
	Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility?	yes

115.14 (a)	Youthful inmates	
	Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (b)	Youthful inmates	
	In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.14 (c)	Youthful inmates	
	Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
	Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates (inmates <18 years old).)	na
115.15 (a)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?	yes
115.15 (b)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)	na
	Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the	na

	facility does not have female inmates.)	
115.15 (c)	Limits to cross-gender viewing and searches	
	Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches?	yes
	Does the facility document all cross-gender pat-down searches of female inmates (N/A if the facility does not have female inmates)?	na
115.15 (d)	Limits to cross-gender viewing and searches	
	Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	no
	Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks?	no
	Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit?	yes
115.15 (e)	Limits to cross-gender viewing and searches	
	Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status?	yes
	If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner?	yes
115.15 (f)	Limits to cross-gender viewing and searches	
	Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes
	Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs?	yes

115.16 (a)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities?	yes
	Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes.)	yes
	Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing?	yes
	Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication	yes

	with inmates with disabilities including inmates who: Have intellectual disabilities?	
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills?	yes
	Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: are blind or have low vision?	yes
115.16 (b)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient?	yes
	Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary?	yes
115.16 (c)	Inmates with disabilities and inmates who are limited English proficient	
	Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations?	yes
115.17 (a)	Hiring and promotion decisions	
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the hiring or promotion of anyone who	yes

	may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse?	yes
	Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the two bullets immediately above?	yes
115.17 (b)	Hiring and promotion decisions	
	Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates?	yes
	Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates?	yes
115.17 (c)	Hiring and promotion decisions	
	Before hiring new employees who may have contact with inmates, does the agency perform a criminal background records check?	yes
	Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse?	yes
115.17 (d)	Hiring and promotion decisions	
	Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates?	yes

115.17 (e)	Hiring and promotion decisions	
	Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees?	yes
115.17 (f)	Hiring and promotion decisions	
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions?	yes
	Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees?	yes
	Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct?	yes
115.17 (g)	Hiring and promotion decisions	
	Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination?	yes
115.17 (h)	Hiring and promotion decisions	
	Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.)	yes
115.18 (a)	Upgrades to facilities and technologies	
	If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.18 (b)	Upgrades to facilities and technologies	

	If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)	na
115.21 (a)	Evidence protocol and forensic medical examinations	
	If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (b)	Evidence protocol and forensic medical examinations	
	Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
	Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)	yes
115.21 (c)	Evidence protocol and forensic medical examinations	
	Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate?	yes
	Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible?	yes
	If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)?	yes

	Has the agency documented its efforts to provide SAFEs or SANEs?	yes
115.21 (d)	Evidence protocol and forensic medical examinations	
	Does the agency attempt to make available to the victim a victim advocate from a rape crisis center?	yes
	If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency always makes a victim advocate from a rape crisis center available to victims.)	na
	Has the agency documented its efforts to secure services from rape crisis centers?	yes
115.21 (e)	Evidence protocol and forensic medical examinations	
	As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews?	yes
	As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals?	yes
115.21 (f)	Evidence protocol and forensic medical examinations	
	If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.)	na
115.21 (h)	Evidence protocol and forensic medical examinations	
	If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency always makes a victim advocate from a rape crisis center available to victims.)	na
115.22 (a)	Policies to ensure referrals of allegations for investigations	

	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse?	yes
	Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment?	yes
115.22 (b)	Policies to ensure referrals of allegations for investigations	
	Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior?	yes
	Has the agency published such policy on its website or, if it does not have one, made the policy available through other means?	yes
	Does the agency document all such referrals?	yes
115.22 (c)	Policies to ensure referrals of allegations for investigations	
	If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).)	na
115.31 (a)	Employee training	
	Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures?	yes
	Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment?	yes
	Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement?	yes

	Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims?	yes
	Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse?	yes
	Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates?	yes
	Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities?	yes
115.31 (b)	Employee training	
	Is such training tailored to the gender of the inmates at the employee's facility?	yes
	Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa?	yes
115.31 (c)	Employee training	
	Have all current employees who may have contact with inmates received such training?	yes
	Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures?	yes
	In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies?	yes
115.31 (d)	Employee training	
	Does the agency document, through employee signature or electronic verification, that employees understand the training they have received?	yes
115.32 (a)	Volunteer and contractor training	

	Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures?	yes
115.32 (b)	Volunteer and contractor training	
	Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)?	yes
115.32 (c)	Volunteer and contractor training	
	Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received?	yes
115.33 (a)	Inmate education	
	During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment?	yes
	During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment?	yes
115.33 (b)	Inmate education	
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents?	yes
	Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents?	yes
115.33 (c)	Inmate education	
	Have all inmates received the comprehensive education referenced in 115.33(b)?	yes

	Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?	yes
115.33 (d)	Inmate education	
	Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are deaf?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled?	yes
	Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills?	yes
115.33 (e)	Inmate education	
	Does the agency maintain documentation of inmate participation in these education sessions?	yes
115.33 (f)	Inmate education	
	In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats?	yes
115.34 (a)	Specialized training: Investigations	
	In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (b)	Specialized training: Investigations	
	Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include proper use of Miranda and	yes

	Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	
	Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
	Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.34 (c)	Specialized training: Investigations	
	Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.35 (a)	Specialized training: Medical and mental health care	
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
	Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or	yes

	suspicious of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	
115.35 (b)	Specialized training: Medical and mental health care	
	If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams or the agency does not employ medical staff.)	na
115.35 (c)	Specialized training: Medical and mental health care	
	Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.)	yes
115.35 (d)	Specialized training: Medical and mental health care	
	Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)	yes
	Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.)	yes
115.41 (a)	Screening for risk of victimization and abusiveness	
	Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
	Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates?	yes
115.41 (b)	Screening for risk of victimization and abusiveness	
	Do intake screenings ordinarily take place within 72 hours of arrival at the facility?	yes
115.41 (c)	Screening for risk of victimization and abusiveness	
	Are all PREA screening assessments conducted using an objective	yes

	screening instrument?	
115.41 (d)	Screening for risk of victimization and abusiveness	
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability?	yes
	Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10)	yes

	Whether the inmate is detained solely for civil immigration purposes?	
115.41 (e)	Screening for risk of victimization and abusiveness	
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior acts of sexual abuse?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: prior convictions for violent offenses?	yes
	In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency: history of prior institutional violence or sexual abuse?	yes
115.41 (f)	Screening for risk of victimization and abusiveness	
	Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening?	yes
115.41 (g)	Screening for risk of victimization and abusiveness	
	Does the facility reassess an inmate's risk level when warranted due to a referral?	yes
	Does the facility reassess an inmate's risk level when warranted due to a request?	yes
	Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse?	yes
	Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness?	yes
115.41 (h)	Screening for risk of victimization and abusiveness	
	Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section?	yes
115.41 (i)	Screening for risk of victimization and abusiveness	
	Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive	yes

	information is not exploited to the inmate's detriment by staff or other inmates?	
115.42 (a)	Use of screening information	
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments?	yes
	Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments?	yes
115.42 (b)	Use of screening information	
	Does the agency make individualized determinations about how to ensure the safety of each inmate?	yes
115.42 (c)	Use of screening information	
	When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)?	yes
	When making housing or other program assignments for transgender or intersex inmates, does the agency consider, on a case-by-case basis, whether a placement would ensure the inmate's health and safety, and whether a placement would	yes

	present management or security problems?	
115.42 (d)	Use of screening information	
	Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate?	yes
115.42 (e)	Use of screening information	
	Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments?	yes
115.42 (f)	Use of screening information	
	Are transgender and intersex inmates given the opportunity to shower separately from other inmates?	yes
115.42 (g)	Use of screening information	
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.)	yes
	Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing	yes

	solely for the placement of LGBT or I inmates pursuant to a consent degree, legal settlement, or legal judgement.)	
115.43 (a)	Protective Custody	
	Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers?	yes
	If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment?	yes
115.43 (b)	Protective Custody	
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible?	yes
	Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible?	yes
	If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
	If the facility restricts access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility never restricts access to programs, privileges, education, or work opportunities.)	yes
115.43 (c)	Protective Custody	

	Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?	yes
	Does such an assignment not ordinarily exceed a period of 30 days?	yes
115.43 (d) Protective Custody		
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The basis for the facility's concern for the inmate's safety?	yes
	If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document: The reason why no alternative means of separation can be arranged?	yes
115.43 (e) Protective Custody		
	In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS?	yes
115.51 (a) Inmate reporting		
	Does the agency provide multiple internal ways for inmates to privately report: Sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment?	yes
	Does the agency provide multiple internal ways for inmates to privately report: Staff neglect or violation of responsibilities that may have contributed to such incidents?	yes
115.51 (b) Inmate reporting		
	Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency?	yes
	Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials?	yes
	Does that private entity or office allow the inmate to remain	yes

	anonymous upon request?	
	Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility never houses inmates detained solely for civil immigration purposes.)	yes
115.51 (c)	Inmate reporting	
	Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties?	yes
	Does staff promptly document any verbal reports of sexual abuse and sexual harassment?	yes
115.51 (d)	Inmate reporting	
	Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates?	yes
115.52 (a)	Exhaustion of administrative remedies	
	Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse.	yes
115.52 (b)	Exhaustion of administrative remedies	
	Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.)	yes
	Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.)	yes
115.52 (c)	Exhaustion of administrative remedies	
	Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from	yes

	this standard.)	
	Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.)	yes
115.52 (d)	Exhaustion of administrative remedies	
	Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.)	yes
	If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.)	yes
	At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.)	yes
115.52 (e)	Exhaustion of administrative remedies	
	Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Are those third parties also permitted to file such requests on behalf of inmates? (If a third party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.)	yes
	If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)	yes
115.52 (f)	Exhaustion of administrative remedies	

	Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.)	yes
	After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)	yes
	Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.)	yes
	Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
	Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.)	yes
115.52 (g)	Exhaustion of administrative remedies	
	If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.)	yes
115.53 (a)	Inmate access to outside confidential support services	
	Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations?	yes
	Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers,	yes

	including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility never has persons detained solely for civil immigration purposes.)	
	Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible?	yes
115.53 (b)	Inmate access to outside confidential support services	
	Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws?	yes
115.53 (c)	Inmate access to outside confidential support services	
	Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse?	yes
	Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements?	yes
115.54 (a)	Third-party reporting	
	Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment?	yes
	Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate?	yes
115.61 (a)	Staff and agency reporting duties	
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment?	yes
	Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual	yes

	abuse or sexual harassment or retaliation?	
115.61 (b)	Staff and agency reporting duties	
	Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions?	yes
115.61 (c)	Staff and agency reporting duties	
	Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?	yes
	Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services?	yes
115.61 (d)	Staff and agency reporting duties	
	If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws?	yes
115.61 (e)	Staff and agency reporting duties	
	Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators?	yes
115.62 (a)	Agency protection duties	
	When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate?	yes
115.63 (a)	Reporting to other confinement facilities	
	Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred?	yes
115.63 (b)	Reporting to other confinement facilities	
	Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation?	yes

115.63 (c)	Reporting to other confinement facilities	
	Does the agency document that it has provided such notification?	yes
115.63 (d)	Reporting to other confinement facilities	
	Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards?	yes
115.64 (a)	Staff first responder duties	
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
	Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence?	yes
115.64 (b)	Staff first responder duties	
	If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff?	yes
115.65 (a)	Coordinated response	
	Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in	yes

	response to an incident of sexual abuse?	
115.66 (a)	Preservation of ability to protect inmates from contact with abusers	
	Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted?	yes
115.67 (a)	Agency protection against retaliation	
	Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff?	yes
	Has the agency designated which staff members or departments are charged with monitoring retaliation?	yes
115.67 (b)	Agency protection against retaliation	
	Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations?	yes
115.67 (c)	Agency protection against retaliation	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff?	yes
	Except in instances where the agency determines that a report of	yes

	sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation?	
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff?	yes
	Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff?	yes
	Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need?	yes
115.67 (d)	Agency protection against retaliation	
	In the case of inmates, does such monitoring also include periodic status checks?	yes
115.67 (e)	Agency protection against retaliation	
	If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?	yes
115.68 (a)	Post-allegation protective custody	
	Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43?	yes
115.71 (a)	Criminal and administrative agency investigations	
	When the agency conducts its own investigations into allegations	yes

	of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	
	Does the agency conduct such investigations for all allegations, including third party and anonymous reports? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).)	yes
115.71 (b)	Criminal and administrative agency investigations	
	Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34?	yes
115.71 (c)	Criminal and administrative agency investigations	
	Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data?	yes
	Do investigators interview alleged victims, suspected perpetrators, and witnesses?	yes
	Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator?	yes
115.71 (d)	Criminal and administrative agency investigations	
	When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution?	yes
115.71 (e)	Criminal and administrative agency investigations	
	Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff?	yes
	Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding?	yes
115.71 (f)	Criminal and administrative agency investigations	
	Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse?	yes

	Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings?	yes
115.71 (g)	Criminal and administrative agency investigations	
	Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible?	yes
115.71 (h)	Criminal and administrative agency investigations	
	Are all substantiated allegations of conduct that appears to be criminal referred for prosecution?	yes
115.71 (i)	Criminal and administrative agency investigations	
	Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years?	yes
115.71 (j)	Criminal and administrative agency investigations	
	Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation?	yes
115.71 (l)	Criminal and administrative agency investigations	
	When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).)	yes
115.72 (a)	Evidentiary standard for administrative investigations	
	Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated?	yes
115.73 (a)	Reporting to inmates	
	Following an investigation into an inmate's allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded?	yes

115.73 (b)	Reporting to inmates	
	If the agency did not conduct the investigation into an inmate's allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.)	na
115.73 (c)	Reporting to inmates	
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer posted within the inmate's unit?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The staff member is no longer employed at the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility?	yes
	Following an inmate's allegation that a staff member has committed sexual abuse against the resident, unless the agency has determined that the allegation is unfounded, or unless the resident has been released from custody, does the agency subsequently inform the resident whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility?	yes
115.73 (d)	Reporting to inmates	
	Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility?	yes
	Following an inmate's allegation that he or she has been sexually	yes

	abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility?	
115.73 (e)	Reporting to inmates	
	Does the agency document all such notifications or attempted notifications?	yes
115.76 (a)	Disciplinary sanctions for staff	
	Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies?	yes
115.76 (b)	Disciplinary sanctions for staff	
	Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse?	yes
115.76 (c)	Disciplinary sanctions for staff	
	Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories?	yes
115.76 (d)	Disciplinary sanctions for staff	
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes
	Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies?	yes
115.77 (a)	Corrective action for contractors and volunteers	
	Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates?	yes
	Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)?	yes

	Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies?	yes
115.77 (b)	Corrective action for contractors and volunteers	
	In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates?	yes
115.78 (a)	Disciplinary sanctions for inmates	
	Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process?	yes
115.78 (b)	Disciplinary sanctions for inmates	
	Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories?	yes
115.78 (c)	Disciplinary sanctions for inmates	
	When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior?	yes
115.78 (d)	Disciplinary sanctions for inmates	
	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits?	yes
115.78 (e)	Disciplinary sanctions for inmates	
	Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact?	yes
115.78 (f)	Disciplinary sanctions for inmates	
	For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish	yes

	evidence sufficient to substantiate the allegation?	
115.78 (g)	Disciplinary sanctions for inmates	
	If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.)	yes
115.81 (a)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison).	na
115.81 (b)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)	na
115.81 (c)	Medical and mental health screenings; history of sexual abuse	
	If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a jail).	yes
115.81 (d)	Medical and mental health screenings; history of sexual abuse	
	Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?	yes
115.81 (e)	Medical and mental health screenings; history of sexual abuse	
	Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior	yes

	sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18?	
115.82 (a)	Access to emergency medical and mental health services	
	Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?	yes
115.82 (b)	Access to emergency medical and mental health services	
	If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62?	yes
	Do security staff first responders immediately notify the appropriate medical and mental health practitioners?	yes
115.82 (c)	Access to emergency medical and mental health services	
	Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate?	yes
115.82 (d)	Access to emergency medical and mental health services	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (a)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility?	yes
115.83 (b)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody?	yes
115.83 (c)	Ongoing medical and mental health care for sexual abuse	

	victims and abusers	
	Does the facility provide such victims with medical and mental health services consistent with the community level of care?	yes
115.83 (d)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (e)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if "all male" facility. Note: in "all male" facilities there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.)	na
115.83 (f)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate?	yes
115.83 (g)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?	yes
115.83 (h)	Ongoing medical and mental health care for sexual abuse victims and abusers	
	If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.)	na

115.86 (a)	Sexual abuse incident reviews	
	Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded?	yes
115.86 (b)	Sexual abuse incident reviews	
	Does such review ordinarily occur within 30 days of the conclusion of the investigation?	yes
115.86 (c)	Sexual abuse incident reviews	
	Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners?	yes
115.86 (d)	Sexual abuse incident reviews	
	Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse?	yes
	Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility?	yes
	Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse?	yes
	Does the review team: Assess the adequacy of staffing levels in that area during different shifts?	yes
	Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff?	yes
	Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1)-(d)(5), and any recommendations for improvement and submit such report to the facility head and PREA compliance manager?	yes
115.86 (e)	Sexual abuse incident reviews	
	Does the facility implement the recommendations for improvement, or document its reasons for not doing so?	yes

115.87 (a)	Data collection	
	Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions?	yes
115.87 (b)	Data collection	
	Does the agency aggregate the incident-based sexual abuse data at least annually?	yes
115.87 (c)	Data collection	
	Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice?	yes
115.87 (d)	Data collection	
	Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews?	yes
115.87 (e)	Data collection	
	Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.)	na
115.87 (f)	Data collection	
	Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.)	na
115.88 (a)	Data review for corrective action	
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas?	yes
	Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis?	yes
	Does the agency review data collected and aggregated pursuant	yes

	to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole?	
115.88 (b)	Data review for corrective action	
	Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse?	yes
115.88 (c)	Data review for corrective action	
	Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means?	yes
115.88 (d)	Data review for corrective action	
	Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility?	yes
115.89 (a)	Data storage, publication, and destruction	
	Does the agency ensure that data collected pursuant to § 115.87 are securely retained?	yes
115.89 (b)	Data storage, publication, and destruction	
	Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means?	yes
115.89 (c)	Data storage, publication, and destruction	
	Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available?	yes
115.89 (d)	Data storage, publication, and destruction	
	Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise?	yes
115.401 (a)	Frequency and scope of audits	

	During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.)	yes
115.401 (b)	Frequency and scope of audits	
	Is this the first year of the current audit cycle? (Note: a "no" response does not impact overall compliance with this standard.)	no
	If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is not the second year of the current audit cycle.)	yes
	If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is not the third year of the current audit cycle.)	no
115.401 (h)	Frequency and scope of audits	
	Did the auditor have access to, and the ability to observe, all areas of the audited facility?	yes
115.401 (i)	Frequency and scope of audits	
	Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)?	yes
115.401 (m)	Frequency and scope of audits	
	Was the auditor permitted to conduct private interviews with inmates, residents, and detainees?	yes
115.401 (n)	Frequency and scope of audits	
	Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel?	yes
115.403	Audit contents and findings	

(f)		
	<p>The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or, in the case of single facility agencies, there has never been a Final Audit Report issued.)</p>	yes