

**COMMISSION MEETING**  
**October 20, 2021 – 3:00-5:00pm**  
**3375 Sonoma Blvd, Suite 30, Vallejo, CA 94590**

Pursuant to the State of California Proclamation of a State of Emergency in response to the COVID-19 pandemic issued March 4, 2020 by Governor Newsom, and under the statutory requirements established by AB 361 (Open Meetings: state and local agencies: teleconferences), **public access to the First 5 Solano Commission Meeting will be limited.**

Commissioners and public may attend via remote meeting using the following options:

**Join livestream via Zoom:**

<https://us02web.zoom.us/j/5922775330>

**Join via Phone:**

1-669-900-6833

Meeting ID: 592 277 5330

**Public Comments:**

Public Comment may be provided: During the meeting via the phone/computer audio when the Chair calls for Public Comment on an item; or submitting written public comments to First 5 Solano via email at [cfcsolano@solanocounty.com](mailto:cfcsolano@solanocounty.com) by 2:00pm on the day of the meeting.

**CALL TO ORDER / SALUTE TO THE FLAG**

**I. Public Comment**

**Information**

*This is the opportunity for members of the public to address the Commission on matters not listed on the Agenda that are otherwise within the subject matter jurisdiction of the Commission. Please submit a Speaker Card and limit your comments to 3 minutes.*

**II. Consent Calendar (5 min)**

**Action**

- A. Approve the October 20, 2021 Commission Meeting Agenda
- B. Approve the August 18, 2021 Commission Meeting Minutes
- C. Adopt Resolution 2021-06 authorizing remote teleconference meetings under the State of California Proclaimed State of Emergency issued March 4, 2020 and pursuant to AB 361 requirements, for a term of October 20, 2021 to November 19, 2021

**III. Chair Appoints Nominating Committee for 2022 Officers (5 min)**

**Discussion**

**IV. Public Hearing: First 5 Solano FY2020/21 Annual Audit (20 min)**

**Action**

Receive the FY2020/21 First 5 Solano Audit  
Megan Richards, Deputy Director; Kyle Bartle, Eide Bailly

**V. Public Hearing: FY2020/21 Annual Report (30 min)**

**Action**

Approve the First 5 Solano submission to First 5 CA for the FY2020/21 Annual Report  
Lorraine Fernandez, Program Manager; Christina Branom, Applied Survey Research

**VI. Policy & Oversight Committee Report (Commissioner Estrella-Henderson) (5 min)**  
*(no action items)*

**Information**

**Information**

**VII. Executive Director's Report** (10 min)  
*Michele Harris, Executive Director*

**Information**

**VIII. Commissioner Remarks** (5 min)

**Information**

**IX. Future Agenda Items, Meeting Time/Date/Location**

The next Commission meeting will be held on December 15, 2021 at 3:00PM at 3375 Sonoma Boulevard, Suite 30, Vallejo. Future agenda items include: Strategic Plan, Systems Change Action Plan, Nominating Committee Recommendations, Updated Foundation Study, Updated Community Indicators, Committee Report.

**ADJOURN**

**Vision:** All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers and communities. **Mission:** First 5 Solano Children and Families Commission is a leader that fosters and sustains effective programs and partnerships with the community to promote, support and improve the lives of young children, their families and their communities.

The First 5 Solano Children and Families Commission does not discriminate against persons with disabilities. If you require a disability-related modification or accommodation in order to participate in the meeting, please call (707) 784.1332 at least 24 hours in advance of the meeting to make arrangements. Non-confidential materials related to an item on this Agenda submitted to the Commission are available for public inspection at the First 5 Solano business office, 3375 Sonoma Blvd Ste 30, Vallejo CA during normal business hours.

**First 5 Solano Children and Families Commission  
Commission Meeting**

August 18, 2021, 3:00 PM – 5:00 PM  
3375 Sonoma Blvd, Suite 30, Vallejo, CA

**Minutes**

Commissioners present via Zoom videoconferencing: Chair Jennifer Barton, Lisette Estrella-Henderson, Amy Fabi, Erin Hannigan, Jerry Huber, Tiffanee Jones, Marla Stuart, Tyffany Wanberg

Chair Barton called the meeting to order at 3:01 PM

**I. Public Comment**

Chair Barton welcomed Tiffanee Jones to the Commission. Commissioners introduced themselves and Commissioner Jones shared her background and experience.

**II. Consent Calendar**

***Motion:***

***A. Approve the August 18, 2021 Commission Meeting Agenda.***

***B. Approve the June 8, 2021 Commission Meeting Minutes.***

**Consent Calendar moved by Commissioner Hannigan; Seconded by Commissioner Huber**

**Approved 8-0-0**

**Yea: Commissioners Barton, Estrella-Henderson, Fabi, Hannigan, Huber, Jones, Stuart, Wanberg**

**Nay: None**

**Abstain: None**

**III. Policy and Oversight Committee Report**

**A. Establishment of a Fairfield First 5 Center**

Michele Harris, First 5 Solano Executive Director, announced \$2 Million had been earmarked in the California State budget toward a Fairfield First 5 Center. While an exciting process, Ms. Harris expressed there were real-world considerations for the commission to be aware of prior to accepting the funding. Megan Richards, Deputy Director, shared some of these factors and reviewed the discussion held by the Policy & Oversight Committee. Pros include the current funding landscape, with American Rescue Plan Act (ARPA) funds possibly available for a limited period, and the First 5 Association having a draft Strategic Plan which highlights efforts to pursue statewide funding for the benefit of all First 5 agencies. Cons include a potential ban on flavored tobacco and e-cigarette products, which would greatly reduce resources to all First 5s. Establishing a new Center would be inconsistent with past practice, wherein large funding decisions are made during Program Investment Plan update, but not a

violation of any policy or procedure. There is also the annual operating expense to consider, which is \$600,00 for the Vallejo Center. Adding a Fairfield First 5 Center would essentially double this annual investment and could be a challenge, as could raising additional outside funding to contribute to center operations. Ms. Richards also shared that there is an option to use some of the \$9 Million the Commission has in reserves, if intentional and planned in advance.

The Commission discussed the opportunities to ask other funding for one-time and ongoing investments, whether or not the \$2 Million was enough to start the center, and the value of the Center to the Fairfield community, with the long-term health of the community as a priority for this transformational opportunity. There was no dissension.

***Motion: Consider approval of receipt of \$2 Million from the State of California for Tenant Improvements and initial operations of a Fairfield First 5 Center, requiring the \$2 Million addition to the Commission's FY2021/22 Approved Budget***

**Moved by Commissioner Stuart; Seconded by Commissioner Hannigan  
Approved 8-0-0**

**Yea: Commissioners Barton, Estrella-Henderson, Fabi, Hannigan, Huber,  
Jones, Stuart, Wanberg**

**Nay: None**

**Abstain: None**

## **B. Systems Change Action Plan Update**

Christina Branom from Applied Survey Research (ASR), the Commission's evaluation and strategic planning partner, presented the proposed Systems Change Action Plan Update, including some minor wording changes suggested at the August 5 Policy and Oversight Committee meeting. Commissioner Stuart thanked Ms. Branom for the report and presentation, especially the focus on diversity and identity, and inclusion of key terms and concepts.

## **C. Strategic Plan Framework Review**

Ms. Harris introduced the new Framework as a conceptual map better depicting the intersection of the Commission's priorities. Ms. Branom and First 5 staff presented the details of the Framework. Commissioner Estrella-Henderson commended ASR's work and the excellent graphic representation of inter-connectedness.

## **IV. FY2020/21 Annual Grants Final Report**

Juanita Morales, First 5 Solano Program Manager gave a short history of the Commission's annual grants program. Ms. Morales provided details on the outcomes of the 7 programs receiving \$140,000 funding for FY2020/21. Most programs had to pivot due to the ongoing COVID-19 pandemic, and some adjusted better than others. All had successes but some fell short of targets. Barton shared her disappointment that Matrix Parent Network was only able to serve 17 families of children with special needs, well under the goal of 60 families.

Commissioner Stuart pointed to the importance of building capacity in community providers through grants and shared that agencies who failed to meet goals should have to address mistakes and what they learned before being considered for future funding. Commissioner Huber shared his appreciation that First 5 can take chances with smaller grassroots agencies and take risks to help them grow. Commissioner Fabi asked if there is an opportunity to assess progress of programs at midyear. Commissioner Hannigan expressed appreciation for the opportunity annual grants allow small and new nonprofits to implement innovative concepts. Commissioner Estrella-Henderson reminded Commissioners of the extensive work staff and panelists put into discussing all annual grants applications. Ms. Harris appreciated all the input and said staff and the Policy and Oversight Committee would discuss the challenges experienced this year with annual grants and bring forth a recommendation to address and potentially mitigate future underperformance.

## **V. Executive Director's Report**

Ms. Harris announced the virtual meeting accommodation for Brown Act meetings is expiring September 30 and the October 20 Commission meeting will happen in person at the First 5 Solano Conference Room at 3375 Sonoma Blvd, Suite 30. Ms. Harris shared some photos from recent Commissioner activities and legislator visits to the Vallejo First 5 Center.

## **VI. Commissioner Remarks**

Chair Barton adjourned the meeting in memory of Elaine Norinsky, founding First 5 Solano Commissioner who served ten-plus years on the Commission.

## **VII. Future Agenda Items**

The next Commission meeting will be held on October 20, 2021 at 3:00 PM at 3375 Sonoma Boulevard, Suite 30 in Vallejo. Future agenda items include: Strategic Planning, Fiscal Year 2020/21 Audit, Fiscal Year 2020.21 Annual Report, Nominating Committee, Committee Report.

## **Adjourn**

Chair Barton adjourned the meeting at 5:12 PM.

Luke Winders, Office Assistant III

Approved:

# *Resolution No. 2021-06*

## **A RESOLUTION OF FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION AUTHORIZING REMOTE TELECONFERENCE MEETINGS FOR THE PERIOD OCTOBER 20, 2021 TO NOVEMBER 19, 2021 AS A RESULT OF THE CONTINUING COVID-19 PANDEMIC STATE OF EMERGENCY**

**WHEREAS**, on March 4, 2020, Governor Newsom issued a Proclamation of State of Emergency in response to the COVID-19 pandemic; and,

**WHEREAS**, the proclaimed state of emergency remains in effect; and,

**WHEREAS**, on March 17, 2020, Governor Newsom issued Executive Order N-29-20 that suspended the teleconferencing rules set forth in the California Open Meeting law, Government Code section 54950 et seq. (the "Brown Act"), provided certain requirements were met and followed; and,

**WHEREAS**, on June 11, 2021, Governor Newsom issued Executive Order N-08-21 that clarified the suspension of the teleconferencing rules set forth in the Brown Act, and further provided that those provisions would remain suspended through September 30, 2021; and,

**WHEREAS**, on September 16, 2021, Governor Newsom signed AB 361 that provides that a legislative body subject to the Ralph M. Brown Act ("Brown Act") may continue to meet without fully complying with the teleconferencing rules in the Brown Act provided the legislative body determines that meeting in person would present imminent risks to the health or safety of attendees, and further requires that certain findings be made by the legislative body every thirty (30) days; and,

**WHEREAS**, California Department of Public Health ("CDPH") and the federal Centers for Disease Control and Prevention ("CDC") caution that the Delta variant of COVID-19, currently the dominant strain of COVID-19 in the country, is more transmissible than prior variants of the virus, may cause more severe illness, and that even fully vaccinated individuals can spread the virus to others resulting in rapid and alarming rates of COVID-19 cases and hospitalizations (<https://www.cdc.gov/coronavirus/2019-ncov/variants/delta-variant.html>); and,

**WHEREAS**, the CDC has established a "Community Transmission" metric with 4 tiers designed to reflect a community's COVID-19 case rate and percent positivity; and,

**WHEREAS**, Solano County currently has a Community Transmission metric of "high" which is the most serious of the tiers; and,

**WHEREAS**, in the interest of public health and safety, as affected by the emergency caused by the spread of COVID-19, the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION deems it necessary to find that meeting in person would present imminent risks to the health or safety of attendees, and thus intends to invoke the provisions of AB 361 related to teleconferencing provided that all teleconferenced meetings are conducted in such a manner that protects the statutory and constitutional rights of the parties and the public appearing before this legislative body.

**NOW, THEREFORE, BE IT RESOLVED**, by the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION as follows:

1. The recitals set forth above are true and correct.

2. The FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION finds that meeting in person would present imminent risks to the health or safety of attendees and therefore, shall conduct its meetings in accordance with Government Code section 54953(e) and all other applicable provisions of the Brown Act.
3. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) November 19, 2021, or such time the Board of Directors adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

PASSED AND ADOPTED this 20<sup>th</sup> day of October 2021, by the following vote:

AYES:

NOES:

ABSENT:

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Jennifer Barton, Chair  
First 5 Solano Children and Families Commission

ATTEST:

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Office Assistant III

**DATE:** October 14, 2021

**TO:** First 5 Solano Children and Families Commission

**FROM:** Commissioner Jennifer Barton, Chair

**BY:** Gene Ibe, Program Manager

**SUBJ:** Nominating Committee for Election of 2022 First 5 Solano Commission Officers

In December of each year, the First 5 Solano Commission elects its officers (Chair and Vice-Chair) per its Bylaws. To facilitate this process, the Commission Chair is calling for 2-3 Commissioner volunteers to comprise an ad hoc Nominating Committee to bring forward recommended officers for the upcoming year.

For the Commission's convenience, attached are copies of the Bylaws and the First 5 Solano "Commissioner Job Description," which include details about the duties of the Chair and Vice-Chair. Officers serve a term of one calendar year. Commissioners interested in serving as officers can review these materials and, if they wish to be considered, forward their names to the Nominating Committee for consideration.

Attachment A: Commission Bylaws  
Attachment B: Commissioner Job Description



**BY-LAWS**  
**OF**  
**FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION**

**ARTICLE 1**

**AUTHORITY**

The First 5 Solano Children and Families Commission is governed by the California Children and Families Act of 1998 and Solano County Code Section 7.3.

**ARTICLE II**

**PURPOSE AND INTENT**

**Section 2.1 Purpose.** The specific purpose of this Commission is to carry out the mandates as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

**ARTICLE III**

**POWERS AND DUTIES**

**Section 3.1 Powers and Duties.** The powers and duties of the Commission shall be as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

**ARTICLE IV**

**MEMBERS**

**Section 4.1. Management of the Commission's Activities and Affairs.** The activities and affairs of the Commission shall be conducted as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

**Section 4.2 Membership.** The Commission shall be appointed by the Solano County Board of Supervisors as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

**Section 4.3 California Political Reform Act.** Members shall comply with the terms of the California Political Reform Act.

**Section 4.4 Terms of Office.** The terms of office for each Commission member shall be four years. The terms of the original members shall be staggered.

## SECTION V

### COMMITTEES

**Section 5.1 Standing and Ad Hoc Committees.** The Commission may form standing committees or ad hoc committees as needed.

## ARTICLE VI

### OFFICERS AND DUTIES

**Section 6.1 Identification and Title.** The officers of the Commission shall be the Chair and the Vice-Chair.

**Section 6.2 Terms of Office.** The term of office for each officer shall be one year.

**Section 6.3 Election of Officers.** The election of officers shall take place at the first meeting in December of any year. The newly elected officers shall take office at the first meeting in January of any year. Vacancies in the positions of Chair and/or Vice-Chair that occur during the calendar year shall be filled by election as soon as possible, in accordance with the Ralph M. Brown Act, and any such officer(s) elected mid year shall serve out the remainder of the calendar year.

**Section 6.4 Conduct of Meetings.** The Chair shall preside at all meetings. In the absence of the Chair, the Vice-Chair shall preside. In the absence of both, the Commissioners attending shall choose a temporary Chair at the beginning of the meeting.

**Section 6.5 Chair.** The Chair shall:

1. Preside at all meetings of the Commission;
2. Appoint Chairs of Standing and ad hoc Committees.
3. Exercise such other powers and perform such other duties as may be prescribed by the Commission.

**Section 6.6 Vice-Chair.** The Vice-Chair shall have such powers and perform such duties as may be delegated by the Chair, and when the Chair is unable to preside at meetings and in his/her absence, shall preside and otherwise act as Chair.

## ARTICLE VII

### MEETINGS OF THE COMMISSION

**Section 7.1 Meeting Time and Place.** A regular time and place of meeting shall be adopted by the Commission in accordance with the Ralph M. Brown Act.

**Section 7.2 Special Meetings.** Special meetings of the Commission may be called from time to time provided such special meeting is called in accordance with the Ralph M. Brown Act.

## **ARTICLE VIII**

### **QUORUM**

#### **Section 8.1 Quorum.**

- (a) A quorum of the Commission shall be five members present.
- (b) Actions of the Commission shall be by majority vote of the full Commission.
- (c) If a quorum is lost so that no action may be taken, the meeting may continue as a committee in order to allow discussion and take testimony, provided that no action will be taken.

## **ARTICLE IX**

### **RULES OF ORDER**

**Section 9.1 Robert's Rules of Order.** Except as they may conflict with these By-Laws, the conduct of their affairs by the Commission and of all the committees shall proceed in accordance with provisions of the then-current codification of Robert's Rules of Order.

## **ARTICLE X**

### **RECORDS, REPORTS AND INSPECTION RIGHTS**

#### **Section 10.1. Annual Report.**

- (a) By January of each year, the Commission shall furnish a report containing the following information:
  - (1) the assets and liabilities as of the end of the fiscal year;
  - (2) the principal changes in assets and liabilities, including trust funds, during the fiscal year;
  - (3) the revenue or receipts of the Commission, both unrestricted and restricted to particular purposes, for the fiscal year;
  - (4) the expenses or disbursements of the Commission, for both general and restricted purposes, during the fiscal year; and
- (b) The report required herein shall be accompanied by any report thereon of the annual independent audit.

**Section 10.2 Strategic Plan.** By January of each year the Commission shall furnish a report on outcomes and changes regarding the Commission's Strategic Plan.

## ARTICLE XI

### MISCELLANEOUS PROVISIONS

#### **Section 11.1 Interpretation of By-Laws.**

- (a) Unless defined differently herein or unless the context requires a different meaning, terms used in these By-Laws shall have the same meaning as may be given to them in the Law, as amended from time to time.
- (b) To the extent possible, these By-Laws shall be construed as supplemental to all laws applicable to the same subject matter and shall be fully complied with unless such compliance shall be legal.
- (c) Any provision of these By-Laws which is inconsistent with any applicable law shall not be complied with, but such inconsistency shall not affect the validity of any other provision of these By-Laws, it being hereby declared that these By-Laws would have been adopted in full irrespective of the invalidity of any provision thereof.
- (d) By-Laws may be amended by majority vote at a regularly noticed Commission meeting. All proposed changes to these By-Laws shall be mailed to each Commissioner at least 10 days prior to such scheduled meeting. These By-Laws and subsequent amendments to these By-Laws shall take effect upon approval by the Board of Supervisors.

**Section 11.2 Fiscal Year.** The fiscal year of the Commission shall coincide with Solano County's fiscal year.

## **First 5 Solano Commissioner “Job Description”**

### **I. First 5 Solano Commissioners are appointed for a four-year term.**

The Commission makeup is as follows:

- One Board of Supervisor member
- Two Solano County Department of Health and Social Services representative members
- Five members, each of whom is nominated by a Board of Supervisor member (appointee need not reside in the District the appointing Board member represents)
- One ‘at large’ member

### **II. Major areas of Commission responsibility include, but are not limited to:**

A. **Policy/Strategic Planning:** Oversee the development of, and approve, a strategic plan as the framework for the allocation of funding for programs, services and activities that enhance the health, well-being and development of children 0-5. Oversee and actively engage in the implementation of the strategic plan.

B. **Allocation of funds:** Independent authority to allocate funds for services for children 0-5, their families, and providers on services in accordance with the approved strategic plan.

C. **Accountability:**

1. Ensure that the annual required independent audit is performed and submitted to the Board of Supervisors and First 5 California in accordance with established timelines.
2. Approve an annual proposed budget and submit this budget to the Board of Supervisors in accordance with established timelines. Monitor the budget.
3. Approve the required annual report submission to First 5 California. Monitor and review local program outcomes and documented results.

D. **Functioning/Staffing:**

1. Approve and monitor policies as needed and ensure adherence to County policies as appropriate.
2. Participate in the selection and evaluation of the Executive Director.
3. Provide direction to staff to carry out the work of the Commission.

E. **Community Engagement:** Substantively involve Solano parents, service providers, interested community members and policy makers in the activities and decision-making process of the Commission. Ensure that the Commission’s strategic plan, funding priorities, programs and services reflect community needs and priorities. Ensure the free and open flow of information among Commissioners and the public.

## II. Commissioner Job Duties:

In order to fulfill these responsibilities (and carry out the other powers and duties prescribed in the Children and Families First Act, County Code Section 7.3, Commission By-Laws and applicable commission policies), Commissioners are expected to commit to a substantive level of time and effort, including but not limited to the sections below.

- A. **Meetings** – Commissioners must maintain sufficient meeting attendance in accordance with County Code Section 7.3 and the First 5 Solano Commission Meeting Attendance Policy.

*All Commissioners* - There are up to 8 full Commission meetings per year, generally starting in late afternoon or early evening, lasting 2-4 hours. Meetings may be located in various parts of the County, including an annual, full-day Retreat, generally on a Saturday in October, if needed and appropriate. From time to time a special meeting may be called to deal with an item that cannot be postponed until the next scheduled meeting.

The Commission Chair and Vice Chair are elected annually and serve a one-(calendar) year term. Duties and responsibilities of Commission Chair include:

- a. reviewing and approving agendas and meeting materials
- b. presiding at/conducting meetings
- c. appointing Chairs of standing and ad hoc Committees
- d. presenting reports to the full Commission
- e. carrying out any other duties/activities delegated by the Commission
- f. representing the Commission at public or other meetings.

The By-Laws provide that the Vice Chair acts as Chair if the Chair is unavailable and presides at meetings when the Chair is not present, and has the powers and performs the duties delegated to him/her by the Chair.

From time to time, the Commission forms ad hoc committees, work groups or task forces and may request one or more Commissioners to serve on these bodies.

- B. **Assignments** – The Commission also has 3 areas in which Commission members are asked to participate. Those areas are: Policy and Oversight Committee, Funding/Legislative Representation, and Program/Community Representation. The Commission Chair appoints Commission Members to one of the 3 areas. Each of the 3 areas are summarized below and detailed in the “First 5 Solano Commission and Committee Structure.”

1. Policy and Oversight Committee - The Committee meets up to 8 times/year for 2-3 hours.

The Committee Chair is appointed by the Commission Chair. The Committee Chair and members serve a minimum of one year and may serve indefinitely. Duties and Responsibilities of Committee Chair includes:

- a. reviewing and approving agendas and meeting materials
  - b. presiding at/conducting meetings
  - c. presenting reports to the full Commission
  - d. representing the Commission at public or other meetings
2. Funding/Legislative Representation - Engaging in substantive policy, funding, legislative and systems change activities to inform stakeholders of the importance of the early years on lifelong success.
3. Program/Community Representation - Engaging in substantive program or community support activities to promote the alignment of Commission/Community priorities, foster the free flow of information and promote system change<sup>1</sup>.

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<sup>1</sup> With the understanding that Commissioners represent First 5 Solano and its Strategic Plan Priorities, Goals, Results and objectives.



IT'S ALL ABOUT THE KIDS

**DATE:** October 13, 2021

**TO:** First 5 Solano Children and Families Commission

**FROM:** Megan Richards, Deputy Director

**SUBJ:** **First 5 Solano FY2020/21 Annual Audit**

**Motion:** ***Receive the First 5 Solano FY2020/21 Annual Audit***

Enclosed is the FY2020/21 statutorily required independent audit of the First 5 Solano Children and Families Commission. The report will be presented to the Solano County Board of Supervisors on October 26, 2021 and submitted to the California State Controller's Office by the deadline of October 31, 2021.

The fiscal year 2020/21 Financial and Compliance Audit was conducted in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in the *Government Auditing Standards*, issued by the Comptroller General of the United States and state requirements contained in the *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*. The audit is submitted to First 5 California and the State Controller's Office.

Eide Bailly, the Commission's auditor, reviewed the Commission's overall financial condition, including financial statements, transactions, contracting procedures, record-keeping, and adherence to policies.

The Audit consists of 3 separate reports:

1. The Independent Auditor's Report and Financial Statements
2. Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters
3. The Independent Auditor's Report on State Compliance

In addition to the Audit, Eide Bailey has provided a Transmittal Letter which provide the Commission background to the performance of the audit. It is noted in this Transmittal Letter that there is a Corrected Misstatement. This is an adjustment related to how revenue was recorded and was necessary to comply with Generally Accepted Accounting Principles. This does not reflect negatively on the Commission.

The FY2020/21 Audit is submitted for Commission consideration.

Attachment A: Audit Transmittal Letter

Attachment B: FY2020/21 Audit of the First 5 Solano Children and Families Commission





October 12, 2021

To the Board of Commissioners  
First 5 Solano Children and Families Commission  
Fairfield, California

We have audited the financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California (County), as of and for the year ended June 30, 2021, and have issued our report thereon dated October 12, 2021. Professional standards require that we advise you of the following matters relating to our audit.

**Our Responsibility in Relation to the Financial Statement Audit under *Government Auditing Standards***

As communicated in our letter dated July 26, 2021, our responsibility, as described by professional standards, is to form and express opinions about whether the financial statements that have been prepared by management with your oversight are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America. Our audit of the financial statements does not relieve you or management of your respective responsibilities.

Our responsibility, as prescribed by professional standards, is to plan and perform our audit to obtain reasonable, rather than absolute, assurance about whether the financial statements are free of material misstatement. An audit of financial statements includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control over financial reporting. Accordingly, as part of our audit, we considered the internal control of the Commission solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control.

We are also responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures for the purpose of identifying other matters to communicate to you.

We have provided our report regarding internal controls during our audit in our Independent Auditor's Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards* dated October 12, 2021.

**Planned Scope and Timing of the Audit**

We conducted our audit consistent with the planned scope and timing we previously communicated to you.

## **Compliance with All Ethics Requirements Regarding Independence**

The engagement team, others in our firm, as appropriate, our firm, and other firms utilized in the engagement, if applicable, have complied with all relevant ethical requirements regarding independence.

## **Qualitative Aspects of the Entity's Significant Accounting Practices**

### *Significant Accounting Policies*

Management has the responsibility to select and use appropriate accounting policies. A summary of the significant accounting policies adopted by the Commission is included in Note 1 to the financial statements. There have been no initial selection of accounting policies and no changes in significant accounting policies or their application during the year ended June 30, 2021. No matters have come to our attention that would require us, under professional standards, to inform you about (1) the methods used to account for significant unusual transactions and (2) the effect of significant accounting policies in controversial or emerging areas for which there is a lack of authoritative guidance or consensus.

### *Significant Accounting Estimates*

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's current judgments. Those judgments are normally based on knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ markedly from management's current judgments.

The most sensitive accounting estimates affecting the financial statements are amounts related to the net pension liability and related deferred inflows of resources and deferred outflows of resources.

Management's estimate of the amounts related to:

- The net pension liability and deferred inflows of resources and deferred outflows of resources are based on actuarial valuations and a proportionate share of the collective net pension liability of the County of Solano's miscellaneous agent multiple-employer plan. The valuations are sensitive to the underlying actuarial assumptions used, including, but not limited to the investment rate of return and discount rate and the Commission's proportionate share of the plan's collective net pension liability.

We evaluated the key factors and assumptions used to develop the above estimates and determined that it is reasonable in relation to the basic financial statements taken as a whole.

### *Financial Statement Disclosures*

Certain financial statement disclosures involve significant judgment and are particularly sensitive because of their significance to financial statement users. The most sensitive disclosures affecting the Commission's financial statements relate to the disclosure of the Commission's defined benefit pension plan in Note 5, disclosing what the impact of a 1% increase or decrease in the discount rate is on the Commission's net pension liability.

### **Significant Difficulties Encountered during the Audit**

We encountered no significant difficulties in dealing with management relating to the performance of the audit.

### **Uncorrected and Corrected Misstatements**

For purposes of this communication, professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that we believe are trivial, and communicate them to the appropriate level of management. Further, professional standards require us to also communicate the effect of uncorrected misstatements related to prior periods on the relevant classes of transactions, account balances or disclosures, and the financial statements as a whole.

The attached Schedule of Corrected Misstatements summarizes misstatements that were brought to the attention of and corrected by management.

### **Disagreements with Management**

For purposes of this letter, professional standards define a disagreement with management as a matter, whether or not resolved to our satisfaction, concerning a financial accounting, reporting, or auditing matter, which could be significant to the Commission's financial statements or the auditor's report. No such disagreements arose during the course of the audit.

### **Representations Requested from Management**

We have requested certain written representations from management which are included in the management representation letter dated October 12, 2021.

### **Management's Consultations with Other Accountants**

In some cases, management may decide to consult with other accountants about auditing and accounting matters. Management informed us that, and to our knowledge, there were no consultations with other accountants regarding auditing and accounting matters.

### **Other Significant Matters, Findings, or Issues**

In the normal course of our professional association with the Commission, we generally discuss a variety of matters, including the application of accounting principles and auditing standards, operating and regulatory conditions affecting the entity, and operational plans and strategies that may affect the risks of material misstatement. None of the matters discussed resulted in a condition to our retention as the Commission's auditors.

This report is intended solely for the information and use of the Board of Commissioners, and management of the Commission and is not intended to be, and should not be, used by anyone other than these specified parties.

The image shows a handwritten signature in black ink that reads "Eide Bailly LLP". The signature is written in a cursive, flowing style.

Sacramento, California

First 5 Solano Children and Families Commission  
Schedule of Corrected Misstatements  
For the Year Ended June 30, 2021

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Number	Opinion Unit(s)	Account/Description	Debit	Credit
1	General Fund/Governmental Activities	Donations/Grants Unearned Revenue	\$ 13,050	\$ 13,050

*(To adjust for grant revenues not earned as of June 30, 2021).*



Financial Statements

June 30, 2021

# First 5 Solano Children and Families Commission

(a Component Unit of the County of Solano, California)

# First 5 Solano Children and Families Commission

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June 30, 2021

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**First 5 Solano Commission  
Membership**

(as of June 30, 2021)

Jennifer Barton, Chair  
District 3 Representative

Erin Hannigan, Vice Chair  
Solano County Board of Supervisors District 1

Lisette Estrella-Henderson  
Solano County Superintendent of Schools, Member-at-large

Gerald Huber  
Director of Health & Social Services, Solano County

Lenesha Anderson  
District 1 Representative

Mina Diaz  
District 2 Representative

Tyffany Wanberg  
District 4 Representative

Marla Stuart  
Deputy Director of Employment & Eligibility Services, Solano County

Ami Fabi  
District 5 Representative



## Independent Auditor's Report

To the Board of Commissioners  
First 5 Solano Children and Families Commission  
Fairfield, California

### Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California, as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise the Commission's basic financial statements as listed in the table of contents.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.



## **Opinions**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and the general fund of the Commission as of June 30, 2021, and the respective changes in financial position thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Other Matters**

### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information, the schedule of proportionate share of the net pension liability, the schedule of pension contributions, the schedule of proportionate share of the net other post employment benefit (OPEB) liability, and the schedule of OPEB contributions, as listed in the table of contents be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods or preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

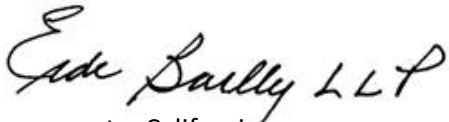
### *Other Information*

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Commission's basic financial statements. The schedule of expenses by fund source and net position of Solano County Children and Families Commission (SCCFC) funds for First 5 programs and activities (Schedule) is presented for the purpose of additional analysis and is not a required part of the basic financial statements.

The Schedule is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

**Other Reporting Required by *Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated October 12, 2021 on our consideration of the Commission's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Commission's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Commission's internal control over financial reporting and compliance.

A handwritten signature in black ink that reads "Eide Bailly LLP". The signature is written in a cursive, flowing style.

Sacramento, California

October 12, 2021



IT'S ALL ABOUT THE KIDS

## Management's Discussion and Analysis

As management of the First 5 Solano Children & Families Commission (Commission), we offer readers of the Commission's financial statements this narrative overview and analysis of the financial activities of the Commission for the fiscal year ended June 30, 2021. We encourage readers to consider the information presented here in conjunction with additional information in our financial statements.

### Financial Highlights

- The Commission's net position totaled \$10,529,098 at June 30, 2021. \$1,172,398 is reported as net investment in capital assets and \$9,180,761 is unrestricted.
- The Commission's total net position increased by \$585,380 primarily due to revenue coming in higher than expected along with underspending on grants .
- The Commission's governmental funds reported an ending fund balance of \$10,795,044, an increase of \$1,073,642 from June 30, 2020. Of the ending fund balance at June 30, 2021, \$3,620,188 is categorized as committed for contractual obligations for First 5 program expenditures, \$473,931 categorized as assigned for contractual obligations for lease expenditures, \$175,939 restricted for unspent grant funds, and nonspendable of \$10,484 for prepaid items. The remaining fund balance of \$6,514,502 is categorized as unassigned.

### Overview of the Financial Statements

This management discussion and analysis is intended to serve as an introduction to the Commission's basic financial statements. The Commission's basic financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to the financial statements.

**Government-wide financial statements.** The government-wide financial statements are designed to provide readers with a broad overview of the Commission's finances, in a manner similar to a private-sector business.

The statement of net position presents information on the Commission's assets/deferred outflows of resources and liabilities/deferred inflows of resources, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the Commission is improving or deteriorating. The Commission has adopted a revised Long-Term Financial Plan (LTFP) which projects the Commission's assets over a ten-year period of time (FY2016/17-FY2025/26).

The statement of activities presents information showing how the Commission's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods.

The government-wide financial statements can be found on pages 11-12 of this report.

**Fund financial statements.** A fund is a grouping of related accounts used to maintain control over resources that have been segregated for specific activities or objectives. The Commission, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

**Governmental funds.** Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a Commission's near-term financing requirements.

Since the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the Commission's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balance provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The basic governmental fund financial statements can be found on pages 13-16 of this report.

**Notes to the financial statements.** The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to the financial statements can be found on pages 17-33 of this report.

**Required Supplementary Information.** In addition to the basic financial statements and accompanying notes, this report also presents certain required supplementary information concerning the Commission's budgetary comparison schedule for the Commission's general fund, pension schedules and OPEB schedules. Required supplementary information can be found on pages 34-39 of this report.

## Government-wide Financial Analysis

As noted previously, net position may serve over time as a useful indicator of the Commission's financial position. In the case of the Commission, assets/deferred outflows of resources exceeded liabilities/deferred inflows of resources by \$10,529,098 at June 30, 2021.

First 5 Solano Children & Families Commission  
Government-wide  
Statement of Net Position  
June 30, 2021 and 2020

	2021	2020
Assets:		
Current assets	\$ 11,344,889	\$ 10,301,706
Non-current assets	1,172,398	1,499,579
Total assets	<u>12,517,287</u>	<u>11,801,285</u>
Deferred Outflows of Resources:		
Deferred outflows related to pensions and OPEB	261,963	247,672
Total deferred outflows of resources	<u>261,963</u>	<u>247,672</u>
Liabilities:		
Current liabilities	551,909	543,357
Non-current liabilities	1,682,847	1,512,672
Total liabilities	<u>2,234,756</u>	<u>2,056,029</u>
Deferred Inflows of Resources:		
Deferred inflows related to pensions and OPEB	15,396	49,210
Total deferred inflows of resources	<u>15,396</u>	<u>49,210</u>
Net Position:		
Net investment in capital assets	1,172,398	1,499,579
Restricted	175,939	-
Unrestricted	9,180,761	8,444,139
Total net position	<u>\$ 10,529,098</u>	<u>\$ 9,943,718</u>

The key elements in the significant changes in the Statement of Net Position are as follows:

Current assets: Current assets increased by \$1,043,183 from June 30, 2020 primarily due to revenue coming in higher than expected along with underspending on grants .

Non-current assets: Non-current assets decreased by \$327,181 from June 30, 2020. This was due to the first full year of depreciation recognized for the Vallejo First 5 Center.

Non-current liabilities: Non-current liabilities increased by \$170,175 from June 30, 2020. The increase was primarily due to an increase in the Commission's proportionate share of the County of Solano's net pension liability.

Deferred inflows of resources: Deferred inflows of resources decreased by \$33,814. The decrease is attributable to current year changes the pension plan's differences between projected and actual earnings on plan investments.

First 5 Solano Children & Families Commission  
Statement of Activities  
For the fiscal years ended June 30, 2021 and 2020

	2021	2020
<b>Program expenses:</b>		
Strategic plan implementation	\$ 2,071,692	\$ 1,874,870
Grants	2,610,484	2,387,213
Total program expenses	<u>4,682,176</u>	<u>4,262,083</u>
<b>Program revenues</b>	<u>5,179,124</u>	<u>5,290,934</u>
Net program revenues	496,948	1,028,851
<b>General Revenues</b>	<u>88,432</u>	<u>177,419</u>
<b>Change in net position</b>	585,380	1,206,270
<b>Net position:</b>		
Beginning	9,943,718	8,737,448
End of the year	<u>\$ 10,529,098</u>	<u>\$ 9,943,718</u>

The key elements for the significant changes in the Statement of Activities are as follows:

**Program expenses - Strategic Plan Implementation:**

Strategic Plan Implementation expenses increased overall from prior year by \$196,822. The most significant increases are noted as follows:

- Employee services increased by \$33,502 primarily due to an increase in the Commission's proportionate share of the County's net pension liability.
- Program evaluation expenses increased by \$40,350 due to evaluation services for the Home Visiting Coordination Grant funded by First 5 California.
- Interfund services decreased by \$35,955 due to the move of the First 5 Solano administrative offices to the new location in Vallejo.
- Rents & leases increased by \$73,140 due to recognizing a full year of lease expenses at the Commission's Vallejo First 5 Center occupied in February 2020.
- Non capitalized equipment decreased by \$96,837 due to the completion of the move to the Vallejo First 5 Center in fiscal year 2020.
- Depreciation expense increased by \$190,856 due to recognizing a full year of depreciation on the tenant improvements for the Vallejo First 5 Center.

**Program expenses-grants:**

Grant expenses increased overall from prior year by \$223,271. The most significant increases are noted as follows:

- Increase of \$273,034 in family support spending primarily due to the opening and implementation of services at the Vallejo First 5 Center.
- Increase of \$27,558 in early mental health spending primarily due to additional Triple P Level 4 services provided.
- Decrease of \$68,198 in child care and development spending due to decreased funding from First 5 CA for the IMPACT program and a decrease in associated expenditure.
- Decrease of \$171,794 in systems change spending primarily due to a decrease in grant funded activities for a media campaign related to Adverse Childhood Experiences, as well as a decrease in expenditure related to nonprofit capacity building which were on hold due to the COVID-19 pandemic.
- Increase of \$57,070 in Help Me Grow Solano spending due to additional funding added to the program for developmental screenings.
- Increase of \$46,250 in emergency response spending due to the ability to access CARES Act funding to support early care and education providers.
- Increase of \$33,998 in home visiting coordination spending due to securing a grant from First 5 California for home visiting coordination services.

**Program revenue:**

Program revenue decreased from prior year by \$111,810. This was due to decreased capital grants and contributions for the Vallejo First 5 Center. The decrease was offset by increased funding from First 5 California Proposition 10 annual allocations and IMPACT funding, recognition of CARES Act funding in fiscal year 2020/21, and an increase in charges from services. The increase in charges for services of \$175,378 is due to an increased match and increased draw down from Mental Health Services Act funding.

**Financial Analysis of the Commission's Governmental Funds**

As noted previously, the Commission uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

**Governmental funds.** The general fund is a governmental fund type that is used to account for general activities of the Commission. The focus of the Commission's governmental fund is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the Commission's net resources available for spending at the end of the fiscal year. At June 30, 2021, the Commission's general fund reported an ending fund balance of \$10,795,044. This was the result of an increase to fund balance of \$1,073,642 for the fiscal year ended June 30, 2021.

Governmental fund revenues totaled \$5,301,056 in fiscal year 2020/21. This represents a decrease of approximately 2% from fiscal year 2019/20.

Governmental fund expenditures totaled \$4,227,414 in fiscal year 2020/21. This represents a decrease of approximately 22% from fiscal year 2019/20. This decrease was primarily due to the completion of the Vallejo First 5 Center in FY2019/20.

## **Budgetary Highlights**

The Commission's general fund budget (Adopted and Final versions) is reflected in the Schedule of Revenues, Expenditures, and Changes in Fund Balance – Budget and Actual, as presented on page 34 in the Required Supplementary Information (RSI) section of this report.

The final budgeted revenues increased from the original adopted budget by \$445,887 for additional anticipated donations/grants for program activities of \$300,000, increased First 5 California Proposition 10 funding of \$100,000 and increased CARES Act funding for fiscal year 2020/21 expenditures of \$45,887.

The final budgeted expenditures increased from the original adopted budget by \$406,002. This was primarily due to increased funding for family support of \$300,000, increased for home visiting coordination of \$60,100, and increased program evaluation activities of \$39,900.

Revenues recognized were more than budget by \$670,994 as a result of increased intergovernmental revenues and donations/grants, offset by revenues less than budget for charges for services and investment earnings.

Expenditures incurred were less than budget by \$779,676. This was primarily due to underspending on grants.

**Capital assets** – At June 30, 2021, the Commission has \$1,172,398 of capital assets related to tenant improvements for the Vallejo First 5 Center. The Commission took occupancy and began depreciation in February 2020.

**Long-term liabilities** – At June 30, 2021, the Commission had \$11,682,847 of long-term liabilities composed of the net pension liability, net OPEB liability, and compensated absences. For more information, see Note 5 on page 25 of this report.

## **Economic Factors and Next Year's Operating Activities**

Fiscal Year 2021/22 will be the fifth and final year of the Commission's 2018-2023 Program Investment Plan. Grants budgeted revenue and expenditure will remain relatively stable with FY2020/21. Anticipated changes in FY2021/22 include an increase in revenue and expenditure related to the initiation of tenant improvements for a First 5 Center in Fairfield.

## **Requests for Information**

This financial report is designed to provide a general overview of the Commission's finances for all those with an interest with the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to the First 5 Solano Children and Families Commission, 3375 Sonoma Blvd., Ste 30, Vallejo, California 94590.



First 5 Solano Children and Families Commission  
Statement of Net Position  
June 30, 2021

	Governmental Activities
Assets	
Current Assets	
Cash and investments in County Treasury	\$ 10,631,100
Accounts receivable	199
Due from County	302,169
Due from State Commission	367,937
Due from others	33,000
Prepaid items	10,484
Total current assets	<u>11,344,889</u>
Noncurrent Assets	
Capital assets, being depreciated, net	<u>1,172,398</u>
Total noncurrent assets	<u>1,172,398</u>
Total assets	<u>12,517,287</u>
Deferred Outflows of Resources	
Deferred outflows related to pensions	246,166
Deferred outflows related to OPEB	15,797
Total deferred outflows of resources	<u>261,963</u>
Liabilities	
Current Liabilities	
Outstanding warrants	16,866
Accounts payable	360,327
Unearned revenue	13,050
Due to County	28,912
Due to other agencies	97,690
Compensated absences	35,064
Total current liabilities	551,909
Noncurrent Liabilities	
Compensated absences, net of current portion	50,135
Net pension liability	1,596,837
Net OPEB liability	35,875
Total noncurrent liabilities	<u>1,682,847</u>
Total liabilities	<u>2,234,756</u>
Deferred Inflows of Resources	
Deferred inflows related to pensions	8,828
Deferred inflows related to OPEB	6,568
Total deferred inflows of resources	<u>15,396</u>
Net Position	
Net investment in capital assets	1,172,398
Restricted	175,939
Unrestricted	9,180,761
Total net position	<u>\$ 10,529,098</u>

# First 5 Solano Children and Families Commission

## Statement of Activities

For the Year Ended June 30, 2021

	Governmental Activities
Program Expenses	
Strategic plan implementation	
Employee services	\$ 1,232,728
Program evaluation costs	178,950
Countywide admin overhead	50,592
Interfund services	21,303
Professional & specialized services	63,914
Rents & leases	131,710
Memberships	7,186
Transportation & travel	4,237
Communication	7,756
Insurance	12,831
Special departmental expense	8,059
Supplies	1,455
Meals/Refreshments	17
Non capitalized equipment	927
Miscellaneous	22,846
Depreciation	327,181
Total strategic plan implementation expenses	<u>2,071,692</u>
Grants	
Family support	835,394
Early mental health	424,458
Child care and development	352,878
Annual grants	132,217
Pre K academy	210,312
Systems change	121,710
Community engagement	83,690
Co-sponsorship of conferences	6,000
Help me grow	288,098
Oral health	13,318
Emergency Response	108,411
Home visiting coordination	33,998
Total grant expenses	<u>2,610,484</u>
Total program expenses	<u>4,682,176</u>
Program Revenues	
Operating grants and contributions	4,111,127
Charges for services	643,133
Donations/grants	424,864
Total program revenues	<u>5,179,124</u>
Net program revenues	<u>496,948</u>
General Revenues	
Investment income	88,432
Total general revenues	<u>88,432</u>
Change in net position	585,380
Net Position- Beginning Of Year	<u>9,943,718</u>
Net Position- End Of Year	<u>\$ 10,529,098</u>

See Notes to Financial Statements

# First 5 Solano Children and Families Commission

Balance Sheet

June 30, 2021

	General Fund
Assets	
Cash and investments in County Treasury	\$ 10,631,100
Accounts receivable	199
Due from County	302,169
Due from State Commission	367,937
Due from others	33,000
Prepaid items	10,484
Total assets	<u>\$ 11,344,889</u>
Liabilities	
Outstanding warrants	\$ 16,866
Accounts payable	360,327
Unearned revenue	13,050
Due to County	28,912
Due to other agencies	97,690
Total liabilities	<u>516,845</u>
Deferred Inflows of Resources	
Unavailable revenue	<u>33,000</u>
Fund Balance	
Nonspendable	10,484
Restricted	175,939
Committed	3,620,188
Assigned	473,931
Unassigned	6,514,502
Total fund balance	<u>10,795,044</u>
Total liabilities, deferred inflows of resources and fund balance	<u>\$ 11,344,889</u>

First 5 Solano Children and Families Commission  
Reconciliation of the Balance Sheet of the Governmental Fund to the Statement of Net Position  
June 30, 2021

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Governmental Fund Balance	\$ 10,795,044
Amounts reported for governmental activities in the statement of net position are different because:	
Capital assets, net of accumulated depreciation used in governmental activities are not financial resources and, therefore, are not reported in governmental funds.	1,172,398
Deferred inflows and outflows of resources related to the net pension liability are not due and payable in the current period and therefore, are not reported in the funds:	
Deferred outflows of resources related to pensions	246,166
Deferred inflows of resources related to pensions	(8,828)
Deferred inflows and outflows of resources related to the net OPEB liability are not due and payable in the current period and therefore, are not reported in the funds:	
Deferred outflows of resources related to OPEB	15,797
Deferred inflows of resources related to OPEB	(6,568)
Long-term liabilities are not due and payable in the current period and, therefore, are not reported in the funds:	
Compensated absences	(85,199)
Long-term obligations - net pension liability	(1,596,837)
Long-term obligations - net OPEB liability	(35,875)
Deferred inflows recorded in governmental fund financial statements resulting from activities in which revenues were earned but funds were not available, were recognized as revenues in the Government-Wide Financial Statement.	<u>33,000</u>
Net position of governmental activities	<u><u>\$ 10,529,098</u></u>

First 5 Solano Children and Families Commission  
Statement of Revenues, Expenditures and Changes in Fund Balance of the  
Governmental Fund to the Statement of Activities  
For the Year Ended June 30, 2021

	<u>General Fund</u>
Revenues	
Intergovernmental revenues	\$ 3,946,392
Intergovernmental revenues - CARES Act	164,735
Charges for services	643,133
Investment income	88,432
Donations/grants	458,364
Total revenues	<u>5,301,056</u>
Expenditures	
Current	
Strategic plan implementation:	
Employee services	1,105,147
Program evaluation costs	178,950
Countywide admin overhead	50,592
Interfund services	21,303
Professional & specialized services	63,914
Rents & leases	131,710
Memberships	7,186
Transportation & travel	4,237
Communication	7,756
Insurance	12,831
Special departmental expense	8,059
Supplies	1,455
Meals/Refreshments	17
Non capitalized equipment	927
Miscellaneous	22,846
Total strategic plan implementation expenditures	<u>1,616,930</u>
Grants	
Family support	835,394
Early mental health	424,458
Child care and development	352,878
Annual grants	132,217
Pre K academy	210,312
Systems change	121,710
Community engagement	83,690
Co-sponsorship of conferences	6,000
Help me grow	288,098
Oral health	13,318
Emergency response	108,411
Home visiting coordination	33,998
Total grant expenditures	<u>2,610,484</u>
Total expenditures	<u>4,227,414</u>
Net Change in Fund Balance	<u>1,073,642</u>
Fund Balance - Beginning	<u>9,721,402</u>
Fund Balance - Ending	<u>\$ 10,795,044</u>

See Notes to Financial Statements

First 5 Solano Children and Families Commission  
Reconciliation of the Statement of Revenues, Expenditures and Changes in Fund Balance of the  
Governmental Fund to the Statement of Activities  
For the Year Ended June 30, 2021

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Changes in fund balance - governmental funds	\$ 1,073,642
Amounts reported for governmental activities in the statement of activities are different because:	
Governmental funds report capital outlays as expenditures. However, in the statement of activities the cost of capital assets is allocated over their estimated useful lives and reported as depreciation expense.	
Depreciation Expense	(327,181)
Compensated absences expenses reported in the statement of activities do not require the use of current financial resources and therefore are not reported as expenditures in governmental funds. This amount represents the net change in the compensated absences liability.	(23,722)
Governmental funds report OPEB plan contributions as expenditures. However, in the statement of activities, OPEB expense is measured as the change in net OPEB liability and the amortization of deferred outflows and inflows related to OPEB. The following amount reflect changes in the OPEB related balances.	8,651
Governmental funds report pension contributions as expenditures. However, in the statement of activities, pension expense is measured as the change in net pension liability and the amortization of deferred outflows and inflows related to pensions. The following amount reflect changes in the pension related balances.	(112,510)
In the Governmental Funds, some current year receivables are recorded as unavailable revenue due to income not being available. In the Government-Wide Statements, these amounts are recognized as income on the full accrual basis of accounting.	(33,500)
Change in net position of governmental activities	<u>\$ 585,380</u>

**Note 1 - Summary of Significant Accounting Policies****Reporting Entity**

Solano County is a political subdivision of the State of California. An elected, five-member Board of Supervisors governs the County.

First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California (County), was organized on July 8, 1999, by the Solano County Board of Supervisors through the adoption of Ordinance No. 1579 in accordance with the California Children and Families Act of 1998. The Commission currently operates under the State of California Health and Safety Code§ 130100-130155 and Solano County Code§ 7.3. The purpose of the Commission is to promote, support, and improve the early development of children from the prenatal stage through five years of age. The First 5 Solano Commission is primarily funded by a surtax imposed statewide on the sale and distribution of cigarettes and other tobacco related products.

The Commission consists of nine members encompassing a myriad of professional and personal experience. The Board of Supervisors of Solano County approves the appointment of each Commission member's four-year term.

**Basis of Accounting Measurement Focus**

The basic financial statements of the Commission are composed of the following:

- Government-wide financial statements
- Fund financial statements
- Notes to the basic financial statements

**Government-wide Financial Statements**

Government-wide financial statements consist of the statement of net position and the statement of activities. These statements are presented on an economic resources measurement focus. All economic resources and obligations of the reporting government are reported in the financial statements.

The government-wide financial statements have been prepared on the accrual basis of accounting. Revenues, expenses, gains, losses, assets, and liabilities resulting from exchange and exchange-like transactions are recognized when the exchange takes place. Revenues, expenses, gains, losses, assets and liabilities resulting from non-exchange transactions are recognized in accordance with the requirements of GASB Statement No. 33.

**Fund Financial Statements**

The fund financial statements consist of the balance sheet and the statement of revenues, expenditures and changes in fund balance of the Commission's general fund. These statements are presented on a current financial resources measurement focus. Generally, only current assets, deferred outflows of resources, current liabilities and deferred inflows of resources are included on the balance sheet. The statement of revenues, expenditures and changes in fund balance for the governmental fund generally presents inflows (revenues) and outflows (expenditures) in net current position. All operations of the Commission are accounted for in the general fund.

The fund financial statements have been prepared on the modified accrual basis of accounting. Revenues are recognized in the accounting period in which they become both measurable and available to finance expenditures of the current period. Revenues are considered available if they are received within 90 days after year-end. Revenues susceptible to accrual include tax revenues, grant revenues and investment income. Expenditures are recognized in the accounting period in which the fund liability is incurred except for compensated absences, which are recognized when due and payable at year-end.

**Adjustments Between Fund Financial Statements and Government-Wide Financial Statements****Deferred Outflows/Inflows of Resources**

In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, *deferred outflows of resources*, represents a consumption of net position that applies to a future period(s) and so will *not* be recognized as an outflow of resources expense/expenditure until then. The Commission reports deferred outflows related to pensions and other post-employment benefits (OPEB). Refer to additional details in note 5 and note 6.

In addition to liabilities, the statement of net position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, *deferred inflows of resources*, represents an acquisition of net position that applies to a future period(s) and so will *not* be recognized as an inflow of resources (revenue) until that time. In the fund financial statements, the Commission has one item which arises only under a modified accrual basis of accounting that qualifies for reporting in this category. The governmental fund reports unavailable revenues from intergovernmental revenues that have not been received within the period of availability. This amount is deferred and recognized as an inflow of resources in the period that the amounts become available. The Commission also reports deferred inflows related to pensions and OPEB. Refer to additional details in note 5 and note 6.

**Compensated Absences**

As of June 30, 2021, the Commission estimated its liability for vested compensated absences to be \$85,199. Compensated absence obligations are considered long-term in nature and are reported in the fund financial statements as expenditures in the period paid or when due and payable at year-end under the modified accrual basis of accounting. The compensated absences have been accrued in the government wide financial statements and are included in liabilities. The compensated absences are liquidated by the general fund.



**Net Position**

Net position can be displayed in three components:

- Net investment in capital assets - Consists of capital assets including restricted capital assets, net of accumulated depreciation. At June 30, 2021, \$1,172,398 was classified as net investment in capital assets.
- Restricted net position – Consists of resources in the net position with constraints placed on the use either by (1) external groups such as creditors, grantors, contributors or laws or regulations of other governments; or (2) law through constitutional provisions or enabling legislation. At June 30, 2021, \$175,939 was classified as restricted.
- Unrestricted net position – All other resources making up net position that do not meet the definition of “restricted” or “net investment in capital assets.” At June 30, 2021, \$9,180,761 was unrestricted.

The Commission applies restricted resources when an expense is incurred for purposes for which both restricted and unrestricted net position is available.

**Fund Balance Classification**

Fund balance can be displayed under the following components:

- *Nonspendable Fund Balance* – includes elements of fund balance that cannot be spent because of their form, or because they must be (a) assets that will never convert to cash, such as prepaid items, or (b) resources that must be held intact pursuant to legal or contractual requirements.
- *Restricted Fund Balance* – includes resources that are subject to constraints that are externally enforceable legal restrictions.
- *Committed Fund Balance* – includes amounts that meet one of the following two criteria; (a) use of funds is constrained by limits imposed by formal action of the Commission and removal or (b) modification of use of funds can be accomplished only by the same formal action of the Commission. The Solano First 5 Commission is the government's highest level of decision-making authority; and the formal action required to be taken to establish, modify, or rescind a fund balance restriction is a majority vote by the Commission.
- *Assigned Fund Balance* – The assigned portion of the fund balance policy reflects a commission's intended use of resources, which is established either by the First 5 Solano Commission, a body created by the commission, such as the commission finance committee, or an official designated by the commission (e.g., an Executive Director).
- *Unassigned Fund Balance* – includes resources in fund balance that cannot be classified into any of the other categories.

The Commission has evaluated the composition of its fund balance and has reported the following categories:

- *Nonspendable* – At June 30, 2021, the Commission reported \$10,484 as nonspendable for prepaid items.
- *Committed* – At June 30, 2021, the Commission reported \$3,620,188 as committed for contractual obligations for First 5 program activities approved by the Board of Commissioners.
- *Assigned* – At June 30, 2021, the Commission reported \$473,931 as assigned for contractual obligations for lease expenditures for the Commission's First 5 Vallejo office.
- *Restricted* – At June 30, 2021, the Commission reported \$175,939 as restricted for a grant from Yocha Dehe Wintun Nation for the First 5 Vallejo Center operations.
- *Unassigned* – At June 30, 2021, the Commission reported \$6,524,896 as unassigned.

The Commission follows the County's Spending Priority Policy which states that when an expenditure is incurred for purposes for which both restricted and unrestricted fund balance is available, it is presumed that restricted funds are spent first; and when an expenditure is incurred for purposes for which amounts in any unrestricted fund balances could be used, it is presumed that the committed amounts are spent first, then the assigned amounts, then the unassigned amounts.

### **Pensions**

In government-wide financial statements, retirement plans (pensions) are required to be recognized and disclosed using the accrual basis of accounting regardless of the amount recognized as pension expenditures on the governmental fund statements, which use the modified accrual basis of accounting.

The Commission participates in the County of Solano Pension Plan. In general, the Commission recognizes a net pension liability, which represents the Commission's proportionate share of the excess of the total pension liability over the fiduciary net position reflected in the actuarial report provided by the California Public Employee Retirement System (CalPERS). The net pension liability is measured as of CalPERS prior fiscal year end June 30, 2020. Changes in the net pension liability are recorded, in the period incurred, as pension expense or as deferred inflows of resources or deferred outflows of resources depending on the nature of the change.

The changes in net pension liability that are recorded as deferred inflows of resources or deferred outflows of resources (that arise from changes in actuarial assumptions or other inputs and differences between actuarial or expected experience) are amortized as pension expense beginning with the period in which they occurred.

### **Other Post-Employment Benefits (OPEB)**

In government-wide financial statements, other post-employment benefits (OPEB) are required to be recognized and disclosed using the accrual basis of accounting regardless of the amount recognized as OPEB expenditures on the governmental fund statements, which use the modified accrual basis of accounting.

The Commission participates in the County of Solano Retiree Healthcare Plan. In general, the Commission recognizes a net OPEB liability, which represents the Commission's proportionate share of the excess of the total OPEB liability over the fiduciary net position reflected in the actuarial report provided by the County's actuary. The net OPEB liability is measured as of the year end June 30, 2020. Changes in the net OPEB liability are recorded, in the period incurred, as OPEB expense or as deferred inflows of resources or deferred outflows of resources depending on the nature of the change. The changes in net OPEB liability that are recorded as deferred inflows of resources or deferred outflows of resources (that arise from changes in actuarial assumptions or other inputs and differences between actuarial or expected experience) are amortized as OPEB expense beginning with the period in which they occurred.

**Use of Estimates**

The preparation of financial statements in conformity with generally accepted accounting principles in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

**Cash and Investments in County Treasury**

The Commission's cash and investments is maintained in the County Treasury and is pooled with the County of Solano and various other depositors. The Commission's ability to withdraw large sums of cash from the County Treasury may be subject to certain restrictions set by the County Treasurer. On a quarterly basis, the Treasurer allocates interest to participants based upon their average daily balances. The Solano County Treasury Oversight Committee oversees the Treasurer's investments and policies. The balance of the Commission's investment in the Solano County Treasury pool at June 30, 2021 is \$10,631,100. The County investment pool is not registered with the Securities and Exchange Commission as an investment company.

The County's pooled cash and investments are invested pursuant to investment policy guidelines established by the County Treasurer and approved by the County Board of Supervisors. The objectives of the policy (in order of priority) are: legality, preservation of capital, liquidity, and yield. The policy addresses the soundness of financial institutions in which the County will deposit funds, types of investment instruments as permitted by the California Government Code, and the percentage of the portfolio which may be invested in certain instruments with longer terms of maturity.

**Fair Value Measurements**

The Commission categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets, Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. As of June 30, 2021, the Commission held no individual investments. All funds are invested in the County Treasurer's Investment Pool.

In instances where inputs used to measure fair value fall into different levels in the above fair value hierarchy, fair value measurements in their entirety are categorized based on the lowest level input that is significant to the valuation. The Commission's assessment of the significance of particular inputs to these fair value measurements requires judgment and considers factors specific to each asset or liability. Deposits and withdrawals in the Pools are made on the basis of \$1 and not fair value. Accordingly, the Commission's share of investments in the County Treasurer's Investment Pool at June 30, 2021 is an uncategorized input not defined as a Level 1, Level 2, or Level 3 input.

**Due from County**

Due from county represents amounts due to the Commission for early childhood mental health services provided per terms of the County of Solano Memorandum of Understanding 2014-101.

**Due from State Commission**

Due from other agencies represents amounts due to the Commission from the State (First 5 California Children & Families Commission) as of June 30, 2021 for amounts allocated but not received.

**Due from Others**

Due from others represents amounts due to the Commission from the other organizations as of June 30, 2021 but not received.

**Outstanding Warrants**

Outstanding warrants represent the amount of warrants issued but not yet presented to the County for payment. When warrants are mailed, expenditures are recorded in the Commission's fund and an outstanding warrant liability is created, pending payment of the warrant.

**Accounts Payable**

Accounts payable represents the balance owed for goods received and/or services rendered.

**Due to County**

Due to County represents amounts owed to the County of Solano for grantee services provided by the Department of Health and Social Services.

**Due to Other Agencies**

Due to other agencies represents amounts owed to grantees outside the reporting entity.

## Capital Assets

Capital assets are reported in the governmental activities. Capital assets are defined by the Commission as assets with an initial cost of \$5,000 and an estimated useful life in excess of one year. Such capital assets are recorded at historical cost or estimated historical cost if purchased or constructed. Tenant improvements are depreciated using the straight-line method over the shorter of the lease term or the estimated useful life of 10-40 years. Equipment is depreciated using the straight-line method over an estimated useful life of 3-7 years.

## Effect of New Governmental Accounting Standards Board (GASB) Pronouncements Effective in Current Fiscal Year

**GASB Statement No. 84** – In January 2017, GASB issued Statement No. 84, *Fiduciary Activities*. The objective of this Statement is to improve guidance regarding the identification of fiduciary activities for accounting and financial reporting purposes and how those activities should be reported. This Statement is effective for reporting periods beginning after December 15, 2019. The Commission implemented this statement effective July 1, 2020 and determined that there was no impact on the Commission's financial statements.

**GASB Statement No. 90** – In September 2018, the GASB issued Statement No. 90, *Majority Equity Interests*, An Amendment of GASB Statements No. 14 and No. 61. The objectives of this Statement are to improve the consistency and comparability of reporting a government's majority equity interest in a legally separate organization and to improve the relevance of financial statement information for certain component units. The Statement is effective for reporting periods beginning after December 15, 2019. The Commission implemented this statement effective July 1, 2020 and has determined that there was no impact on the Commission's financial statements.

## Note 2 - Compensated Absences

Changes in compensated absences for the fiscal year ended June 30, 2021, was as follows:

	Balance July 1, 2020	Additions	Retirements	Balance June 30, 2021	Amounts Due Within One Year
Compensated absences	\$ 61,477	\$ 58,786	\$ 35,064	\$ 85,199	\$ 35,064
Total compensated absences	\$ 61,477	\$ 58,786	\$ 35,064	\$ 85,199	\$ 35,064

**Note 3 - Operating Leases**

In March 2019, the Commission entered into a five-year operating lease for the rental of office space in Vallejo. The lease commencement date was based on the later of July 1, 2019 or on the notice of occupancy, which occurred in February 2020. The lease term ends in January 2025. Lease payments made during the fiscal year were \$124,515 as of June 30, 2021. The future minimum lease payments required for this operating lease is as follow:

<u>Fiscal Year Ended June 30</u>	<u>Amount</u>
2022	\$ 127,385
2023	131,207
2024	135,143
2025	80,196
Total	<u>\$ 473,931</u>

**Note 4 - Capital Assets**

Capital asset activity for the fiscal year ended June 30, 2021 is as follows:

	<u>Balance June 30, 2020</u>	<u>Additions</u>	<u>Deletions</u>	<u>Transfers</u>	<u>Balance June 30, 2021</u>
Capital assets being depreciated					
Tenant Improvements	\$ 1,620,918	\$ -	\$ -	\$ -	\$ 1,620,918
Equipment	14,986	-	-	-	14,986
Total capital assets being depreciated	<u>1,635,904</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>1,635,904</u>
Less accumulated depreciation for:					
Tenant Improvements	(135,076)	(324,184)	-	-	(459,260)
Equipment	(1,249)	(2,997)	-	-	(4,246)
Total accumulated depreciation	<u>(136,325)</u>	<u>(327,181)</u>	<u>-</u>	<u>-</u>	<u>(463,506)</u>
Total capital assets being depreciated, net	<u>1,499,579</u>	<u>(327,181)</u>	<u>-</u>	<u>-</u>	<u>1,172,398</u>
Governmental activities capital assets, net	<u>\$ 1,499,579</u>	<u>\$ (327,181)</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,172,398</u>

**Note 5 - Defined Benefit Pension Plan**

**Plan Description** - The Commission employees participate in the County of Solano's Miscellaneous defined benefit pension plan administered by the State of California Public Employees' Retirement System (CalPERS), an agent multiple-employer public employee retirement system that acts as a common investment and administrative agent for California cities and governmental jurisdictions, which participate in this retirement plan. For financial reporting purposes, the Commission reports a proportionate share of the County's collective net pension liability, pension expense, and deferred inflows and outflows. Accordingly, the disclosures and required supplementary information have been reported for the Commission as a cost sharing participant.

**Benefits Provided** - CalPERS provides service retirement and disability benefits, annual cost of living adjustments and death benefits to plan members, who must be public employees and beneficiaries. Benefits are based on years of credited service, equal to one year of full-time employment. All members are eligible for non-duty disability benefits after 10 years of service. The death benefit is one of the following: the Basic Death Benefit, the 1957 Survivor Benefit, or the Optional Settlement 2W Death Benefit. The cost of living adjustments for each plan are applied as specified by the Public Employees' Retirement Law.

**Contributions** - Rates for the County's contributions are set by CalPERS based upon annual experience of County members and on periodic actuarial valuations. The contribution rate for the Commission is established by the County. The Commission contributes the full amount of the employees' 7.407 percent share of contributions after five years of CalPERS qualifying experience. The employer contribution rate for the fiscal year ended June 30, 2021, is 10.997 percent. For the fiscal year ended June 30, 2021, the Commission was required to contribute \$183,632 to the Plan.

**Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions**

At June 30, 2021, the Commission reported a liability of \$1,596,837 for its proportionate share of the County's net pension liability. The net pension liability was measured as of June 30, 2020, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2019. The Commission's proportion of the County's net pension liability was based on the Commission's actual contributions to the County's pension plan relative to the total contributions of the County as a whole. At June 30, 2020, the Commission's proportionate share was 0.3369 percent and at June 30, 2021 the Commission's proportionate share was 0.3266 percent, a decrease of 0.010 percent.

# First 5 Solano Children and Families Commission

Notes to Financial Statements

June 30, 2021

For the year ended June 30, 2021, the Commission recognized pension expense of \$112,510. At June 30, 2021, the Commission reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Changes in assumptions	\$ -	\$ 4,623
Difference between expected and actual experience	30,979	4,205
Net difference between projected and actual earnings on pension plan investments	31,555	-
Employer contributions paid by the Commission subsequent to the measurement date	183,632	-
Total	<u>\$ 246,166</u>	<u>\$ 8,828</u>

\$186,632 reported as deferred outflows of resources related to the Commission's contributions to the County's plan subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2022. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to the Commission's proportion of the County's pension plan will be recognized in pension expense as follows:

<u>Year ended June 30,</u>	
2022	\$ (370)
2023	17,036
2024	20,231
2025	<u>16,809</u>
Total	<u>\$ 53,706</u>

**Actuarial assumptions** - The Commission's proportion of the County's total pension liability in the June 30, 2019 actuarial valuation was determined using the following actuarial assumptions, applied to all periods included in the measurement:

Actuarial valuation date	June 30, 2019
Measurement date	June 30, 2020
Actuarial cost method	Entry-Age Normal Cost Method
Actuarial Assumptions:	
Discount Rate	7.15%
Inflation	2.50%
Projected Salary increases	Varies by Entry Age and Service
Cost of Living Adjustments:	2.50%
Payroll Growth:	2.75%



The mortality table used was developed based on CalPERS's specific data. The table includes 15 years of mortality improvements using 90% Scale MP-2016 published by the Society of Actuaries. For more details on this table, please refer to the 2017 experience study report available on CalPERS website at [www.calpers.ca.gov](http://www.calpers.ca.gov).

All other actuarial assumptions used in the June 30, 2019 valuation were based on the results of an actuarial experience study for the period from 1997 to 2015, including updates to salary increase, mortality and retirement rates. The Experience Study report can be obtained at CalPERS' website under Forms and Publications.

The long term expected rate of return on pension plan investments was determined using a building block method in which best estimates ranges of expected future real rates of return (expected returns, net of plan investment expense and inflation) are developed for each major asset class. In determining the long-term expected rate of return, CalPERS staff took into account both short-term and long-term market return expectations as well as the expected pension fund cash flows. Such cash flows were developed assuming that both members and employers will make their required contributions on time and as scheduled in all future years. Using historical returns of all the funds' asset classes, expected compound (geometric) returns were calculated over the short-term (first 10 years) and the long-term (11-60 years) using a building-block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equivalent to the single equivalent rate calculated above and rounded down to the nearest one quarter of one percent.

The table below reflects the long-term expected real rate of return by asset class net of administrative expenses:

Asset Class	Target Allocation	Real Return, Years 1 -10	Real Return 11+
Global equity	50.0%	4.80%	5.98%
Fixed income	28.0%	1.00%	2.62%
Inflation assets	0.0%	0.77%	1.81%
Private equity	8.0%	6.30%	7.23%
Real assets	13.0%	3.75%	4.93%
Liquidity	1.0%	0.00%	-0.92%
	<u>100.00%</u>		

**Discount rate** - The discount rate used to measure the total pension liability was 7.15 percent. To determine whether the municipal bond rate should be used in the calculation of a discount rate for each plan, CalPERS stress tested plans that would most likely result in a discount rate that would be different from the actuarially assumed discount rate. Based on the testing of the plans, the tests revealed the assets would not run out. Therefore, the current 7.15 percent discount rate is appropriate, and the use of the municipal bond rate calculation is not deemed necessary. The long-term expected discount rate of 7.15 percent is applied to all plans in the Public Employees Retirement Fund. The stress test results are presented in a detailed report called "GASB Crossover Testing Report" that can be obtained at CalPERS' website under the GASB 68 section.

**Sensitivity of the Commission's proportionate share of the County's net pension liability to changes in the discount rate** - The following table presents the Commission's proportionate share of the County's net pension liability calculated using the discount rate of 7.15 percent, as well as what the Commission's proportionate share of the County's net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.15%) or 1-percentage-point higher (8.15%) than the current rate:

	1.00% Decrease (6.15%)	Current Discount Rate (7.15%)	1.00% Increase (8.15%)
Commission's proportionate share of the County's net pension liability	\$ 2,320,080	\$ 1,596,837	\$ 995,499

**Pension plan fiduciary net position** - Detailed information about the County's collective net pension liability is available in the County's separately issued Annual Comprehensive Financial Report (ACFR). The County's financial statements may be obtained by contacting the County of Solano, Auditor-Controller's Office at 675 Texas Street, Suite 2800, Fairfield, California 94533 or visiting the County's website at [https://www.solanocounty.com/depts/auditor/finance\\_reports.asp](https://www.solanocounty.com/depts/auditor/finance_reports.asp).

Detailed information about the CalPERS fiduciary net position is available in a separately issued CalPERS financial report. Copies of the CalPERS annual report may be obtained from CalPERS Headquarters, Lincoln Plaza North, 400 Q Street, Sacramento, California 95811, or visiting [www.calpers.ca.gov](http://www.calpers.ca.gov).

## Note 6 - Other Post-Employment Benefits (OPEB)

### Plan Description

The Commission participates in County of Solano Multi-Employer Defined Benefit Healthcare Plan administered by the CalPERS. The plan provides postemployment healthcare benefits to eligible retirees by contributing a minimum of \$139 per month towards medical insurance benefits. This benefit is provided based on the Board of Supervisor's election to participate under the Public Employees' Medical and Hospital Care Act (PEMHCA) [Government Code Section 22750]. The County's Board may elect to pay more than the minimum contribution; however, the County's Board has elected to pay the minimum contribution of \$139 per month per eligible retiree. The County has not executed a formal plan document that provides for these benefits and the plan does not have a name.

In addition, the County established an irrevocable trust to pre-fund the other postemployment Annual Required Contribution benefits with the Public Agency Retirement Services (PARS). The PARS financial statements and additional reports can be obtained from the PARS website at <http://www.PARS.org>.

For financial reporting purposes, the Commission reports a proportionate share of the County's collective net OPEB liability, OPEB expense, and deferred inflows and outflows of resources. Accordingly, the disclosures and required supplementary information have been reported for the Commission as a cost sharing participant.

### Contributions

The plan and its contribution requirements are established by memorandums of understanding with the applicable employee bargaining units and may be amended by agreements between the County and the bargaining units. The annual contribution is based on the actuarially determined contribution. Currently, plan members are required to pay the balance of the premiums. The Commission contributed \$15,008 for the fiscal year ended June 30, 2021.

### OPEB Liabilities, OPEB Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB

At June 30, 2021, the Commission reported a liability of \$35,875 for its proportionate share of the net OPEB liability. The net OPEB liability was measured as of June 30, 2020, and the total OPEB liability used to calculate the net OPEB liability was determined by an actuarial valuation as of January 1, 2019. The Commission's proportion of the net OPEB liability was based on a projection of the Commission's contributions to the OPEB plan relative to the projected contributions of all participating member agencies. At June 30, 2020, the Commission's proportionate share was 0.2154 percent and at June 30, 2021 the Commission's proportionate share was 0.2406 percent, an decrease of 0.0252 percent.

For the year ended June 30, 2021, the Commission recognized OPEB expense of \$7,644. At June 30, 2021, the Commission reported deferred outflows of resources and deferred inflows of resources related to OPEB from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
OPEB contributions subsequent to measurement date	\$ 15,008	\$ -
Changes in assumptions	-	(1,316)
Differences between actual and expected experience	-	(5,252)
Net difference between projected and actual earnings on plan investments	789	-
Total	<u>\$ 15,797</u>	<u>\$ (6,568)</u>

# First 5 Solano Children and Families Commission

Notes to Financial Statements

June 30, 2021

\$15,008 reported as deferred outflows of resources related to the Commission's contributions subsequent to the measurement date will be recognized as a reduction of the net OPEB liability in the year ended June 30, 2022. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to OPEB will be recognized in OPEB expense as follows:

<u>Year ended June 30,</u>	<u>Amortization</u>
2022	\$ (1,503)
2023	(848)
2024	(737)
2025	(646)
2026	(1,131)
2027	(914)
Total	<u>\$ (5,779)</u>

**Actuarial Assumptions** – The total OPEB liability in the January 1, 2019 actuarial valuation was determined using the following actuarial assumptions, applied to all periods included in the measurement, unless otherwise specified:

Actuarial Cost Method	Entry-Age Normal Cost Method
Actuarial Assumptions:	
Valuation Date	January 1, 2019
Discount Rate	6.00%
Inflation	2.75%
Investment Rate of Return	6.00%
Medical Trend	Non-Medicare - 7.5% for 2020, decreasing to an ultimate rate of 4.0% in 2076 and later years
	Medicare - 6.5% for 2020, decreasing to an ultimate rate of 4.0% in 2076 and later years
Mortality Improvement	Mortality projected fully generational with Scale MP-2018
Salary Increase	3% Annually
Healthcare Participation	50%

**Discount Rate** – The discount rate used to measure the total OPEB liability was 6.00 percent for the plan. The projection of cash flows used to determine the discount rate assumed that employee contributions will be made at the current contribution rate and that the Commission's contributions will be made at rates equal to the difference between actuarially determined contributions rates and the employee rate. Based on those assumptions, the plan's fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total OPEB liability.

The long-term expected rate of return on plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of OPEB plan investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding expected inflation.

The target asset allocation and most recent best estimates of arithmetic real rates of return for each major asset class are summarized in the following table:

<u>Asset Class</u>	<u>Target Allocation</u>	<u>Long-Term Expected Real Rate of Return</u>
Global Equity	58.0%	4.82%
Fixed Income	35.0%	1.47%
REITs	2.0%	3.76%
Cash	5.0%	0.06%
Total	100%	

**Sensitivity of the Commission's Proportionate Share of the Net OPEB Liability to Changes in the Discount**

**Rate** – The following presents the Commission's proportionate share of the net OPEB liability, as well as what the Commission's proportionate share of the net OPEB liability would be if it were calculated using a discount rate that is 1-percentage-point lower (5.0%) or 1-percentage-point higher (7.0%) than the current discount rate:

	<u>1% Decrease (5.0%)</u>	<u>Discount Rate (6.0%)</u>	<u>1% Increase (7.0%)</u>
Net OPEB Liability	\$ 56,917	\$ 35,875	\$ 18,508

**Sensitivity of the Commission's Proportionate Share of the Net OPEB liability to Changes in the Healthcare Cost Trend Rates** – The following presents the Commission's proportionate share of the net OPEB liability, as well as what the Commission's proportionate share of the net OPEB liability would be if it were calculated using healthcare cost trend rates that are 1-percentage-point lower or 1-percentage-point higher than the current healthcare cost trend rates:

	<u>1% Decrease</u>	<u>Current Trend Rate*</u>	<u>1% Increase</u>
Net OPEB Liability	\$ 14,073	\$ 35,875	\$ 63,047

\* Non-Medicare trend rate of 7.5%, decreasing to an ultimate rate of 4.0% in 2076.

Medical trend rate of 6.5%, decreasing to an ultimate rate of 4.0% in 2076.

**OPEB Plan Fiduciary Net Position** – Detailed information about the OPEB plan's fiduciary net position is available in the separately issued CalPERS financial reports.

#### **Note 7 - Program Evaluation**

In accordance with the *Standards and Procedures for Audits of California Counties Participating in the California Children and Families Program*, issued by the California State Controller, the Commission is required to disclose the amounts expended during the fiscal year on program evaluation. Program evaluation costs pertain to those activities undertaken to support the collection, production, analysis and presentation of evaluation information for Commission management, Commissioners and other interested parties.

For the year ended June 30, 2021, the Commission spent \$178,950 on program evaluation.

#### **Note 8 - Risk Management**

The Commission through Solano County carries coverage administered through the Solano County Risk Management Division, for all risks under a multi-peril policy, including accident and property, workers' compensation, and general liability insurance programs.

Solano County also participates in the Public Risk Innovation, Solutions, and Management (PRISM), a joint powers authority created to provide self-insurance programs for California counties.

**Note 9 - Related Party Transactions**

The legally required composition of the Children and Families Commission includes a County Supervisor, two County Health & Social Services staff members and representatives of agencies and constituencies concerned with children. Some the programs funded by the Commission are operated by organizations represented by Commissioners. Commissioners abstain from voting on and participating in discussions directly related to their respective organizations. Below is a list of Commissioner/organization relations and agreements:

<u>Related Party</u>	<u>Fiscal Year 2020/21 Expenses</u>
County of Solano	\$ 87,065
Solano County Office of Education	331,833
Parents By Choice	202,320

The Commission incurred expense of \$39,750 for Early Periodic Screening Diagnosis and Treatment, \$13,318 for Dental Services, and \$33,997 for Home Visitation Coordination provided by the County of Solano department of Health and Social Services in fiscal year 2020/21.

The Commission incurred expenses of \$216,501 for services provided related to the Improve and Maximize Programs so All Children Thrive (IMPACT) program, \$30,706 for the Solano Kids Thrive Collective Impact program, \$6,020 for the Triple P – Positive Parenting Program, \$5,390 for an Annual Grant, and \$73,216 for the Raising a Reader program provided by the Solano County Office of Education in fiscal year 2020/21.

The Commission incurred expenses of \$202,320 for services provided related to the Triple P program provided by Parents by Choice in fiscal year 2020/21.

<u>Related Party</u>	<u>Fiscal Year 2020/21 Revenues</u>
County of Solano	\$ 584,504

The Commission earned revenues in the amounts of \$584,504 for Mental Health Services provided to the County of Solano Department of Health and Social Services in fiscal year 2020/21.



Required Supplementary Information  
June 30, 2021

## First 5 Solano Children and Families Commission



First 5 Solano Children and Families Commission  
Schedule of Revenues, Expenditures and Changes in the Fund Balance – General Fund  
Budget and Actual  
Years Ended June 30, 2021

	Budgeted Amounts			Variance with Final Budget Positive (Negative)
	Original	Final	Actual Amounts	
Revenues:				
Intergovernmental revenues	\$ 3,248,694	\$ 3,348,694	\$ 3,946,392	\$ 597,698
Intergovernmental revenues - CARES Act	-	45,887	164,735	118,848
Charges for services	769,396	769,396	643,133	(126,263)
Investment income	161,085	161,085	88,432	(72,653)
Donations/grants	5,000	305,000	458,364	153,364
Total revenues	4,184,175	4,630,062	5,301,056	670,994
Expenditures:				
Current				
Strategic plan implementation				
Employee services	1,140,421	1,144,143	1,105,147	38,996
Program evaluation costs	140,000	179,900	178,950	950
Countywide admin overhead	50,592	50,592	50,592	-
Interfund services	21,030	21,721	21,303	418
Professional & specialized services	95,119	95,119	63,914	31,205
Rents & leases	132,133	132,133	131,710	423
Memberships	7,500	7,500	7,186	314
Transportation & travel	10,400	10,400	4,237	6,163
Communication	12,816	12,816	7,756	5,060
Insurance	12,831	12,831	12,831	-
Special departmental expense	4,725	4,725	8,059	(3,334)
Supplies	4,000	4,000	1,455	2,545
Meals/Refreshments	2,000	2,000	17	1,983
Non capitalized equipment	3,000	3,000	927	2,073
Miscellaneous	34,650	34,650	22,846	11,804
Total strategic plan implementation expenditures	1,671,217	1,715,530	1,616,930	98,600
Grants				
Family support	945,000	1,245,000	835,394	409,606
Early mental health	605,000	565,000	424,458	140,542
Child care and development	385,371	385,371	352,878	32,493
Annual grants	200,000	140,000	132,217	7,783
Pre K academy	200,000	200,000	210,312	(10,312)
Systems change	167,500	169,089	121,710	47,379
Community engagement	102,000	102,000	83,690	18,310
Co-sponsorship of conferences	25,000	25,000	6,000	19,000
Help me grow	300,000	300,000	288,098	11,902
Oral health	-	40,000	13,318	26,682
Emergency response	-	60,000	108,411	(48,411)
Home visiting coordination	-	60,100	33,998	26,102
Total grant expenditures	2,929,871	3,291,560	2,610,484	681,076
Total expenditures	4,601,088	5,007,090	4,227,414	779,676
Net Change In Fund Balance	(416,913)	(377,028)	1,073,642	1,450,670
Fund Balance - Beginning			9,721,402	
Fund Balance - Ending			\$ 10,795,044	

**First 5 Solano Children and Families Commission**  
**Schedule of the Commission's Proportionate Share of the Net Pension Liability - Last Ten Years**  
**Years Ended June 30, 2021**

	2021	2020	2019	2018	2017	2016	2015
Commission's proportion of the net pension liability	\$ 1,596,837	\$ 1,440,709	\$ 1,327,469	\$ 1,139,189	\$ 1,347,787	\$ 1,129,735	\$ 1,370,818
Commission's proportionate share of the County's net pension liability	0.3266%	0.3369%	0.3376%	0.3225%	0.3307%	0.4065%	0.4228%
Commission's covered payroll	\$ 583,314	\$ 549,380	\$ 570,009	\$ 517,198	\$ 586,638	\$ 568,283	\$ 560,411
Commission's proportionate share of the County's net pension liability as a percentage of covered payroll	273.75%	262.24%	232.89%	220.26%	229.75%	198.80%	244.61%
Plan fiduciary net position as a percentage of the total pension liability	72.04%	72.61%	73.40%	72.12%	72.73%	77.48%	79.35%
Measurement date:	June 30, 2020	June 30, 2019	June 30, 2018	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014

**Notes to Schedule:**

\* Fiscal year 2015 was the first year of implementation of GASB 68, therefore, only seven years are shown.

Changes of Assumptions: The discount rate was changed from 7.65% (June 30, 2016 measurement date) to 7.15% (June 30, 2017 measurement date). In 2016, there were no changes. In 2015, amounts reflected an adjustment of the discount rate from 7.5% (net of administrative expense) to 7.65% (without a reduction for pension plan administrative expense). In 2014, amounts reported were based on the 7.5% discount rate.

First 5 Solano Children and Families Commission  
Schedule of Pension Contributions - Last Ten Years  
Years Ended June 30, 2021

	2021	2020	2019	2018	2017	2016	2015
Actuarially determined contributions	\$ 183,632	\$ 160,421	\$ 132,060	\$ 172,253	\$ 392,311	\$ 268,681	\$ 104,947
Contributions in relation to the actuarially determined contribution	<u>183,632</u>	<u>160,421</u>	<u>132,060</u>	<u>172,253</u>	<u>392,311</u>	<u>268,681</u>	<u>104,947</u>
Contribution deficiency (excess)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Commission's covered payroll	\$ 599,237	\$ 583,314	\$ 549,380	\$ 570,009	\$ 517,198	\$ 586,638	\$ 568,283
Contributions as a percentage of covered payroll	30.64%	27.50%	24.04%	30.22%	75.85%	45.80%	18.47%

Notes to Schedule:

\* Fiscal year 2015 was the first year of implementation of GASB 68, therefore, only seven years are shown.

First 5 Solano Children and Families Commission  
Schedule of the Commission's Proportionate Share of the Net OPEB Liability -  
Last Ten Years  
Years Ended June 30, 2021

	2021	2020	2019	2018
Commission's proportion of the net OPEB liability	\$ 35,875	\$ 40,039	\$ 46,029	\$ 56,023
Commission's proportionate share of the net OPEB liability	0.2406%	0.2497%	0.2154%	0.2434%
Commission's covered payroll	\$ 583,314	\$ 549,380	\$ 570,009	\$ 517,198
Commission's proportionate share of the net OPEB liability as a percentage of its covered payroll	6.15%	7.29%	8.08%	10.83%
Plan fiduciary net position as a percentage of the total OPEB liability	77.46%	74.25%	65.40%	60.10%
Measurement Date	June 30, 2020	June 30, 2019	June 30, 2018	June 30, 2017

\* Fiscal year 2018 was the first year of implementation of GASB 75, therefore, only four years are shown.

First 5 Solano Children and Families Commission  
Schedule of OPEB Contributions - Last Ten Years  
Years Ended June 30, 2021

	2021	2020	2019	2018
Contractually determined contribution	\$ 15,008	\$ 14,817	\$ 12,302	\$ 13,638
Contributions in relation to the contractually determined contributions	<u>15,008</u>	<u>14,817</u>	<u>12,302</u>	<u>13,638</u>
Contribution deficiency (excess)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Covered payroll	\$ 599,237	\$ 583,314	\$ 549,380	\$ 570,009
Contributions as a percentage of covered payroll	2.50%	2.54%	2.24%	2.39%

\* Fiscal year 2018 was the first year of implementation of GASB 75, therefore, only four years are shown.

### **Budgetary Information**

The Commission shall conform to Solano County Code § 7.3 for the First 5 Solano Children and Families Commission by approving a budget for the fiscal year in accordance with the Solano County annual budget calendar. The budget shall include anticipated revenues to the First 5 Solano Children & Families Trust Fund and shall provide for carrying out the adopted strategic plan. The budget shall be transmitted to the County Administrator for inclusion in the Final Budget of Solano County.

In accordance with provisions of Sections 29000-29144 of the California Government Code and other statutory provisions, commonly known as the County Budget Act, the County prepares and legally adopts a final balanced budget each fiscal year.

An operating budget prepared on the modified accrual basis is adopted each fiscal year.

Expenditures are controlled at the object level for all departments within the County except for capital outlay expenditures, which are controlled at the sub object level. The legal level of budgetary control is at the department level, which is comprised of the Commission's general fund. This is the level at which expenditures may not legally exceed appropriations.



Supplementary Information  
June 30, 2021

## First 5 Solano Children and Families Commission

First 5 Solano Children and Families Commission

Schedule of Expenses by Fund Source and Net Position of SCCFC Funds for First 5 Programs and Activities

Years Ended June 30, 2021

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Program	Source	Revenue SCCFC Funds	Expenses	Change in Net Position	Net Position Beginning of Year	Net Position End of Year
IMPACT	Solano County Children and Families Commission (SCCFC) Program Funds	\$ 221,402	\$ 221,402	\$ -	\$ -	\$ -





**Independent Auditor's Report on Internal Control over Financial Reporting  
and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in  
Accordance with *Government Auditing Standards***

To the Board of Commissioners  
First 5 Solano Children and Families Commission  
Fairfield, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California, as of and for the year ended June 30, 2021, and the related notes to the financial statements, which collectively comprise the Commission's basic financial statements, and have issued our report thereon dated October 12, 2021.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Commission's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Commission's internal control. Accordingly, we do not express an opinion on the effectiveness of the Commission's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Commission's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

A handwritten signature in black ink that reads "Eide Bailly LLP". The signature is written in a cursive, flowing style.

Sacramento, California  
October 12, 2021



## Independent Auditor's Report on State Compliance

To the Board of Commissioners  
First 5 Solano Children and Families Commission  
Fairfield, California

### Compliance

We have audited the First 5 Solano Children and Families Commission's (Commission), a component unit of the County of Solano, California, compliance with the requirements specified in the State of California's *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*, issued by the State Controller's Office, applicable to the Commission's statutory requirements identified below for the year ended June 30, 2021.

### Management's Responsibility

Management is responsible for compliance with the requirements of the laws and regulations applicable to the California Children and Families Act.

### Auditor's Responsibility

Our responsibility is to express an opinion on the Commission's compliance with the requirements referred to above based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, and the State of California's *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*, issued by the State Controller's Office. Those standards and the State of California's *Standards and Procedures for Audits of Local Entities Administering the Children and Families Act* require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the requirements referred to above that could have a direct and material effect on the statutory requirements listed below. An audit includes examining, on a test basis, evidence about the Commission's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance. However, our audit does not provide a legal determination of the Commission's compliance with those requirements. In connection with the audit referred to above, we selected and tested transactions and records to determine the Commission's compliance with the state laws and regulations applicable to the following items:

Description	Audit Guide Procedures	Procedures Performed
Contracting and Procurement	6	Yes
Administrative Costs	3	Yes
Conflict-of-Interest	3	Yes
County Ordinance	4	Yes
Long-range Financial Plans	2	Yes
Financial Condition of the Commission	1	Yes
Program Evaluation	3	Yes
Salaries and Benefit Policies	2	Yes

### Opinion

In our opinion, the Commission complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on the California Children and Families Program for the year ended June 30, 2021.

### Purpose of Report

The purpose of this report on compliance is solely to describe the scope of our testing over compliance and the results of that testing based on the State of California's *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*, issued by the Controller's Office. Accordingly, this report is not suitable for any other purpose.



Sacramento, California  
October 12, 2021



IT'S ALL ABOUT THE KIDS

**DATE:** October 12, 2021

**TO:** First 5 Solano Children and Families Commission

**FROM:** Lorraine Fernandez, Program Manager

**SUBJ:** **Public Hearing: FY2020/21 Annual Report**

**Motion:** **Approve the First 5 Solano Submission to First 5 California for the FY2020/21 Annual Report**

Each year, the First 5 California Children and Families Commission is required by law to submit to the Legislature and the Governor a report outlining the activities and accomplishments of both the state First 5 Commission and the 58 local First 5 county commissions.

The First 5 Solano submission to First 5 California for its FY2020/21 Annual Report has been prepared in accordance with state requirements for submission by the deadline of October 31, 2021. The report includes a fiscal report, "aggregate data" by program category (service counts and demographics), as well as a snapshot of evaluation activities and system level activities.

As the First 5 California Annual Report submission requirements are relatively narrow and prescriptive following the required elements for state Commission, the report also includes a FY2020/21 Year End Performance Report which outlines the Commission's programs and includes performance information and qualitative data to give a fuller picture of the Commission's investments for FY2020/21; and, a Systems Change Evaluation Report which provides an in depth picture of the continued implementation of the Commission's Systems Change Action Plan.

Attachment A: First 5 Solano FY2020/21 Annual Report

Attachment B: FY2020/21 Annual Report Presentation

October 13, 2021

## COMMISSIONERS

Jennifer Barton

*Chair*

Lisette Estrella-Henderson

Amy Fabi

Erin Hannigan

Gerald Huber

Tiffanee Jones

Nimat Shakoor-Grantham

Marla Stuart

Tyffany Wanberg

Camille Maben

First 5 California

2389 Gateway Oaks Dr, Ste 260

Sacramento, CA 95833

Dear Ms. Maben,

First 5 Solano is pleased to provide its submission to First 5 CA for its FY2020/21 Annual Report. During FY2020/21, First 5 Solano and its partner grantees:

- \* Served over 11,000 Solano residents.
- \* Completed a successful first year of operations at the new Vallejo First 5 Center which opened in February 2020. This Center is located in an area of Vallejo with a high number of risk factors experienced by families. At this one-stop location a full range of dual-generation services are available to support whole-child, whole-family well-being.
- \* Building on the success of the Vallejo First 5 Center, First 5 Solano received \$2 million in the FY2021/22 California State Budget as a result of Assemblymember Jim Frazier's advocacy. This funding will support the establishment of a First 5 Center in Fairfield.
- \* Accessed \$1 million in CARES Act funding to support child care services, including funding for Pop-Up Child Care sites, stipends for child care providers, learning supplies, cleaning supplies, and social distancing equipment for child care providers.
- \* Continued countywide implementation of the *Triple P Positive Parenting Program* through ten agencies serving 1,693 families. 99% of participating parents reported improved knowledge/skills related to parenting.
- \* 2,031 calls from families were received through the *Help Me Grow Solano* call line; 1,583 referrals to services were provided to families.
- \* 41 early care and education sites participated in IMPACT including 16 newly enrolled. In addition, 21 professional development trainings were provided. IMPACT sites remained consistently engaged through virtual coaching, and through deliveries of PPE, supplies and curriculum materials.

## STAFF

Michele Harris

*Executive Director*

Megan Richards

*Deputy Director*

Juanita Morales

*Program Manager*

Gene Ibe

*Program Manager*

Lorraine Fernandez

*Program Manager*

Kwiana Algeré

*Health Education Specialist*

Luke Winders

*Office Assistant III*



First 5 Solano is a  
Division of the Solano  
County Administrator's  
Office

If you have any questions, feel free to contact me at 707-784-1332. Thank you.

Sincerely,

A handwritten signature in blue ink that reads "Michele Harris".

Michele Harris

Executive Director, First 5 Solano

# FIRST 5 SOLANO

## FY2020/21 ANNUAL PERFORMANCE REPORT

### INTRODUCTION

The First 5 Solano FY2020/21 Annual Performance Report provides a snapshot of the performance of the Commission's investments across initiatives and programs in the Strategic Plan Priority areas:

#### Health & Well-Being

Promotes all children being born healthy and maintaining optimal health

#### Early Childhood Learning & Development

Supports high quality early care and education and school readiness

#### Family Support & Parent Education

Strengthens families, provides safe and stable environments for children and support children's development

#### Systems Change

Strategic effort to strengthen, integrate, sustain and expand the early childhood system in Solano County

First 5 Solano grantees report on a quarterly basis on Performance Measures. The First 5 Solano Commission utilizes a Results Based Accountability (RBA) framework, describing the results of the efforts using the following:

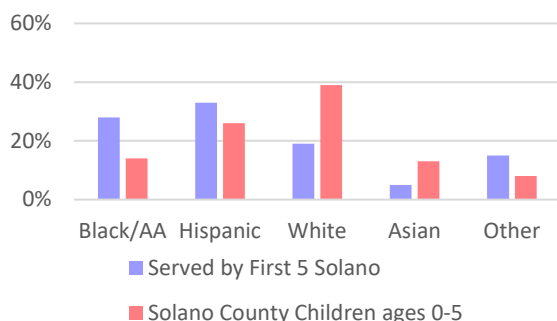


Overall, even with the impacts of the COVID-19 pandemic, grantees achieved 84% of 113 Performance Measures across all contracts, which is comparable to grantee performance in the previous Fiscal Year.

### Demographics

During FY2020/21, 11,037 residents received services from First 5 Solano, a 20% increase from FY2019/20. This increase is primarily attributable to Emergency Response Fund grants, which were one-time grants during FY2020/21 to respond to the COVID-19 pandemic. Of the total served, 48% (5,309) were children ages 0-5, 39% (4,304) were parents, caregivers and other primary family members, and 13% (1,424) were providers of services for children ages 0-5.

Children 0-5 By Race/Ethnicity



When compared to the overall Solano County 0-5 population, children who received services from First 5 Solano were more likely to be African American/Black or Hispanic and less likely to be White than the population for Solano County.

Almost one-fifth (18%) of children served identified as Spanish-speaking, and 63% of children served identified as English-speaking. Other languages reported were Cantonese and Vietnamese.

# TRIPLE P SOLANO

FY2020/21 Outcomes



Triple P is an evidence-based parenting program that has been shown to strengthen families and improve children's developmental outcomes. The program offers varying levels of support, depending on families' needs. First 5 Solano funds classes and consultations throughout the county at three different levels: Level 2 seminars for large groups; Level 3 consultations with families who have children with mild/moderate behavioral challenges; and more intensive Level 4 group and individual positive parenting training sessions for families with greater needs. Triple P services are co-funded by Solano County Mental Health Services Act, who was also able to fund some services for families with children ages 6-12 for FY2020/21.



## HOW MUCH DID WE DO?

- **172 Level 2** seminars with **1,184** attendees
- **232** parents attended **Level 3 Primary Care**
- **35 Level 4 Groups** with **175** attendees
- **102** parents attended **Level 4 Individual**

### Solano County Triple P Providers

- Bay Area Community Resources
- Catholic Charities Yolo-Solano
- Child Haven
- Child Start
- Fairfield-Suisun Adult School
- Fairfield-Suisun Unified School District
- Parents by Choice
- Rio Vista CARE
- Solano County Office of Education
- Solano Family & Children's Services



## HOW WELL DID WE DO IT?

### Staffing

- 27 new facilitators were trained across multiple levels of interventions and accredited by Triple P America.

### Program Implementation

- Two new providers of Triple P services joined the collaborative of agencies offering parent training sessions in Solano County.
- Continued provision of Triple P via virtual format allowed parents flexibility to attend sessions during the pandemic, increasing parent engagement.

### Participant Satisfaction

- 98% of Level 4 participants would recommend this program to another parent.
- 99% of Level 4 participants rated the program overall as "excellent" or "good"



## IS ANYONE BETTER OFF?

Pre and post-participant surveys showed that nearly all parents improved in their parenting knowledge and skills.

*"Being able to talk to other parents about parenting issues as they arise was helpful."* -Triple P participant

### PERCENT OF PARENTS WITH IMPROVED PARENTING SKILLS



N = 1184 (Level 2); 232 (Level 3); 174 (Level 4 Group); 102 (Level 4 Ind)



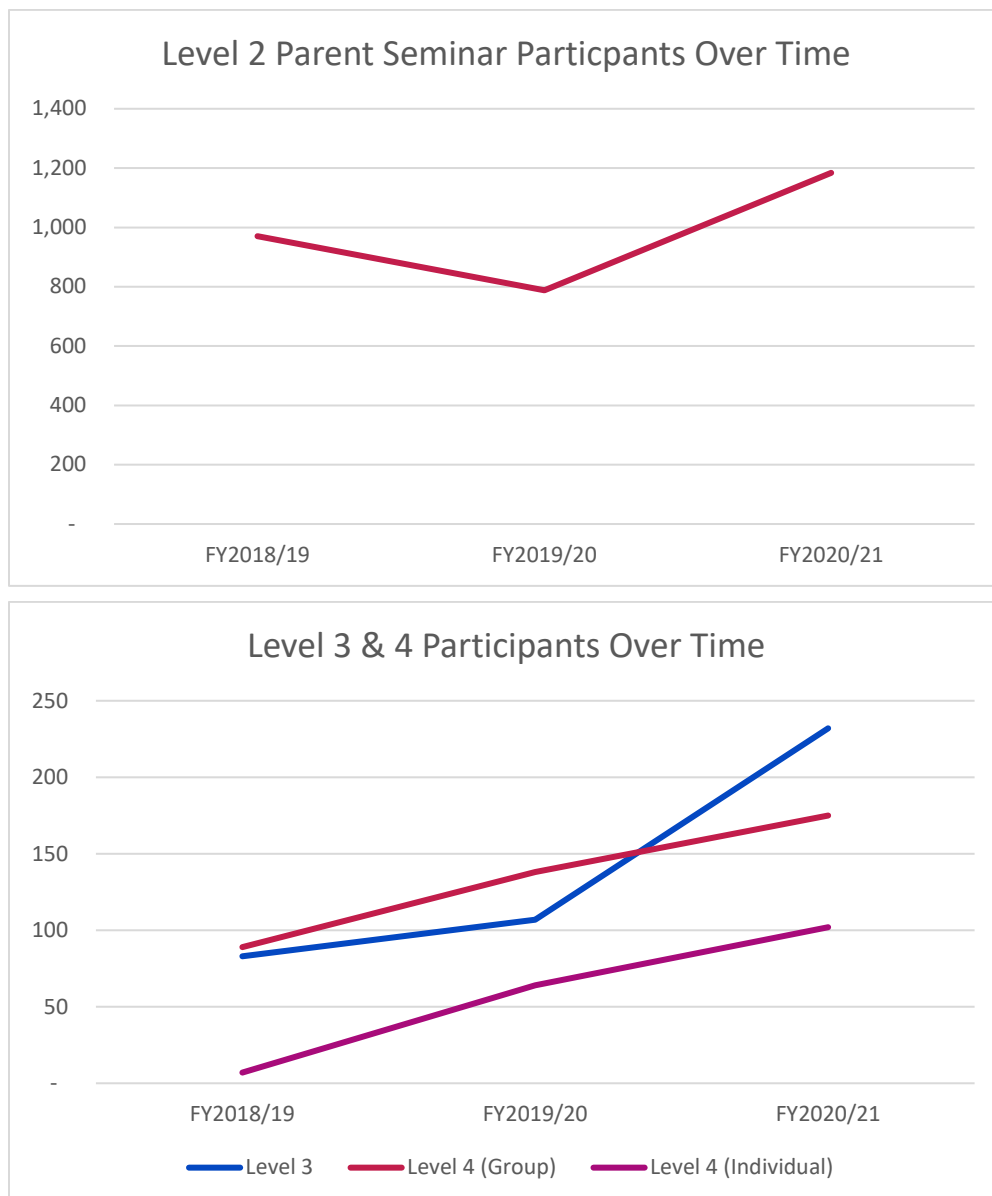
# TRIPLE P SOLANO

FY2020/21 Outcomes

## SERVICES OVER TIME

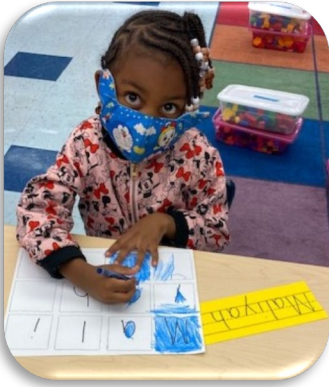
Triple P services begin in Solano County in FY2018/19 with a 3-year growth trajectory to get to the appropriate level of services needed in Solano County. The first year involved a significant amount of training for new facilitators to become accredited in all four interventions. FY2019/20 began full implementation, but was complicated by the COVID-19 pandemic and transitioning services to a virtual environment. In FY2020/21, agencies had found ways to deliver services safely with COVID-19 precautions, and the amount of services (especially more intensive services) increased significantly as seen in the charts below. It is expected that the level of services will continue at the FY2020/21 level for families with very young children.

### Number of Parent Participants in Triple P Interventions



# QUALITY EARLY LEARNING

FY2020/21 Outcomes



**IMPACT** (Improve and Maximize Programs so All Children Thrive) is a quality improvement program funded primarily from a grant from First 5 California. These funds are braided with funds from the California Department of Education and other funds to support Quality Counts Solano. Quality Counts Solano is delivered by Solano County Office of Education and supports quality early learning environments through professional development, coaching support, and technical assistance. In addition, the **Raising a Reader** book sharing program is offered to early childhood education programs to increase literacy and family engagement among high-risk children.



## HOW MUCH DID WE DO?

### IMPACT/Quality Counts

- 42 early learning sites participated in Quality Counts, including 9 newly enrolled this year.
- 21 professional development trainings were offered, with an average of 18 participants attending.
- Over 105 participants attended an early childhood conference hosted by Quality Counts Solano.

### Raising a Reader

- 424 children participated in Raising a Reader, with 19 sites and 27 implementors offering the program.



## HOW WELL DID WE DO IT?

### IMPACT/Quality Counts

- For the first time ever, the 2020 Quality Counts Early Education Conference was offered virtually with a keynote speaker and 16 breakout sessions.
- IMPACT sites remained consistently engaged through virtual coaching, and through deliveries of personal protective equipment, supplies and curriculum materials.

### Raising a Reader

- As sites began to reopen, modifications to the Raising a Reader book rotation included rebuilding the product supply for the program, providing literacy kits for teachers to support distance learning curriculum, and materials for families to engage in literacy activities at home.



## IS ANYONE BETTER OFF?

### IMPACT/Quality Counts

- 93% of sites developed quality improvement plans and made at least one change to enhance the quality of their program, such as adding social emotional learning curriculum materials and adding outdoor learning environments.

### Raising a Reader

- 89% of parents trained in read aloud strategies reported increased knowledge of early literacy.

# QUALITY EARLY LEARNING

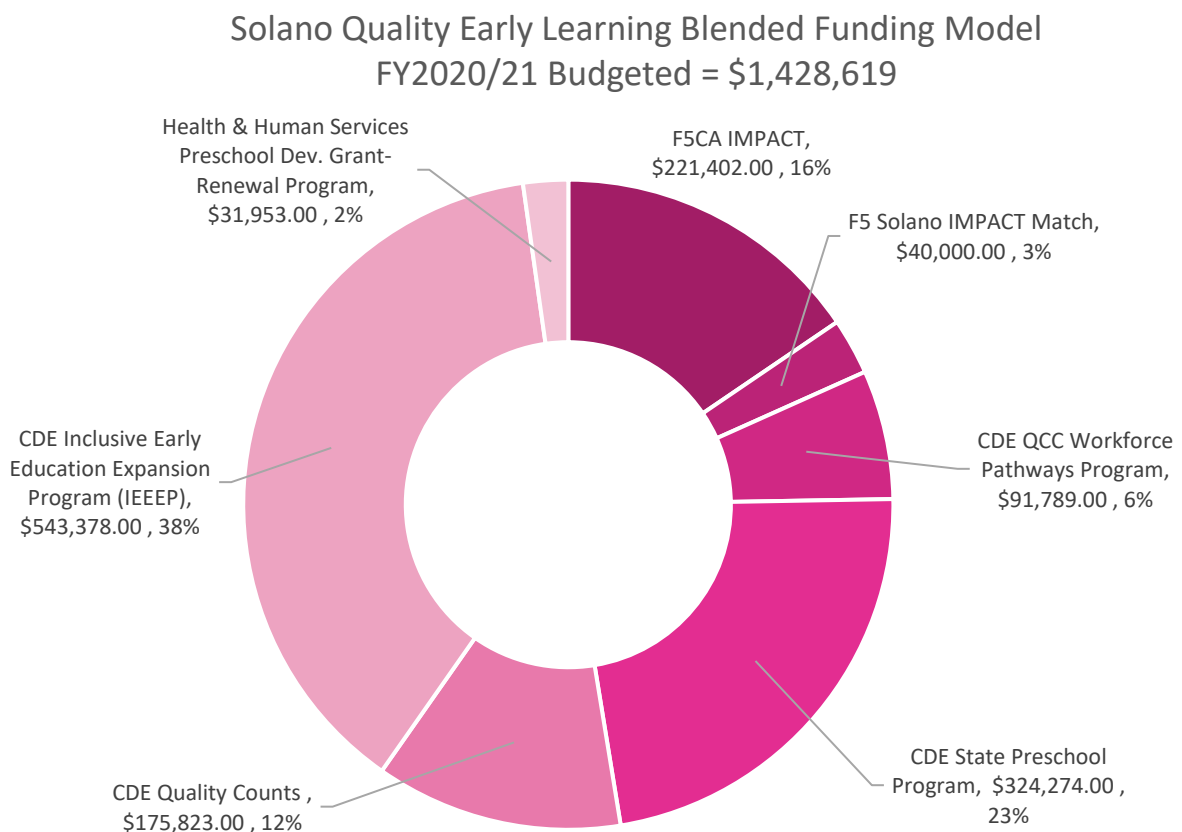
FY2020/21 Outcomes

## UTILIZING A BLENDED FUNDING MODEL

Beginning in 2015, First 5 California has provided funding for the IMPACT program. The current iteration, IMPACT 2020 will operate for three fiscal years, FY2020/21 through FY2022/23.

IMPACT operates as a component of a quality early learning system which also includes multiple grants from the California Department of Education and a grant from the federal Preschool Development program to provide support to different types of early care and learning professionals.

In Solano County, First 5 Solano partners with the Solano County Office of Education who delivers the program utilizing a blended funding model. Below is a chart of the blended funding that supports this program.



# PRE-KINDERGARTEN ACADEMIES

FY2020/21 Outcomes



Pre-Kindergarten (Pre-K) Academies provide quality early learning experiences for children entering kindergarten, with a focus on children who have not participated in pre-school or have other high-risk factors. Pre-K Academies are offered for four weeks in the summer before school starts and include parent engagement activities to connect families to school environments. During the summer of 2020, Pre-K academies were only offered at three school sites due to school closures. The number of children was also limited because of guidelines for smaller cohort groups due to the COVID-19 pandemic. With direction from the Commission, First 5 Solano shifted focus and utilized the unspent funding to provide backpacks full of school supplies to incoming kindergartners.



## HOW MUCH DID WE DO?

- 7 sessions were safely offered through 3 agencies.
- 51 children participated in the program.
- Provided backpacks to 5,500 kindergarten students at 57 elementary schools in Solano County.

### 2020 Pre-K Academies Providers

- Benicia Unified School District
- Fairfield Adult School SPACE program
- Fairfield-Suisun Unified School District



## HOW WELL DID WE DO IT?

- Partnered with Solano County Public Health to provide an oral health kit to each child attending an academy, with a toothbrush, floss, and an age-appropriate book about dental health.
- 5,500 Solano County kindergartners received a backpack with material to support distance learning such as a whiteboard, dry erase markers, counting cubes, crayons, writing paper, pencils, scissors, and more!
- First 5 Solano worked with Applied Survey Research and pre-k stakeholders to review the current Pre-K Academy model and consider any areas that could benefit from a redesign for the 2021 Pre-K Academies. First 5 Solano reaffirmed that the Pre-K Academy model was an effective way to get kids ready for school.



## IS ANYONE BETTER OFF?

- Pre-K Academies have consistently improved participants' readiness for kindergarten. 86% of students were ready to go or nearly ready to go to kindergarten at the end of the summer academy, up from 49% at the start of the academy.
- Parents benefited from the various family engagement activities offered by the academies.

*"Even with the challenges of social distancing many of the students were excited to have an in-person kindergarten experience and were sad to have to leave at the end of 4 short weeks."*

-Pre-K Academy teacher

# PRE-KINDERGARTEN ACADEMIES

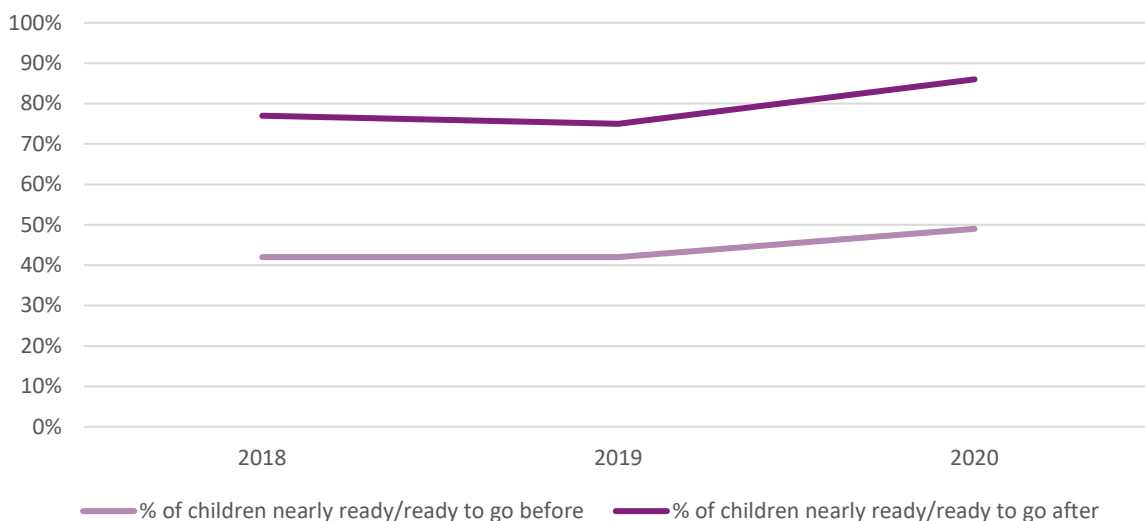
FY2020/21 Outcomes

## READINESS OVER TIME

First 5 Solano's Pre-K Academies have been an effective approach in assisting the successful transition of young children into Kindergarten. In looking at results from previous years, the Pre-K Academies have shown to be a consistent strategy to support the building blocks of early learning and are welcomed by the community.

The chart below shows that over the last three years, between 40-50% of children have started a Pre-K Academy either "nearly ready" or "ready" for kindergarten. After participating in the 4-week program, the number of children "nearly ready" or "ready" increases to between 75-85%; a consistent increase of approximately 35% in just 4 short weeks.

% of Children Nearly Ready/Ready to go to Kindergarten Before and After a Pre-K Academy



# HELP ME GROW SOLANO

FY2020/21 Outcomes



Help Me Grow Solano, an evidence-based program operated by Solano Family & Children's Services, provides a centralized access point to connect young children and families to community-based services. Family navigators provide education and support to families, referrals to resources, and follow up to ensure linkages are successful. Help Me Grow Solano also provides developmental screenings, Triple P Parent Education, and community outreach.



## HOW MUCH DID WE DO?

- 2,031 calls from families were received through the Help Me Grow Solano (HMG Solano) call line; 1,583 referrals to services were provided to families.
- 1,075 families with more than one high risk factor were provided family navigation.
- 380 developmental screens were administered electronically, and 159 screenings were completed in person
- Staff participated in 17 community outreach events or presentations and 25 community or service provider meetings.
- HMG Solano staff engaged with 50 new healthcare providers to inform them of services provided by HMG Solano.



## HOW WELL DID WE DO IT?

- 78% of callers were provided with at least one referral to a program or services.
- HMG Solano provided over 500 developmental screenings which resulted in 167 high risk referrals for significant concerns.
- HMG Solano Family Navigators provided 19 families with enhanced childcare referrals for additional support due to their child's behavior or development.



## IS ANYONE BETTER OFF?

- 91% of families that were provided a referral reported that they were successfully connected to services at follow-up.

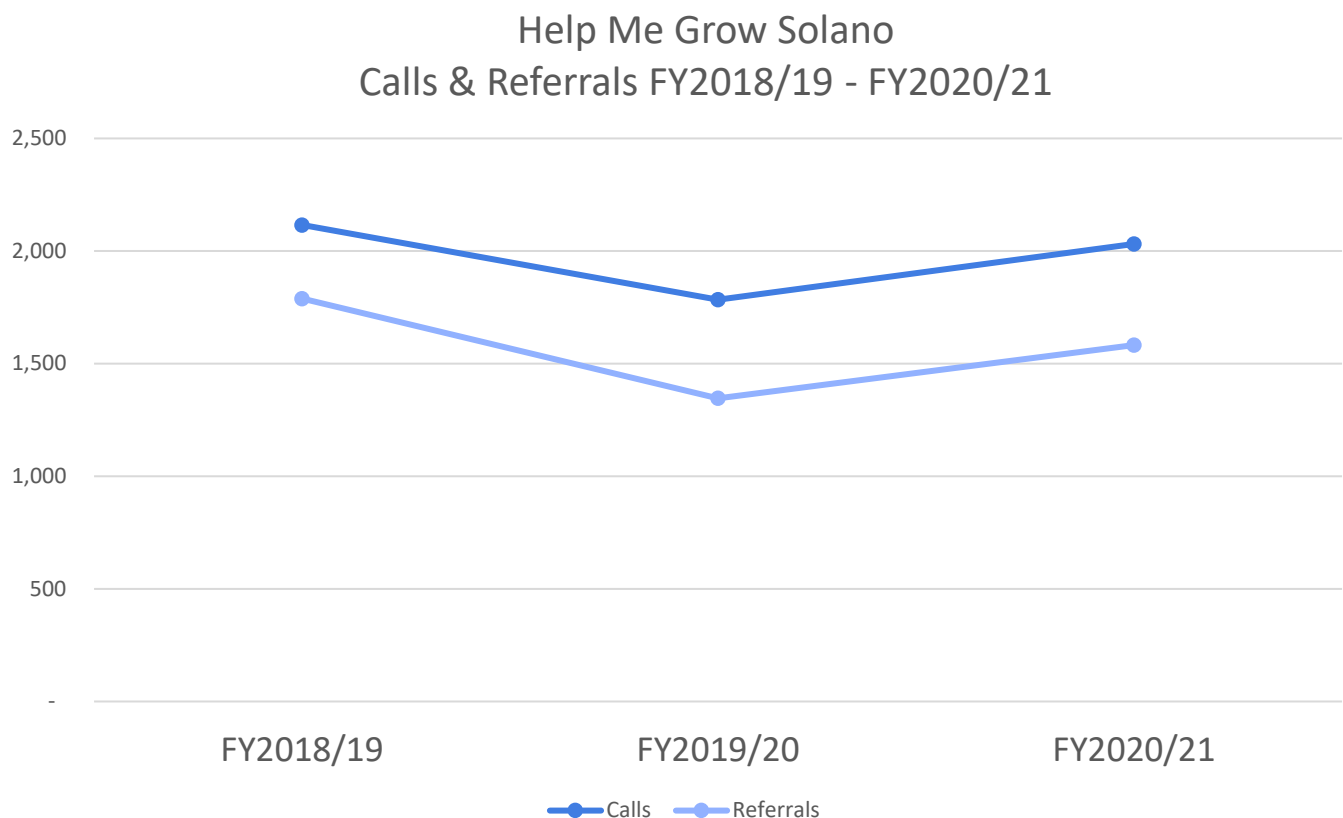
*A father reached out to HMG Solano requesting help to find a preschool for his son because he felt his son needed more opportunities to socialize with other children his age. When talking to him about his concerns, HMG Solano staff found out that he had not been working since the pandemic began. He was feeling overwhelmed with anxiety, panic attacks and depression. The family was also behind on their rent and utilities. HMG Solano staff provided resources and referrals for mental health, preschool, rental assistance and assistance for paying the utility bills. On the follow up telephone call this client was a different person over the phone! He was so happy and grateful that he found HMG Solano, and that he was able to get so many resources.*

# HELP ME GROW SOLANO

FY2020/21 Outcomes

## CONNECTIONS OVER TIME

Help Me Grow (HMG) Solano continues to support families through their call line by providing resources and referrals to appropriate agencies, and follow-up to ensure that linkages are successful. In FY2019/20 HMG Solano experienced a decrease in both calls and referrals due to the COVID-19 pandemic. The calls decreased as fewer families were calling for services due to stay at home orders and concerns about the safety of going out in public. The referrals decreased because many agencies were closed or providing limited services. In FY2020/21 HMG Solano had an increase in calls and referrals as agencies began to re-open and families became more comfortable in engaging with services.





# VALLEJO FIRST 5 CENTER

FY2020/21 Outcomes



The Vallejo First 5 Center is an innovative place-based hub where parents and caregivers with young children receive information and support and are connected to resources in their community. The Center promotes and supports healthy behavior, social and emotional development, and school readiness of children, as well as provide parents the tools they need to develop positive parent child-relationships and be connected to other families for peer-to-peer support. The Center opened in February 2020, and services are generously co-funded by the Yocha Dehe Wintun Nation, Solano County Mental Health Services Act, and Kaiser Permanente.



## HOW MUCH DID WE DO?

- 1,156 individuals composing 219 families participated in at least one class, activity or event.
- The Community Resource Specialist provided consultation to 214 parents and caregivers, connecting them with community resources and providing follow up.
- 68 six-week parent/child classes and 9 Triple P Positive Parenting class series were held.
- Developmental screenings were completed for 210 children and 26 children who screened at-risk were referred for further follow up.
- Hosted 10 community events, including One Year Anniversary, Book Giveaway, Dental Days, Touro Healthy Families and Diaper Distribution.



## HOW WELL DID WE DO IT?

- In a satisfaction survey conducted February 2021, Center participants rated their satisfaction with the Center at 4.9 out of 5.
- During the COVID-19 related closures, the Center shifted services to virtual platforms, provided activities for children to do at home, and addressed families' basic needs.
- 99% of parents seen by the Community Resource Specialist reported they got their needs met.



## IS ANYONE BETTER OFF?

- 99% of families who completed a class series and completed a post series survey demonstrated increased knowledge and awareness of healthy behaviors and activities such as 1) Increased awareness of healthy eating for kids; 2) Increased ability to implement age-appropriate activities; and, 3) Increased ability to provide preschool and kindergarten readiness activities.

### A young child becomes fascinated with painting

*A two-year old attended the Fine Motor Champs class where the children participated in a finger-painting activity. The child had never painted before and was so excited about doing more painting that the family contacted the teacher to find out what type of paint and brushes they should purchase. They purchased paint, brushes, smocks, easels and paper. The child really loves painting, and the family is also painting together. They had no idea that the child was so creative and artistic.*



# VALLEJO FIRST 5 CENTER

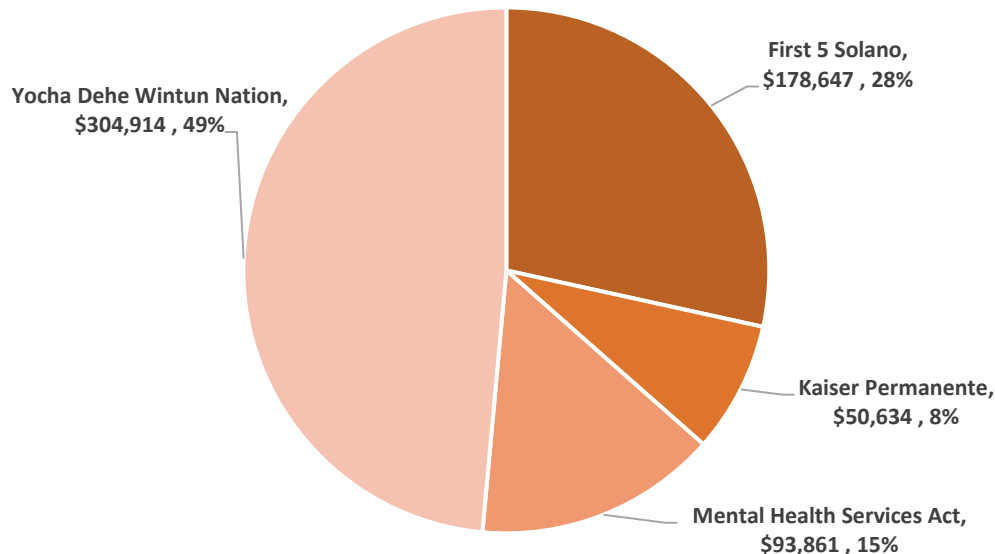
FY2020/21 Outcomes

## UTILIZING A BLENDED FUNDING MODEL

In 2017, when the Commission pursued development of a First 5 Center, the Commission envisioned a center which would take a multi-disciplinary, whole child, whole family approach. The Commission was also facing a significant decrease in annual revenues, so the Commission acknowledged that it could not fully fund the tenant improvements or programmatic implementation. First 5 Solano Commissioners and staff have prioritized creating a blending funding for the Center and made it a reality.

In FY2020/21 the Vallejo First 5 Center had four main sources of funding: Yocha Dehe Wintun Nation, First 5 Solano, Solano County Mental Health Services Act (MHSA), and Kaiser Permanente. The FY2020/21 expenditure by funding source is below.

Vallejo First 5 Center Blended Funding Model  
FY2020/21 Total Spent: \$628,056



### Mother received resources and participates in activities at the Center

*A Vallejo mother contacted the Community Resource Specialist at the Center as she was in search of diapers for her 9-month-old baby, as well as resources that may be useful to her growing family since she was 6 months pregnant. While the Center was closed due to the pandemic, the Community Resource Specialist was able to help her get what she needed and she continued to visit the Center during supply distributions to receive diapers and produce. The Center was also able to provide her with a gift card to assist her with other needs, such as purchasing a new infant car seat and clothing for her unborn child. Since the Center reopened in August, the mother has since participated in multiple classes with her child and has given birth to another healthy infant!*

# OTHER FIRST 5 SOLANO INITIATIVES

FY2020/21 OUTCOMES

## Early Childhood Mental Health Treatment

Solano County Health & Social Services contracts with community providers to provide mental health services and treatment to Medi-Cal eligible children ages 0-5 with identified social-emotional and behavioral needs through the Early Periodic Screening, Diagnosis, and Treatment (EPSDT) Program.

### **FY2020/21 Key Performance Measures**

- ✓ 273 children received EPSDT mental health services.
- ✓ 82% of 173 children in on-going treatment evaluated for their 6-month review demonstrated improvement in at least one stated treatment goal.

## Early Childhood Mental Health Provider Training

A Better Way provides Early Childhood Mental Health provider training on topics relating to early childhood mental health, with the goal of assisting providers in recognizing the signs that young children may have a mental health need and reacting appropriately. In FY2020/21, trainings were shifted to a virtual format which was well-received and allowed attendees flexibility to attend multiple trainings offered by A Better Way.

### **FY2020/21 Key Performance Measure**

- ✓ 65 total training sessions were completed in FY2020/21 with 1,082 providers in attendance

## Family Support

In addition to the First 5 Center, the Commission provided funding for family support to Rio Vista CARE due to the high number of risk factors in the small, coastal community. Services include case management, basic needs, financial literacy, and early childhood education activities.



### **FY2020/21 Key Performance Measures**

- ✓ 55 families were provided case management with over 89% showing improvement in multiple domains of the Family Development Matrix and the Protective Factors Survey.
- ✓ 86% of families receiving basic needs/homeless resources remained in stable housing
- ✓ 19 children participated in pre-kindergarten readiness activities with 100% of the children demonstrating increased school readiness.

### **Success**

Throughout the COVID-19 pandemic, Rio Vista CARE also provided tangible resources to families experiencing economic instability and job loss. Assisting parents with the unemployment claim process was helpful for families that did not have Internet access at home, were having trouble navigating the online application, or were unfamiliar with technology. With guidance of Rio Vista CARE staff, eligible families received unemployment benefits, which allowed them to support their own family needs during a challenging time.

### **Young Girl's Anxiety Reduced with Mental Health Treatment**

A 5-year old was referred to a mental health service provider upon reunification with her mother after being in foster care for a year. The child had anxiety and was now having difficulty when being separated from her mom. With their clinician's assistance, they practiced social skills, communication, back-and-forth play, as well as coping skills. After 7 months of treatment, the daughter's anxiety decreased, and she and mom are now better equipped to manage anxiety symptoms moving forward.

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## Annual Grants

The purpose of the Annual Grants Program is to provide a regular opportunity for the First 5 Solano Commission to consider small grant requests that fill community gaps, pilot new or innovative ideas, and/or address a time sensitive community need. Successful grants included:

- ✓ Crumbbum Kids provided art and literacy through storytelling, reading, painting, and coloring to 203 children. Children were able to create their own storybooks and tell their own story.
- ✓ Solano County Office of Education provided a year-long Community of Practice to 38 childcare providers and delivered 8 virtual sessions on a variety of self-care and wellness topics. 97% of participants reported implementation of newly learned wellness strategies. One participant reported:

“I’m blown away by the POWER Kit. Often things I receive at trainings and workshops are for my classroom and not necessarily for ‘me.’ This kit will be a huge resource to me as I continue my journey into wellness. I needed this!”

- ✓ Immersive Learning Center provided a nutrition education program to 64 children and their caregivers. Participating children now ask their caregiver “how does this make me grow strong and be healthy?”



**La Clinica De La Raza  
Centering Parent Program**



**Crumbbum Kids  
Literacy Project**

- ✓ La Clinica de la Raza provided education and support to 7 mother baby dyads participating in their centering parent program. One facilitator stated:  
“An empowering group that reminds you that you aren’t alone in this transition into parenthood. It gave me a heartwarming feeling seeing both babies and moms reach new milestones. Learning, growing and laughing together.”
- ✓ PreK2C provided 12 Parent Café sessions to 110 parents of children ages 0-5 who are also students in an Adult Education Program. 90% of Parent Café attendees reported benefiting from being able to share their parent stresses and joys in an intimate setting with other parents.

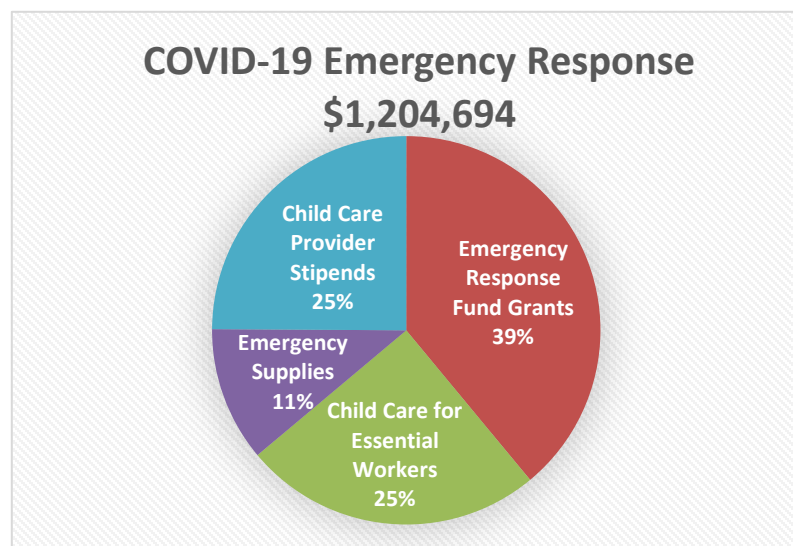
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## COVID-10 Emergency Response

In FY2020/21, the COVID-19 pandemic provided many challenges for families and providers throughout Solano County. In addition to regular activities, First 5 Solano aided the community by providing approximately \$1.2 million in funds and supplies to families and providers throughout the county through a multipronged approach, including:

1. Administering **Emergency Response Funds**
2. **Distribution of Supplies** to families, child care providers, and community partners
3. **Childcare for Essential Workers**, including assisting family find care and subsidies for families to access care
4. **Child Care Provider Support** by providing stipends for child care providers to offset the increased costs of operating during the COVID-19 pandemic.

For all of these activities, First 5 Solano was able to access other funding sources, including Coronavirus Aid, Relief, and Economic Security (CARES) Act, Travis Credit Union and First 5 California funds, for a total of \$1.2 million in funds and supplies being disseminated in the community. First 5 Solano's contribution was the significant amount of staff time that each of these efforts required.







# EARLY CHILDHOOD SYSTEMS CHANGE IN SOLANO COUNTY

**HOW ARE WE DOING?**



FY2020/21 Report



*Helping People Build Better Communities*

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# EXECUTIVE SUMMARY

In December 2015, the First 5 Solano Commission approved a 2016 Strategic Plan Update, which adopted a new priority area of *Systems Change* to improve how early childhood systems operate in order to make the best use of increasingly limited resources available across the state. First 5 Solano's Systems Change goal is that *early childhood systems are strengthened, integrated, expanded, and sustained*. To achieve this goal, First 5 Solano adopted an ambitious action plan to address four aspects of systems change in Solano County, and has continued to make progress in each of these areas. Since the plan's adoption, staff have refined the original action plan to include the most feasible and relevant efforts. The following is a summary of the key outcomes for FY2020/21 for each of the four systems change result areas.

## Systems are strengthened with the increased capacity of providers.

- First 5 Solano, in partnership with Solano County Health and Social Services, Employment and Eligibility, hosted poverty trainings delivered by Aha! Process, Inc.: "Bridges out of Poverty", attended by 221 participants, and "Emotional Poverty", attended by 173 participants.
- First 5 Solano participated in the Solano County Community Action for Racial Equity (CARE) team, who presented six trainings on "Advancing Racial Equity in Government", and the Solano County Equity Collaborative, which organized a Solano Equity Summit via Zoom.
- Eight professionals in the county, including First 5 Solano's Health and Education Specialist, were certified to provide trauma-informed trainings, and delivered 12 trainings in FY2020/21.
- First 5 Solano sponsored a Results Based Accountability (RBA) training for 35 professionals to help them communicate their programs' contribution toward the health of the community.
- First 5 Solano implemented a Request for Applications (RFA) process for its annual grants that encouraged and supported new community partners to apply. This resulted in a significant increase in the number and quality of applications over previous years.
- First 5 Solano supported the professional development of early care and education (ECE) providers through 21 professional development trainings and a virtual ECE conference.
- Twenty-seven providers were trained and accredited to provide the evidence-based Triple P parent education program with fidelity.

## Systems are expanded and sustained with leveraged or new financial resources.

- First 5 Solano met regularly with funders and successfully submitted or supported the submission of 11 grants, totaling \$4.8 million, in FY2020/21. Since July 2016, over \$16 million has been brought into the county through the efforts of First 5 Solano and its partners.

- First 5 Solano received an earmark of \$2 million in the FY2021/22 California State Budget as a result of Assemblymember Jim Frazier’s advocacy on its behalf. This earmark supports tenant improvements and initial operations of a First 5 Center in Fairfield.
- First 5 Solano received over \$600,000 in matching funding from the Mental Health Services Act (MHSA) for parent education, developmental services, and mental health trainings.
- First 5 Solano successfully requested over \$1 million in funding from the CARES Act to support child care slots, stipends for licensed child care providers, and COVID-19 supplies for agencies serving children 0-5 and their families. In addition to COVID-19 supplies funded through the CARES Act, First 5 Solano received \$135,000 worth of diapers, wipes, and other health and safety supplies from First 5 California and community partners, including Kaiser Permanente, Touro University, and Baby2Baby.
- The Vallejo First 5 Center operated using blended funding from the following sources: Yocha Dehe Wintun Nation, First 5 Solano, Solano County MHSA, and Kaiser Permanente Northern California Community Benefit Programs (Kaiser Permanente).

### **Systems are integrated with increased cross-systems understanding, resource sharing, referral, and collaboration.**

- Solano Kids Thrive (SKT), a county-wide collaboration funded by First 5 Solano, sponsored eight screenings of the film *Resilience: The Biology of Stress and the Science of Hope* and developed the Resilient Solano website, a web-based hub for the Solano community to understand the impact of Adverse Childhood Experiences (ACEs).
- First 5 Solano convened or played a key role in five cross-sector collaboratives to promote service coordination and alignment among providers serving young children and families.
- Help Me Grow (HMG) Solano’s steering committee met three times, and the HMG Solano call center received 2,031 calls, with approximately 78% of callers receiving a referral.
- The Vallejo First 5 Center’s Community Resource Specialist provided 214 families with consultations, assistance with linkages to multiple resources, and follow-up services. The First 5 Center also offered 68 six-week classes and distributed basic needs items.

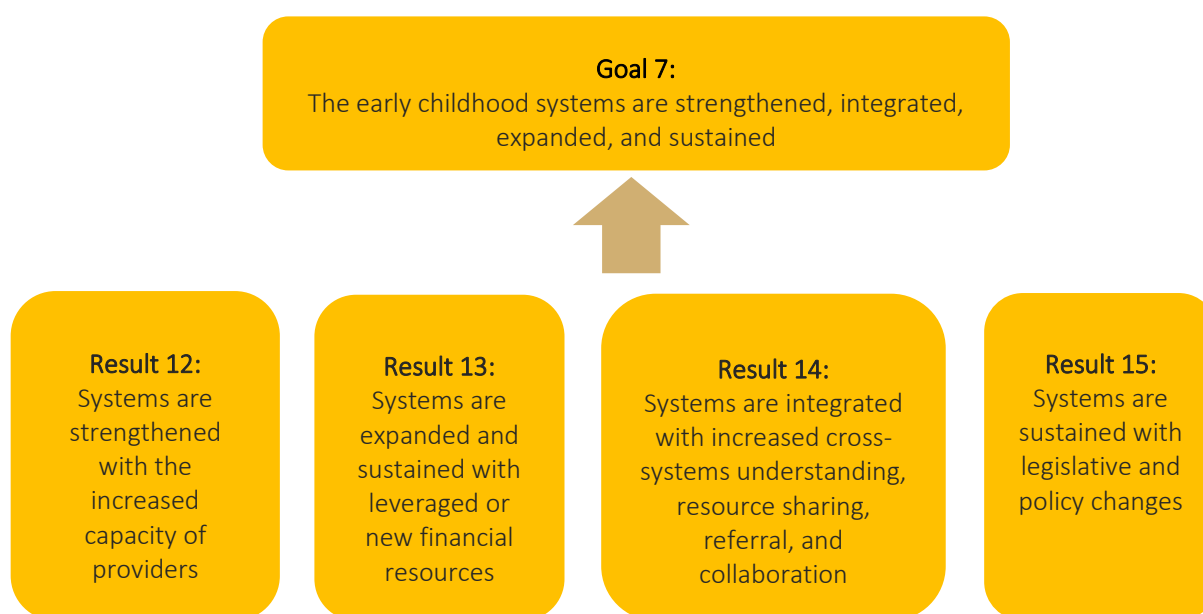
### **Systems are sustained with legislative and policy changes.**

- First 5 Solano continued to advance the Commission’s Legislative Platform by engaging state and local policymakers and submitting or signing on to letters and emails to state leaders. One statewide request advocated for \$20 million from the state budget for First 5 agencies to play an early childhood systems convener role. While it was not ultimately funded, First 5 Solano’s Vallejo First 5 Center was highlighted statewide as a prime example of systems integration.
- In June 2019, the Solano County Board of Supervisors established a data-driven Community Investment Fund (CIF) of \$2 million annually. In FY2020/21, First 5 Solano managed the RFP process for the Transitional Age Youth and annual grants, and managed contracts for mental health, housing, homelessness, and annual grants.



# INTRODUCTION

In December 2015, the First 5 Solano Commission approved a 2016 Strategic Plan Update, which added the priority area of *Systems Change* to First 5 Solano's strategic framework. At that time, First 5 Commissions across the state, including First 5 Solano, were facing a decline in Proposition 10 tobacco tax funding. First 5 Solano also operates in a county that has limited sources of funding outside of government. The county ranks last of all Bay Area counties when it comes to foundation funding: just \$6 per capita was given in Solano in 2016, compared to \$93 per Napa resident, the closest comparable county.<sup>i</sup> In that context, First 5 Solano found itself needing to find new, more efficient ways to provide the same level of high-quality services with fewer resources. Over the last five years, the importance of transforming how early childhood systems operate and work with one another has only increased as the COVID-19 pandemic and deep-seated systemic inequities have significantly impacted children, families, and service providers in Solano County. First 5 Solano's efforts in this area have been guided by a goal to strengthen, integrate, expand, and sustain early childhood systems, with four distinct result areas (see graphic below).



In 2016, First 5 Solano adopted an ambitious action plan to achieve these results, and each year since, it has developed an implementation plan and tracked and reported progress towards achieving the objectives in the plan. This report summarizes the progress First 5 Solano has made towards early childhood systems change in FY2020/21.

# RESULT: STRENGTHENED SYSTEMS

**Result 12:** Systems are strengthened with the increased capacity of providers.

**Strategy 1:** Increase the service delivery capacity of providers to ensure more equitable access, experiences, and outcomes regardless of class, race, sexual orientation, disability, age, or prior life experiences.

As in other communities in the state and nation, children and families in Solano County face different experiences, opportunities, and outcomes based on factors like socioeconomic status, race, sexual orientation, disability, and where they live. These long-standing disparities are the result of systems – including education, health, housing, and employment – that were not created to produce equitable outcomes or experiences and in fact can perpetuate inequity in their design.<sup>ii</sup> First 5 Solano has engaged in capacity building efforts in the county to address discrimination, disparities, and marginalization and promote equitable service access.

## Achievements in FY2020/21:

**Key Activity:** Explore strategies to increase cultural competency of service providers.

- First 5 Solano, in partnership with Solano County Employment and Eligibility, hosted seven poverty trainings delivered by Aha! Process, Inc. for non-profit, for-profit, education, government, healthcare, and other community-based organizations, and Solano County departments:
  - Four virtual one-day “Bridges Out of Poverty” trainings were held for 221 attendees. “Bridges Out of Poverty” provided tools and strategies to prevent, reduce, and alleviate poverty. Attendees gave the trainings an overall rating of 9.6 out of 10 and rated the sessions 6.6 out of 7 in terms of how it helped them build their knowledge and skills.
  - Three one-day virtual “Emotional Poverty” trainings were held for 173 total attendees. This training helped attendees understand the origins of and learn how to reduce anger, anxiety, and avoidance. Attendees rated the trainings 9.2 out of 10 overall and rated the sessions 6.5 out of 7 with regards to how well it helped them build their knowledge and skills.
- First 5 Solano staff has been participating in the Solano County CARE team monthly meetings since FY2018/19, and a First 5 Solano Program Manager is one of the trainers for the group’s “Advancing Racial Equity in Government” trainings. This training is designed to build awareness of the history of race; implicit and explicit bias; individual, institutional, and structural racism; and how the lives of both providers and clients are impacted by racism. Members of the CARE team presented six “Advancing Racial Equity” trainings to 98 people from Solano County Health and Social Services (H&SS), other county departments, and nonprofits. First 5 Solano hosted a training in March 2021 for First 5 Solano Commissioners and staff.

- First 5 Solano also continued to participate in quarterly meetings with the Solano County Equity Collaborative, which brings together staff from Solano County, other government agencies, nonprofits, and community-based organizations to foster equity, diversity, and inclusion through education, advocacy, policy, and systems change. The team organized the first of two Solano Equity Summits for partners and community members via Zoom in October 2020. The agenda included sessions on “Why Equity Matters”; “Equity, Trauma, and the Educational System”; “Race and the Criminal Justice System: Healing Through Community Building”; “Youth Led Intergenerational Activism”; and “How Can We Speak to Elected Officials to Move Equity Forward”.

### **Key Activity: Lead a trauma-informed systems approach.**

- As part of the Resilient Solano Strategic Plan, First 5 Solano and Solano County Public Health continued to partner with Trauma Transformed to lead the Trauma Informed Systems (TIS) Initiative. The Initiative includes training on trauma and its effects as well as policy and environmental changes that can mitigate the experience of trauma among program staff and clients. Fifteen participants completed a TIS “train-the-trainer” session on trauma-informed principles and practices in July 2020. Since completing the TIS training, eight of these participants, including First 5 Solano’s Health and Education Specialist, were certified to provide trauma-informed trainings to promote healing systems of care. In FY2020/21, these new trainers provided 12 trainings to agencies and departments in the county, such as Solano HEALS, Solano County Women, Infants, and Children (WIC), Solano County Employment and Eligibility, and Miracle Math Coaching.

**12 trauma-informed trainings were provided to agencies and departments in Solano County.**

## **Strategy 2: Increase the organizational capacity of local providers serving young children and families.**

Solano County already had fewer nonprofits per capita than other Bay Area counties prior to COVID-19, and many of them operated with limited organizational capacity.<sup>iii</sup> The COVID-19 pandemic added to the organizational challenges facing agencies serving young children and families, particularly providers within the early care and education industry. To address these needs, First 5 Solano invested in developing the organizational capacity of the nonprofits in the county who serve young children and their families.

### **Achievements in FY2020/21:**

**Key Activity: Implement priorities identified in the 2018 Nonprofit Capacity Needs Assessment: vision and impact model; board governance and leadership; and internal evaluation and learning.**

- On April 12, 2021, First 5 Solano partnered with ASR to conduct a Results Based Accountability (RBA) training over Zoom to help organizations and programs communicate their contribution toward the health of the community. Thirty-five people, whose work impacts children 0-5 and their families in Solano County, attended the training. All participants said the topics covered were relevant to them, and 83% said they could apply what they learned to their work. For a

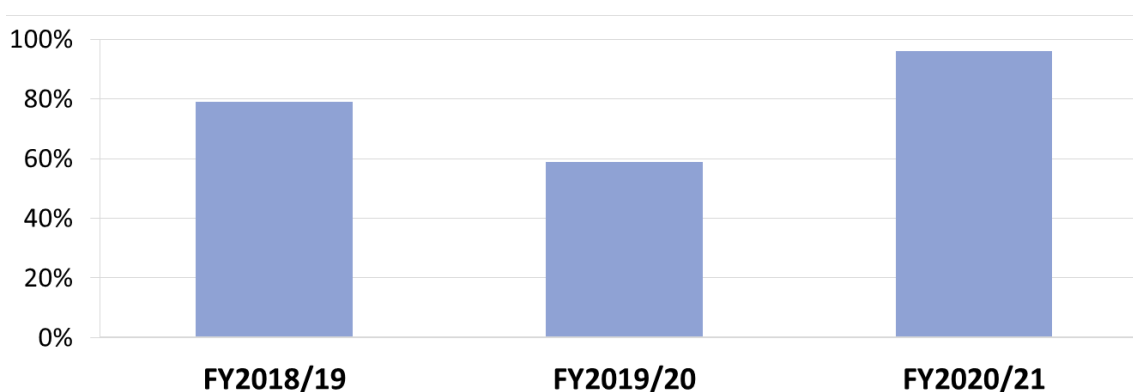
select group of five First 5 Solano grantees, ASR provided a second training session a month later to offer more individualized support.

**Key Activity: Support the capacity of agencies serving children 0-5 to successfully apply for funding.**

- The First 5 Solano Commission dedicated \$200,000 toward its 4<sup>th</sup> year of annual grants with the goals of:
  - Providing flexibility in responding to community needs between funding cycles;
  - Filling gaps in the community with small grants;
  - Piloting new and innovative ideas; and
  - Engaging with new community partners.

From March through May 2021, First 5 Solano implemented an RFA process that supported agencies to develop a successful application. Staff notified the public of the RFA through emails, press releases, community newsletters, the Workforce Development Board, KUIC Radio, and Facebook, and received 67 letters of intent. Applicants who submitted letters of intent were required to attend a 30-minute grant development session with teams of two First 5 Solano staff members. Staff met virtually with 49 applicants to discuss the problems they wanted to address, how the proposed projects would solve the problems, their intended outcomes, and their proposed budget. This resulted in a significant increase in the number of applications over previous years (27 in 2021 compared to 17 in 2020 and 24 in 2019), the proportion that met the grant qualifications (96% in 2021, compared to 59% in 2020 and 79% in 2019), and the total amount of funding recommended or awarded (\$199,782 recommended in 2021, compared to \$140,000 awarded in 2020 and \$152,200 awarded in 2019).

**Figure 1: Percent of First 5 Solano Annual Grant Applications Meeting Qualifications, FY2018/19-FY2020/21**



- In June 2021, First 5 Solano released the Community Responsive Mini-Grant opportunity. The Commission dedicated \$45,000 to this fund for FY2021/22. The fund provided small grants for a variety of activities, including outreach/community engagement, professional development, materials or equipment purchase, and limited-time programs. To make this opportunity more accessible and easier for the applicants to navigate, First 5 Solano:

- Implemented an outreach plan to inform past and potential new applicants about changes to the fund. Messages went out through Facebook, emails, and presentations at community meetings just prior to and during the launch of the new application process.
- Utilized a user-friendly Google Form application which allowed applicants to fill in the form on a variety of devices.

First 5 Solano received 50 applications from nonprofits, child care providers, and other community-based agencies in Solano County, 49 of which met the minimum criteria to be considered for funding. Ten applicants were awarded a total of \$30,000 in funding.

### **Strategy 3: Increase the knowledge and skills of providers serving young children and families.**

There are common competencies that service providers need, such as the ability to provide trauma-informed care, implement evidence-based models of service with fidelity, and create inclusive environments for children with special needs. In partnership with other entities in the county, First 5 Solano supported the development of these competencies so providers could offer high-quality services to young children and families.

### **Achievements in FY2020/21:**

#### **Key Activity: Provide trainings to expand agency capacity for quality service delivery.**

- Solano County Office of Education (SCOE) provided 21 virtual professional development trainings for ECE providers in English and Spanish with an average of 38 participants attending each. Workshops included a series of “Family Child Care at Its Best” seminars and California Preschool Instructional Network (CPIN) early learning virtual workshops.
- First 5 Solano cohosted a virtual conference for ECE professionals in September 2020 with 105 participants attending. Workshops were held on a variety of topics, including trauma-informed practices and self-care strategies for child care providers.
- Triple P Positive Parenting Program is an evidence-based parenting program funded by First 5 Solano to strengthen families and improve children’s developmental outcomes. To implement the program with fidelity, First 5 Solano hosted Triple P Level 2 and Level 3 trainings for a total of 27 new providers. According to pre-post surveys, participants showed significant improvement in their self-efficacy around conducting behavioral family interventions with parents. Ninety-four percent of practitioners indicated that they were satisfied or very satisfied with training.

#### **Key Activity: Refer providers to other organizational development trainings.**

- First 5 Solano sent out 39 informational emails throughout the year to a distribution list of 416 recipients made up of First 5 Solano grantees, community-based organizations, Solano County staff, child care providers, policymakers, and community members. These emails included information on training opportunities, highlighted when those opportunities were free, and encouraged the further sharing of training opportunities, as appropriate.



## RESULT: EXPANDED SYSTEMS

**Result 13:** Systems are expanded and sustained with leveraged or new financial resources.

**Strategy 1:** Find new funding for services for young children and families.

**Strategy 2:** Maximize resources to fund services for children and families.

First 5 Commissions across the state, including First 5 Solano, continued to face declining revenue from Proposition 10 tobacco tax funding, and yet there are limited alternative sources of funding in Solano County. The 2016 study *Foundation Giving in the Bay Area: Who Wins and Who's Left Behind?* and its 2018 update found that the rate of foundation giving per capita in Solano was the lowest among all Bay Area counties.<sup>iv</sup> To expand and sustain early childhood systems, First 5 Solano engaged in activities to raise Solano's profile and build relationships with potential funders and leaders at the state, region, county, and city levels.

### Achievements in FY2020/21:

#### Key Activity: Raise Solano County's profile with funders.

- First 5 Solano staff continued a quarterly funders' forum for those who expressed an interest in supporting the efforts of First 5 Solano and its partners.
  - Participating funders in the forum in FY2020/21 included First 5 Solano, Kaiser Permanente, Solano Community Foundation, Solano County Public Health and Employment and Eligibility, Travis Credit Union Foundation, and Wells Fargo.
  - In the fall of 2020, the forum held three one-hour sessions to discuss the potential for funding a cash aid program for members of immigrant communities in Solano. These discussions were led by staff from Solano County Public Health and Bay Area Regional Health Inequities Initiative (BARHII) and informed by the *Bay Area Undocumented Cash Relief Network* report by ReWork the Bay. The report found that over 24,000 people in Solano are undocumented, and Solano is the only Bay Area county that does not have cash aid assistance (outside of state funds) directed toward this population. The report also confirmed Solano gets the least philanthropic attention compared to other Bay Area counties. The funders' forum assisted Catholic Charities in successfully applying for a \$25,000 grant from Genentech to start a cash aid program, and Kaiser Permanente contributed an additional \$20,000.

#### Key Activity: Apply for competitive funding opportunities from local, state, federal, and private funders, and track and report success of grant-seeking activities.

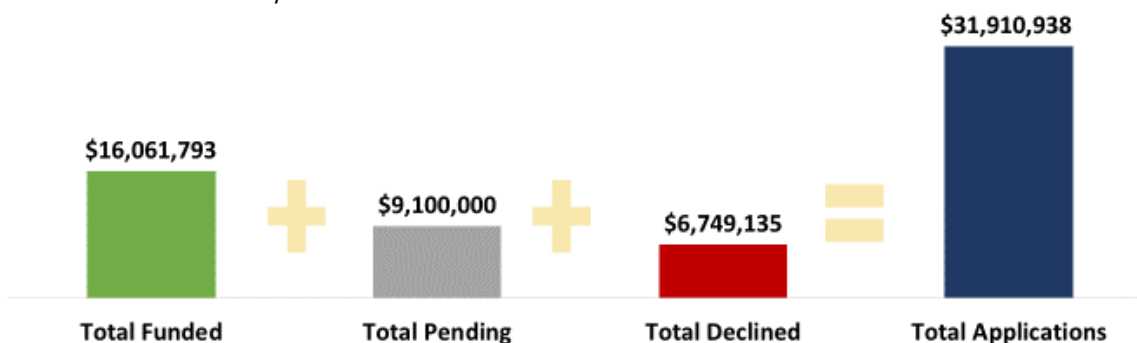
- In FY2020/21, First 5 Solano submitted or supported the submission of 14 grants, for a total of \$15,717,210. As of June 30, 2021, 11 of these grants, totaling \$4,832,210, have been awarded, and two grants totaling \$9,100,000 are pending, with the remaining \$1,785,000 not funded. Selected grants awarded in FY2020/21 include the following:

**In FY2020/21, First 5 Solano submitted or supported the submission of 14 grants. As of June 30, 2021, 11 of these grants, totaling \$4.8M have been awarded.**

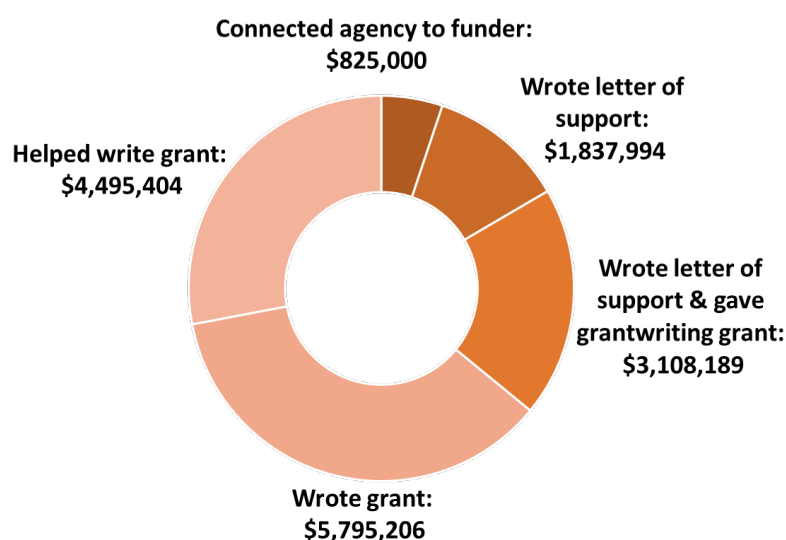
- First 5 Solano was awarded \$2,000,000 from the State Budget (via Assemblymember Jim Frazier) to provide funding for site selection and tenant improvements for a First 5 Center in Fairfield.
- The Yocha Dehe Wintun Nation awarded \$750,000 to Solano County: \$500,000 for the Vallejo First 5 Center and support for basic needs across the county, \$230,000 to Solano County Health & Social Services, and \$20,000 to Solano County Probation.
- The California Air Resources Board awarded Solano Transportation Authority an approximately \$300,000 Sustainable Transportation Equity Project (STEP) grant for planning and capacity building to reduce transportation disparities and pursue environmental justice in Vallejo. A First 5 Solano Commissioner is participating on the workgroup to support this effort.

The chart below summarizes the outcome of First 5 Solano's fundraising efforts as of June 30, 2021. Since July 2016, over \$16 million has been brought into the county through these efforts.

**Figure 2: Outcome of Grants Submitted or Supported by First 5 Solano, FY2016/17-FY2020/21**



The following chart indicates the roles First 5 Solano played in helping to bring in the funds awarded to agencies in Solano County since 2016. First 5 Solano either wrote or helped to write the grant proposals for about \$10.3 million dollars of the funds awarded.

**Figure 3: First 5 Solano's Contribution to Grants Received, FY2016/17-FY2020/21****Key Activity: Continue to find alternative sources to fund services.**

- Since 2008, First 5 Solano has continuously received annual matching funding from MHSA. In FY2020/21, MHSA provided \$680,000 to support the Triple P program, Help Me Grow Solano, and Early Childhood Mental Health trainings. This included funding for the Help Me Grow Solano developmental screening program, which comprises a countywide system of regional screeners. Help Me Grow Solano also continued to implement online developmental screenings.
- To address the needs of child care providers, who were significantly impacted by the pandemic, First 5 Solano successfully requested the Board of Supervisors provide over \$1 million in funding from the CARES Act. The funding was allocated in the following ways:
  - Approximately \$300,000 to support child care for essential workers as part of the efforts of the Office of Emergency Services. This funding supported 76 families and a total of 124 children in accessing child care. As of December 2020, all children were transitioned from the Cooper Elementary Pop-up Child Care site in Vallejo to providers who could provide care beyond the CARES Act funding.
  - \$300,000 to provide stipends for licensed child care providers to cover increased costs due to operating at lower capacity to incorporate new social distancing requirements and increased staffing for health screenings and rigorous cleaning protocols. As of December 2020, 129 child care providers serving a total of 1,056 children were enrolled in the stipend program, preserving their ability to stay open despite increased costs in providing care.
  - \$400,000 to provide grants for social distancing equipment, individual learning supplies, cleaning supplies, and other supports through the First 5 Solano Emergency

**First 5 Solano  
successfully  
requested over  
\$1 million in funding  
from the CARES Act to  
support child care  
services.**



Response Fund, to increase the capacity of community providers, including child care providers, to operate during COVID-19 pandemic.

- In addition to supplies funded through the CARES Act, First 5 Solano received donations of diapers, wipes, and other health and safety supplies from First 5 California and community partners, including Kaiser Permanente, Touro University, and Baby2Baby. The total estimated value of the donated supplies distributed was \$135,000.

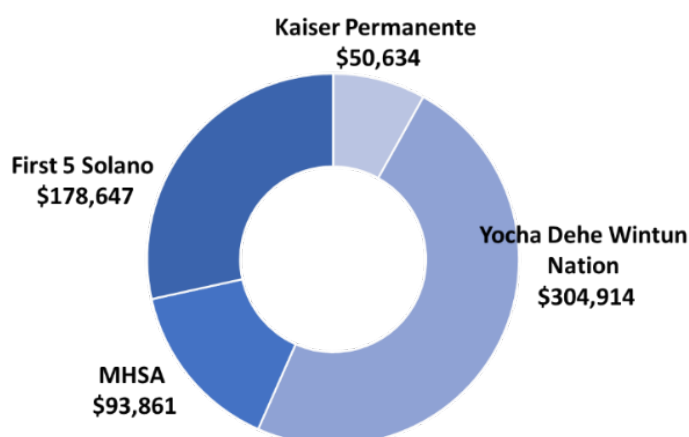
**Key Activity: Support Census outreach to increase federal funding for Solano County.**

- In FY2020/21, First 5 Solano partnered with the Solano County Complete Count Committee, the Solano County Economic Development Corporation, and the Children’s Network to lead the Census 2020 efforts to include all Solano residents. They emphasized improving the numbers counted in hard-to-count census tracts and populations, including children 0-5. This Solano Kids Count outreach campaign adapted to COVID-19-related challenges by implementing Facebook Live events, social media outreach, phone banking, and mailings. Community partners also distributed Census outreach materials directly to families. As a result of these efforts Solano County’s Census 2020 self-response rate was 74.1%, higher than the 67.9% rate for Solano County’s Census 2010. The California Statewide Census 2020 self-response rate was 69.6%, versus 68.2% in 2010.

**Key Activity: Implement blended funding models and/or co-located staff to support children and families.**

- In February 2020, First 5 Solano launched the Vallejo First 5 Center, an innovative service hub in Solano County’s highest-need ZIP Code. During the first year of operation, the Vallejo First 5 Center had four main sources of blended funding: Yocha Dehe Wintun Nation, First 5 Solano, Solano County MHSA, and Kaiser Permanente. Yocha Dehe and First 5 Solano provided funds for general operation; Kaiser Permanente provided funds for a nutrition education/obesity prevention education program; and MHSA provided funds for a new developmental screening position. Some smaller donations were received during COVID-19, including \$4,110 from Ball Corporation to fund basic needs support. Figure 4 shows the breakdown of the blended funding streams.



**Figure 4: Vallejo First 5 Center Blended Funding Streams, FY2020/21**

- Other agencies in the county have also blended funding from First 5 Solano with other funding sources to improve their sustainability, reach, and systems of care:
  - SCOE supports a robust early learning system in the county with over \$1.4 million annually from the following funding sources:
    - \$221,402 from First 5 California for the IMPACT (Improve and Maximize Programs so All Children Thrive) program, with a local match of \$40,000 from First 5 Solano, which supports the professional development of early learning and care professionals.
    - \$91,789 from the California Department of Education (CDE) for the Quality Counts California (QCC) Workforce Pathways program, which supports local early learning workforce needs across all care setting types.
    - \$324,274 from the CDE for the California State Preschool Program (CSPP) to increase the availability of subsidized early learning services for eligible children.
    - \$175,823 from the CDE for QCC (known locally as Quality Counts Solano) to support local early learning and care programs and to increase the number of low-income children in high-quality early learning and care settings.
    - \$543,378 from the CDE for Inclusive Early Education Expansion Program (IEEEP) to increase access to inclusive early learning and care programs for children with disabilities, including children with severe disabilities.
    - \$31,953 from the California Health & Human Services Agency for the Preschool Development Grant-Renewal (PDG-R) program, which intends to improve the early learning and care system by building cross-system capacity, strengthening parent connections to the system, developing a unified professional development system, and increasing the supply and quality of early learning and care opportunities.
  - With a grant from First 5 California, First 5 Solano is supporting a home visiting coordination project. Many of the programs in the project operate with a blend of funding streams, including Medi-Cal, local/county funds, CalWORKs Home Visiting Program (HVP), Maternal, Infant and Early Childhood Home Visiting.

## RESULT: INTEGRATED SYSTEMS

**Result 14:** Systems are integrated with increased cross-systems understanding, resource sharing, referral, and collaboration.

**Strategy 1:** Utilize First 5 Solano's unique position as a multi-sector convener to increase systems integration.

**Strategy 2:** Enhance cross-systems understanding and procedures.

In a county with significant needs and a shortage of financial and organizational resources, the integration of service delivery is essential to avoid duplication of efforts and make the best use of scarce resources. Too often, programs and systems of care are siloed, and families are unable to access the support they need. First 5 Solano engaged in several strategies to connect, integrate, and align systems to improve service access and service quality in the county.

### Achievements in FY2020/21:

**Key Activity:** Leverage Solano Kids Thrive Collective Impact Initiative as a vehicle to develop and implement the countywide Resiliency Plan.

- First 5 Solano continued to support Solano Kids Thrive (SKT), a collective impact initiative, in implementing the Resilient Solano Strategic Plan developed in FY2017/18. Activities this past fiscal year included the following:
  - Reviewed SKT's goals and discussed potential strategies to improve the early childhood system in Solano through 2022. The priority that rose to the top was the development of a web-based Resilient Solano portal.
  - Developed the Resilient Solano website (<https://www.acesconnection.com/g/resilient-solano>), a web-based hub for the Solano community to understand the impact of ACEs. The website, hosted by PACEs Connection, provides a calendar of trainings and events where both parents and professionals can find resources for preventing and healing trauma and for learning about ACEs and Resilience. SKT also maintained a speaker's bureau of experts who can train and raise awareness in the community.
  - Continued to support agencies to host screenings of the film *Resilience*; eight were offered in FY2020/21.
- SKT participated in six advisory group meetings for Fighting Back Partnership's Road to Resilience" project, an effort to provide intensive case management support to pregnant people with current or known history of substance use.
- In February of 2021, First 5 Solano awarded \$10,000 to Innovative Health Solutions to deliver a trauma-informed nutrition education intervention to pregnant and postnatal women to increase food knowledge and resilience.

**Key Activity: Convene and participate in multi-sector meetings and collaboratives devoted to issues aligned with First 5 Solano’s mission to develop the necessary tools and procedures to mitigate system fragmentation.**

- First 5 Solano convened or played a key role (i.e., as a funder and/or part of the planning team) in five countywide collaboratives to ensure services for young children and families are coordinated. Goals for these collaboratives included increasing cross-system referrals, decreasing duplication of services, and addressing gaps and barriers to services:
  1. Triple P Provider Collaborative - A collaborative of agencies and practitioners, funded to provide Triple P services, who convene to streamline referrals and outreach and provide peer support relating to the delivery of services.
  2. First 5 Solano Grantees - A meeting of First 5 Solano staff and grantees to discuss and present information on First 5 Solano funding, services provided by grantee organizations, and other information related to children 0-5.
  3. Road to Resilience Advisory Committee - A collaborative that supports the implementation of the Office of Child Abuse Prevention (OCAP) “Road to Resilience” grant, which funds services for pregnant women with substance abuse issues and mothers with substance-exposed infants.
  4. Solano Kids Thrive - A collaborative that is working to ensure residents, agencies, and the community are aware of the effects of ACEs and are taking steps to prevent and heal from trauma.
  5. Quality Counts Early Learning Consortium - A consortium to review progress and make decisions related to improving and supporting the quality of early care and education programs in Solano County.
- First 5 Solano also participated in 13 other cross-system collaboratives held throughout the county:
  1. Child Abuse Prevention Council - A collaborative to improve the quality and integration of services for children and families, specifically focusing on child abuse prevention.
  2. Local Child Care Planning Council - A council to plan and review progress for child care and development services based on the needs of families in the local community.
  3. Healthy Solano Collaborative - A community steering committee to identify health needs of residents and strategies to address them.
  4. Solano College Child Development and Family Studies Advisory Council - A council that meets to share and discuss early learning workforce educational attainment needs and requirements.
  5. Solano Partnership Against Violence - A collaborative devoted to developing a more comprehensive and systematic approach to ending family violence in Solano County.
  6. Home Visiting Advisory Board - A collaborative coordinating home visiting programs serving children 0-5 throughout the county.

7. Solano HEALS - A coalition promoting equity in healthy births for Black babies and their families in Solano County and identifying and addressing inequities in their communities through discussion, collaboration, action, and evaluation.
  8. System of Care Interagency Team – A team that coordinates interagency services for children affected by the Child Welfare System as part of the county’s Continuum of Care Reform.
  9. Mental Health Services Act Grantee Meeting - A collaborative to discuss, present information, and provide feedback as related to MHSA funding and services provided by grantee organizations.
  10. Solano Oral Health Advisory Committee - A subcommittee of the VibeSolano Alliance to guide and advise on system-level changes to improve oral health outcomes for all residents of Solano County, especially the most vulnerable.
  11. Prenatal Care Network & Learning Collaborative - A collaborative to discuss system-wide issues, share resources, streamline referrals, receive training, and plan activities to improve access and quality of prenatal care.
  12. Solano Connex Advisory and Emotional Services Teams - A team to ensure the Solano Connex web-based portal that connects community members to mental health services is meeting the needs of the community and making appropriate referrals.
  13. Committee of Land and People - A committee to promote policies, funding, and programs that support healthy communities, including access to trails and natural parks, outdoor education, and local agriculture.
- First 5 Solano sponsors Help Me Grow (HMG) Solano, a centralized access point designed to provide families with seamless connections to essential child development and family support services. HMG Solano efforts in FY2020/21 included the following:
- The HMG Solano Steering Committee met virtually three times to discuss and plan improvements to services to ensure families are connected.
  - HMG Solano held two “Get Connected” meetings to bring together Solano County resource organizations that serve children 0-5 and their families.
  - HMG Solano’s call center received 2,031 calls in FY2020/21, with approximately 78% of callers receiving at least one service referral. Of the families who received a referral, 91% were successfully connected to services at follow-up.
  - HMG Solano implemented a three-tiered system for Ages and Stages Questionnaire (ASQ) screenings. The call center provided electronic screenings and sent tip sheets for families with children deemed “Low-Risk” (i.e., those with no developmental concerns). For the families with children deemed “Medium-Risk” (i.e., because they had some developmental concerns or were referred by a practitioner), the call center provided in-person screenings

**Help Me Grow  
Solano received  
2,031 calls; 78%  
of callers received  
a service referral.**

and referrals to appropriate services. For the children considered “High-Risk” (i.e., those referred by a practitioner or child care provider for significant concerns), call center staff directly referred them to North Bay Regional Center or their school district for developmental services.

- First 5 Solano is sponsoring a home visiting coordination project led by Solano County Public Health to align and coordinate the services of home visiting programs. Project activities this fiscal year included meetings of a subcommittee of the Home Visiting Advisory Board and a comprehensive environmental scan to identify areas of strength and opportunities for improvement in the local home visiting service system. An action plan to address areas for improvement was developed in February 2021 and updated in June 2021.

**Key Activity: Develop, fund, and launch the First 5 Center in Vallejo.**

- The Vallejo First 5 Center launched in February 2020 and provides a range of integrated services to children and families under one roof in Solano’s highest need zip code. In FY2020/21, 219 families made up of 1,156 individuals participated in at least one class, activity, or event.
- The Center offered a total of 68 six-week class series. Classes for families included STEAM (science, technology, engineering, arts, and math) programs as well as movement and dance classes. Drop-in activities included workshops on topics like infant massage and kindergarten readiness. Triple P Positive Parenting classes, Parent Cafés, and Parent Advisory Council meetings were offered starting in fall 2020.
- The Center’s Community Resource Specialist (CRS) provided 214 families with consultations, assistance with linkages to multiple resources, and follow-up services via phone, Zoom, or in-person appointments. Common referrals were for housing, employment, child care, and preschool. The First 5 Center also gave out many basic needs items, with car seats and strollers being the most requested items. The Center continued the monthly diaper bank program, which gave the CRS the opportunity to check in with each family when they picked up their diapers to see if they had any other needs.
- Surveys of participants found families were very satisfied with their experiences at the Center:
  - All families who completed a post-class series survey demonstrated increased knowledge and awareness of healthy behaviors and activities such as increased awareness of healthy eating for kids and ability to provide age-appropriate preschool and kindergarten readiness activities at home.
  - A participant survey found 98% of families receiving CRS services (N=214) said that the Center met their resource or referral needs. The virtual meeting format did not hinder the navigation process, and many families found it more convenient to have a consultation over the phone or on Zoom.
  - In a satisfaction survey conducted in February 2021, Center participants rated their satisfaction with the Center at 4.9 out of 5 (N=37).

**The Vallejo First 5 Center participants rated their satisfaction with the Center at 4.9 out of 5.**

# RESULT: SUSTAINED SYSTEMS

**Result 15:** Systems are sustained with legislative and policy changes.

**Strategy 1:** Increase policymakers' awareness of issues facing Solano County children and families, and advocate for changes pursuant to First 5 Solano's Legislative Platform.

To sustain early childhood systems, First 5 Solano has engaged leaders at the state, region, county, and city levels, communicating to them the value of investing in early childhood; sharing data on community needs and the impact First 5 Solano and its partners have in the county; and advocating for funding and legislative or policy changes that support children 0-5 and their families. This section discusses First 5 Solano's efforts in pursuit of legislative and policy changes.

## Achievements in FY2020/21:

**Key Activity:** Monitor and respond to emerging policy issues for Solano County children and families, conduct outreach and briefings to policymakers, and continue implementing First 5 Solano's Legislative Platform.

- Throughout the year, First 5 Solano outreached and responded to policy issues, and continued to advance the Commission's Legislative Platform by engaging in numerous legislative and policy efforts on behalf of Solano County children and families, including the following:
  - In October and November 2020, First 5 Solano staff participated in the development of the 2020 Solano County State and Federal Legislative Platform.
  - In November 2020, the California State Association of Counties (CSAC) approved a new policy platform that includes early childhood development priorities. First 5 Solano Commissioner Erin Hannigan has a leadership role with CSAC and advocated for these changes.
  - A year-end update was sent to all of Solano County's state legislators in December 2020, along with a 2021 First 5 Solano calendar. The update included highlights from the First 5 Solano Annual Report and a "success story" of a family from the legislator's District.
  - As a result of the advocacy efforts of the Triple P Positive Parenting Program, First 5 Solano, and other First 5's across the state, the California Legislature proclaimed January as Positive Parenting Awareness Month for the second year in 2021. Additionally, the Solano County Board of Supervisors, First 5 Solano, Solano County Office of Education, and Fairfield-Suisun Unified School District presented a resolution recognizing Positive Parenting Awareness month.

- In April 2021, First 5 Solano Commissioner Erin Hannigan and a First 5 Solano Program Manager held a virtual legislative visit with Senator Bill Dodd to provide updates on the Vallejo First 5 Center and countywide funding needs.
- First 5 Solano participated in the First 5 Virtual Advocacy Day on April 27, 2021 and joined staff from First 5 Contra Costa for virtual visits with Assemblymember Jim Frazier’s team and Assemblymember Tim Grayson’s team. Discussion items included statewide requests for funding for early childhood and continued challenges of the pandemic.
- Assemblymember Jim Frazier and his team secured \$2 million in the state budget to fund the tenant improvements and initial operations for a First 5 Center in Fairfield. This was a result of First 5 Solano staff meeting with Assemblymember Frazier and subsequently submitting a proposal to the Solano County Legislative Committee with a request for \$3 million. (Please note: The Commission approved receiving these funds at their August 18, 2021 meeting.)
- First 5 Solano staff reviewed and edited the 2021 First 5 Solano Legislative Platform, including the addition of an introduction regarding the landscape for 2021 COVID-19 prevention, protection, and recovery. The First 5 Solano Commission reviewed and approved the platform in March 2021.
- First 5 Solano weighed in on over ten state policy issues, including prioritizing children in the California State Budget, utilizing funding from a tax on vaping products to fund First 5 agencies, improving access to and the quality of healthcare for children, and expanding the existing Diaper Bank program. One policy win was the passage of SB395, which imposes a 12.5% tax on vape products; 15% of the revenue will go to First 5 agencies, providing First 5 Solano with a predictable, ongoing revenue source to improve its sustainability. Another letter to state leaders advocated for \$20 million from the state budget for First 5 agencies to play an early childhood systems convener role across the state. While it was not ultimately funded, First 5 Solano’s Vallejo First 5 Center was highlighted as a prime example of how systems are integrated for the benefit of children and families.

**First 5 Solano continued to advance the Commission’s Legislative Platform through outreach to state leaders regarding bills and policies affecting children 0-5.**

#### **Key Activity: Develop and implement data-driven funding policies.**

- In June 2019, the Solano County Board of Supervisors established a Community Investment Fund (CIF). This CIF was the result of a comprehensive assessment process to identify the top human service needs in the county. First 5 Solano staff have assisted with the implementation of the \$2 million annual CIF by managing the RFP process, including releasing the RFPs, managing the review process, and managing the approval and contracting process. In FY2020/21, First 5 Solano issued RFPs for grants to support homeless Transitional Age Youth and annual grants, and managed contracts for mental health, housing, homelessness, and annual grants.



## FUTURE ACTIVITIES

In 2016, First 5 Solano adopted an ambitious action plan to address four aspects of systems change in Solano County. Each year, the original action plan has been refined in an implementation plan and progress on activities in the plan have been tracked and reported. This report summarized the activities and achievements for FY2020/21.

Since 2016, early childhood systems of care have evolved, and COVID-19 and systemic inequities have significantly impacted communities nationwide, including Solano County. In response to the national landscape and the increasing awareness that many systems and structures are not equitable to all populations, First 5 Solano is updating its systems change goal and results in FY2021/22 to underscore its commitment to prioritize equity in efforts to transform early childhood systems. Following the approval of this new plan, First 5 Solano will update its implementation plan to include systems change activities that are most needed to improve the lives of Solano County's young children and families and achieve more equitable outcomes for the community.



# ENDNOTES

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<sup>iii</sup> Applied Survey Research. (2018). *Foundation giving in Solano County and the Bay Area: 2018 Update*. <https://www.solanocounty.com/civicax/filebank/blobdload.aspx?blobid=30278>

<sup>iv</sup> Ibid.

# Annual Report AR-1

## Solano Revenue and Expenditure Summary

### July 1, 2020 - June 30, 2021

## Revenue Detail

Category	Amount
Tobacco Tax Funds	\$3,616,455
First 5 IMPACT 2020 Funds	\$221,402
Small Population County Augmentation Funds	\$0
DLL Pilot Funds	\$0
Other First 5 California Funds	\$73,898
Other First 5 California Funds Description Home Visiting Coordination	
Other Public Funds	\$807,868
Other Public Funds Description 164,735 Federal CARES Act; 582,454 MHSA match; 54,396 charges for contract management; 6,283 services provided for Health & Social Services	
Donations	\$64
Revenue From Interest Earned	\$88,432
Grants	\$450,450
Grants Description 5,000 Genentech grant for Pre-K Academies; 11,950 Kaiser Grant for First 5 Center (25,000-13,050 unearned revenue); 33,500 Medic grant for First 5 Center; 300,000 Yocha Dehe Wintun Nation grant for First 5 Center; 100,000 City of Vallejo grant for First 5 Center	
Other Funds	\$42,487
Other Funds 34,637 accrual adjustments; 7,850 misc revenue	
<b>Total Revenue</b>	<b>\$5,301,056</b>

## Improved Family Functioning

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
General Family Support	County Office of Education/School District	• Triple P 2-3	23	70	55	\$19,660
General Family Support	Child Care Centers	• Triple P 2-3	0	496	0	\$35,540
General Family Support	Resource and Referral Agency (COE or Non-Profit)	• Triple P 2-3	0	55	0	\$13,750

**Total    \$1,138,074**

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
General Family Support	CBO/Non-Profit	<ul style="list-style-type: none"> <li>Core Operating Support</li> <li>Triple P 2-3</li> </ul>	519	738	0	\$625,237
Intensive Family Support	County Office of Education/School District	<ul style="list-style-type: none"> <li>Triple P 4-5</li> </ul>	0	26	0	\$16,920
Intensive Family Support	CBO/Non-Profit	<ul style="list-style-type: none"> <li>Triple P 4-5</li> </ul>	445	358	0	\$276,170
Intensive Family Support	First 5 County Commission	<ul style="list-style-type: none"> <li>Triple P 4-5</li> </ul>	0	0	10	\$58,762
Family Literacy and Book Programs	County Office of Education/School District	<ul style="list-style-type: none"> <li>Raising a Reader</li> </ul>	424	84	35	\$72,035
Family Literacy and Book Programs	CBO/Non-Profit	<ul style="list-style-type: none"> <li>Local Model</li> </ul>	231	50	0	\$20,000
<b>Total</b>						<b>\$1,138,074</b>

## Improved Child Development

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
Quality Early Learning Supports	County Office of Education/School District	<ul style="list-style-type: none"> <li>Quality Counts California</li> </ul>	0	0	106	\$231,891
Early Learning Program Direct Costs	County Office of Education/School District	<ul style="list-style-type: none"> <li>Summer Bridge Programs</li> </ul>	51	0	0	\$60,000
Early Learning Program Direct Costs	CBO/Non-Profit	<ul style="list-style-type: none"> <li>Not Applicable ()</li> </ul>	67	64	0	\$19,656
<b>Total</b>						<b>\$311,547</b>

## Improved Child Health

Service	Grantee	Program(s)	Children	Caregivers	Providers	Unique Families	Amount
General Health Education and Promotion	Hospital/Health Plan	<ul style="list-style-type: none"> <li>Not Applicable ()</li> </ul>	7	7	0	0	\$18,080
General Health Education and Promotion	CBO/Non-Profit	<ul style="list-style-type: none"> <li>Nutrition/Breastfeeding</li> </ul>	0	38	2	0	\$21,624
Oral Health Education and Treatment	County Health & Human Services	<ul style="list-style-type: none"> <li>Other - Describe county commission local efforts</li> </ul>	1107	0	0	0	\$13,318
<b>Total</b>							<b>\$501,802</b>

Service	Grantee	Program(s)	Children	Caregivers	Providers	Unique Families	Amount
Early Intervention	County Health & Human Services	• Not Applicable ()	280	300	0	0	\$39,750
Early Intervention	Resource and Referral Agency (COE or Non-Profit)	• Care Coordination and Linkage	819	621	12	0	\$290,187
Early Intervention	CBO/Non-Profit	• Not Applicable ()	4	6	1087	0	\$118,843
<b>Total</b>							<b>\$501,802</b>

## Improved Systems Of Care

Service	Grantee	Program(s)	Amount
Policy and Public Advocacy	First 5 County Commission	• Not Applicable ()	\$258,878
Systems Building	County Health & Human Services	• Family Resiliency	\$33,998
Systems Building	County Office of Education/School District	• Not Applicable ()	\$30,706
Systems Building	First 5 County Commission	• Not Applicable ()	\$1,164,008
Emergency and Disaster Relief	Family Resource Center	• Direct Material Support	\$16,650
Emergency and Disaster Relief	Child Care Centers	• Direct Material Support	\$69,021
Emergency and Disaster Relief	First 5 County Commission	• Direct Material Support	\$263
Emergency and Disaster Relief	CBO/Non-Profit	• Direct Material Support	\$16,650
<b>Total</b>			<b>\$1,590,174</b>

## Expenditure Details

Category	Amount
Program Expenditures	\$3,541,597
Administrative Expenditures	\$506,867
Evaluation Expenditures	\$178,950

Category	Amount
Total Expenditures	\$4,227,414
Excess (Deficiency) Of Revenues Over (Under) Expenses	\$1,073,642

### Other Financing Details

Category	Amount
Sale(s) of Capital Assets	\$0
Other	\$0
<b>Total Other Financing Sources</b>	<b>\$0</b>

### Net Change in Fund Balance

Category	Amount
Fund Balance - Beginning	\$9,721,402
Fund Balance - Ending	\$10,795,044
Net Change In Fund Balance	\$1,073,642

### Fiscal Year Fund Balance

Category	Amount
Nonspendable	\$10,484
Restricted	\$175,939
Committed	\$3,620,188
Assigned	\$473,931
Unassigned	\$6,514,502
Total Fund Balance	\$10,795,044

### Expenditure Note

No data entered for this section as of 10/12/2021 1:15:46 PM.

### Small Population County Funding Augmentation Expenditure Detail

Category	Amount	Comment
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Category	Amount	Comment
Program: Evidence-Based	\$0	
Program: Evidence-Informed	\$0	
Program: Other Funded	\$0	
Program: Professional Development, Training and Technical Assistance	\$0	
Administration	\$0	
Evaluation	\$0	
Other (Please Explain)	\$0	
<b>Total</b>	<b>\$0</b>	
If unspent funds occurred during the FY, please list amount and provide explanation.	\$0	

Annual Report AR-2  
Solano Demographic Worksheet  
July 1, 2020 - June 30, 2021

Population Served

Category	Number
Children Less than 3 Years Old	1,981
Children from 3rd to 6th Birthday	2,460
Children – Ages Unknown (birth to 6th Birthday)	868
Providers	1,424
Primary Caregivers	4,304
<b>Total Population Served</b>	<b>11,037</b>

Primary Languages Spoken in the Home

Category	Number of Children	Number of Primary Caregivers
English	3,364	3,066
Spanish	939	988
Cantonese	3	0
Vietnamese	4	2
Other - Specify with text box	89	112
Unknown	910	136
<b>Totals</b>	<b>5,309</b>	<b>4,304</b>

Race/Ethnicity of Population Served

Category	Number of Children	Number of Primary Caregivers
Alaska Native/American Indian	30	42
Asian	221	250
<b>Totals</b>	<b>5,309</b>	<b>4,304</b>



Category	Number of Children	Number of Primary Caregivers
Black/African-American	1,201	1,069
Hispanic/Latino	1,424	1,443
Native Hawaiian or Other Pacific Islander	47	52
White	826	951
Two or more races	471	248
Other – Specify with text box	122	102
Unknown	967	147
<b>Totals</b>	<b>5,309</b>	<b>4,304</b>

### Duplication Assessment

Category	Data
Degree of Duplication	20%
Confidence in Data	Somewhat confident
Additional Details (Optional)	

## Annual Report AR-3

### Solano County Evaluation Summary and Highlights

July 1, 2020 - June 30, 2021

### County Evaluation Summary

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#### Evaluation Activities Completed, Findings, and Policy Impact

First 5 Solano and its local evaluator, Applied Survey Research (ASR) engaged in a variety of evaluation activities in FY2020/21. Evaluation activities that take place on an annual basis and continued in FY2020/21 included:

- In October 2020, the First 5 Solano Commission received its FY2019/20 Annual Report.
- As part of the Commission's Strategic Plan Review, the First 5 Solano Commission receives an update on Community Indicators of Child Well-Being. . These indicators are now publicly available at: [https://www.solanocounty.com/depts/first5/community\\_data.asp](https://www.solanocounty.com/depts/first5/community_data.asp)
- ASR conducted an evaluation of the progress of the continued implementation of the Commission's Systems Change Action Plan and provided a report in the Commission's FY2019/20 Annual Report.

In addition, ASR prepared a detailed summary of systems change activities by Result Area over the previous 4 years to understand how the systems change activities have evolved over the term of the Commission's current Strategic Plan.

- As in past years, the Commission produced a report on the children that participated in the Pre-Kindergarten Academies. During the summer of 2020, the amount of Pre-K Academy sessions offered were limited due to state mandates placed on indoor gatherings as a result of COVID-19. 51 children with little or no prior preschool experience attended and were evaluated using the Kindergarten Student Entrance Profile (KSEP) at entrance and exit of a four-week Pre- Kindergarten Academy. At the end of the 2020 Pre-Kindergarten Academies, 86% of students were ready to go or nearly ready to go category to kindergarten, which was a 38-point increase from the start of the Academies which demonstrates that children benefited from this short, targeted program to help them become ready for school. New evaluation activities in FY2020/21 included:
- ASR worked with the First 5 Solano Commission to begin the process of updating the First 5 Solano Strategic Plan. This plan will be completed in FY2021/22.
- ASR provided data gathering for the needs assessment and technical assistance for the launch of the First 5 CA Home Visiting Coordination grant.

#### County Highlight

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In early 2020, First 5 Solano established a First 5 Center in Vallejo, in the zip code with the highest risk factors for children. The Center provides a full range of dual-generation services to support healthy behavior, social and emotional development, and school readiness of children, as well as provide parents the tools they need to develop positive parent child relationships. Building on the success of the Vallejo First 5 Center, and as a result of Assemblymember Jim Frazier's advocacy, First 5 Solano received \$2 million in the FY2021/22 California State Budget to support opening a First 5 Center in Fairfield! Additionally, First 5 Solano acted as an anchor institution in coordinating COVID 19response in Solano County for young children, their families, and the providers that support them. First 5 Solano accessed over \$1 million in funding from the CARES Act. The funding was utilized in a variety of ways including:

- Supporting child care for essential workers as part of the efforts of the Office of Emergency Services. Seventy-six families and a total of 124 children were provided care at two pop up child care locations.
- Local stipends were provided for licensed child care providers. 129 child care providers serving a total of 1,056 children were enrolled in the stipend program, preserving their ability to stay open despite increased costs in providing care.
- Grants were provided for social distancing equipment, individual learning supplies, cleaning supplies, and other supports through the First 5 Solano Emergency Response Fund.



## FY2020/21 Annual Report

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## Annual Report Components

- Performance Measures & Impact by Priority Area
- Systems Change Update
- Fiscal
- Demographics



2

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# Reporting Performance Results Based Accountability



**HOW MUCH DID WE DO?**



**HOW WELL DID WE DO IT?**



**IS ANYONE BETTER OFF?**

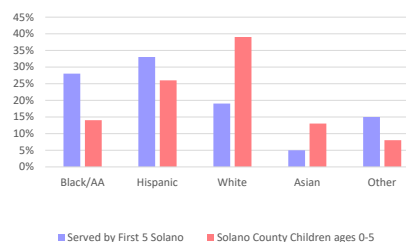
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## DEMOGRAPHICS

- **11,037** residents received services
  - **5,309** Children 0-5
  - **4,304** Parents/caregivers & other family members
  - **1,424** Providers
- 20% increase in residents served over FY2019/20 from work with Emergency Response Fund

**Children 0-5 By Race/Ethnicity**



4

4

## TRIPLE P SOLANO



- A total of 1,693 parents attended a Triple P service



- 27 new facilitators were trained across multiple levels of interventions and accredited by Triple P America
- Continued provision of Triple P via virtual format allowed parents flexibility to attend sessions during the pandemic, increasing parent engagement
- 99% of Level 4 participants rated the program overall as “excellent” or “good”



Pre and post-participant surveys showed that nearly all parents improved in their parenting knowledge and skills

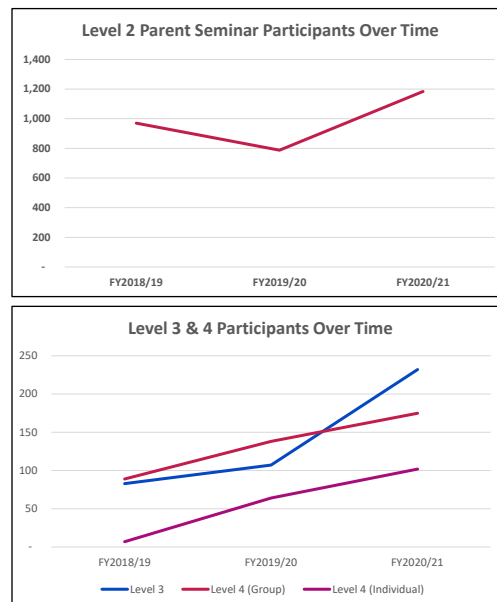
### Percent of Parents with Improved Skills

Level 2	100%
Level 3	100%
Level 4 (Group)	98%
Level 4 (Individual)	100%

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## Triple P Participants Over Time



6

## QUALITY EARLY LEARNING



- **IMPACT/Quality Counts:** 42 early learning sites participated
- **Raising a Reader:** 424 children participated



- **IMPACT/Quality Counts:**
  - Quality Counts Early Education Conference was offered virtually
  - Impact sites remained engaged through virtual coaching and distributions of PPE, supplies and curriculum
- **Raising a Reader:**
  - COVID-19 modifications included rebuilding product supply, and providing literacy kits for families to engage in literacy activities at home

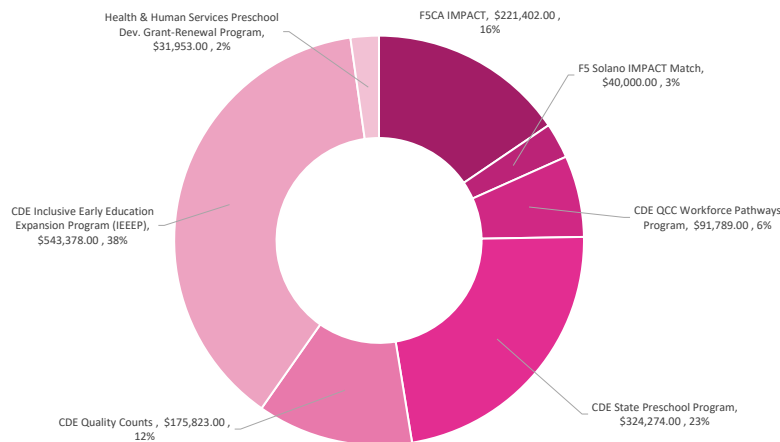


- **IMPACT/Quality Counts:**
  - 93% of sites developed quality improvement plans and made at least one change to enhance the quality of their program
- **Raising a Reader:**
  - 89% of parents trained in read aloud strategies reported increased knowledge of early literacy

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Solano Quality Early Learning Blended Funding Model  
FY2020/21 Budgeted = \$1,428,619



First 5 Solano partners with the Solano County Office of Education who delivers the program utilizing a blended funding model.

8

8

## PRE-K ACADEMIES



- 7 sessions were safely offered through 3 agencies
- 51 children participated in the program
- Provided backpacks to 5,500 kindergarten students at 57 elementary schools in Solano County



- Backpack materials to support distance learning included counting cubes, writing paper, pencils, scissors, and whiteboard/dry erase marker
- First 5 Solano worked with Applied Survey Research and Pre-K stakeholders to review the current model and through this process reaffirmed that this model is an effective way to get kids reach for school.

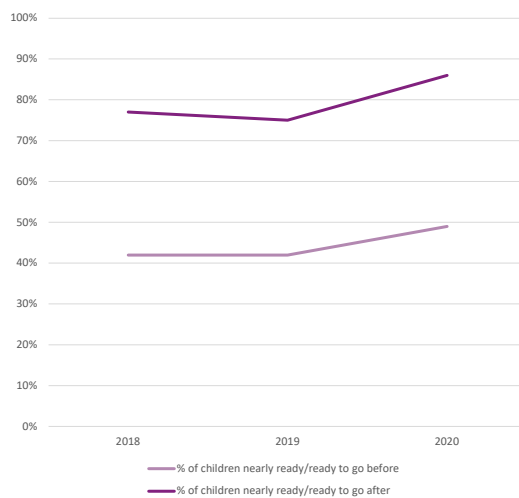


- 86% of students were ready to go or nearly ready to go to kindergarten at the end of the academy, up from 49% at program entry

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**% of Children Nearly Ready/Ready to go to Kindergarten Before and After a Pre-K Academy**



After participating in the 4-week program, the number of children “nearly ready” or “ready” increases to between 75-85%; a consistent increase of approximately 35% in just 4 short weeks.

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## HELP ME GROW SOLANO



- 2,031 call from families were received and 1,583 referrals to services were provided to families
- 539 developmental screenings were administered either electronically or in person



- 78% of callers were provided at least one referral to a program or service
- Of the 539 developmental screenings, 167 resulted in a high risk referral for significant concerns
- Family Navigators provided 19 families with enhanced childcare referrals for additional support

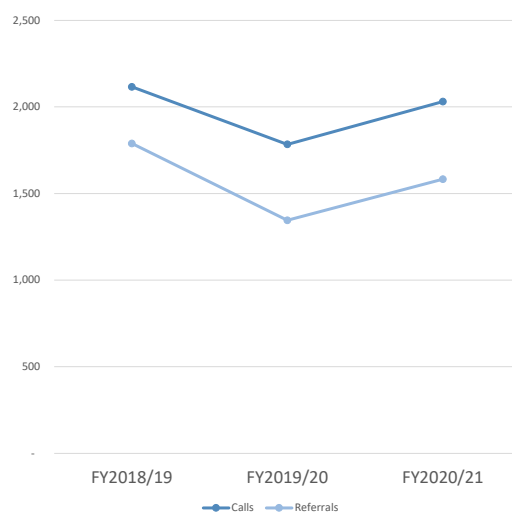


- 91% of families that were provided with a referral reported that they were successfully connected to services at follow-up

11

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Help Me Grow Solano  
Calls & Referrals FY2018/19 - FY2020/21



In FY2020/21 HMG Solano had an increase in calls and referrals as agencies began to re-open and families became more comfortable in engaging with services.

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## VALLEJO FIRST 5 CENTER



- 1,156 individuals composed of 219 families participated in at least one class, activity or event.
- 214 parents and caregivers received a consultation and were connected resources & provided with follow-up
- 68 six-week parent/child classes were completed



- A satisfaction survey was conducted in February 2021, and Center participants rated their satisfaction with the Center 4.9 out of 5
- 99% of parents seen by the Community Resource Specialist got their needs met



- 99% of families who completed a class series and completed a post series survey demonstrated increased knowledge an awareness of healthy behaviors an activities

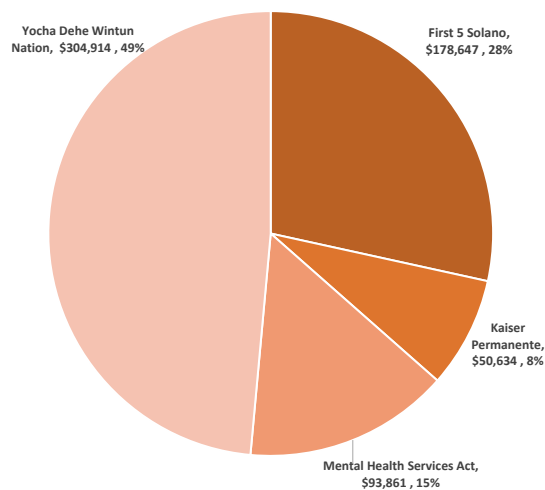
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## Utilizing a Blended Funding Model

First 5 Solano Commissioners and staff have prioritized creating a blending funding model for the Center and made it a reality.

Vallejo First 5 Center Blended Funding Model  
FY2020/21 Total Spent: \$628,056



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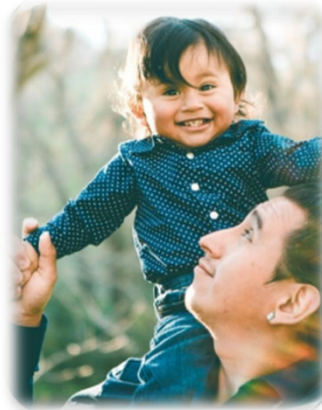
## OTHER FIRST 5 SOLANO INITIATIVES

### Early Childhood Mental Health Treatment

- ✓ 273 children received EPSDT mental health services
- ✓ 82% of 173 children in on-going treatment evaluated for their 6-month review demonstrated improvement in at least one stated treatment goal

### Early Childhood Mental Health Provider Training

- ✓ 65 total training sessions were completed, with 1,082 providers in attendance



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## OTHER FIRST 5 SOLANO INITIATIVES

### Family Support – Rio Vista CARE

- ✓ 55 families were provided case management with over 89% showing improvement in multiple domains of the Family Development Matrix and the Protective Factors Survey
- ✓ 86% of families receiving basic needs/homeless resources remained in stable housing
- ✓ 19 children participated in pre-kindergarten readiness activities with 100% of the children demonstrating increased school readiness



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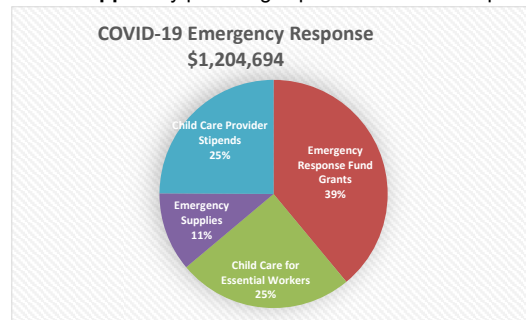
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## OTHER FIRST 5 SOLANO INITIATIVES

### COVID-19 Emergency Response

First 5 Solano aided the community by providing approximately \$1.2 million in funds and supplies to families and providers.

- ✓ Administered **Emergency Response Funds**
- ✓ **Distributed Supplies** to families, child care providers, and community partners
- ✓ **Childcare for Essential Workers**, including assisting family find care and subsidies for families to access care
- ✓ **Child Care Provider Support** by providing stipends for child care providers



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## Early Childhood Systems Change in Solano County FY2020/21

Presented to the **First 5 Solano Commission**  
on October 20, 2021 by **Applied Survey Research**




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## Priority Area 4: Systems Change

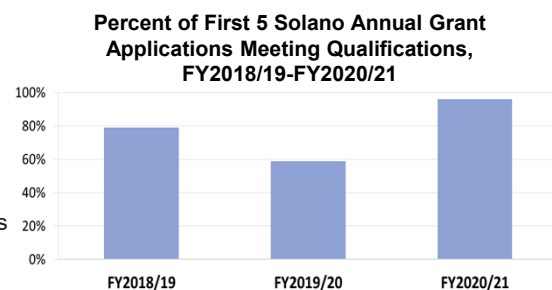


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## Result 12

Systems are **strengthened** with the increased capacity of providers

- Implemented Request for Applications (RFA) process for annual grants that diversified outreach strategies and provided upfront technical assistance for applicants to increase the quality, quantity and diversity of applicants
- Hosted multiple trainings throughout the year:
  - “Bridges out of Poverty” (221 participants) and “Emotional Poverty” (173 participants) trainings
  - Results Based Accountability (RBA) training for 35 professionals
  - Supported training and professional development of early care and education (ECE) providers
  - Triple P parent education training/accreditation of providers
- Staff participated in:
  - Community Action for Racial Equity (CARE); 6 “Advancing Racial Equity in Government” trainings delivered
  - Trauma Informed Systems (TIS) Initiative; 12 TIS trainings delivered



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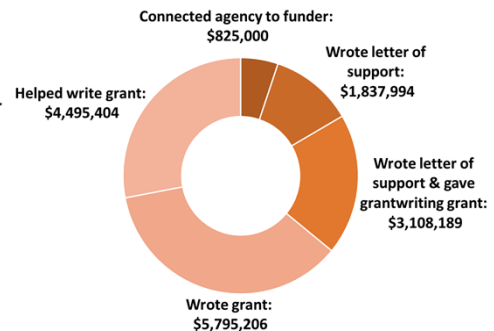
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## Result 13

Systems are **expanded and sustained** with leveraged or new financial resources

- Received over \$16M since July 2016
- Received almost \$5M in new funding FY2020/21
- Received \$2M earmark in state budget for new First 5 Center in Fairfield
- Successfully requested over \$1M in CARES Act for child care and other providers
- Received \$135K worth of COVID supplies for child care and other providers
- Operated the Vallejo First 5 Center with blended funding from 4 sources

First 5 Solano's Contribution to Grants Received, FY2016/17-FY2020/21



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## Result 14

Systems are **integrated** with increased cross-systems understanding, resource sharing, referral and collaboration

- Sponsored Solano Kids Thrive (SKT); SKT hosted 8 screenings of *Resilience: The Biology of Stress and the Science of Hope* and developed the Resilient Solano website
- First 5 Solano convened/played a key role in five cross-sector collaboratives
- Help Me Grow (HMG) Solano, a centralized access point, received 2,031 calls
  - » 78% of callers received a service referral; 91% of families referred were successfully connected to services
- Vallejo First 5 Center, an integrated service model, served 219 families (1,156 individuals)
  - » 98% receiving Community Resource Specialist services said that the Center met their resource or referral needs



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## Result 15

Systems are **sustained** with legislative and policy changes

- Participated in development of the 2020 Solano County State and Federal Legislative Platform
- Continued to advance Commission's Legislative Platform by meeting with policymakers and weighing in on variety of policy issues at the state level. Policy wins include:
  - » \$2M earmark in state budget for new First 5 Center in Fairfield
  - » Vallejo First 5 Center highlighted statewide as prime example of systems integration in \$20M state budget request
  - » California State Association of Counties (CSAC) approved new policy platform with early childhood priorities
  - » SB395 passed - imposes 12.5% tax on vape products; 15% of revenue will fund First 5's across state
  - » California Legislature proclaimed January as Positive Parenting Awareness Month for the second year

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## Summary and Conclusion

- In 2016, First 5 Solano adopted ambitious action plan to address four aspects of systems change in Solano County
- Since then, it has continued to make progress in each of these results
- Each year, staff rearticulated and refined the original action plan to reflect changes that were most feasible and meaningful
- In response to the national landscape and the increasing awareness of systemic inequities, First 5 Solano's Systems Change goal and results will be updated in FY2021/22 to underscore its commitment to achieving equity

Questions?

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**POLICY AND OVERSIGHT COMMITTEE MEETING**  
**October 7, 2021 10:00 am to 11:30 am**  
**3375 Sonoma Boulevard, Suite 30, Vallejo, CA 94590**

Pursuant to the Executive Order issued by Governor Newsom (Executive Order N-15-21) and under the statutory requirements established by AB 361 (Open Meetings: state and local agencies: teleconferences),  
**public access to the First 5 Solano Committee Meeting will be limited**

Commissioners and public may attend via remote meeting using the following options:

**Join livestream via Zoom:**  
<https://us02web.zoom.us/j/5922775330>

**Join via Phone:**  
1-669-900-6833  
Meeting ID: 592 277 5330

**Public Comments:**

Public Comment may be provided by: During the meeting via the phone/computer audio when the Chair calls for Public Comment on an item; or submitting written public comments to First 5 Solano via email at [cfcsolano@solanocounty.com](mailto:cfcsolano@solanocounty.com) by 9:00 am on the day of the meeting.

**CALL TO ORDER**

**I. Introductions, Public Comment, Commissioner Comment**

**II. Consent Calendar**

**Action**

- A. Approve the October 7, 2021 Policy and Oversight Committee Meeting Agenda
- B. Approve the August 5, 2021 Policy and Oversight Committee Meeting Minutes
- C. Adopt Resolution 2021-05 authorizing remote teleconference meetings under the CA State of Emergency pursuant to AB 361, for a term of October 7, 2021 to November 6, 2021
- D. Receive the Commissioner Meeting Attendance Status Report

**III. Contract Management Challenges and Adjustments**

**Discussion**

Discuss challenges that were revealed during the management of contracts in the context of the COVID-19 pandemic and the adjustments to current practices that address these challenges  
*Michele Harris, Executive Director; Megan Richards, Deputy Director*

**IV. Community Responsive Grant Fund Update**

**Information**

Provide an update on the Community Responsive Grant Funds, including the Community Responsive Mini Grants and the Technical Assistance and Capacity Building Grant Fund awards.

*Megan Richards, Deputy Director, Kwiana Algere, Health Education Specialist, Lorraine Fernandez, Program Manager*

**V. FY2020/21 Year-End Performance and Fiscal Report**

**Information**

Receive the FY2020/21 Year-End Performance and Fiscal Report



IT'S ALL ABOUT THE KIDS

*Megan Richards, Deputy Director, Gene Ibe, Program Manager, Juanita Morales, Program Manager*

**VI. 2016 Strategic Plan Implementation Updates**

**Information/Discussion**

Receive updates on the following:

- A. Pre-Kindergarten Academies
- B. Community Engagement Update
- C. Systems Change Implementation Plan Update

*Juanita Morales, Program Manager; Lorraine Fernandez, Program Manager*

**VII. First 5 Solano Staffing and Finance Update**

**Information**

Receive a report on First 5 Solano staffing and financials.

*Megan Richards, Deputy Director*

**VIII. Future Agenda Items, Meeting Time/Date/Location**

**Information**

The Policy and Oversight Committee is scheduled to meet next on Thursday, December 2, 2021, 10:00 am to 11:30 am, at 3375 Sonoma Boulevard, Suite 30, Vallejo, CA. Future agenda items include: Strategic Planning, 2022 Legislative Platform, Updates on Systems Change Implementation, Community Engagement; and Staffing and Finance.

**ADJOURN**

**Vision:** *All Solano County children are healthy, eager to learn, and nurtured by strong families in safe and inclusive communities.*

**Mission:** *First 5 Solano is a catalyst that strategically advances innovative, prevention-focused approaches across systems that improve the lives of young children and their families.*

The First 5 Solano Children and Families Commission does not discriminate against persons with disabilities. If you require a disability-related modification or accommodation in order to participate in the meeting, please call (707) 784-1332 at least 24 hours in advance of the meeting to make arrangements. Non-confidential materials related to an item on this Agenda submitted to the Commission are available for public inspection at the First 5 Solano business office, 3375 Sonoma Boulevard, Suite 30, Vallejo, CA, 94590 during normal business hours.



**First 5 Solano Children and Families Commission  
Policy & Oversight Committee (POC) Meeting**

October 7, 2021, 10:00 PM – 11:30 PM  
3375 Sonoma Blvd Ste 30, Vallejo, CA

**Minutes**

Commissioners present (remotely via Zoom video conference): Jennifer Barton, Lisette Estrella-Henderson

Commissioner Estrella-Henderson called the meeting to order at 10:03 AM

**I. Introduction, Public Comment, Commissioner Comment**

There were no public or commissioner comments.

**II. Consent Calendar**

***Motion:***

- A. Approve the October 7, 2021 Policy and Oversight Committee Meeting Agenda
- B. Approve the August 5, 2021 Policy and Oversight Committee Meeting minutes
- C. Adopt Resolution 2021-05 authorizing remote teleconference meetings under the CA State of Emergency pursuant to AB 361, for a term of October 7, 2021 to November 6, 2021
- D. Receive the Commissioner Meeting Attendance Status Report

Michele Harris, First 5 Solano Executive Director, explained the new process which resulted from AB 361, wherein local agencies can continue to use teleconferencing notice and meeting procedures so long as a State of Emergency exists and appropriate actions are made every 30 days.

**Moved by Commissioner Barton; Seconded by Commissioner Estrella-Henderson**

**Approved 2-0-0**

**Yea: Commissioners Barton, Estrella-Henderson**

**Nay: None**

**Abstain: None**

**III. Contract Management Challenges and Adjustments**

Ms. Harris outlined challenges revealed during management of contracts in the context of the COVID-19 pandemic and described adjustments to current practices that address these challenges. Challenges included interactions with contractors being almost exclusively virtual, a lack of communication, lack of analysis and staff interventions, and staff assuming additional responsibilities directly related to pandemic response. Going forward, contract-specific meetings will be held monthly between contract managers and the Deputy Director and quarterly data reviews will be conducted with the First 5 Solano

Executive Director. Any further contract issues identified will be brought forward as they occur to the Policy & Oversight Committee. Commissioner Barton expressed confidence in staff but also concern with the workload of contract managers. Commissioner Estrella-Henderson stressed the importance of accountability to the public and felt that early interventions and early notice will help the whole system along the way.

#### **IV. Community Responsive Grant Fund Update**

Megan Richards, First 5 Solano Deputy Director, recounted recent changes to the commissions handling of small grants, allocating funds that historically often remained unspent into Community Responsive Mini Grants and Technical Assistance and Capacity Building Grants. Lorraine Fernandez, First 5 Solano Program Manager, reported on the recent Capacity Building application period. 16 applications were received, 10 meeting minimum criteria. A review panel met and recommended to the Executive Director the four top scoring applicants moved on to Phase 2 of the selection and contracting process. Recommended agencies were Community Action North Bay, Fairfield Community Services Foundation, Innovative Health Solutions, and Rio Vista CARE. Kwiana Algeré, First 5 Solano Health Education Specialist, gave an update on the recent Community Responsive Mini Grant application period. 24 applications were received, twenty meeting minimum criteria. A review panel recommended 4 to the Executive Director for funding: Doula Doula and Solano Land Trust for Community Engagement events, along with A Place 2 Grow Learning and Care System Inc and Loving Hands for Professional Development Opportunities. After discussions with staff, these recommendations were approved for funding

#### **V. FY2020/21 Year-End Performance and Fiscal Report**

Ms. Richards presented the FY2020/1 Year-End Performance and Fiscal Report, reminding Commissioners that contracts were implemented prior to the COVID-19 pandemic and not all agencies had success in adjusting to new and constantly changing conditions. Ms. Richards shared examples of programs that successfully pivoted, and examples of adjustments being made for programs that struggled to meet contracted deliverables. The Complete FY2020/21 Annual Report will be presented to the Commission at its October 2021 Commission Meeting.

#### **VI. 2016 Strategic Implementation Updates**

##### **A. Pre-Kindergarten Academies**

Juanita Morales, First 5 Solano Program Manager, recounted that most school sites were still closed when planning began for 2021 Pre-K Academies. Alternate sites were found and 20 sessions occurred with 249 children, a significant increase from 2020 when only 7 sessions were held with 51 total children. Upon Pre-K Academy entry, 53% of students were assessed as “nearly ready or ready” for Kindergarten. After completing the academy, 75% of students “nearly ready or ready” for Kindergarten. The 14% of children entering Academies with a status of “Immediate Follow-Up” had decreased to only 3%

upon completing the sessions. Additional support came this year from Solano County Library, who provided STEM Coding Critters to all students, and Solano County Office of Education, who made music kits, and curriculum kits available. A redesign team continues to meet to gather data and information to improve future Pre-K Academies.

## **B. Community Engagement Update**

Ms. Morales shared work under way on the 2022 calendar, which will combine the usual calendar full of information and resources into a packaged bundle with a kids coloring book and activity calendar, and a pack of crayons. Ms. Morales shared that advertising with Pandora was ending as click rates had declined from 2018. Ms. Harris emphasized that messaging has increased greatly on other platforms such as Facebook and growing the email list since 2018 when the Pandora campaign was initiated.

## **C. Systems Change Implementation Plan Update**

Lorraine Fernandez, First 5 Solano Program Manager, updated the Committee on recent advocacy efforts with the First 5 Association Network. Ms. Fernandez also shared some recent grant applications submitted by First 5 Solano in partnership with Touro University and Solano County Office of Education.

## **VII. First 5 Solano Staffing and Finance Update**

Ms. Richards announced that Office Assistant III Luke Winders would be resigning, and interviews were being set up to fill the position. Through August 31, 2021, no revenues have been received and 6% of expenses have been incurred.

## **VIII. Future Agenda Items, Meeting Time/Date/Location**

The next Policy and Oversight Committee is scheduled for Thursday December 2, 2021, 10:00 am, at 3375 Sonoma Boulevard, Suite 30, Vallejo, CA. Future agenda items include: Strategic Planning; 2022 Legislative Platform; Updates on Systems Change Implementation; Community Engagement Update; and Staffing and Finance Update.

## **Adjourn**

Commissioner Estrella-Henderson adjourned the meeting at 11:19 AM.

Luke Winders, Office Assistant III

Approved:

**DATE:** October 14, 2021

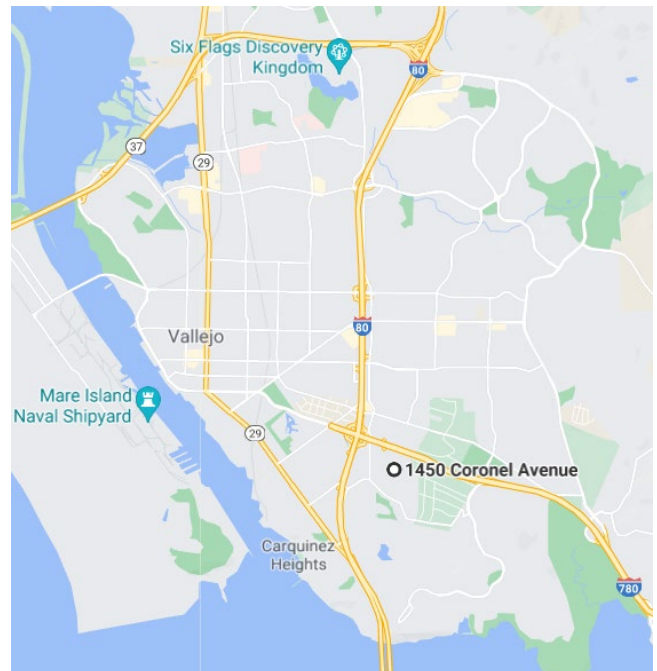
**TO:** First 5 Solano Children and Families Commission

**FROM:** Michele Harris, Executive Director

**SUBJ:** **Executive Director's Report for October 2021**

**Vallejo Early Learning Center:** First 5 Solano, Solano County Office of Education, and Child Start, Inc., have been meeting with Vallejo City Unified School District (VCUSD) to discuss the possibility of converting a closed school in Vallejo to an Early Learning Center (ELC). VCUSD has identified the Beverly Hills Elementary School as a possible location for this early learning center. [The school is located in Southeast Vallejo and in school year 2019/20 (the last year the school was open) had a free and reduced lunch rate of 91%. The school assets include 22 classrooms, a large grassy area with many play structures, a large blacktop area, a cafeteria, and a multi-purpose room.

The concept is to allow multiple early learning providers to utilize this facility and provide resources & supports as appropriate to the providers, families and children. This center would directly impact the highest barrier to child care expansion in Solano County, which is the lack of appropriate facilities. The project is in the early planning stages and more information will be reported out to the Commission as the project moves forward.



**Grant Applications:** The following grant applications have been submitted:

1. In June 2021, First 5 Solano partnered with Touro University for the All in For Kids grant to implement a collaborative multi-sector and multi-generational ACEs intervention in Vallejo that focuses on birth-5 years. Touro University was notified in August that the application was not funded.

However, while the "All in for Kids" proposal was not funded, it did lay an effective foundation for a solid ACEs screening program. Touro University recently used the same framework to turn in a collaborative application for the CA Governor's Community Reinvestment Grants Program. A Letter of Intent (LOI) was submitted on September 29, 2021, for the proposal. To support the LOI, First 5 Solano and other collaborative partners signed a Collaborative Application Declaration. This grant would be for \$750,000 over three years.

2. First 5 Solano partnered with the Solano Office of Education on an application for a grant from First 5 California for a *Shared Services Alliance (SSA) Pilot for Early Learning and Care*. First 5 California awarded First 5 Solano a two-year grant for \$250,000. Other

selected applicants included: Ventura, San Diego, Sonoma, Yolo, San Luis Obispo, and Merced. The SSA program will provide a business system and automation tools to increase efficiency for child care providers to manage enrollment, invoice families, outreach to families, and more. The SSA may also include other elements, such as shared back-office services or a pool of substitute early learning teachers or child care providers. The Local Child Care Planning Council will be taking the lead on the planning efforts to identify the needs of providers and how to fulfill these needs through the SSA.

3. First 5 Solano was invited by Kaiser Permanente to apply for a second round of funding to support the Family Navigation program at the Vallejo First 5 Center. The application for \$25,000 for eight months of funding in FY2021/22 was approved on October 12<sup>th</sup>.

**Community Responsive Mini Grants:** The application period for the First 5 Solano Community Responsive Mini Grants of up to \$5,000 for Community Engagement Events and Professional Development activities recently closed and accepted applications were reviewed and recommended to the Executive Director, who approved the following awards:

- Doula Doula--\$500 for an educational and community engagement event for pregnant and parenting families
- Solano Land Trust--\$500 for a community engagement event at Rush Ranch
- A Place 2 Grow Learning and Care System--\$3,000 to provide a social emotional training to early care and education providers
- Loving Hands--\$1,000 to obtain a Perinatal Mental Health (PMH-C) certification

**Technical Assistance & Capacity Building Grants:** In August 2021, A grant opportunity was available for nonprofits serving children ages 0-5 and their families to implement a capacity building project that will strengthen the organization's ability to positively impact the early childhood system in Solano County. The following agencies were approved to move to phase 2 of the process and outline their final scope of work with a consultant:

- Community Action North Bay—Up to \$7,500 to conduct an organizational assessment and develop an action plan for capacity improvements
- Fairfield Community Services Foundation—Up to \$7,500 to engage the services of a grantwriter to write grants and/or provide foundational grant research
- Innovative Health Solutions—Up to \$7,500 to develop a fundraising strategy and action plan
- Rio Vista CARE—Up to \$7,500 to develop and implement a board recruitment and development plan

**First 5 Network: Race, Equity, Diversity, and Inclusion (REDI) Learning and Action Initiative:** The First 5 Association has developed a statewide program to support First 5's in advancing race, equity, diversity, and inclusion. The First 5 REDI Consulting Team has set up a September through November schedule of individual learning experiences and statewide workshops. First 5 Solano staff who are participating in these sessions include Executive Director, Michele Harris, Deputy Director, Megan Richards, and Program Manager, Lorraine Fernandez.

In addition, the First 5 Association is offering "curbside consulting" to First 5 Commissions. The consulting is a 90-minute session in December with the First 5 REDI consulting team to get input on a local REDI issue. First 5 Solano staff are planning on participating as the Commission begins its work on equity. Commissioners who are interested in participating can contact Michele Harris.

**Legislative Updates:** First 5 Solano is an active participant in the First 5 Association Network advocacy efforts. The following bills which were supported by the First 5 Association Network were signed by the Governor on October 4, 2021:

1. SB65 (Skinner) Maternal care and services: This bill is known as the state MomInbus Act which expands access to midwives and doulas services for pregnancy and postpartum care and establishes the California Pregnancy-Associated Review Committee to collect better data on maternal morbidity and pregnancy related death, and reporting requirements at the county level.
2. SB395 (Caballero) Vape Tax: This bill imposes an additional tax for selling electronic cigarettes. The bill includes a breakdown of how the revenues will be disbursed, which includes *“Twelve percent of the moneys into the California Children and Families Trust Fund created by Section 30131”* which would contribute additional revenues to First 5's across the state, including First 5 Solano.

**Triple P Positive Parenting Program Training:** Triple P Positive Parenting Program is an evidence-based parenting program funded by First 5 Solano and Mental Health Services Act to strengthen families and improve children's outcomes. To implement the program with fidelity, practitioners are trained and accredited by Triple P America. First 5 Solano hosted a Triple P Level 2 and Level 3 training in the months of July-September 2021; a total of 27 new providers were trained and accredited and are ready to begin providing Triple P services.

**Pre-K Academy:** The 2021 Summer Pre-K Academies have concluded with a total of 20 sessions offered throughout Solano County. As the pandemic persisted, most sites offered academies in small cohorts of up to 12 children per classroom. A total of 249 children attended a Pre-K Academy with 6 school districts, the Solano County Office of Education and a family child care home offering a Pre-K Academy. The full report was presented at the Policy & Oversight committee meeting on October 7, 2021 and the outcomes remain on par with prior years; upon Pre-K Academy exit, about 75% of children are ready for Kindergarten

**Community Engagement Events:** On occasion, First 5 Solano staff attend events in the Community to inform families of available services and programs funded by First 5 Solano as well as to promote the importance of the First 5 years. Staff attend one community event per quarter. Juanita Morales, Community Engagement Manager attended the Parks n Rex event at children's wonderland in July and attended a community event at Rush Ranch on October 16<sup>th</sup>. Both events were an opportunity to connect with families and engage children in activities that included coloring and stamp art. Staff also handed out materials such as tote bags, Social Emotional magnets, and information on Help Me Grow Solano and the First 5 Center.

**Calendar Pre-View:** The 2022 calendar is currently in production. A total of 15,000 calendars will be available in English and Spanish and distributed to families with children ages 0-5 in the community through schools, libraries, County departments such as WIC, Employment and Eligibility and other First 5 Community partners. This year we have included a kid's coloring calendar and crayons, packaged together with the informational parent calendar, which is full of resources, quick tips, suggested activities and books for each month related to the month's topic. Distribution is expected to begin in November.

**Mental Health Services Act (MHSA) Annual Stakeholder Meeting:** The First 5 Solano Commission and Solano County Health & Social Services/Behavioral Health are joint partners in funding Prevention and Early Intervention (PEI) services for families with children 0-5 years old. As continued stakeholder engagement is a key element of MHSA continuing services, Gene Ibe,

First 5 Solano Program Manager participated in an annual MHSA community program planning process hosted by Solano County Behavioral Health. MHSA (a result of Prop 63) imposes a 1% income tax on personal income in excess of \$1 million for residents of California. The majority of the funding is then distributed to county mental health programs in order to support community mental health services. The stakeholder meetings allowed advocates and individuals whose lives are affected by mental illness to provide input into the development of the behavioral health service delivery system in Solano. Behavioral Health staff use the input provided to evaluate current efforts, identify the mental health delivery system's strengths, and consider changes that need to be made to the system.