



## Solano County Whistleblower Program Biannual Report

For the period June 30, 2023, through December 31, 2023

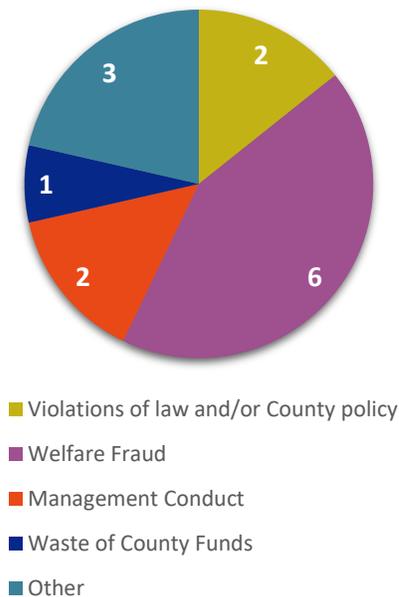
### Executive Summary

The Solano County, Auditor-Controller's Office, Internal Audits Division, administers the County's Whistleblower (WB) Program. The Whistleblower Program includes a hotline number (866) 384-TIPS and a website for submission of complaints. The complaints received were reviewed by the Division in cooperation with Appointed and Elected Department Heads and the County Administrator as deemed appropriate.

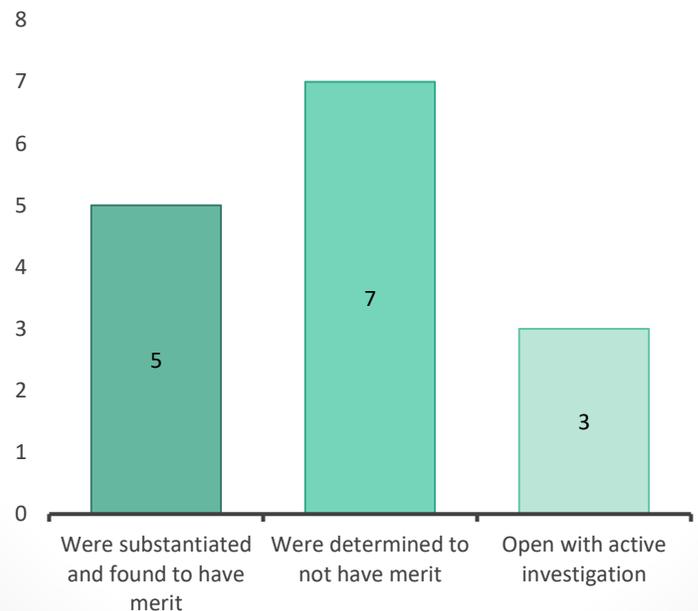
During the period, the Whistleblower Program received 44 complaints, *including nine (9) open from the prior period*, of perceived incidence of fraud, waste, and abuse, or violations of County policy during the period.

- 29 complaints did not have merit, failed to provide sufficient information, or the allegation was not within the scope of the County's Whistleblower Program.
- As a result, 15 cases were investigated during this period and are as follows:

#### Types of Complaints Investigated



#### Investigation Outcomes



Details about the five (5) complaints found to have merit include:

| <i>Complaint Allegation(s)</i>  | <i>Resolution</i>  |
|---|--|
| A total of 6 complaints of alleged welfare fraud were referred to H&SS Special Investigations Bureau (SIB) for investigation. | The complaints were investigated by SIB and 2 complaints were found to have merit.   |
| One complaint alleged an employee recorded improper time and attendance.  | The timesheet was adjusted to properly reflect time and attendance. Staff was counseled about adherence to policies and procedures for time reporting. |
| One complaint alleged safety hazards (vehicular and food-related) regarding food vendors in unallowable locations.            | County staff and the California Highway Patrol took action to alleviate the hazard.  |
| One complaint alleged an employee was driving a County vehicle for personal use.  | Staff was counseled about adherence to County Vehicle policies.  |

The Whistleblower Program continues to serve as a successful tool to aide in the identification of County-related matters of potential fraud, waste, and abuse. The Program examines and addresses controls while promoting accountability and oversight throughout the County by providing a process for employees, and others, to report perceived incidences of fraud, waste, and abuse in policy and practice.

For questions, please contact Matthew Fong, Deputy Auditor-Controller, at (707) 784-3057.