

COMMISSION MEETING
October 2, 2018 – 5:30-7:30pm
601 Texas Street, Conference Room B, Fairfield, CA 94533

CALL TO ORDER / SALUTE TO THE FLAG

- | | |
|--|------------------------------------|
| I. Public Comment | Information |
| <i>This is the opportunity for members of the public to address the Commission on matters not listed on the Agenda that are otherwise within the subject matter jurisdiction of the Commission. Please submit a Speaker Card and limit your comments to 3 minutes.</i> | |
| II. Consent Calendar (5 min) | Action |
| A. Approve the October 2, 2018 Commission Meeting Agenda
B. Approve the August 14, 2018 Commission Meeting Minutes
C. Approve the 2019 Commission Meeting Schedule | |
| III. Chair Appoints Nominating Committee for 2019 Officers (5 min) | Information |
| IV. Public Hearing: First 5 Solano FY2017/18 Annual Audit (20 min) | Action |
| Receive the FY2017/18 First 5 Solano Annual Audit
<i>Megan Richards, Deputy Director; Kinnaly Soukhaseum, Vavrinek, Trine, Day & Co</i> | |
| V. Public Hearing: First 5 Solano FY2017/18 Annual Report (20 min) | Action |
| Approve the First 5 Solano submission to First 5 CA for the FY2017/18 Annual Report
<i>Juanita Morales, Program Manager; Lisa Niclai, Applied Survey Research</i> | |
| VI. Community Indicator and Strategic Plan Review | Information/
Discussion |
| A. Receive an update on Community Indicators
B. Review the Commission's 2016 Strategic Plan Update
<i>Michele Harris, Executive Director; Christina Branom, Applied Survey Research</i> | |
| VII. Committee Reports (60 min) | Action |
| A. Program and Community Engagement Committee (Commissioner Ayala)
1. No Meeting

B. Systems and Policy Committee (Commissioner Huber)
1. Receive a presentation and provide input on the Solano County Resilience Plan
<i>Gene Ibe, Program Manager; Juan Cisneros, Child Start; Karen Clemmer, ACEs Connection</i>
2. Receive an update on Foundation Giving in Solano County and the Bay Area
<i>Lorraine Fernandez, Program Manager; Lisa Niclai, Applied Survey Research</i>
3. Consider approval to update three policies to reflect the transition to one Policy and Oversight Committee
4. Consider reaffirming the Commission's policy on Non-Discrimination
<i>Megan Richards, Deputy Director</i> | |

VIII. Executive Director's Report (10 min) **Information**
Michele Harris, Executive Director

IX. Commissioner Remarks (5 min) **Information**

X. Future Agenda Items, Meeting Time/Date/Location (5 min) **Information**

Note that the Commission Retreat for Saturday, October 20, 2018 has been CANCELLED.

The next Commission meeting will be held on December 4, 2018 at 5:30PM at 601 Texas Street, Conference Room B, Fairfield. Future agenda items include: Committee Reports

ADJOURN

Vision: All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers and communities. **Mission:** First 5 Solano Children and Families Commission is a leader that fosters and sustains effective programs and partnerships with the community to promote, support and improve the lives of young children, their families and their communities.

The First 5 Solano Children and Families Commission does not discriminate against persons with disabilities. If you require a disability-related modification or accommodation in order to participate in the meeting, please call (707) 784.1332 at least 24 hours in advance of the meeting to make arrangements. Non-confidential materials related to an item on this Agenda submitted to the Commission are available for public inspection at the First 5 Solano business office, 601 Texas Street, Suite 210, Fairfield, CA during normal business hours.

**First 5 Solano Children and Families Commission
Commission Meeting**

August 14, 2018, 5:30 PM – 7:30 PM
601 Texas Street, Fairfield, CA

Minutes

Commissioners present: Erin Hannigan, Jerry Huber, Dan Ayala, Jennifer Barton (Arrived 6:02PM), Aaron Crutison (Exited 7:13PM), Lisette Estrella-Henderson

First 5 Solano Staff present: Michele Harris, Megan Richards, Gene Ibe, Lorraine Fernandez, Juanita Morales, Andrew Boatright

Members of the public present: Ana Dineen (Voces Unidas), Jeanette Zanipatin (Mexican-American Legal Defense Education Fund (MALDEF)), Cheryl Stumbaugh (Fairfield-Suisun Unified School District), Jennifer Menendez (Pre-K Academy parent)

Chair Hannigan called the meeting to order at 5:34pm

I. Public Comment

Jennifer Menendez thanked the Commission for providing the pre-k academy programs. Ms. Menendez's son attended the pre-k academy at the Fairfield Adult School, and shared that she was proud of the gains she saw in her son over the short program. Ms. Menendez stated that she believes her son is better prepared to enter kindergarten now, since he attended the program.

II. Consent Calendar

A. Approve the August 14, 2018 Commission Meeting Agenda.

Motion: Approve the Commission Meeting Agenda for August 14, 2018.

Moved by Commissioner Crutison; Seconded by Commissioner Estrella-Henderson

Approved 5-0-0

Yea: Commissioners Ayala, Crutison, Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

B. Approve June 5, 2018 Commission Meeting Minutes – tabled due to lack of quorum, followed Item III

Motion: Approve the Commission Meeting Minutes for June 5, 2018

Moved by Commissioner Crutison; Seconded by Commissioner Huber

Approved 5-0-1

Yea: Commissioners Ayala, Barton, Crutison, Hannigan, Huber

Nay: None

Abstain: Estrella-Henderson

III. Vallejo First 5 Center

Lorraine Fernandez, First 5 Solano Program Manager, shared the background to develop and establish the First 5 Center in Vallejo. Ms. Fernandez also reported that talks with potential partners to provide services on site have been positive. Most of the partners have been excited about the First 5 Center concept and have been eager to partner with First 5 Solano to help strengthen these families.

Megan Richards discussed the First 5 Center space needs, reminding the Commission that the site would offer core services, space for partner agencies, and First 5 Solano Administrative space to relocate the First 5 Solano offices.

Ms. Richards presented several issues for the Commission to provide feedback on regarding the leasing of the space. These items included the amount of sq ft (full site, or 2,000 less sq ft, and do not move the First 5 Admin Offices), and the Commission reaffirmed their intent to move the First 5 Offices to the new location. The Commission also asked staff to explore cost sharing for space as appropriate, and to bring back a plan to the Commission for use of space, and charging for space when appropriate (e.g. partner utilizing a conference room for a training that has no nexus to children ages 0-5 and their families).

Commissioner Estrella-Henderson asked where the school district conversation ended. Ms. Richards noted that Vallejo School District was unable to identify space that was appropriate for use for the First 5 Center, as their own programming to meet the needs of the students of Vallejo came first, and space that was available was incongruent with First 5 Solano's needs.

Ms. Richards discussed the lease term with the Commission, noting that the Commission has committed to 5 years in its Program Investment Plan for this programming. The lease term is expected to coincide with these 5 years. The Commission discussed whether or not a 10-year term might provide more negotiating power, and decided to continue with a 5-year term, with an option to extend the term of the lease.

Ms. Richards provided context for the third item for discussion: financing tenant improvements. The Commission could choose to pay upfront for tenant improvements, or amortize those costs over the term of the lease. The Commission discussed the options and requested staff bring back the options for both scenarios, as best cost should be the criteria rather than one choice over the other.

Ms. Richards noted that there is a distinction between the lease and the funds to enter into the lease – the lease will be governed by the County, the Commission will be the decider to how to fund the lease. Commissioner Hannigan noted that if funds were collected to fund the tenant improvements but weren't immediately available, that the commission's contingency fund could be drawn upon and later repaid.

IV. Committee Reports

A. Program and Community Engagement

1. Juanita Morales noted during the last commission meeting, the commission asked for more information on children being separated from their families while immigrating illegally to the United States.

Ana Dineen (Voces Unidas) relayed several stories of Solano immigrant families and individuals, and what the families are experiencing locally, due to federal shifts in policy.

Jeanette Zanipatin, currently a legislative attorney working at MALDEF, began with speaking about the legal decision regarding Deferred Action for Childhood Arrivals (DACA) – MALDEF is representing the U.S. government to keep DACA in place. Ms. Zanipatin also discussed the fear within the immigrant community. Ms. Zanipatin continued by describing a change to what is considered a “public charge” (an individual who is likely to become primarily dependent on the government for subsistence,...); previously non-cash benefits, such as food stamps, did not place an individual with illegal immigration status on a list as a public charge. Non-cash benefits for any person in the household are now considered a public charge which could jeopardize an application for legal status in the country.

Commissioner Hannigan asked if children 0-5 here illegally are eligible for benefits; Ms. Zanipatin noted children are eligible for full-scope medi-cal, ages 0-19. Commissioner Crutison noted that fear identified in the stories and examples presented are reducing reporting for public services and can lead to children being denied because their parents can’t feel comfortable to access services.

Ms. Harris recommended that staff connect with Ms. Dineen to discuss potential supports that could help families with children ages 0-5, and that staff would bring back information on potential next steps the Commission could take to support these families.

2. Ms. Richards reviewed the progress made to implement the Program Investment Plan. Commissioner Hannigan asked for examples of Triple P Parenting Program Services to bring to the commission to enhance understanding of the new program.

B. Systems and Policy Committee

Consider approval of the revised Committee Structure and Commissioner Job Description.

Motion: Approve revised Committee Structure and Commissioner Job Description

**Moved by Commissioner Estrella-Henderson; Seconded by Commissioner Barton
Approved 5-0-0**

Yea: Commissioners Ayala, Barton, Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

V. Executive Director’s Report

Ms. Harris reported the work that Solano Kids Thrive has put forth toward the resiliency plan. Ms. Harris also noted that ACES connection is holding community meetings to obtain feedback on what’s important to consider for the plan from a provider standpoint.

VI. Commissioner Remarks

Commissioner Ayala noted his pleasure at hearing from a parent that our Pre-Kindergarten Academy program is working from the parent perspective. Commissioner Hannigan spoke about her visit to New Dawn Vallejo Pre-K Academy. Commissioner Estrella-Henderson suggested that the book giveaways could coincide with commissioner visits.

VII. Future Agenda Items

The next Commission meeting is scheduled for October 2, 2018 at 5:30 PM at 601 Texas Street, Conference Room B, Fairfield. Future agenda items include: Annual Report Submittal to First 5 California, Annual Audit, Committee Reports

Adjourn

Chair Hannigan adjourned the meeting at 7:40 PM.

Andrew Boatright, Office Assistant III

Approved:



IT'S ALL ABOUT THE KIDS

2019 First 5 Solano Commission & Committee Meeting Schedule

Commission Meetings 1 st Tuesday ¹ 5:30 pm - 7:30 pm 601 Texas Street, Fairfield	Policy and Oversight Committee 2 nd or 3 rd Wednesday 3:30 pm - 5:00 pm 601 Texas St., Suite 210, Fairfield
January 8	
	February 20
March 5	March 20
April 2	
	May 8
June 4	
	July 10
August 13	
	September 18
October 8	
October 19 <i>Annual Retreat 10:00 AM – 3:00 PM</i>	
	November 13
December 3	December 11

Revised September 19, 2018

¹ January, August, and October Commission meetings are the second Tuesday



IT'S ALL ABOUT THE KIDS

DATE: September 26, 2018

TO: First 5 Solano Children and Families Commission

FROM: Commissioner Erin Hannigan, Chair

BY: Gene Ibe, Staff

SUBJ: Nominating Committee for Election of 2019 First 5 Solano Commission Officers

In December of each year, the First 5 Solano Commission elects its officers (Chair and Vice-Chair) per its Bylaws. To facilitate this process, the Commission Chair is calling for 2-3 Commissioner volunteers to comprise an ad hoc Nominating Committee to bring forward recommended officers for the upcoming year.

For the Commission's convenience, attached are copies of the Bylaws and the First 5 Solano "Commissioner Job Description," which include details about the duties of the Chair and Vice-Chair. Officers serve a term of one calendar year. Commissioners interested in serving as officers can review these materials and, if they wish to be considered, forward their names to the Nominating Committee for consideration.

Attachment A: Commission Bylaws
Attachment B: Commissioner Job Description

BY-LAWS
OF
FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

ARTICLE 1

AUTHORITY

The First 5 Solano Children and Families Commission is governed by the California Children and Families Act of 1998 and Solano County Code Section 7.3.

ARTICLE II

PURPOSE AND INTENT

Section 2.1 Purpose. The specific purpose of this Commission is to carry out the mandates as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

ARTICLE III

POWERS AND DUTIES

Section 3.1 Powers and Duties. The powers and duties of the Commission shall be as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

ARTICLE IV

MEMBERS

Section 4.1. Management of the Commission's Activities and Affairs. The activities and affairs of the Commission shall be conducted as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

Section 4.2 Membership. The Commission shall be appointed by the Solano County Board of Supervisors as set forth in the California Children and Families Act of 1998 and Solano County Code Section 7.3.

Section 4.3 California Political Reform Act. Members shall comply with the terms of the California Political Reform Act.

Section 4.4 Terms of Office. The terms of office for each Commission member shall be four years. The terms of the original members shall be staggered.

SECTION V

COMMITTEES

Section 5.1 Standing and Ad Hoc Committees. The Commission may form standing committees or ad hoc committees as needed.

ARTICLE VI

OFFICERS AND DUTIES

Section 6.1 Identification and Title. The officers of the Commission shall be the Chair and the Vice-Chair.

Section 6.2 Terms of Office. The term of office for each officer shall be one year.

Section 6.3 Election of Officers. The election of officers shall take place at the first meeting in December of any year. The newly elected officers shall take office at the first meeting in January of any year. Vacancies in the positions of Chair and/or Vice-Chair that occur during the calendar year shall be filled by election as soon as possible, in accordance with the Ralph M. Brown Act, and any such officer(s) elected mid year shall serve out the remainder of the calendar year.

Section 6.4 Conduct of Meetings. The Chair shall preside at all meetings. In the absence of the Chair, the Vice-Chair shall preside. In the absence of both, the Commissioners attending shall choose a temporary Chair at the beginning of the meeting.

Section 6.5 Chair. The Chair shall:

1. Preside at all meetings of the Commission;
2. Appoint Chairs of Standing and ad hoc Committees.
3. Exercise such other powers and perform such other duties as may be prescribed by the Commission.

Section 6.6 Vice-Chair. The Vice-Chair shall have such powers and perform such duties as may be delegated by the Chair, and when the Chair is unable to preside at meetings and in his/her absence, shall preside and otherwise act as Chair.

ARTICLE VII

MEETINGS OF THE COMMISSION

Section 7.1 Meeting Time and Place. A regular time and place of meeting shall be adopted by the Commission in accordance with the Ralph M. Brown Act.

Section 7.2 Special Meetings. Special meetings of the Commission may be called from time to time provided such special meeting is called in accordance with the Ralph M. Brown Act.

ARTICLE VIII

QUORUM

Section 8.1 Quorum.

- (a) A quorum of the Commission shall be five members present.
- (b) Actions of the Commission shall be by majority vote of the full Commission.
- (c) If a quorum is lost so that no action may be taken, the meeting may continue as a committee in order to allow discussion and take testimony, provided that no action will be taken.

ARTICLE IX

RULES OF ORDER

Section 9.1 Robert's Rules of Order. Except as they may conflict with these By-Laws, the conduct of their affairs by the Commission and of all the committees shall proceed in accordance with provisions of the then-current codification of Robert's Rules of Order.

ARTICLE X

RECORDS, REPORTS AND INSPECTION RIGHTS

Section 10.1. Annual Report.

- (a) By January of each year, the Commission shall furnish a report containing the following information:
 - (1) the assets and liabilities as of the end of the fiscal year;
 - (2) the principal changes in assets and liabilities, including trust funds, during the fiscal year;
 - (3) the revenue or receipts of the Commission, both unrestricted and restricted to particular purposes, for the fiscal year;
 - (4) the expenses or disbursements of the Commission, for both general and restricted purposes, during the fiscal year; and
- (b) The report required herein shall be accompanied by any report thereon of the annual independent audit.

Section 10.2 Strategic Plan. By January of each year the Commission shall furnish a report on outcomes and changes regarding the Commission's Strategic Plan.

ARTICLE XI

MISCELLANEOUS PROVISIONS

Section 11.1 Interpretation of By-Laws.

- (a) Unless defined differently herein or unless the context requires a different meaning, terms used in these By-Laws shall have the same meaning as may be given to them in the Law, as amended from time to time.
- (b) To the extent possible, these By-Laws shall be construed as supplemental to all laws applicable to the same subject matter and shall be fully complied with unless such compliance shall be legal.
- (c) Any provision of these By-Laws which is inconsistent with any applicable law shall not be complied with, but such inconsistency shall not affect the validity of any other provision of these By-Laws, it being hereby declared that these By-Laws would have been adopted in full irrespective of the invalidity of any provision thereof.
- (d) By-Laws may be amended by majority vote at a regularly noticed Commission meeting. All proposed changes to these By-Laws shall be mailed to each Commissioner at least 10 days prior to such scheduled meeting. These By-Laws and subsequent amendments to these By-Laws shall take effect upon approval by the Board of Supervisors.

Section 11.2 Fiscal Year. The fiscal year of the Commission shall coincide with Solano County's fiscal year.

First 5 Solano Commissioner “Job Description”

I. First 5 Solano Commissioners are appointed for a four-year term.

The Commission makeup is as follows:

- One Board of Supervisor member
- Two Solano County Department of Health and Social Services representative members
- Five members, each of whom is nominated by a Board of Supervisor member (appointee need not reside in the District the appointing Board member represents)
- One ‘at large’ member

II. Major areas of Commission responsibility include, but are not limited to:

A. **Policy/Strategic Planning:** Oversee the development of, and approve, a strategic plan as the framework for the allocation of funding for programs, services and activities that enhance the health, well-being and development of children 0-5. Oversee and actively engage in the implementation of the strategic plan.

B. **Allocation of funds:** Independent authority to allocate funds for services for children 0-5, their families, and providers on services in accordance with the approved strategic plan.

C. **Accountability:**

1. Ensure that the annual required independent audit is performed and submitted to the Board of Supervisors and First 5 California in accordance with established timelines.
2. Approve an annual proposed budget and submit this budget to the Board of Supervisors in accordance with established timelines. Monitor the budget.
3. Approve the required annual report submission to First 5 California. Monitor and review local program outcomes and documented results.

D. **Functioning/Staffing:**

1. Approve and monitor policies as needed and ensure adherence to County policies as appropriate.
2. Participate in the selection and evaluation of the Executive Director.
3. Provide direction to staff to carry out the work of the Commission.

E. **Community Engagement:** Substantively involve Solano parents, service providers, interested community members and policy makers in the activities and decision-making process of the Commission. Ensure that the Commission’s strategic plan, funding priorities, programs and services reflect community needs and priorities. Ensure the free and open flow of information among Commissioners and the public.

II. Commissioner Job Duties:

In order to fulfill these responsibilities (and carry out the other powers and duties prescribed in the Children and Families First Act, County Code Section 7.3, Commission By-Laws and applicable commission policies), Commissioners are expected to commit to a substantive level of time and effort, including but not limited to the sections below.

- A. **Meetings** – Commissioners must maintain sufficient meeting attendance in accordance with County Code Section 7.3 and the First 5 Solano Commission Meeting Attendance Policy.

All Commissioners - There are up to 8 full Commission meetings per year, generally starting in late afternoon or early evening, lasting 2-4 hours. Meetings may be located in various parts of the County, including an annual, full-day Retreat, generally on a Saturday in October, if needed and appropriate. From time to time a special meeting may be called to deal with an item that cannot be postponed until the next scheduled meeting.

The Commission Chair and Vice Chair are elected annually and serve a one-(calendar) year term. Duties and responsibilities of Commission Chair include:

- a. reviewing and approving agendas and meeting materials
- b. presiding at/conducting meetings
- c. appointing Chairs of standing and ad hoc Committees
- d. presenting reports to the full Commission
- e. carrying out any other duties/activities delegated by the Commission
- f. representing the Commission at public or other meetings.

The By-Laws provide that the Vice Chair acts as Chair if the Chair is unavailable and presides at meetings when the Chair is not present, and has the powers and performs the duties delegated to him/her by the Chair.

From time to time, the Commission forms ad hoc committees, work groups or task forces and may request one or more Commissioners to serve on these bodies.

- B. **Assignments** – The Commission also has 3 areas in which Commission members are asked to participate. Those areas are: Policy and Oversight Committee, Funding/Legislative Representation, and Program/Community Representation. The Commission Chair appoints Commission Members to one of the 3 areas. Each of the 3 areas are summarized below and detailed in the “First 5 Solano Commission and Committee Structure.”

1. Policy and Oversight Committee - The Committee meets up to 8 times/year for 2-3 hours.

The Committee Chair is appointed by the Commission Chair. The Committee Chair and members serve a minimum of one year and may serve indefinitely. Duties and Responsibilities of Committee Chair includes:

- a. reviewing and approving agendas and meeting materials
 - b. presiding at/conducting meetings
 - c. presenting reports to the full Commission
 - d. representing the Commission at public or other meetings
2. Funding/Legislative Representation - Engaging in substantive policy, funding, legislative and systems change activities to inform stakeholders of the importance of the early years on lifelong success.

 3. Program/Community Representation - Engaging in substantive program or community support activities to promote the alignment of Commission/Community priorities, foster the free flow of information and promote system change¹.

¹ With the understanding that Commissioners represent First 5 Solano and its Strategic Plan Priorities, Goals, Results and objectives.



IT'S ALL ABOUT THE KIDS

DATE: September 27, 2018
TO: First 5 Solano Children and Families Commission
FROM: Megan Richards, Deputy Director
SUBJ: **First 5 Solano FY2017/18 Annual Audit**
Motion: ***Receive the First 5 Solano FY2017/18 Annual Audit***

Enclosed is the FY2017/18 statutorily-required independent audit of the First 5 Solano Children and Families Commission. The report will be presented to the Solano County Board of Supervisors and submitted to the California State Controller's Office by the deadline of October 31, 2018.

The fiscal year 2017/18 Financial and Compliance Audit was conducted in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in the *Government Auditing Standards*, issued by the Comptroller General of the United States and state requirements contained in the *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*. The audit is submitted to First 5 California and the State Controller's Office.

This is the first year First 5 Solano's audit has been conducted by an outside agency: Vavrinek, Trine, Day & Co (VTD). VTD reviewed the Commission's overall financial condition, including financial statements and transactions, contracting procedures and record-keeping, and a review of First 5 Solano's and adherence to policies.

The Audit consists of 3 separate reports:

1. The Independent Auditor's Report and Financial Statements
2. Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters
3. The Independent Auditor's Report on State Compliance

The FY2017/18 Audit is submitted for Commission consideration.

Attachment A: Audit Transmittal Letter

Attachment B: FY2017/18 Audit of the First 5 Solano Children and Families Commission



To the Board of Commissioners
First 5 Solano Children and Families Commission
Fairfield, California

We have audited the financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California (County), for the year ended June 30, 2018. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards and *Government Auditing Standards*, as well as certain information related to the planned scope and timing of our audit. Professional standards also require that we communicate to you the following information related to our audit.

Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the Commission are described in Note 1 to the financial statements. The Commission adopted Governmental Accounting Standards Board (GASB) Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, effective July 1, 2017. Accordingly, the cumulative effect of the accounting change as of the beginning of the year is reported in Note 7 of the financial statements. We noted no transactions entered into by the Commission during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the Commission's financial statements were:

Management's estimate of the:

- Amounts related to the net pension liability, related deferred inflows of resources and deferred outflows of resources, and disclosures are based on actuarial valuations and a proportionate share of the collective net pension liability of the County of Solano's miscellaneous agent multiple-employer plan. The valuations are sensitive to the underlying actuarial assumptions used, including, but not limited to the investment rate of return and discount rate and the Commission's proportionate share of the plan's collective net pension liability.
- Amounts related to the net other postemployment benefits (OPEB) liability, related deferred inflows of resources and deferred outflows of resources, and disclosures are based on actuarial valuations and the Commission's proportionate share of the collective net OPEB liability of the County. The valuations are sensitive to the underlying actuarial assumptions used, including, but not limited to, the investment rate of return, discount rate, and healthcare cost trend rate.

We evaluated the key factors and assumptions used to develop the above estimates in determining that they are reasonable in relation to the financial statements taken as a whole.

Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. The most sensitive disclosure affecting the financial statements was:

The disclosure of the Commission's defined benefit pension plan in Note 3 disclose what the impact of a 1% increase or decrease in the discount rate is on the Commission's net pension liability.

The disclosure of the Commission's OPEB plan in Note 4 discloses what the impact of a 1% increase or decrease in the discount rate or a 1% increase or decrease in the healthcare cost trend rate is on the Commission's net OPEB liability.

The financial statement disclosures are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Disagreements with Management

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated September 28, 2018.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to Commission's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as Commission's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Other Matters

We applied certain limited procedures to management's discussion and analysis, budgetary comparison information, the schedule of the Commission's proportionate share of the net pension liability, the schedule of pension contributions, the schedule of the Commission's proportionate share of the OPEB liability, and the schedule of OPEB contributions, which are required supplementary information (RSI) that supplements the basic financial statements. Our procedures consisted of inquiries of management regarding the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We did not audit the RSI and do not express an opinion or provide any assurance on the RSI.

We were engaged to report on the Schedule of Expenses by Fund Source and Net Position of SCCFC Funds for the First 5 Programs and Activities, which accompany the financial statements but are not RSI. With respect to this supplementary information, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with accounting principles generally accepted in the United States of America, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

Restriction on Use

This information is intended solely for the information and use of the Board of Commissioners and management of Commission and is not intended to be, and should not be, used by anyone other than these specified parties.

Vavrinek, Irine, Day & Co., LLP

Sacramento, California
September 28, 2018

**FIRST 5 SOLANO
CHILDREN AND FAMILIES COMMISSION**

Independent Auditors' Report and Financial Statements

Independent Auditors' Report on Internal Control Over
Financial Reporting and on Compliance and Other Matters

Independent Auditors' Report on State Compliance

For the Year Ended June 30, 2018

**FIRST 5 SOLANO
CHILDREN AND FAMILIES COMMISSION
FOR THE YEAR ENDED JUNE 30, 2018**

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First 5 Solano Children & Families Commission

Commissioners

(as of June 30, 2018)

Erin Hannigan, Chair
Solano County Board of Supervisors District 1

Gerald Huber, Vice Chair
Director of Health & Social Services, Solano County

Dan Ayala
Retired Air Force Technical Sergeant

Jennifer Barton
Chief of Staff, Assembly member Jim Frazier

Aaron Crutison
Deputy Director of Health & Social Services, Solano County

Lisette Estrella-Henderson
Solano County Superintendent of Schools

Nicole Neff
Parent

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FINANCIAL SECTION



VAVRINEK, TRINE, DAY & CO., LLP
Certified Public Accountants

VALUE THE *difference*

INDEPENDENT AUDITORS' REPORT

To the Board of Commissioners
First 5 Solano Children and Families Commission
Fairfield, California

Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California, as of and for the year ended June 30, 2018, and the related notes to the financial statements, which collectively comprise the Commission's basic financial statements as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statement that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Commission's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Commission's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and the general fund of the Commission, as of June 30, 2018, and the respective changes in financial position thereof for the year then ended, in accordance with accounting principles generally accepted in the United States of America.

Emphasis of Matter

As described in Note 1 to the financial statements, the Commission adopted Governmental Accounting Standards Board (GASB) Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, effective July 1, 2017. Our opinion is not modified with respect to this matter.

Other Matters

Required Supplementary Information

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis, budgetary comparison information, the schedule of proportionate share of the net pension liability, the schedule of pension contributions, the schedule of proportionate share of the net post employment benefit (OPEB) liability, and the schedule of OPEB contributions on pages 5 through 10 and pages 30 through 35, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information, and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Other Information

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the Commission's basic financial statements. The schedule of expenses by fund source and net position of SCCFC funds for First 5 programs and activities (Schedule) is presented for the purpose of additional analysis and is not a required part of the basic financial statements.

The Schedule is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule is fairly stated, in all material respects, in relation to the basic financial statements as a whole.

Other Reporting Required by *Government Auditing Standards*

In accordance with *Government Auditing Standards*, we have also issued our report dated September 28, 2018 on our consideration of the Commission's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and that results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Commission's internal control over financial reporting and compliance.

Vavinek, Irine, Day & Co., LLP

Sacramento, California
September 28, 2018



CHILDREN ARE OUR BOTTOM LINE

Management's Discussion and Analysis

As management of the First 5 Solano Children & Families Commission (Commission), we offer readers of the Commission's financial statements this narrative overview and analysis of the financial activities of the Commission for the fiscal year ended June 30, 2018. We encourage readers to consider the information presented here in conjunction with additional information in our financial statements.

Financial Highlights

- The Commission's net position totaled \$7,467,026 at June 30, 2018. The entire \$7,467,026 is for the use of specific government programs as outlined under the California Children and Families Act of 1998 (Proposition 10).
- The Commission's total net position increased by \$312,151 mainly due to a decrease in the Commission's net pension liability of \$208,598. Some contributing factors to the decrease were the difference in expected and actual experience and changes in assumptions.
- At June 30, 2018, the Commission's governmental funds reported an ending fund balance of \$8,353,676, a decrease of \$32,232 from June 30, 2017. \$653 is reported as nonspendable and \$8,353,023 is categorized as unassigned. The Commission's funds are for the use of specific government programs per legislation enacted under the California Children and Families Act of 1998 (Proposition 10).

Overview of the Financial Statements

This management discussion and analysis is intended to serve as an introduction to the Commission's basic financial statements. The Commission's basic financial statements are comprised of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to the financial statements.

Government-wide financial statements. The government-wide financial statements are designed to provide readers with a broad overview of the Commission's finances, in a manner similar to a private-sector business.

The statement of net position presents information on the Commission's assets/deferred outflows of resources and liabilities/deferred inflows of resources, with the difference reported as net position. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of the Commission is improving or deteriorating. The Commission has adopted a revised Long-Term Financial Plan (LTFP) which projects the Commission's assets over a ten-year period of time (FY 2016/17-FY 2025/26).

The statement of activities presents information showing how the Commission's net position changed during the fiscal year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of timing of related cash flows. Thus, revenues and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods.

The government-wide financial statements can be found on pages 11-12 of this report.

Fund financial statements. A fund is a grouping of related accounts used to maintain control over resources that have been segregated for specific activities or objectives. The Commission, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental funds. Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on near-term inflows and outflows of spendable resources, as well as on balances of spendable resources available at the end of the fiscal year. Such information may be useful in evaluating a Commission's near-term financing requirements.

Since the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for governmental funds with similar information presented for governmental activities in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the Commission's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balance provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The basic governmental fund financial statements can be found on pages 13-16 of this report.

Notes to the financial statements. The notes provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes to the financial statements can be found on pages 17-29 of this report.

Required Supplementary Information. In addition to the basic financial statements and accompanying notes, this report also presents certain required supplementary information concerning the Commission's budgetary comparison schedule for the Commission's general fund, pension schedules and OPEB schedules. Required supplementary information can be found on pages 30-35 of this report.

Government-wide Financial Analysis

As noted earlier, net position may serve over time as a useful indicator of the Commission's financial position. In the case of the Commission, assets/deferred outflows of resources exceeded liabilities/deferred inflows of resources by \$7,647,026 at June 30, 2018.

As previously stated, the Commission’s total restricted net position as of June 30, 2018 has limitations on their use imposed by the California Children and Families Act of 1998 (Proposition 10).

First 5 Solano Children & Families Commission
Government-wide
Statement of Net Position
June 30, 2018 and 2017

	2018	2017
Assets:		
Current and other assets	\$ 8,902,274	\$ 8,842,884
Total assets	8,902,274	8,842,884
Deferred Outflows of Resources:		
Deferred outflows related to pensions and OPEB	375,785	392,311
Total deferred outflows of resources	375,785	392,311
Liabilities:		
Current liabilities	548,598	456,976
Non-current liabilities	1,226,869	1,386,062
Total liabilities	1,775,467	1,843,038
Deferred Inflows of Resources:		
Deferred inflows related to pensions and OPEB	35,566	185,072
Total deferred inflows of resources	35,566	185,072
Net Position:		
Unrestricted	7,467,026	7,207,085
Total net position	\$ 7,467,026	\$ 7,207,085

The key elements in the significant changes in assets/deferred outflows of resources and current/non-current liabilities/deferred inflows of resources are as follows:

Current assets: Current assets increased by \$59,390 from June 30, 2017. The primary reason is an increase in the anticipated amount due from other agencies, most specifically Proposition 10 tobacco tax funding from First 5 California, at fiscal year-end.

Deferred outflows of resources: Deferred outflows of resources decreased by \$16,526 from June 30, 2017. The decrease is attributable to employer contributions to the pension and OPEB plan applicable to a future accounting period and current year changes in the net pension liability and related amortization and other factors.

Current liabilities: Current liabilities increased by \$91,622 from June 30, 2017. The increase is due to amounts owed to vendors for goods and services received prior to fiscal year-end.

Non-current liabilities: Non-current liabilities decreased by \$159,193 from June 30, 2017. The decrease is due to a reduction of the Commission’s proportionate share of the net pension liability. Some contributing factors to the decrease were the difference in expected and actual experience and changes in assumptions. The decrease in the net pension liability is offset by the implementation of GASB Statement No. 75 – *Accounting and Financial Reporting for Postemployment Benefits Other than Pensions*, which requires the Commission to record the proportionate share of the net OPEB liability.

Deferred inflows of resources: Deferred inflows of resources decreased by \$149,506. The decrease is attributable to current year changes in the net pension liability, net OPEB liability, related amortization, and other factors.

Governmental activities increased the Commission's net position by \$312,151 during fiscal year 2017/18, which represents an increase of approximately 4% from total net position at June 30, 2017. The increase in net position is due to changes related to Commission's net pension liability and related deferred inflows and outflows.

First 5 Solano Children & Families Commission's
Change in Net Position
For the fiscal years ended June 30, 2018 & 2017

	2018	2017
Program expenses:		
General:		
Employee services	\$ 522,567	\$ 841,114
Program evaluation costs	135,150	131,250
Interfund services	47,454	57,185
Professional & specialized services	60,344	55,818
Special departmental expense	4,615	2,412
Rents & leases	55,374	51,364
Insurance	7,411	5,276
Communication	5,945	5,966
Memberships	7,226	7,226
Meals/Refreshments	2,854	1,593
Transportation & travel	10,363	6,661
Non-capitalized equipment	-	113
Supplies	1,732	1,695
Countywide admin overhead	49,385	67,044
Miscellaneous	3,150	8
Total general expenses	913,570	1,234,725
Grant:		
Early mental health	502,556	483,430
SR family support	564,308	594,426
EPSDT- Early Periodic Screening Diagnosis & Treatment	185,371	215,803
Health access initiative	121,000	120,381
Pre K academy	204,328	186,363
Child care and development	421,920	323,234
H&SS IFSI - Family Strengthening Project	161,852	187,956
Collaboration	-	9,761
Community engagement	86,846	83,223
Pre-natal grants	35,000	34,889
Systems change	220,075	153,104
Co-sponsorship of conferences	18,475	11,500
Total grant expenses	2,521,731	2,404,070
Total program expenses	3,435,301	3,638,795
Program revenues:		
Operating grants and contributions	3,247,429	3,514,874
Charges for services	344,643	316,920
Total program revenues	3,592,072	3,831,794
Net program revenues	156,771	192,999
General revenues:		
Investment income	102,534	66,782
Miscellaneous	52,846	540
Total general revenues	155,380	67,322
Change in net position	312,151	260,321
Net position:		
Beginning, as restated (See Note 7)	7,154,875	6,946,764
End of the year	\$ 7,467,026	\$ 7,207,085

The key elements for the significant changes in net position are as follows:

Program expenses-general:

General expenses decreased overall from prior year by \$321,155. The most significant decreases are noted as follows:

- Employee services decreased by \$318,547 mainly due to decreases in GASB 68 related pension expenses.

Program expenses-grants:

Grant expenses increased overall from prior year by \$117,661. Significant changes are as follows:

Expenses for the following programs was either reduced or grantees underspent the budgeted amount for the current year funding cycle:

- SR Family Support - \$30,118
- Family Strengthening Project - \$26,104
- EPSDT-\$30,432

Conversely, Systems Change and the Child Care and Development IMPACT Program expenses increased in the amount of \$66,971 and \$98,686, respectively.

Program revenue:

Program revenue decreased from prior year by \$239,722 as a result of reduced funding from First 5 California Proposition 10 annual allocations.

Financial Analysis of the Commission’s Governmental Funds

As noted earlier, the Commission uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements.

Governmental funds. The general fund is a governmental fund type that is used to account for general activities of the Commission. The focus of the Commission’s governmental fund is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing the Commission’s net resources available for spending at the end of the fiscal year. At June 30, 2018, the Commission’s general fund reported an ending fund balance of \$8,353,676. This was the result of a decrease to fund balance of \$32,232 for the fiscal year ended June 30, 2018.

Governmental revenues totaled \$3,747,452 in fiscal year 2017/18. This represents a decrease of approximately 4% from fiscal year 2016/17.

Governmental expenditures totaled \$3,779,684 in fiscal year 2017/18. This represents an increase of approximately 4% from fiscal year 2016/17 due primarily to the overall increase for various services funded by grant expenditures.

Budgetary Highlights

The Commission’s general fund budget (Adopted and Final versions) is reflected in the Schedule of Revenues, Expenditures, and Changes in Fund Balance – Budget and Actual, as presented on page 29 in the Required Supplementary Information (RSI) section of this report.

There were changes between the adopted budget and the final budget resulting in an increase in appropriations for contingencies. Revenues recognized were less than budget by \$195,510 as a result of less charges for services provided to Health & Social Services Mental Health Division for early childhood mental health programs. Expenditures incurred were less than budget by \$941,224 due to the overall reduction in grant disbursement expenditures resulting from the new funding cycle that reduced overall allocations to various grant categories.

Debt Administration

Long-term liability. At June 30, 2018 the Commission had \$1,226,869 of long term liabilities composed of the net pension liability, net OPEB liability, and compensated absences. For more information, see Note 2 on page 22 of this report.

Economic Factors and Next Year's Operating Activities

In December 2017, the Commission adopted a new 2018-2023 Program Investment Plan, which brings expenditure in line with ongoing revenue. The 2018-2023 Program Investment Plan continues the work of the Commission under the key initiatives, but identifies a shift in strategy for some initiatives to ensure the Commission is making the biggest impact in outcomes utilizing its decreasing revenue.

The following services are outlined in the Program Investment plan for fiscal year 2018/19:

- Family Support Services: parent Education; family strengthening with a focus on Rio Vista and Vallejo
- Children's Health: well-child checkup utilization with a focus on Rio Vista and Vallejo
- Early Childhood Mental Health Services: Parent education; provider training; mental health treatment; universal developmental screenings
- Quality Child Care Services: IMPACT and Head Start facility
- School Readiness: Pre-Kindergarten Academies
- Help Me Grow: Access and linkage to services; family navigation/coordination
- Annual Grants: One-time funding opportunities to fill gaps and pilot new ideas
- Systems Change: System activities strengthen, expand, integrate and sustain the early childhood system

Commission management continues its fiscal policy of managing operating costs associated with Commission operations. For fiscal year 2018/19, the Commission will enter the first year of a five-year funding cycle. Expenditures are projected to be in line with the level of ongoing revenues.

Requests for Information

This financial report is designed to provide a general overview of the Commission's finances for all those with an interest with the government's finances. Questions concerning any of the information provided in this report or requests for additional financial information should be addressed to the First 5 Solano Children and Families Commission, 601 Texas Street, Suite 210, Fairfield, California 94533.

BASIC FINANCIAL STATEMENTS

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**GOVERNMENT-WIDE FINANCIAL STATEMENTS
STATEMENT OF NET POSITION
JUNE 30, 2018**

	<u>Governmental Activities</u>
ASSETS	
Current assets	
Cash and investments in County treasury	\$ 8,054,299
Accounts receivable	2,044
Due from County	165,229
Due from State Commission	680,049
Prepaid expense	653
Total assets	<u>8,902,274</u>
 DEFERRED OUTFLOWS OF RESOURCES	
Deferred outflows related to pensions	362,147
Deferred outflows related to OPEB	13,638
Total deferred outflows of resources	<u>375,785</u>
 LIABILITIES	
Current liabilities	
Outstanding warrants	76,419
Accounts payable	292,332
Due to County	119,929
Due to other agencies	59,918
Total current liabilities	<u>548,598</u>
 Noncurrent liabilities	
Compensated absences	31,657
Net pension liability	1,139,189
Net OPEB liability	56,023
Total noncurrent liabilities	<u>1,226,869</u>
Total liabilities	<u>1,775,467</u>
 DEFERRED INFLOWS OF RESOURCES	
Deferred inflows related to pensions	32,908
Deferred inflows related to OPEB	2,658
Total deferred inflows of resources	<u>35,566</u>
 NET POSITION	
Unrestricted	<u>7,467,026</u>
Total net position	<u>\$ 7,467,026</u>

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**GOVERNMENT-WIDE FINANCIAL STATEMENTS
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2018**

	<u>Governmental Activities</u>
Program expenses:	
General	
Employee services	\$ 522,567
Program evaluation costs	135,150
Countywide admin overhead	49,385
Interfund services	47,454
Professional & specialized services	60,344
Rents & leases	55,374
Memberships	7,226
Transportation & travel	10,363
Communication	5,945
Insurance	7,411
Special departmental expense	4,615
Supplies	1,732
Meals/Refreshments	2,854
Miscellaneous	3,150
Total general expenses	<u>913,570</u>
Grants	
SR family support	564,308
Early mental health	502,556
Child care and development	421,920
EPSDT - Early Periodic Screening Diagnosis & Treatment	185,371
H&SS IFSI - Family Strengthening Project	161,852
Pre K academy	204,328
Systems change	220,075
Health access initiative	121,000
Community engagement	86,846
Pre-natal	35,000
Co-sponsorship of conferences	18,475
Total grant expenses	<u>2,521,731</u>
Total program expenses	<u>3,435,301</u>
Program revenues:	
Operating grants and contributions	3,247,429
Charges for services	344,643
Total program revenues	<u>3,592,072</u>
Net program revenues	<u>156,771</u>
General revenues:	
Investment income	102,534
Donation/grants	52,846
Total general revenues	<u>155,380</u>
Change in net position	312,151
Net position- beginning, as restated	<u>7,154,875</u>
Net position- ending	<u>\$ 7,467,026</u>

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**BALANCE SHEET
GOVERNMENTAL FUND
JUNE 30, 2018**

	<u>General Fund</u>
Assets	
Cash and investments in County treasury	\$ 8,054,299
Accounts receivable	2,044
Due from County	165,229
Due from State Commission	680,049
Prepaid expense	653
Total assets	<u>\$ 8,902,274</u>
Liabilities	
Outstanding warrants	\$ 76,419
Accounts payable	292,332
Due to County	119,929
Due to other agencies	59,918
Total liabilities	<u>548,598</u>
Fund Balance	
Nonspendable	\$ 653
Unassigned	8,353,023
Total fund balance	<u>8,353,676</u>
Total liabilities and fund balance	<u>\$ 8,902,274</u>

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**RECONCILIATION OF THE BALANCE SHEET
OF GOVERNMENTAL FUND TO THE STATEMENT OF NET POSITION
JUNE 30, 2018**

Governmental fund balance	\$ 8,353,676
Amounts reported for governmental activities in the statement of net position are different because:	
Deferred inflows and outflows of resources related to the net pension liability are not due and payable in the current period and therefore, are not reported in the funds:	
Deferred outflows of resources related to pensions	362,147
Deferred inflows of resources related to pensions	(32,908)
Deferred inflows and outflows of resources related to the net OPEB liability are not due and payable in the current period and therefore, are not reported in the funds:	
Deferred outflows of resources related to OPEB	13,638
Deferred inflows of resources related to OPEB	(2,658)
Long-term liabilities are not due and payable in the current period and, therefore, are not reported in the funds.	
Compensated absences	(31,657)
Long-term obligations- net pension liability	(1,139,189)
Long-term obligations- net OPEB liability	<u>(56,023)</u>
Net position of governmental activities	<u>\$ 7,467,026</u>

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCE
GOVERNMENTAL FUND
FOR THE YEAR ENDED JUNE 30, 2018**

Revenues:	<u>General Fund</u>
Intergovernmental revenues	\$ 3,247,429
Charges for services	344,643
Investment income	102,534
Donations/grants	52,846
Total revenues	<u>3,747,452</u>
Expenditures:	
Current	
General:	
Employee services	866,950
Program evaluation costs	135,150
Countywide admin overhead	49,385
Interfund services	47,454
Professional & specialized services	60,344
Rents & leases	55,374
Memberships	7,226
Transportation & travel	10,363
Communication	5,945
Insurance	7,411
Special departmental expense	4,615
Supplies	1,732
Meals/Refreshments	2,854
Miscellaneous	3,150
Total general expenditures	<u>1,257,953</u>
Grants	
SR family support	564,308
Early mental health	502,556
Child care and development	421,920
EPSDT - Early Periodic Screening Diagnosis & Treatment	185,371
H&SS IFSI - Family Strengthening Project	161,852
Pre K academy	204,328
Systems change	220,075
Health access initiative	121,000
Community engagement	86,846
Pre-natal grants	35,000
Co-sponsorship of conferences	18,475
Total grant expenditures	<u>2,521,731</u>
Total expenditures	<u>3,779,684</u>
Net change in fund balance	(32,232)
Fund balance - beginning	<u>8,385,908</u>
Fund balance - ending	<u>\$ 8,353,676</u>

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**RECONCILIATION OF THE STATEMENT OF REVENUES,
EXPENDITURES AND CHANGES IN FUND BALANCE OF GOVERNMENTAL FUND
TO THE STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2018**

Changes in fund balance - governmental funds \$ (32,232)

Amounts reported for governmental activities in the statement of activities are different because:

Compensated absences expenses reported in the Statement of Activities do not require the use of current financial resources and therefore are not reported as expenditures in governmental funds. This amount represents the net change in the compensated absences liability. (6,618)

Governmental funds report OPEB plan contributions as expenditures. However, in the Statement of Activities, OPEB expense is measured as the change in net OPEB liability and the amortization of deferred outflows and inflows related to OPEB. The following amount reflect changes in the OPEB related balances: (20,039)

Governmental funds report pension contributions as expenditures. However, in the Statement of Activities, pension expense is measured as the change in net pension liability and the amortization of deferred outflows and inflows related to pensions. The following amounts reflect changes in the pension related balances: 371,040

Change in net position of governmental activities \$ 312,151

The accompanying notes are an integral part of these financial statements.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO BASIC FINANCIAL STATEMENTS JUNE 30, 2018

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Reporting Entity

Solano County is a political subdivision of the State of California. An elected, five-member Board of Supervisors governs the County.

First 5 Solano Children and Families Commission (Commission) was organized on July 8, 1999, by the Solano County Board of Supervisors through the adoption of Ordinance No. 1579 in accordance with the California Children and Families Act of 1998. The Commission currently operates under the State of California Health and Safety Code§ 130100-130155 and Solano County Code§ 7.3. The purpose of the Commission is to promote, support, and improve the early development of children from the prenatal stage through five years of age. The First 5 Solano Commission is funded by a surtax imposed statewide on the sale and distribution of cigarettes and other tobacco related products.

The Commission consists of nine members encompassing a myriad of professional and personal experience. The Board of Supervisors of Solano County approves the appointment of each Commission member's four-year term.

Basis of Accounting Measurement Focus

The basic financial statements of the Commission are composed of the following:

- Government-wide financial statements
- Fund financial statements
- Notes to the basic financial statements

Government-wide Financial Statements

Government-wide financial statements consist of the statement of net position and the statement of activities. These statements are presented on an economic resources measurement focus. All economic resources and obligations of the reporting government are reported in the financial statements.

The government-wide financial statements have been prepared on the accrual basis of accounting. Revenues, expenses, gains, losses, assets, and liabilities resulting from exchange and exchange-like transactions are recognized when the exchange takes place. Revenues, expenses, gains, losses, assets and liabilities resulting from non-exchange transactions are recognized in accordance with the requirements of GASB Statement No. 33.

Fund Financial Statements

The fund financial statements consist of the balance sheet and the statement of revenues, expenditures and changes in fund balance of the Commission's general fund. These statements are presented on a current financial resources measurement focus. Generally, only current assets, deferred outflows of resources, current liabilities and deferred inflows of resources are included on the balance sheet. The statement of revenues, expenditures and changes in fund balance for the governmental fund generally presents inflows (revenues) and outflows (expenditures) in net current position. All operations of the Commission are accounted for in the general fund.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO BASIC FINANCIAL STATEMENTS JUNE 30, 2018

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Fund Financial Statements (Continued)

The fund financial statements have been prepared on the modified accrual basis of accounting. Revenues are recognized in the accounting period in which they become both measurable and available to finance expenditures of the current period. Revenues are considered available if they are received within 90 days after year-end. Revenues susceptible to accrual include tax revenues, grant revenues and investment income. Expenditures are recognized in the accounting period in which the fund liability is incurred except for compensated absences, which are recognized when due and payable at year-end.

Adjustments Between Fund Financial Statements and Government-Wide Financial Statements

Deferred Outflows/Inflows of Resources

In addition to assets, the statement of net position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, *deferred outflows of resources*, represents a consumption of net position that applies to a future period(s) and so will *not* be recognized as an outflow of resources expense/expenditure until then. The Commission reports deferred outflows related to pensions and OPEB. Refer to additional details in Note 3 and Note 4.

In addition to liabilities, the statement of net position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, *deferred inflows of resources*, represents an acquisition of net position that applies to a future period(s) and so will *not* be recognized as an inflow of resources (revenue) until that time. In the fund financial statements the Commission has one item which arises only under a modified accrual basis of accounting that qualifies for reporting in this category. Accordingly, the item, *unavailable revenue*, is reported only in the governmental funds balance sheet. The governmental fund report unavailable revenues from intergovernmental revenues. This amount is deferred and recognized as an inflow of resources in the period that the amounts become available. The Commission also reports deferred inflows related to pensions and OPEB. Refer to additional details in Note 3 and Note 4.

Long-Term Liabilities

As of June 30, 2018, the Commission estimated its liability for vested compensated absences to be \$31,657. Compensated absence obligations are considered long-term in nature and are reported in the fund financial statements as expenditures in the period paid or when due and payable at year-end under the modified accrual basis of accounting. The compensated absences have been accrued in the government wide financial statements and are included in long-term liabilities. The compensated absences are liquidated by the general fund.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO BASIC FINANCIAL STATEMENTS JUNE 30, 2018

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Net Position

Net position is displayed in three components:

- Net investment in capital assets - Consists of capital assets including restricted capital assets, net of accumulated depreciation, and net of related debt.
- Restricted net position – Consists of resources in the net position with constraints placed on the use either by (1) external groups such as creditors, grantors, contributors or laws or regulations of other governments; or (2) law through constitutional provisions or enabling legislation.
- Unrestricted net position – All other resources making up net position that do not meet the definition of “restricted” or “net investment in capital assets.” All of the Commission’s net position is reported as unrestricted.

The Commission applies restricted resources when an expense is incurred for purposes for which both restricted and unrestricted net position is available.

Fund Balance Classification

Fund balance can be displayed under the following components:

- *Nonspendable Fund Balance* – includes elements of fund balance that cannot be spent because of their form, or because they must be (a) assets that will never convert to cash, such as prepaid items, or (b) resources that must be held intact pursuant to legal or contractual requirements. At June 30, 2018, fund balance of \$653 is classified as nonspendable.
- *Restricted Fund Balance* – includes resources that are subject to constraints that are externally enforceable legal restrictions.
- *Committed Fund Balance* – includes amounts that meet one of the following two criteria; (a) use of funds is constrained by limits imposed by formal action of the Commission and removal or modification of use of funds can be accomplished only by the same formal action of the Commission. The Solano First 5 Commission is the government's highest level of decision-making authority; and the formal action required to be taken to establish, modify, or rescind a fund balance restriction is a majority vote by the Commission.
- *Assigned Fund Balance* – The assigned portion of the fund balance policy reflects a commission's intended use of resources, which is established either by the First 5 Solano Commission, a body created by the commission, such as the commission finance committee, or an official designated by the commission (e.g., an Executive Director).
- *Unassigned Fund Balance* – includes resources in fund balance that cannot be classified into any of the other categories. At June 30, 2018, fund balance of \$8,353,023 is classified as unassigned.

The Commission’s policy states that when an expenditure is incurred for purposes for which both restricted and unrestricted fund balance is available, it is presumed that restricted funds are spent first; and when an expenditure is incurred for purposes for which amounts in any unrestricted fund balances could be used, it is presumed that the committed amounts are spent first, then the assigned amounts, then the unassigned amounts.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO BASIC FINANCIAL STATEMENTS JUNE 30, 2018

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Pensions

For purposes of measuring the net pension liability and deferred outflows/inflows of resources related to pensions, and pension expense, information about the fiduciary net position of the Solano County Pension Plan and additions to/deductions from the Plan's fiduciary net position have been determined on the same basis as they are reported by California Public Employees Retirement System (CalPERS). For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are reported at fair value.

Other Post Employment Benefits (OPEB)

For purpose of measuring the net OPEB liability, deferred outflows/inflows of resources related to OPEB, and OPEB expense, information about the fiduciary net position of the Solano County Retiree Healthcare Plan and additions to/deductions from the OPEB Plan's fiduciary net position have been determined on the same basis as they are reported by the Plan. For this purpose, the OPEB Plan recognizes benefit payments when due and payable in accordance with the benefit terms. Investments are carried at fair value.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles in the United States requires management to make estimates and assumptions that affect the amounts reported in the financial statements and accompanying notes. Actual results could differ from those estimates.

Cash and Investments in County Treasury

The Commission's cash and investments is maintained in the County Treasury and is pooled with the County of Solano and various other depositors. The Commission's ability to withdraw large sums of cash from the County Treasury may be subject to certain restrictions set by the County Treasurer. On a quarterly basis, the Treasurer allocates interest to participants based upon their average daily balances. The Solano County Treasury Oversight Committee oversees the Treasurer's investments and policies. The balance of the Commission's investment in the Solano County Treasury pool at June 30, 2018 is \$8,054,299. The County investment pool is not registered with the Securities and Exchange Commission as an investment company.

The County's pooled cash and investments are invested pursuant to investment policy guidelines established by the County Treasurer and approved by the County Board of Supervisors. The objectives of the policy (in order of priority) are: legality, preservation of capital, liquidity, and yield. The policy addresses the soundness of financial institutions in which the County will deposit funds, types of investment instruments as permitted by the California Government Code, and the percentage of the portfolio which may be invested in certain instruments with longer terms of maturity. A detailed breakdown of cash and investments and a categorization of risk factors per GASB Statement No. 40, Deposits and Investment Risk Disclosures, are presented in the County of Solano Comprehensive Annual Financial Report.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Cash and Investments in County Treasury (Continued)

Fair Value Measurements

The Commission categorizes its fair value measurements within the fair value hierarchy established by generally accepted accounting principles. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets, Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs. As of June 30, 2018, the Commission held no individual investments. All funds are invested in the County Treasurer's Investment Pool.

In instances where inputs used to measure fair value fall into different levels in the above fair value hierarchy, fair value measurements in their entirety are categorized based on the lowest level input that is significant to the valuation. The Commission's assessment of the significance of particular inputs to these fair value measurements requires judgment and considers factors specific to each asset or liability.

Deposits and withdrawals in the Pools are made on the basis of \$1 and not fair value. Accordingly, the Commission's share of investments in the County Treasurer's Investment Pool at June 30, 2018 of \$8,054,299 is an uncategorized input not defined as a Level 1, Level 2, or Level 3 input.

Due from County

Due from county in the amount of \$165,229 represents amounts due to the Commission for early childhood mental health services provided per terms of the County of Solano Memorandum of Understanding 2014-101.

Due from State Commission

Due from other agencies of \$680,049 represents amounts due to the Commission from the State (First 5 California Children & Families Commission) as of June 30, 2018 for amounts allocated but not received.

Outstanding Warrants

Outstanding warrants in the amount of \$76,419 represent the amount of warrants issued but not yet presented to the County for payment. When warrants are mailed, expenditures are recorded in the Commission's fund and an outstanding warrant liability is created, pending payment of the warrant.

Accounts Payable

Accounts payable of \$292,332 represents the balance owed for goods received and/or services rendered.

Due to County

Due to County of in the amount of \$119,929 represents amounts owed to the County of Solano for grantee services provided by the Department of Health and Social Services.

Due to Other Agencies

Due to other agencies of \$59,918 represents amounts owed to grantees outside the reporting entity.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Effect of New Governmental Accounting Standards Board (GASB) Pronouncement

GASB Statement No. 75 – In June 2015, GASB issued Statement No. 75 – *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*. The objective of this Statement is to improve accounting and financial reporting by state and local governments for postemployment benefits other than pensions (other postemployment benefits or OPEB). This Statement is effective for fiscal years beginning after June 15, 2017, or the 2017-2018 fiscal year. The Commission implemented this statement effective July 1, 2017.

NOTE 2 – LONG-TERM LIABILITY

Long-term liability activity for the fiscal year ended June 30, 2018, was as follows:

	Balance July 1, 2017	Additions	Retirements	June 30, 2018	Amounts Due Within One Year
Compensated absences	\$ 38,275	\$ -	\$ 6,618	\$ 31,657	\$ -
Net pension liability	1,347,787	-	208,598	1,139,189	-
Post employment benefits (OPEB) liability	62,660	-	6,637	56,023	-
Total long-term liabilities	<u>\$ 1,448,722</u>	<u>\$ -</u>	<u>\$ 221,853</u>	<u>\$ 1,226,869</u>	<u>\$ -</u>

NOTE 3 – DEFINED BENEFIT PENSION PLAN

Plan Description - The Commission employees participate in the County of Solano’s Miscellaneous defined benefit pension plan administered by the State of California Public Employees' Retirement System (CalPERS), an agent multiple-employer public employee retirement system that acts as a common investment and administrative agent for California cities and governmental jurisdictions, which participate in this retirement plan. For financial reporting purposes, the Commission reports a proportionate share of the County’s collective net pension liability, pension expense, and deferred inflows and outflows. Accordingly, the disclosures and required supplementary information have been reported for the Commission as a cost sharing participant.

Benefits Provided - CalPERS provides service retirement and disability benefits, annual cost of living adjustments and death benefits to plan members, who must be public employees and beneficiaries. Benefits are based on years of credited service, equal to one year of full time employment. All members are eligible for non-duty disability benefits after 10 years of service. The death benefit is one of the following: the Basic Death Benefit, the 1957 Survivor Benefit, or the Optional Settlement 2W Death Benefit. The cost of living adjustments for each plan are applied as specified by the Public Employees’ Retirement Law.

Contributions - Rates for the County's contributions are set by CalPERS based upon annual experience of County members and on periodic actuarial valuations. The contribution rate for the Commission is established by the County of Solano. The Commission contributes the full amount of the employees’ 7.508 percent share of contributions after five years of CalPERS qualifying experience. The employer contribution rate for the fiscal year ended June 30, 2018, is 10.127 percent. For the fiscal year ended June 30, 2018, the Commission was required to contribute \$172,253 to the County of Solano for the plan.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 3 – DEFINED BENEFIT PENSION PLAN (Continued))

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions

At June 30, 2018, the Commission reported a liability of \$1,139,189 for its proportionate share of the County’s net pension liability. The net pension liability was measured as of June 30, 2017, and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation as of June 30, 2016. The Commission’s proportion of the County’s net pension liability was based on the Commission’s FY 2017 actual contributions to the County’s pension plan relative to the total contributions of the County as a whole. At June 30, 2017, the Commission’s proportionate share was 0.3307 percent and at June 30, 2018 the Commission’s proportionate share was 0.3225 percent, a decrease of 0.0082 percent.

For the year ended June 30, 2018, the Commission recognized pension expense of \$170,796. At June 30, 2018, the Commission reported deferred outflows of resources and deferred inflows of resources related to pensions from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
	<u> </u>	<u> </u>
Difference between expected and actual experience	\$ 36,760	29,136
Changes of assumptions	153,133	3,772
Employer contributions paid by the Commission subsequent to the measurement date	<u>172,253</u>	<u>-</u>
Total	<u>\$ 362,146</u>	<u>\$ 32,908</u>

\$172,253 reported as deferred outflows of resources related to pensions resulting from the Commission’s contributions to the County’s plan subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ended June 30, 2019. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to the Commission’s proportion of the County’s pension plan will be recognized in pension expense as follows:

Year ended June 30,	
<u> </u>	
2019	\$ (49,409)
2020	(102,807)
2021	(27,587)
2022	<u>22,818</u>
Total	<u>\$ (156,985)</u>

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO BASIC FINANCIAL STATEMENTS JUNE 30, 2018

NOTE 3 – DEFINED BENEFIT PENSION PLAN (Continued))

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions (Continued)

Actuarial assumptions - The Commission's proportion of the County's total pension liability in the June 30, 2016 actuarial valuation was determined using the following actuarial assumptions, applied to all periods included in the measurement:

Actuarial valuation date	June 30, 2016
Measurement date	June 30, 2017
Actuarial cost method	Entry-Age Normal Cost Method

Actuarial Assumptions:

Discount Rate	7.15%
Inflation	2.75%
Projected Salary increases	Varies by Entry Age and Service
Cost of Living Adjustments:	2.75%
Payroll Growth:	3%

The mortality table used was developed based on CalPERS's specific data. The table includes 20 years of mortality improvements using Society of Actuaries Scale BB. For more details on this table, please refer to the 2016 experience study report available on CalPERS website at www.calpers.ca.gov.

All other actuarial assumptions used in the June 30, 2016 valuation were based on the results of an actuarial experience study for the period from 1997 to 2011, including updates to salary increase, mortality and retirement rates. The Experience Study report can be obtained at CalPERS' website under Forms and Publications.

The discount rate of 7.15 percent used for the June 30, 2017 measurement date decreased from 7.65% used for the June 30, 2016 measurement date.

The long term expected rate of return on pension plan investments was determined using a building block method in which best estimates ranges of expected future real rates of return (expected returns, net of plan investment expense and inflation) are developed for each major asset class. In determining the long-term expected rate of return, CalPERS staff took into account both short-term and long-term market return expectations as well as the expected pension fund cash flows. Such cash flows were developed assuming that both members and employers will make their required contributions on time and as scheduled in all future years. Using historical returns of all the funds' asset classes, expected compound (geometric) returns were calculated over the short-term (first 10 years) and the long-term (11-60 years) using a building-block approach. Using the expected nominal returns for both short-term and long-term, the present value of benefits was calculated for each fund. The expected rate of return was set by calculating the single equivalent expected return that arrived at the same present value of benefits for cash flows as the one calculated using both short-term and long-term returns. The expected rate of return was then set equivalent to the single equivalent rate calculated above and rounded down to the nearest one quarter of one percent.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 3 – DEFINED BENEFIT PENSION PLAN (Continued))

Pension Liabilities, Pension Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to Pensions (Continued)

The table below reflects the long-term expected real rate of return by asset class net of administrative expenses:

Long-Term Expected Real Rate of Return

Asset Class	Target Allocation	Real Return, Years 1 -10 (A)	Real Return 11+ (B)
Global Equity	51.00%	5.25%	5.71%
Global Fixed Income	20.00%	0.99%	2.43%
Inflation Linked Securities	6.00%	0.45%	3.36%
Private Equity	10.00%	6.83%	6.95%
Real Estate	10.00%	4.50%	5.13%
Infrastructure and Forestland	2.00%	4.50%	5.09%
Liquidity	1.00%	-0.55%	-1.05%
	100.00%		

Discount rate - The discount rate used to measure the total pension liability was 7.15 percent. To determine whether the municipal bond rate should be used in the calculation of a discount rate for each plan, CalPERS stress tested plans that would most likely result in a discount rate that would be different from the actuarially assumed discount rate. Based on the testing of the plans, the tests revealed the assets would not run out. Therefore, the current 7.15 percent discount rate is appropriate and the use of the municipal bond rate calculation is not deemed necessary. The long-term expected discount rate of 7.15 percent is applied to all plans in the Public Employees Retirement Fund. The stress test results are presented in a detailed report called “GASB Crossover Testing Report” that can be obtained at CalPERS’ website under the GASB 68 section.

Sensitivity of the Commission’s proportionate share of the County’s net pension liability to changes in the discount rate - The following table presents the Commission’s proportionate share of the County’s net pension liability calculated using the discount rate of 7.15 percent, as well as what the Commission’s proportionate share of the County’s net pension liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.15%) or 1-percentage-point higher (8.15%) than the current rate:

	1.00% Decrease (6.15%)	Current Discount Rate (7.15%)	1.00% Increase (8.15%)
Commission's proportionate share of the County’s net pension liability	\$ 1,681,807	\$ 1,139,189	\$ 698,632

Pension plan fiduciary net position - Detailed information about the County’s collective net pension liability is available in the County’s separately issued Comprehensive Annual Financial Report (CAFR). The County of Solano’s financial statements may be obtained by contacting the County of Solano, Auditor-Controller’s Office at 675 Texas Street, Suite 2800, Fairfield, CA 94533 or visiting the County’s website at https://www.solanocounty.com/depts/auditor/finance_reports.asp.

Detailed information about the CalPERS fiduciary net position is available in a separately issued CalPERS comprehensive annual financial report. Copies of the CalPERS annual report may be obtained from CalPERS Headquarters, Lincoln Plaza North, 400 Q Street, Sacramento, California 95811, or visiting www.calpers.ca.gov.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 4 – OTHER POST EMPLOYMENT BENEFITS (OPEB)

Plan Description

The Commission participates in County of Solano Multi-Employer Defined Benefit Healthcare Plan administered by the CalPERS. The plan provides postemployment healthcare benefits to eligible retirees by contributing a minimum of \$133 per month towards medical insurance benefits. This benefit is provided based on the Board of Supervisor's election to participate under the Public Employees' Medical and Hospital Care Act (PEMHCA) [Government Code Section 22750]. The County's Board may elect to pay more than the minimum contribution; however, the County's Board has elected to pay the minimum contribution of \$133 per month per eligible retiree. The County has not executed a formal plan document that provides for these benefits and the plan does not have a name.

In addition, the County established an irrevocable trust to pre-fund the other postemployment Annual Required Contribution benefits with the Public Agency Retirement Services (PARS). The PARS financial statements and additional reports can be obtained from the PARS website at <http://www.PARS.org>.

Contributions

The plan and its contribution requirements are established by memorandums of understanding with the applicable employee bargaining units and may be amended by agreements between the County and the bargaining units. The annual contribution is based on the actuarially determined contribution. Currently, plan members are required to pay the balance of the premiums.

OPEB Liabilities, OPEB Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB

At June 30, 2018, the Commission reported a liability of \$56,023 for its proportionate share of the net OPEB liability. The net OPEB liability was measured as of June 30, 2017, and the total OPEB liability used to calculate the net OPEB liability was determined by an actuarial valuation as of January 1, 2017. The Commission's proportion of the net OPEB liability was based on a projection of the Commission's contributions to the OPEB plan relative to the projected contributions of all participating member agencies, actuarially determined. At June 30, 2018, the Commission's proportion was 0.2434 percent.

For the year ended June 30, 2018, the Commission recognized OPEB expense of \$9,687. At June 30, 2018, the Commission reported deferred outflows of resources and deferred inflows of resources related to OPEB from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
OPEB contributions subsequent to measurement date	\$ 13,638	\$ -
Net difference between projected and actual earnings on plan investments	-	2,658
Total	<u>\$ 13,638</u>	<u>\$ 2,658</u>

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 4 – OTHER POST EMPLOYMENT BENEFITS (OPEB)

OPEB Liabilities, OPEB Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB (Continued)

\$13,638 reported as deferred outflows of resources related to OPEB resulting from the Commission’s contributions subsequent to the measurement date will be recognized as a reduction of the net OPEB liability in the year ended June 30, 2019. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to OPEB will be recognized in OPEB expense as follows:

Year ended June 30,	Amortization
2019	\$ (665)
2020	(665)
2021	(665)
2022	(663)
Total	<u>\$ (2,658)</u>

Actuarial Assumptions – The total OPEB liability in the January 1, 2017 actuarial valuation was determined using the following actuarial assumptions, applied to all periods included in the measurement, unless otherwise specified:

Actuarial Cost Method	Entry-Age Normal Cost Method
Actuarial Assumptions:	
Valuation Date	January 1, 2017
Discount Rate	6.00%
Inflation	2.75%
Investment Rate of Return	6.00%
Medical Trend	Non-Medicare - 7.5% for 2019, decreasing to an ultimate rate of 4.0% in 2076 and later years Medicare - 6.5% for 2019, decreasing to an ultimate rate of 4.0% in 2067 and later years
Mortality Improvement	Mortality projected fully generational with Scale MP-2016
Salary Increase	3%
Healthcare Participation	50%

Discount Rate – The discount rate used to measure the total OPEB liability was 6.00 percent for the plan. The projection of cash flows used to determine the discount rate assumed that employee contributions will be made at the current contribution rate and that the Commission’s contributions will be made at rates equal to the difference between actuarially determined contributions rates and the employee rate. Based on those assumptions, the plan’s fiduciary net position was projected to be available to make all projected future benefit payments of current active and inactive employees. Therefore, the long-term expected rate of return on pension plan investments was applied to all periods of projected benefit payments to determine the total OPEB liability.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 4 – OTHER POST EMPLOYMENT BENEFITS (OPEB) (Continued)

OPEB Liabilities, OPEB Expense, and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB (Continued)

The long-term expected rate of return on plan investments was determined using a building-block method in which best-estimate ranges of expected future real rates of return (expected returns, net of OPEB plan investment expense and inflation) are developed for each major asset class. These ranges are combined to produce the long-term expected rate of return by weighting the expected future real rates of return by the target asset allocation percentage and by adding expected inflation. The target asset allocation and most recent best estimates of arithmetic real rates of return for each major asset class are summarized in the following table:

<u>Asset Class</u>	<u>Target Allocation</u>	<u>Long-Term Expected Real Rate of Return</u>
Equities	58.0%	4.82%
Fixed Income	35.0%	1.47%
Cash	5.0%	0.06%
REITs	2.0%	3.76%
Total	<u>100%</u>	

Sensitivity of the Commission's Proportionate Share of the Net OPEB Liability to Changes in the Discount Rate – The following presents the Commission's proportionate share of the net OPEB liability, as well as what the Commission's proportionate share of the net OPEB liability would be if it were calculated using a discount rate that is 1-percentage-point lower (6.0 percent) or 1-percentage-point higher (8.0 percent) than the current discount rate:

	<u>1% Decrease (5.0%)</u>	<u>Discount Rate (6.0%)</u>	<u>1% Increase (7.0%)</u>
Net OPEB Liability	\$ 76,447	\$ 56,023	\$ 41,074

Sensitivity of the Commission's Proportionate Share of the Net OPEB liability to Changes in the Healthcare Cost Trend Rates – The following presents the Commission's proportionate share of the net OPEB liability, as well as what the Commission's proportionate share of the net OPEB liability would be if it were calculated using healthcare cost trend rates that are 1-percentage-point lower or 1-percentage-point higher than the current healthcare cost trend rates:

	<u>1% Decrease</u>	<u>Current Trend Rate</u>	<u>1% Increase</u>
Net OPEB Liability	\$ 38,338	\$ 56,023	\$ 80,273

Non-Medicare trend rate of 6.5%, decreasing 0.5% per year to an ultimate rate of 5.0% for 2012 and later years.
Medical trend rate of 6.7%, decreasing to an ultimate rate of 5.0% for 2021 and later years.

OPEB Plan Fiduciary Net Position – Detailed information about the OPEB plan's fiduciary net position is available in the separately issued CalPERS financial reports.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**NOTES TO BASIC FINANCIAL STATEMENTS
JUNE 30, 2018**

NOTE 5 – PROGRAM EVALUATION

In accordance with the *Standards and Procedures for Audits of California Counties Participating in the California Children and Families Program*, issued by the California State Controller, the Commission is required to disclose the amounts expended during the fiscal year on program evaluation. Program evaluation costs pertain to those activities undertaken to support the collection, production, analysis and presentation of evaluation information for Commission management, Commissioners and other interested parties.

For the year ended June 30, 2018, the Commission spent \$135,150 on program evaluation.

NOTE 6 – RISK MANAGEMENT

The Commission through Solano County carries coverage administered through the Solano County Risk Management Division, for all risks under a multi-peril policy, including accident and property, workers' compensation, and general liability insurance programs.

Solano County also participates in the California State Association of Counties-Excess Insurance Authority (CSAC-EIA), a joint powers authority created to provide self-insurance programs for California counties.

NOTE 7 - RESTATEMENT

Net position as of July 1, 2017 has been restated as follows for the implementation of GASB Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other than Pensions*:

<u>Restated for GASB 75 implementation</u>	<u>Governmental Activities</u>
Beginning Balance, as previously reported	\$ 7,207,085
Net OPEB liability	(62,660)
Deferred Outflows - OPEB contributions	10,450
Beginning Balance, as restated	<u><u>\$ 7,154,875</u></u>

REQUIRED SUPPLEMENTARY INFORMATION

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCE
GENERAL FUND
BUDGET AND ACTUAL
FOR THE YEAR ENDED JUNE 30, 2018**

	<u>Budgeted Amounts</u>		<u>Actual Amounts</u>	<u>Variance with Final Budget Positive (Negative)</u>
	<u>Adopted</u>	<u>Final</u>		
Revenues:				
Intergovernmental revenues	\$ 3,212,258	\$ 3,212,258	\$ 3,247,429	\$ 35,171
Charges for services	640,344	640,344	344,643	(295,701)
Investment income	52,500	52,500	102,534	57,534
Donations/grants	5,000	45,000	52,846	7,846
Total revenues	<u>3,910,102</u>	<u>3,950,102</u>	<u>3,747,452</u>	<u>(195,150)</u>
Expenditures:				
Current				
General				
Employee services	855,391	855,391	\$ 866,950	(11,559)
Program evaluation costs	140,000	140,000	135,150	4,850
Countywide Admin O/H	49,385	49,385	49,385	-
Interfund services	49,500	49,500	49,454	46
Professional & specialized services	70,219	70,719	60,344	10,375
Rents & leases	52,741	54,241	55,374	(1,133)
Memberships	7,500	7,500	7,226	274
Transportation & travel	10,400	10,400	10,363	37
Communication	6,498	6,498	5,945	553
Insurance	7,411	7,411	7,411	-
Special departmental expense	4,725	4,725	4,615	110
Supplies	4,000	4,000	1,732	2,268
Meals/Refreshments	1,000	2,854	2,854	-
Non capitalized equipment	3,000	3,000	-	-
Miscellaneous	4,250	3,150	3,150	-
Total general expenditures	<u>1,266,020</u>	<u>1,268,774</u>	<u>1,259,953</u>	<u>5,821</u>
Grants				
SR family support	666,577	666,577	564,308	102,269
Early mental health	1,030,000	1,030,000	502,556	527,444
Child care and development	465,101	465,101	421,920	43,181
EPSDT - Early Periodic Screening Diagnosis & Treatment	300,000	300,000	185,371	114,629
H&SS IFSI - Family Strengthening Project	187,956	187,956	161,852	26,104
Pre K academy	206,000	206,000	204,328	1,672
Systems change	275,000	315,000	220,075	94,925
Health access initiative	121,000	121,000	121,000	-
Community engagement	102,000	102,000	85,846	16,154
Pre-natal grants	35,000	35,000	35,000	-
Co-sponsorship of conferences	25,000	25,000	17,475	7,525
Collaboration	1,500	1,500	0	1,500
Total grant expenditures	<u>3,415,134</u>	<u>3,455,134</u>	<u>2,519,731</u>	<u>935,403</u>
Total expenditures	<u>4,681,154</u>	<u>4,723,908</u>	<u>3,779,684</u>	<u>941,224</u>
Excess (deficiency) of revenues over (under) expenditures	(771,052)	(773,806)	(32,232)	746,074
Fund balance - beginning	6,452,182	6,111,678	8,385,908	
Fund balance - ending	<u>\$ 5,681,130</u>	<u>\$ 5,337,872</u>	<u>\$ 8,353,676</u>	

The notes to the required supplementary information are an integral part of this schedule.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF THE COMMISSION'S PROPORTIONATE SHARE OF THE
NET PENSION LIABILITY
FOR THE YEAR ENDED JUNE 30, 2018**

LAST TEN YEARS*

	<u>2018</u>	<u>2017</u>	<u>2016</u>	<u>2015</u>
Commission's proportion of the net pension liability	\$ 1,139,189	\$ 1,347,787	\$ 1,129,735	\$ 1,370,818
Commission's proportionate share of the County's net pension liability	0.3225%	0.3307%	0.4065%	0.4228%
Commission's covered payroll	\$ 517,198	\$ 586,638	\$ 568,283	\$ 560,411
Commission's proportionate share of the County's net pension liability as a percentage of covered payroll	220.26%	229.75%	198.80%	244.61%
Plan fiduciary net position as a percentage of the total pension liability	72.12%	72.73%	77.48%	79.35%
Measurement date:	June 30, 2017	June 30, 2016	June 30, 2015	June 30, 2014

Notes to Schedule:

* Fiscal year 2015 was the first year of implementation of GASB 68, therefore, only four years are shown.

Changes of Assumptions: The discount rate was changed from 7.65% (June 30, 2016 measurement date) to 7.15% (June 30, 2017 measurement date). In 2016, there were no changes. In 2015, amounts reflected an adjustment of the discount rate from 7.5% (net of administrative expense) to 7.65% (without a reduction for pension plan administrative expense). In 2014, amounts reported were based on the 7.5% discount rate.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF PENSION CONTRIBUTIONS
FOR THE YEAR ENDED JUNE 30, 2018**

LAST TEN YEARS*

	<u>2018</u>	<u>2017</u>	<u>2016</u>	<u>2015</u>
Actuarially determined contributions	172,253	392,311	268,681	104,947
Contributions in relation to the actuarially determined contribution	172,253	392,311	268,681	104,947
Contribution deficiency (excess)	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>
Commission's covered payroll	\$ 570,009	\$ 517,198	\$ 586,638	\$ 568,283
Contributions as a percentage of covered payroll	30.22%	75.85%	45.80%	18.47%

Notes to Schedule:

* Fiscal year 2015 was the first year of implementation of GASB 68, therefore, only four years are shown.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF THE COMMISSION'S PROPORTIONATE SHARE OF
THE NET OPEB LIABILITY
FOR THE YEAR ENDED JUNE 30, 2018**

	<u>2018*</u>
Commission's proportion of the net OPEB liability	\$ 56,023
Commission's proportionate share of the net OPEB liability	0.2434%
Commission's covered-employee payroll	822,596
Commission's proportionate share of the net OPEB liability as a	6.81%
Plan fiduciary net position as a percentage of the total OPEB liability	60.10%
Measurement Date	June 30, 2017

* Fiscal year 2018 was the first year of implementation of GASB 75, therefore, only one year is shown.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF OPEB CONTRIBUTIONS
FOR THE YEAR ENDED JUNE 30, 2018**

	<u>2018*</u>
Contractually determined contribution	\$ 13,638
Contributions in relation to the contractually determined contributions	<u>13,638</u>
Contribution deficiency (excess)	<u>\$ -</u>
 Covered-employee payroll	 866,952
 Contributions as a percentage of covered payroll	 1.57%

* Fiscal year 2018 was the first year of implementation of GASB 75, therefore, only one year is shown.

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

NOTES TO REQUIRED SUPPLEMENTARY INFORMATION FOR THE YEAR ENDED JUNE 30, 2018

Budgetary Information

The Commission shall conform to Solano County Code § 7.3 for the First 5 Solano Children and Families Commission by approving a budget for the fiscal year in accordance with the Solano County annual budget calendar. The budget shall include anticipated revenues to the First 5 Solano Children & Families Trust Fund and shall provide for carrying out the adopted strategic plan. The budget shall be transmitted to the County Administrator for inclusion in the Final Budget of Solano County.

In accordance with provisions of Sections 29000-29144 of the California Government Code and other statutory provisions, commonly known as the County Budget Act, the County prepares and legally adopts a final balanced budget each fiscal year by July 1.

An operating budget prepared on the modified accrual basis is adopted each fiscal year.

SUPPLEMENTARY INFORMATION

FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION

**SCHEDULE OF EXPENSES BY FUND SOURCE AND
NET POSITION OF SCCFC FUNDS FOR FIRST 5 PROGRAMS AND ACTIVITIES
YEAR ENDED JUNE 30, 2018**

<u>Program</u>	<u>Source</u>	<u>Revenue SCCFC Funds</u>	<u>Expenses</u>	<u>Change in Net Position</u>	<u>Net Position Beginning of Year</u>	<u>Net Position End of Year</u>
IMPACT	SCCFC Program Funds *	\$ 241,842	\$ 241,842	\$ -	\$ -	\$ -
	County, Local Funds	8,215	8,215	\$ -	\$ -	\$ -



**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED
ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS**

To the Board of Commissioners
First 5 Solano Children and Families Commission
Fairfield, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities and the general fund of the First 5 Solano Children and Families Commission (Commission), a component unit of the County of Solano, California, as of and for the year ended June 30, 2018, and the related notes to the financial statements, which collectively comprise the Commission's basic financial statements, and have issued our report thereon dated September 28, 2018. Our report included an emphasis of matter regarding the Commission's adoption of Governmental Accounting Standards Board (GASB) Statement No. 75, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions*, effective July 1, 2017.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Commission's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Commission's internal control. Accordingly, we do not express an opinion on the effectiveness of the Commission's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Commission's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Commission's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Commission's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Varrinek, Irine, Day & Co., LLP

Sacramento, California
September 28, 2018



INDEPENDENT AUDITORS' REPORT ON STATE COMPLIANCE

To the Board of Commissioners
 First 5 Solano Children and Families Commission
 Fairfield, California

Compliance

We have audited the First 5 Solano Children and Families Commission's (Commission), a component unit of the County of Solano, California, compliance with the requirements specified in the State of California's Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act, issued by the State Controller's Office, applicable to the Commission's statutory requirements identified below for the year ended June 30, 2018.

Management's Responsibility

Management is responsible for compliance with the requirements of the laws and regulations applicable to the California Children and Families Act.

Auditors' Responsibility

Our responsibility is to express an opinion on the Commission's compliance with the requirements referred to above based on our audit. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, and the State of California's Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act, issued by the State Controller's Office. Those standards and the State of California's Standards and Procedures for Audits of Local Entities Administering the Children and Families Act require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the requirements referred to above that could have a direct and material effect on the statutory requirements listed below. An audit includes examining, on a test basis, evidence about the Commission's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance. However, our audit does not provide a legal determination of the Commission's compliance with those requirements. In connection with the audit referred to above, we selected and tested transactions and records to determine the Commission's compliance with the state laws and regulations applicable to the following items:

<u>Description</u>	<u>Audit Guide Procedures</u>	<u>Procedures Performed</u>
Contracting and Procurement	6	Yes
Administrative Costs	3	Yes
Conflict-of-Interest	3	Yes
County Ordinance	4	Yes
Long-range Financial Plans	2	Yes
Financial Condition of the Commission	1	Yes
Program Evaluation	3	Yes
Salaries and Benefits Policies	2	Yes

Opinion

In our opinion, the Commission complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on the California Children and Families Program for the year ended June 30, 2018.

Purpose of Report

The purpose of this report on compliance is solely to describe the scope of our testing over compliance and the results of that testing based on the State of California's Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act, issued by the Controller's Office. Accordingly, this report is not suitable for any other purpose.

Vanninek, Irine, Day & Co., LLP

Sacramento, California
September 28, 2018



IT'S ALL ABOUT THE KIDS

DATE: October 2, 2018

TO: First 5 Solano Children and Families Commission

FROM: Juanita Morales, Community Engagement Program Manager

SUBJ: **First 5 Solano Submission to First 5 California for the FY2017/18 Annual Report**

Motion: **Approve the First 5 Solano Submission to First 5 California for the FY2017/18 Annual Report**

Each year, the First 5 California Children and Families Commission is required by law to submit to the Legislature and the Governor a report outlining the activities and accomplishments of both the state First 5 Commission and the 58 county First 5 Commissions.

The First 5 Solano submission to First 5 California for its FY2017/18 Annual Report has been prepared in accordance with state requirements for submission by the deadline of October 31, 2018. The report includes a fiscal report, "aggregate data" by program category (service counts and demographics), as well as a snapshot of evaluation activities and system level activities. While the data being reported remained similar to previous years, for FY2017/18 the state utilized a new database and modified some of the reporting categories.

As the First 5 California Annual Report submission requirements are relatively narrow and prescriptive following the required elements for state Commission, staff also included a FY2017/18 Year End Performance Report which outlines the Commission's programs by Initiative and includes Performance Measures and qualitative data to give a fuller picture of the Commission's investments for FY2017/18. In addition, included in this year's Annual Report is a 2-year Systems Change Progress report giving a more in depth picture of the first two years of the Commission's Systems Change Action Plan.

Attachment A: FY2017/18 Annual Report Presentation
Attachment B: First 5 Solano FY2017/18 Annual Report

September 27, 2018

COMMISSIONERS

Erin Hannigan

Chair

Lenesha Anderson

Dan Ayala

Jennifer Barton

Aaron Crutison

Lisette Estrella-Henderson

Gerald Huber

Nicole Neff

Camille Maben
First 5 California
2389 Gateway Oaks Dr, Ste 260
Sacramento, CA 95833

Dear Ms. Maben,

First 5 Solano is pleased to convey its submission to First 5 CA for its FY2017/18 Annual Report.

Highlights of the First 5 Solano submission include:

- * Over 8,200 Solano residents were served by First 5 Solano
- * Enrolled 61 early care and education sites through IMPACT and the QRIS Block Grant.
- * Solano County Family Resource Centers provided 771 families case management, including connection to basic needs, financial literacy skills, and parent education.
- * 119 children received assessments from a Child Welfare Social Worker. 100% of children receiving CWS services remained safely in the home or with the family unit.
- * Secured health insurance for 691 expectant months and children.
- * Screened 324 high risk children for developmental and social-emotional concerns. Provided 297 children with mental health treatment.
- * Provided 435 children a Pre-Kindergarten Academy to prepare them to transition to kindergarten.
- * Serviced 1,660 children and parents/caregivers through Help Me Grow Solano. 1,408 were provided with at least one connection to a program or service.
- * Completed the second year of the Commission's Systems Change Action Plan to strengthen, integrate, expand, and sustain the early childhood system.

If you have any questions, feel free to contact me at 707-784-1332. Thank you.

Sincerely,

A handwritten signature in blue ink that reads "Michele Harris".

Michele Harris
Executive Director, First 5 Solano

FY2017/18 Year-End Performance Report



Michele Harris, Executive Director
601 Texas Street, Suite 210
Fairfield, CA 94533

Introduction

The First 5 Solano Year End Performance Report covers the period July 1, 2017 - June 30, 2018 for services funded through community partners/grantees in specific initiatives under the First 5 Solano Strategic Plan Priority Areas. In addition, the Commission funds some internally run programs and “mini-grants.”

The overarching goal of First 5 Solano’s Strategic Plan is to strengthen families through the services provided under the four priority areas:

1. Health and Wellbeing: Promotes physical, social and emotional development
2. Early Childhood Learning and Development: Supports learning by preparing children for kindergarten through developing communications, problem solving, physical, social-emotional and behavioral skills
3. Family Support and Parent Education: Strengthens families to provide nurturing and safe environments for children
4. Systems Change: Strategic effort to strengthen, integrate, sustain and expand the early childhood system in Solano County.

The FY2017/18 Annual Performance Report provides a snapshot of the performance of the Commission’s investments across all initiatives and programs. For each program, this report includes a brief description of the program, documentation of each grantee’s level of achievement of performance goals along with information about challenges faced by grantees. There is also a discussion of goals that were not attained and any necessary corrective action recommended. In addition, this report includes program performance and funding level with a high-level description of those areas that have been successful or challenging in each goal area.

In addition to the Commission’s FY2017/18 Performance Report, this year’s Annual Report contains a progress update on the implementation of the Commission’s Priority Area of Systems Change. The report outlines the accomplishments of the first two years of implementation and presents and adapted plan for FY2018/19 based upon learning that has occurred in the first two years.

Performance Measures

The purpose of performance measures is to ensure appropriate progress is being made for both the target number children and families served, and outcomes, including “is anyone better off” because of the service. Performance measures are written into contracts with grantees and are collected quarterly so staff can monitor progress made toward meeting contractual goals and where needed, to provide recommendations for changes in services to ensure annual targets are met. Performance measures are gauged as “met” if the grantee is within 90% of the contractual requirement. Otherwise the measure is “not met.” Often performance measures contain both targets for numbers served and outcomes, and both must be met for the performance measure to be considered met.

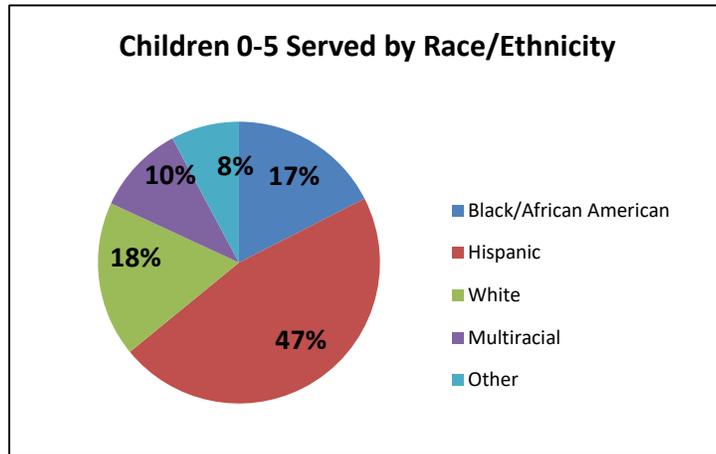
If one or more performance measures are not met, staff follow the Commission’s Compliance in Contracts Policy, which begins with working with the grantee to discover the reasons behind the inability to meet the measures. Staff utilize this information to determine if a Compliance Action Plan is warranted and work to ensure that the issue is not carried over multiple years.

For FY2017/18, overall, First 5 Solano grantees achieved 91% (129 of 141) performance measures tracked across the Commission’s framework (See Attachment A). Unmet Performance Measures were spread across multiple contracts, with only two contractors not meeting more than one Performance Measure. In discussion with these contractors, there were extenuating circumstances that led to the underperformance, and due to the Commission’s Updated Program Investment Plan launching in July 2018, both of these contractors are no longer performing the same work on behalf of the Commission.

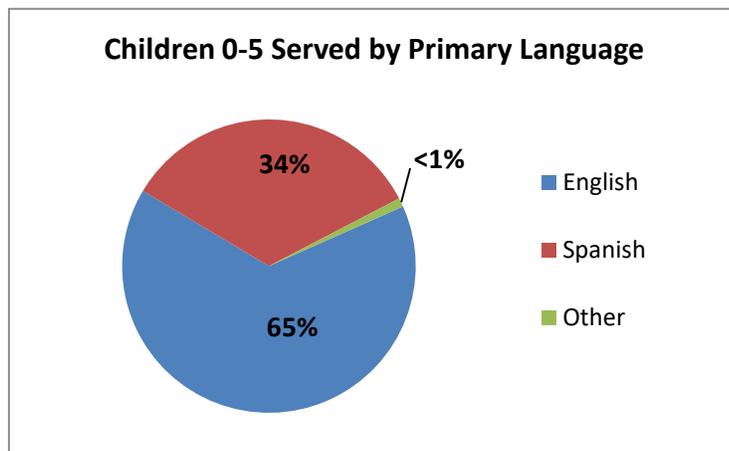
Demographics

First 5 California requires that County Commissions collect “aggregate data” to document numbers served, ages, language and ethnicities of children, parents/caregivers, and providers served. The First 5 Solano initiatives reach children, families/caregivers and service providers, while focusing on hard-to-reach populations including isolated, low-income, high risk, and underserved communities.

During the year, 8,215 residents received services from First 5 Solano, an 11% increase from FY2016/17.¹ Of this total, 43% (3,515) were children ages 0-5, 41% (3,350) were parents and caregivers, and 16% (1,350) were providers and others relating to children ages 0-5.



Overall, nearly half of the children ages 0-5 served in FY2017/18 in Solano were identified as Hispanic/Latino (47%). This was followed by 18% of children who identified as white and 17% of children who identified as Black/African American. When compared to the overall Solano County 0-5 population, First 5 Solano program participants were more likely to be Hispanic/Latino or African American than the population for Solano County. Of note, there percent of Hispanic children served by First 5 Solano programs increased 6% between FY2016/17 and FY2017/18.



Over one-third of children (34%) served were identified as Spanish-speaking. This is also an increase of 6% over FY2016/17. This finding highlights the importance for grantees to have staff who can communicate in Spanish, the ongoing need for parent education materials in English and Spanish, and continued literacy supports for the entire family.

¹ Individuals are unduplicated by program, but may be duplicated across programs.

Priority 1: Health and Well-Being

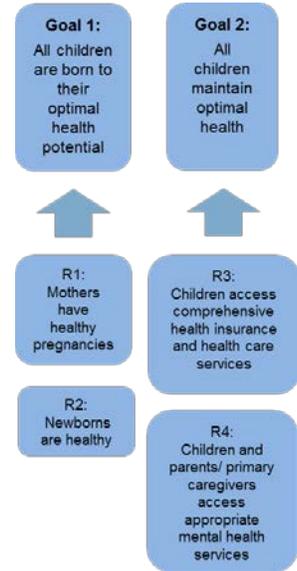
Children’s Health Insurance and Prenatal Initiatives

Solano Kids Insurance Program (SKIP)

Program Overview

Solano Coalition for Better Health is a non-profit organization whose mission is to improve health and quality of life for the citizens of Solano County. Solano Coalition for Better Health runs the Solano Kids Insurance Program (SKIP) to assist all children in Solano County in applying for, utilizing and retaining health insurance, thereby increasing access to health, mental health and dental care services. SCBH also enrolls newborns in health insurance prior to their leaving the hospital. Services provided include:

- Outreach – Identifying and engaging families in need of coverage
- Enrollment – Health insurance application assistance
- Retention – Support to keep children and families enrolled in health insurance programs
- Utilization – Information and support for children and families to utilize health services.



SKIP Family Story

The Monroy family recently welcomed a new baby to their family. Mrs. Monroy was concerned because she thought that her children would not qualify for Medi-Cal benefits, due to their family income. Her newborn needed a well child check and immunizations. To her surprise, SKIP helped her find out that her children were eligible for Medi-Cal. Now the Monroy family can relax, knowing their children will be able to attend well-child exams and receive the required immunizations to help keep her children protected. Mrs. Monroy said her children’s health is what matters most for her. She was very grateful that SKIP was available to help.

Connection to First 5 Solano Strategic Plan

The prenatal efforts conducted by SCBH are in service of the commission’s desired results that “Mothers have healthy pregnancies” and “Newborns are healthy.” Health insurance outreach, enrollment and retention efforts are in service of the commission’s desired result that “Children access comprehensive health insurance and health care services.”

Funding

In FY2017/18, SKIP was funded at \$156,000 - \$121, 000 under Children’s Health Insurance and \$35,000 under the Prenatal Initiative. The funding remained the same from the previous year.

Key Performance Measures

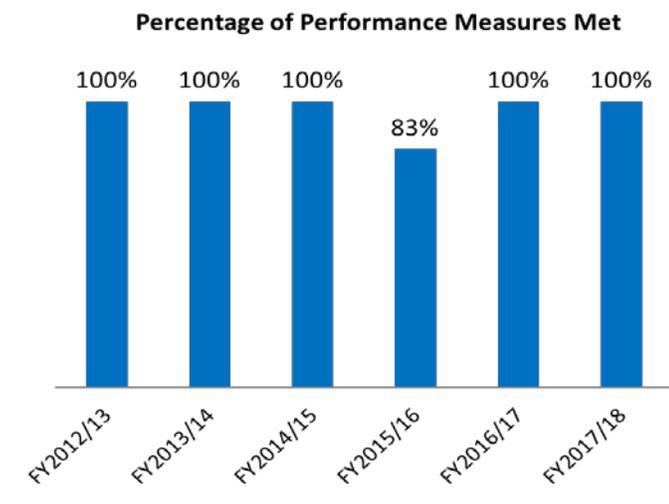
- ✓ Assisted parents to enroll 348 children ages 0-5 in health insurance.
- ✓ 100% of children enrolled at child development centers in elementary schools had health insurance.
- ✓ Enrolled 343 expectant mothers and their newborns in health coverage.

Challenges to Effective Service Provision

Concerns over immigration status have caused a decline in families pursuing enrollment in Medi-Cal. Community engagement is needed to assist families in understanding how to enroll and access health services. In addition, a portion of children who live in moderate income families still have a challenge accessing affordable health insurance. Although families are eligible for Medi-Cal there is a gap in the number of children that attend well-child and dental exams.

Multi-Year Program Performance

Over the last 6 years, there has been success in the children’s health initiative, both funded by First 5 Solano, as well as community-wide. First 5 Solano’s funded partner, SCBH has met the vast majority of their measures every year.



Note: Number of performance measures – 6 (FY2012/13-FY2015/16); 4 (FY2016/17-FY2017/18)

Priority 1: Health and Well-Being

Early Childhood Mental Health Initiative

Partnership for Early Access for Kids (PEAK) Early Periodic Screening Diagnosis and Treatment (EPSDT)



Program Overview

The Solano Early Childhood Mental Health Initiative includes the Partnership for Early Access for Kids (PEAK) and Solano County Health & Social Services (H&SS) Early Periodic Screening, Diagnosis and Treatment (EPSDT) Programs.

PEAK is jointly funded by First 5 Solano in partnership with Solano County Health & Social Services, Mental Health Division utilizing Mental Health Services Act, Prevention and Early Intervention funds. PEAK provides:

- Education and training for parents and providers
- Mental and developmental health screenings
- Mental health assessment and treatment for non-Medi-Cal eligible children
- Interdisciplinary Team Evaluations
- Case Management

The PEAK funded partners for FY2017/18 were Child Haven, Solano Family and Children’s Services, and Uplift Family Services.

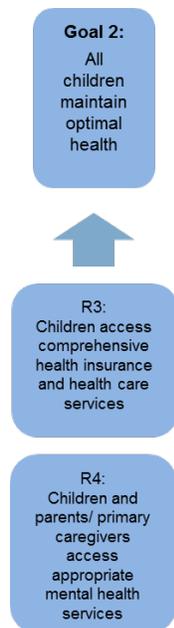
EPSDT provides mental health treatment for Medi-Cal eligible children with identified needs through H&SS.

Connection to First 5 Solano Strategic Plan

The early childhood mental health efforts conducted by PEAK partners and EPSDT providers are in service of the commission’s desired result that “Children and parents/primary caregivers access appropriate mental health services.”

Funding

In FY2017/18, early childhood mental health was funded at \$610,000 through the Commission’s Program Investment Plan; however, only \$380,000 was allocated toward the activities described above; this was matched by \$200,000 from Mental Health Services Act.. Due to challenges with implementing a universal screening system \$230,000 was unallocated.



Key Performance Measures

- ✓ PEAK provided mental and developmental health screenings for 324 high risk children.
- ✓ Between PEAK and EPSDT, 297 children were provided mental health treatment.
- ✓ 13 children were provided Interdisciplinary Team Evaluations.
- ✓ 98% of 213 providers who attended educational sessions on screening tools and other mental and developmental topics increased their knowledge as demonstrated by pre/post evaluations.
- ✓ 100% of 543 parents/caregivers who attended educational sessions on screening tools and other mental and developmental topics increased their knowledge as demonstrated by pre/post evaluations.

PEAK FAMILY STORY

A 15-month old girl who was in foster care was referred to a PEAK provider. This child had been exposed to drugs in utero and was removed from her birth mother. She had been in 2 foster homes since she was born. During this time, she was thought to be on the autism spectrum because she had no communication skills other than crying and grunting. Her foster parents reported that she was disengaged and did not have the capacity to communicate if she was hungry, needed a diaper change, or needed some attention. She did not engage in play or express curiosity when presented with simple toys.

The child and her foster parents began treatment services which included Parent/Child Interactive Therapy and Circle of Security principles to enhance their attachment, build rapport, and build parenting skills from a trauma-informed lens. Within one month of services, she started speaking spontaneously, engaging in play, and expressing a range of positive emotions as well as age-appropriate tantrums from time to time. She continued along this positive path and is in the process of being adopted by her forever family.

Challenges to Effective Service Provision

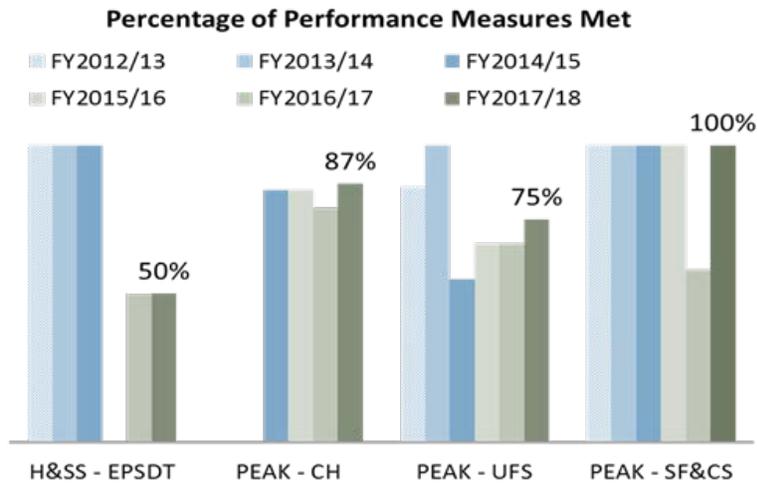
Developmental Screenings: Uplift Family Services experienced staff turnover of their bilingual Family Partner, and this affected their ability to meet their target for development screenings. Despite these challenges this grantee met 87% of their goal by the end of the program year. Uplift Family Services and Child Haven worked collaboratively with the Help Me Grow Solano team to make sure that all children who were referred for screenings were served. The end result was that the cumulative goal of 300 screenings was met and exceeded, with 318 screenings completed.

Treatment Services: Child Haven served 11 of a planned 20 clients, and reported that the lower number is due to the majority of high-risk children in Solano having Medi-Cal, and, the reduction in need for short term treatment for non-Medi-Cal children.

EPSDT met their goal for treatment services by serving an unduplicated total of 286 clients. However, only 69% of clients evaluated for their 6-month review demonstrated improvement in at least one stated treatment goal, falling short of the goal of 80%. This is primarily due to one subcontractor having significant staff turnover leading to breaks in services for clients. In FY2018/19, this subcontractor will no longer be contracting for 0-5 services and H&SS EPSDT will be funded at \$100,000. Additional funding for early childhood mental health treatment services will be provided through the Triple P program.

Multi-Year Program Performance

While the early childhood mental health contractors have had challenges in meeting performance measures, three out of the four increased the number of performance measures met in the most recent FY.



Note: Number of performance measures – HSS: 2, CH: N/A (not contracted until 2014/15), UFS: 7, SFCS: 2 (FY2012/13-FY2013/14); HSS: 2, CH: 13, UFS: 11, SFCS: 2 (FY2014/15); HSS: 2, CH: 13, UFS: 9, SFCS: 2 (FY2015/16); HSS: 2, CH: 14, UFS: 3, SFCS: 12 (FY2016/17); HSS: 2, CH: 15, UFS: 4, SFCS: 20 (FY2017/18)

Priority 2: Early Childhood Learning and Development

School Readiness Initiative

Pre-Kindergarten Academies

Program Overview

Pre-Kindergarten (Pre-K) Academies provide quality early childhood experiences for children entering Kindergarten. Children who have not participated in pre-school have priority for participation in Pre-K Academies. In addition, outreach is directed to children who are English Language Learners and/or considered “high-risk” due to factors such as poverty, remoteness, substance abuse, family violence, child abuse and neglect, special needs and other challenges.

Summer of 2017 Pre-K Academies were held at multiple sites by the following agencies:

- Fairfield-Suisun Unified School District
- Travis Unified School District
- Vacaville Unified School District
- Benicia Unified School District
- River Delta Unified School District
- Virginia St. Head Start-Vallejo
- Dixon Unified School District
- Vallejo City Unified School District



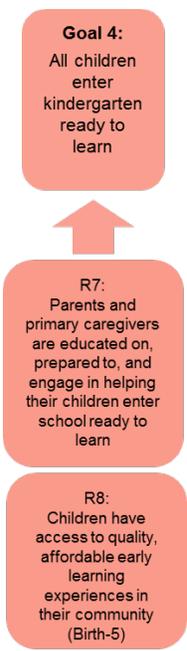
Students are assessed by the Kindergarten Student Entrance Profile (KSEP), an evidenced-based tool developed by UC Santa Barbara that measures social-emotional and cognitive elements of children’s readiness to enter kindergarten. Mastery of all or most items on the KSEP indicate that the child is “Ready to Go” to Kindergarten. This data can then be shared with the child’s kindergarten teacher. In addition, parents are provided information to help their child work at home on skills that will help their child succeed in school.

Connection to First 5 Solano Strategic Plan

The school readiness efforts are in service of the commission’s desired results that “Parents and primary caregivers are educated on, prepared to, and engage in helping their children enter school ready to learn” and “Children have access to quality, affordable early learning experiences in their community (Birth-5).”

Funding

In FY2017/18, Pre-K Academies were funded at \$210,000. This was a \$10,000 increase from FY2016/17.



Key Performance Measures

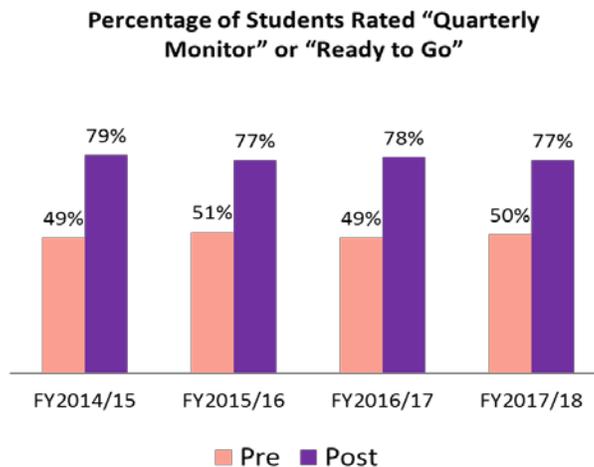
- ✓ 435 children attended Pre-K Academies compared to 392 in 2016.
- ✓ 2017 Pre-K Academies reported 36% of students as being Hispanic/Latino and 49% as having no prior preschool experience. In 2016 Pre-K academies reported 41% of students as being Hispanic/Latino and 58% having no prior pre-school experience.
- ✓ At the end of the 2017 Pre-Kindergarten Academies, 77% of students were ready to go or nearly ready to go to Kindergarten compared to 78.3% in 2016. Although there was a very slight decrease in the percentage of children ready to go this still indicates that Pre-Kindergarten Academies are effective in helping prepare children for Kindergarten. The biggest gains were in the areas of “Seeks adult help when appropriate” (55% at pre; 82% at post) and “Exhibits impulse control and self-regulation” (53% at pre; 74% at post).

Challenges to Effective Service Provision

Consistent attendance continues to be a struggle throughout the 4-week summer program, as many families take vacation or are working toward developing school routines with their children.

Multi-Year Program Performance

Children were rated on social-emotional and cognitive skills before and after the program. Children were "Quarterly Monitor" or "Ready to Go" if they were almost ready or ready for kindergarten in most areas. The results remain consistent across years that more children meet this standard after attending the 4-week program.



Note: Number of students – 496 (FY2014/15); 517 (FY2015/16); 345 (FY2016/17); 388 (FY2017/18)

Priority 2: Early Childhood Learning and Development

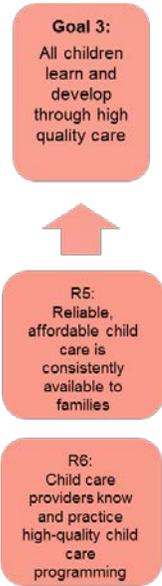
Quality Child Care Initiative

Head Start Wraparound Child Care Services and Facility IMPACT program

Program Overview

First 5 Solano’s Quality Child Care Initiative consists of 2 programs:

- Head Start Wrap Around program provides wrap-around care (full-day child care) for 40 preschool children receiving Head Start services in Fairfield and Vacaville. In addition, Head Start began providing services to an additional 16 toddlers at the Commission funded space at 275 Beck Ave, Fairfield for a total of 46 children.
- Improve and Maximize Programs so All Children Thrive (IMPACT) supports a local quality rating and improvement system (QRIS) to coordinate assess and improve early care and education settings, such as preschools and family childcare homes. First 5 Solano funding is matched approximately 5:1 by First 5 CA.



Connection to First 5 Solano Strategic Plan

The quality child care efforts are in service of the commission’s desired results that “Reliable, affordable child care is consistently available to families” and “Child care providers know and practice high-quality child care programming.”

Funding

In FY2017/18, quality child care was funded at \$451,000: \$195,000 for Head Start and \$256,000 for IMPACT. First 5 Solano funding is reimbursed approximately 5:1 by First 5 CA for the IMPACT program. First 5 Solano funding for Head Start includes the providing the space at 275 Beck Ave for Head Start and Early Head Start.

Key Performance Measures

- ✓ All 56 Head Start slots were consistently enrolled over the fiscal year, with an average daily attendance of 85%.
- ✓ 20 Preschoolers transitioned from the Fairfield Woolner site to the commission funded space on Beck Ave, which included 16 additional toddlers.
- ✓ First 5 IMPACT enrolled 61 sites, exceeding the target by 5 sites.
- ✓ 85% of providers enrolled in QRIS have met at least one goal on their quality improvement plan exceeding their target of 75%.



Head Start Family Story

“Since Alejandro has been attending Beck Child Start he has become more social, he wants to learn, and is curious about everything. He asks a lot of questions about everything that he sees and hears. I have seen that when Alejandro is happy he gets so excited that he wants to involve his 7 year old sister in his excitement and his playtime. Alejandro used to have a very short temper. He is now able to share how he is feeling and say sorry to his siblings when he has an argument with them.”

Because of Head Start Alejandro’s mom has been able to enroll in Solano Community College and has completed 12 units in Early Childhood Education. Before she started taking college course she could not write English. She can now complete essays for all of her classes and is proud of her progress.

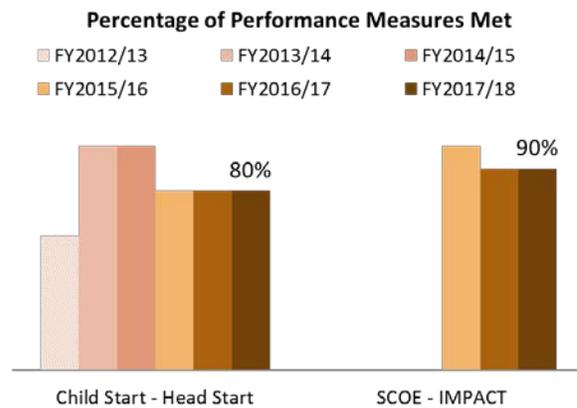
Challenges to Effective Service Provision

Both Head Start facilities experienced program disruptions, including intermittent closures and challenges with attendance due to the wildfires in October 2017. During the 4th quarter, some families at the Beck site experienced homelessness due to closure/reduction in shelter beds in Fairfield, consequently affecting punctuality and attendance.

Hiring and retention of coaches for the Quality Rating and Improvement System (QRIS) has been challenging; during the 4th quarter one coach resigned so a new coach was hired and sites were in the process of transitioning to the new coach. By the end of 17/18, QRIS had 5 quality support coaches to assist early care and education programs in providing quality care in Solano County.

Multi-Year Program Performance

Both Child Start and Solano County Office of Education have met the majority of their Performance Measures over multiple years.



Note: Number of performance measures – Child Start: 5; SCOE: N/A (not contracted until 2015/16) (FY2012/13-FY2014/15); Child Start: 5; SCOE: 2 (FY2015/16); Child Start: 5; SCOE:10 (FY2016/17-FY2017/18)

Priority 3: Family Support and Parent Education

Family Strengthening Initiative

Family Strengthening Partnership

Program Overview

The Family Strengthening Partnership (FSP) seeks to strengthen access to services and programs and enhance community collaboration by serving as the connection between people in need and available resources. The Family Resource Centers are ideal places to offer these comprehensive neighborhood-based services for families experiencing or at-risk of child neglect or abuse, poverty, family violence, substance abuse or other pressing family needs. The services are enhanced by a Multidisciplinary Team which conducts case conferences for families with multiple issues.



Providers under the FSP include:

- Six Family Resource Centers with 9 locations throughout the county
- Solano County Child Welfare and Public Health

Services include:

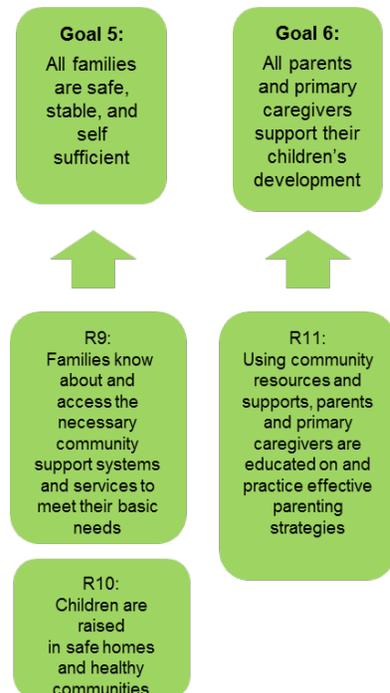
- Basic needs and intensive case management to stabilize families in crisis
- Financial literacy and money management counseling (including developing a budget)
- Family support services and parent education
- Home visiting for Child Welfare-referred children by a Social worker and public health nurse to reduce the risk of out-of-home placements and reduce child abuse and neglect.

Connection to First 5 Solano Strategic Plan

The family strengthening efforts conducted by family resource centers and Solano County CWS/PHN are in service of the Commission’s desired results that “Families know about and access the necessary community support systems and services to meet their basic needs,” “Children are raised in same homes and health communities,” and “Using community resources and supports, parents and primary caregivers are education on and practice effective parenting strategies.”

Funding

In FY2017/18, family strengthening services were funded at \$860,000, the same as the prior year.



Key Performance Measures

- ✓ 771 families were provided client case management services through a combination of office visits, home visits, and group workshops.
- ✓ 93% (374) of the 402 families completing multiple assessments utilizing the Family Development Matrix remained stable or improved.
- ✓ 546 families received financial counseling assistance, including developing a budget and promoting asset building through initiation of a savings plan/account.
- ✓ 88% of 59 families receiving support from the Family Strengthening Partnership public health nurse improved status by referrals to health care provider, WIC, immunization, mental health, developmental screening, parent education, substance abuse, or Family Resource Center services
- ✓ 100% of the 119 high-risk children receiving support by the Family Strengthening Partnership Child Welfare Services social worker remained safely in their homes or with their family unit.

Family Strengthening Partnership Story

A young woman came to the Family Resource Center in Vallejo requesting assistance for her family. Her husband had recently been laid off of work, so the couple was worried about their dwindling savings and how they would continue paying for rent, utilities, and diapers for their 8-month old daughter. The FRC staff assisted the couple with job search resources, and helped them create a savings plan to get through this fiscally challenging time. With basic needs funds provided by First 5 Solano, Vallejo FRC was also able to provide the family with \$250 to pay for utilities for that month. With support from the FRC staff, the husband was fortunate to find new employment two months later, so they were able to maintain their housing, and the family is now stable.

Challenges to Effective Service Provision

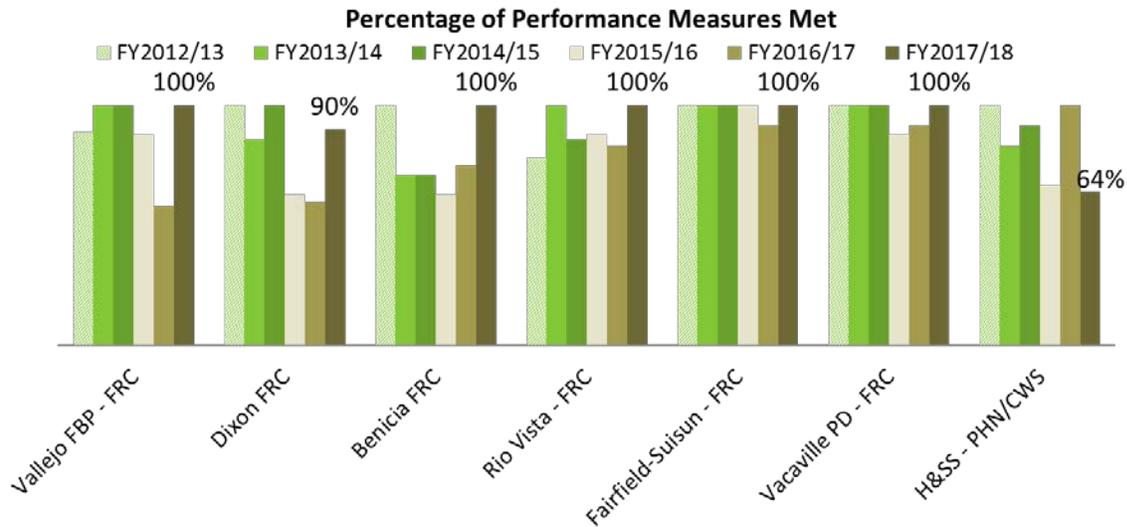
Vallejo FRC encountered challenges in meeting performance measures at the end of FY2016/17, which continued into FY2017/18. In accordance with the Commission's Compliance in Contracts Policy, a Compliance Action Plan was initiated in September 2017, addressing targets for improvement in the areas of completing post-assessments measuring family stability and documenting improvements in financial counseling activities. By the end of this fiscal year, Vallejo met all of their compliance targets and is no longer on a Compliance Action Plan.

Dixon FRC encountered challenges related to keeping client families engaged in case management activities in FY2016/17, which led the Commission to approve modification of their scope of work and associated funding for the FY2017/18 contract year. Dixon FRC and First 5 Solano mutually agreed to amend the contract, assigning set reimbursement amounts for completion of services. With these changes, Dixon made significant progress toward meeting their performance goals.

Multi-Year Program Performance

While over the last 6 years, the family resource centers have had varying degrees of success in meeting their performance measures and three of the six FRCs had been on a contract Compliance Action Plan, all FRCs made significant improvements in meeting their performance measures in FY2017/18 and all FRCs have been removed from Compliance Action Plans.

In FY2017/18 the Public Health Nurse and Child Welfare social worker had challenges in evaluating higher risk families and connecting them to resources. Commission staff intend to work with both Public Health and Child Welfare to ensure families are connected to the Commission’s new investments in Triple P Parent Education.



Note: Number of performance measures – FRCs: 9; HSS: 12 (FY2012/13); FRCs: 7; HSS: 12 (FY2013/14-FY2014/15); FRCs: 8; HSS: 12 (FY2015/16); FRCs (except DFS): 12; DFS: 10; HSS: 11 (FY2016/17-FY2017/18)

Priorities 1-3: Overarching Initiative

Access and Linkages to Services

Help Me Grow Solano

Program Overview

Launched in 2013, Help Me Grow Solano provides a centralized access point to connect children and families to appropriate community-based programs and services, such as in the areas of health, developmental services, parent education, housing, and childcare. Help Me Grow Solano family navigators provide education and support to families, referrals to community-based supports, empower families to overcome barriers to services, and follow up with clients to make sure that linkages are successful. Other program activities include community outreach and outreach/education with child healthcare providers. Data is collected and analyzed for use in continuous improvement.

Connection to First 5 Solano Strategic Plan

Help Me Grow Solano crosses all priority areas and integrates the Commission's overarching principles of access and coordination.

Funding

In FY2017/18, Help Me Grow Solano was funded at \$200,000. This funding level reflects an \$80,000 increase over the FY2016/17 funding level due additional MHSa match funding of \$80,000.

Key Performance Measures

- ✓ All 20 performance measures were met, and 15 of these performance measures were exceeded.
- ✓ Served 1,660 individuals, of which 1,408 were provided with at least one connection to a program or service.
- ✓ 694 of these families had complex issues and were paired with a Family Navigator for further assistance.
- ✓ Help Me Grow Solano significantly increased outreach activities, including:
 - Participating in 38 community outreach events/presentations; and 33 community/service provider meetings, presentations or individual meetings;
 - Connecting with 110 child healthcare providers to present the features/benefits of Help Me Grow Solano; and,
 - Establishing partnerships with 24 new child healthcare providers, with these providers actively referring patients to Help Me Grow.



Challenges to Effective Service Provision

Help Me Grow staff report that they have continued efforts to increase the partnership between Help Me Grow Solano and the major healthcare providers and hospitals. This effort has produced some results; however it will take additional outreach activities to establish additional relationships and gain access to the medical teams. The goal is to be able to present information about Help Me Grow Solano, build a working relationship, and work with the medical teams to streamline the referral process so that the children and families are connected quickly and efficiently. The Help Me Grow Solano Outreach staff will continue to work on these relationships in the upcoming program year.

Help Me Grow Staff Story

Help Me Grow Solano staff received a call from a pregnant mom who was in crisis, and was considering giving her baby up for adoption. This mom had a 4-yr. old to care for, and she had recently become a single parent. She was experiencing financial hardships, was unemployed, had no transportation and limited food, and was facing possible eviction. Help Me Grow Solano provided emotional support, and resources for adoption services, along with resources for the other needs of this family. Help Me Grow Solano followed up, and this client had reached out to all of the resources, and several agencies had responded to her. This mom decided to keep her baby and accept the resources she needed.

Multi-Year Program Performance

As the contractor changed in FY2016/17 and the scope was added to the PEAK contract, there is minimal comparison data to reflect any trends in service provision (see SFCS performance measure data page 8).

Priority 4: Systems Change

Program Overview

First 5 Solano’s Systems Change goal is that *early childhood systems are strengthened, integrated, expanded and sustained*. First 5 Solano runs this program through a combination of internal staff and collaboration with community partners. An Action Plan was adopted in April 2016 which outlines strategies and key results in the 4 result areas. The implementation of this Action Plan continued in FY2017/18.

Connection to First 5 Solano Strategic Plan

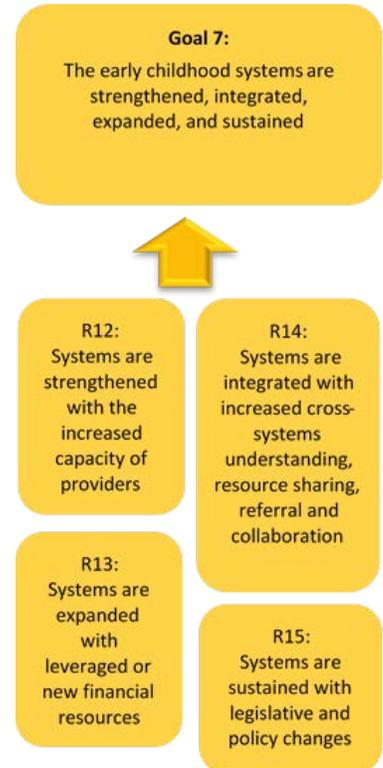
Systems change efforts are in service of the commission’s desired results that “Systems are strengthened with the increased capacity of providers,” “Systems are expanded with leveraged or new financial resources,” “Systems are integrated with increased cross-systems understanding, resource sharing, referral and collaboration,” and “Systems are sustained with legislative and policy changes.”

Funding

In FY2018/19, Systems Change was funded at \$432,000.

Key Performance Measures

The “*Progress Report for Year 1 and Year 2 Implementation of the Systems Change Action Plan*” is included as a special section of the Annual Report, and outlines the strategies in each result area and progress made toward the desired results.



Internal Programs

In addition to the Programs that fit directly under one of the Commission's four Priority Areas, the Commission has a variety of special funds and internally run programs that are funded to support any of the priority areas under the Commission's Strategic Plan.

COMMUNITY ENGAGEMENT

First 5 Solano Community Engagement activities support proactive, consistent and clear communication about the programs and services offered by First 5 Solano grantee partners and engage the broader Solano County community in the importance of efforts to improve the lives of children ages 0-5.

Internal Community engagement activities included:

- Establishing community partnerships and promoting collaboration including Help Me Grow Solano efforts.
- Increasing awareness of priority issues and efforts in Solano County for children ages 0-5 and their families including hard-to-reach communities.
- Developing and implementing strategies to keep parents, grantee and community stakeholders informed about First 5 Solano activities and programs.
- Developing and spreading community information via the website, Facebook, local radio and Pandora on-line radio public service announcements, First 5 Solano calendar, and other venues.
- Customizing and distributing outreach materials promoting First 5 Solano and Help Me Grow Solano.
- Participating in Community Events, such as hosting the Big Sandbox at the Solano County Fair, participating in the Solano County Family Justice Health Fair and providing the First 5 CA Express Van at the local farmer's markets.
- Distribution of Kit for New Parents. Kits are provided by First 5 California-funded program and "customized" with up to 3 items by our local Commission. In FY2017-2018, 1,758 New Parent Kits customized with a child's portion plate and bibs were distributed. Approximately 10% of Kits distributed were in Spanish.



In addition, Community Engagement grants of up to \$300 each totaling \$6,850 was disbursed to 21 local agencies compared to \$5,965 the previous year. Details of each event are as follows:

1. Solano County Breastfeeding Coalition –Community Health Fair to support breastfeeding mothers and their families held on 07/20/2017.
2. Solano County Health & Social Services – Kindergarten Round-up to give children who are entering kindergarten the opportunity to receive a physical exam and immunizations held on 08/02/2017.
3. Emmanuel Arms Community, Inc. –Vallejo National Night Out resource fair for the community held on 08/01/2017.

4. Little Tots Daycare – Open house to give parents and children in the community the opportunity to visit the daycare center and be provided resources in early learning held on 08/05/2017
5. Solano Trauma & Recovery Center – Grand opening event to bring awareness to the community on trauma services available to children and their families held on 09/21/2017.
6. Matrix Parent Network & Resource Center- Holiday Event to support children with disabilities by visiting the Silveville tree farm held on 12/08/2017.
7. A More Excellent Way – Community Baby Shower to help eliminate disparities in African American pregnant families held on 02/17/2018.
8. MidPen Residential Services-Education Workshop to provide education and resources to residents of housing with children 0-5 years of age held on 11/15/2017.
9. Benicia Mom’s Group-Early Education Workshop to further engage the Pre-k community held on 11/15/2017.
10. Mt. Calvary Baptist –Community Baby Shower to provide participants with workshops on child development and connect expectant parents to resources held on 03/24/2018.
11. Solano County Resource Family Assn. – Caregiver luncheon to provide education and support to caregivers of foster youth ages 0-5 held on 3/09/2018.
12. Child Haven-Pinwheels for Prevention event to raise awareness of Child Abuse Prevention month held on 04/02/2018.
13. Team Dixon- Special Needs Spring event to meet the abilities of all children held on 04/05/2018.
14. Friends of Family Services Travis Airforce Base- Month of the military child kickoff event held on 04/11/2018.
15. Children’s Network- Memorial Ceremony to honor the children who have died by violence in Solano County held on 04/27/2018.
16. Solano County Library – Dia de los Niño’s event to foster the love of reading by proving books and storytelling held on 04/28/2018.
17. Little Einstein’s Preschool- End of the Year event to encourage learning, outdoor play and to provide summer resources held on 05/05/2018.
18. Lil Einstein’s Preschool- Mother’s day Appreciation event to create a special memory and encourage moms to network held on 05/11/2018.
19. Suisun City Library- Make it, Take it kindergarten readiness event to give families the opportunity to learn activities in preparation for kindergarten held on 05/19/2018.
20. Little Tot’s Family Daycare-Open House event to inform and increase awareness for parents of children entering kindergarten held on 05/20/2018.
21. Solano Family and Children’s Services –Childcare provider appreciation event to acknowledge the work they do in helping young children develop and grow held on 05/26/2018.
22. Miss Allyson’s Preschool- End of year event to provide parent education and summer resources for families held on 06/15/20108.
23. Lil Einstein’s preschool- End of Year event to provide summer resources and information to encourage activities over the summer held on 06/16/2017.



Co-Sponsorship of Training and Conferences

The purpose of the Co-Sponsorship of Training and Conferences Fund is to improve the capacity of individuals and organizations in Solano County to serve expectant parents, children birth to five years old and their families. Grants totaling \$17,475 were disbursed to local agencies for six conferences/trainings. Details of each event are as follows:

1. Children's Network of Solano County was awarded \$2,975 to provide a one-day training on the Standards of Family Strengthening and Protective Factors. Twenty-two participants from Solano community organizations participated and were award certificates.
2. Napa Solano SANT/SART was awarded \$3,000 to provide the second annual Courage Conference. The conference had 80 attendees and educated both professionals and parents and caregivers on trauma informed care.
3. Maria Vicondoa was awarded \$3,000 to attend the Napa Fellowship program on infant mental health. The program is considered the gold standard in training infant mental health professionals.
4. A More Excellent Way Health Organization was awarded \$2,500 to provide community members and paraprofessionals who serve low-income pregnant women with breastfeeding peer counselor training to support pregnant and postpartum mothers. Twenty-five people attended at least one session and 11 people completed all five sessions.
5. Solano County WIC was awarded \$3,000 to provide a Certified Lactation Educator (CLE) Course provided by UC San Diego. Twenty-eight participants received their CLE Certification.
6. Solano County H&SS was awarded \$1,000 for Sakari Lyons, Black Infant Health supervisor, to attend Leadership Vallejo—a 9-month training program to increase networking, advocacy and leadership to address the high-risk factors concerning the Vallejo community.



Summary/Conclusion

First 5 Solano's FY2017/18 funded Priority Areas, programs and services were overall highly successful and effective. First 5 grantees, Commissioners, staff and community are to be commended for their diligent and committed work for Solano's youngest and most vulnerable children and their families.

Staff continue to work closely with grantees and other partners to support execution of the 2016 First 5 Solano Strategic Plan Update through the implementation of programs and services. Staff have been planning and implementing the Commission's 2018-2023 Program Investment Plan which shifts strategies in several key areas including:

- Introduction of an Annual Grants program to fill community gaps, provide flexibility in responding to community needs, and piloting new ideas.
- Transitioning to evidence-based Triple P Positive Parent Education program in the areas of mental health and family support.
- Targeting family strengthening programs to high-risk communities of Vallejo and Rio Vista.

In addition, First 5 Solano will continue working closely with its partners through its systems change action plan to identify ways to strengthen the early childhood system.

Altogether, these components serve to position First 5 Solano and its grantees as community leaders in continuing to build, strengthen, sustain and expand an effective and accountable early childhood system for Solano County.



Attachment A

Table 1: Summary of FY2017/18 Performance Measures

**Summary of FY2017/18 Performance Measures
(July 1, 2017 – June 30, 2018)**

Grantee	Performance Measures		
	Total Number	Number Met/ Exceeded	Number Unmet
Early Childhood Developmental Health Initiative			
Solano County H&SS EPSDT	2	1	1
PEAK - Child Haven	15	13	2
PEAK - Uplift Family Services	4	3	1
PEAK - Solano Family & Children's Services	20	20	0
Children's Health/Prenatal Initiative			
Solano Coalition for Better Health	4	4	0
Quality Child Care Initiative			
Solano County Office of Education - IMPACT	10	9	1
Child Start - Head Start Wrap-around Care	5	4	1
Family Support Initiative			
Benicia Police Department	12	12	0
Dixon Family Services	10	9	1
Fairfield-Suisun USD	12	12	0
Fighting Back Partnership	12	12	0
Rio Vista CARE	12	12	0
Vacaville Police Department	12	12	0
H&SS Public Health Nurse/CWS Social Worker	11	7	4
Total	141	129	12



SYSTEMS CHANGE IN SOLANO COUNTY

HOW ARE WE DOING?



2-Year Progress Report

September 2018



Helping People Build Better Communities

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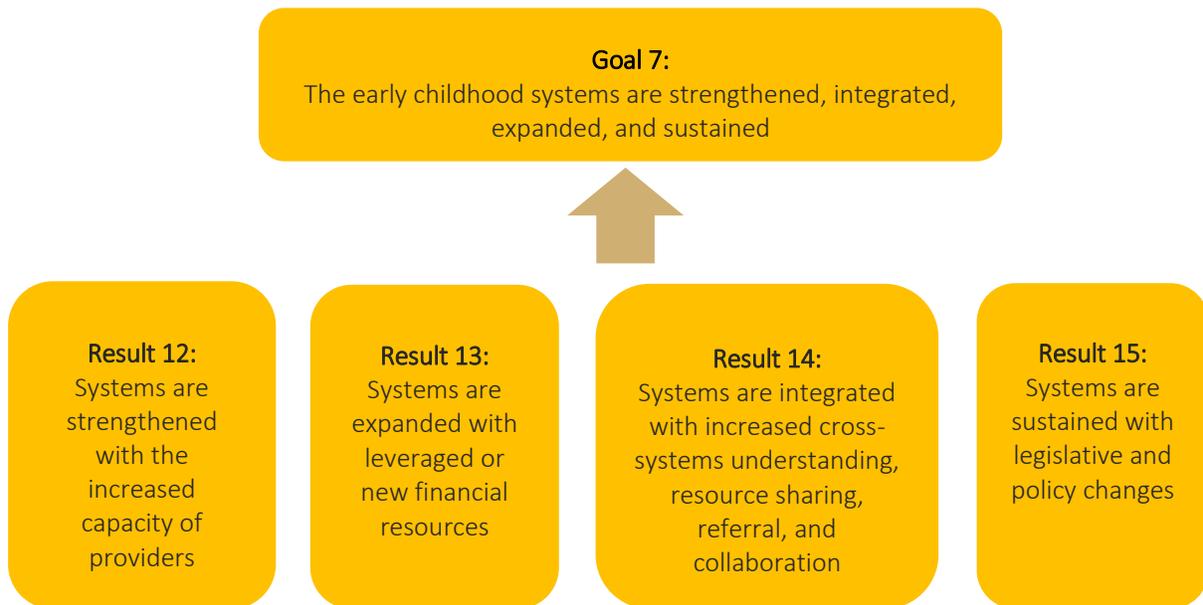
FY2018/19 SYSTEMS CHANGE IMPLEMENTATION PLAN 14

INTRODUCTION

In December 2015, the First 5 Solano Commission approved a 2016 Strategic Plan Update to which outlined the Commission's Priorities and Goals. During this update, the Commission updated its strategic framework. Along with continuing the priority areas of *Health and Well-Being*, *Early Childhood Learning and Development*, and *Family Support and Parent Education*, the Commission adopted a new priority area of *Systems Change*.

As Proposition 10 tobacco tax funding has been declining, systems-based approaches to outcomes have become essential strategies for First 5's across the state. First 5 Solano is no exception. The Commission realized it must find new, more efficient ways to provide the same level of high quality services with fewer resources, such as sharing program services with other public systems of care (health, social services, and education), other cost sharing and leveraging, raising new money, policy changes, creating more efficient services, or some combination of the above.

First 5 Solano's Systems Change goal is that *early childhood systems are strengthened, integrated, expanded and sustained*. An Action Plan was adopted in spring 2016 that articulated this goal into four distinct result areas (below), and identified strategies per result area.



This report summarizes the progress made toward the Commission's Systems Change results in FY2016/17 and FY2017/18, and describes what's next for FY2018/19.

RESULT: STRENGTHENED SYSTEMS

Result 12: Systems are strengthened with the increased capacity of providers.

Strategy 1: Increase cultural and linguistic competency of providers.

The needs assessment conducted for First 5 Solano's 2016 Strategic Plan Update found that families face linguistic and cultural barriers in accessing basic services. Key informants noted a lack of mental health practitioners and social workers who speak Spanish. To address this, First 5 Solano identified a need to increase the number of *new* bilingual/bicultural staff in the county by encouraging local training institutions to recruit more bilingual/bicultural students and place them in the county. A second activity included increasing the competency of *existing* staff through strategies such as cultural competency training, or encouraging providers to ensure their frontline staff are suited to meet the needs of a culturally diverse client population.

Achievements in FY2016/17:

- First 5 Solano met with three local universities (John F. Kennedy, Brandman and Touro) to address the perceived shortage of social workers, particularly those that are bilingual/ bicultural. Initially, Brandman University was interested in the development of a local Master's of Social Work program. Staff performed key informant interviews with First 5 Solano grantees, County department representatives, and other community partners which revealed that the need for social workers was primarily a concern for nonprofits rather than the overall Solano community. Staff also received data from Applied Survey Research verifying that the number of licensed social workers in Solano County was higher than the state average. Based on these findings, staff is no longer moving forward with Brandman to develop a Master's of Social Work.
- *See Strategy 3 for a description of cross-trainings that occurred to help increase the cultural competency of Solano providers.*

Strategy 2: Increase organizational capacity of local nonprofits serving young children.

In order to sustain community support, First 5 Solano seeks to invest in the development of the nonprofits in the county who serve young children and their families. Solano County has fewer nonprofits per capita than other Bay Area counties and has seen the closure of several nonprofits since 2016. Activities in this System Result area included assessing nonprofits' greatest gaps and exploring ways to boost those capacities.

Achievement in FY2016/17:

- First 5 Solano and its partners explored the use of Management Service Organizations or Administrative Consolidations. The workgroup (a subset of nonprofit leadership) ultimately

decided this structure was not a fit for any agencies. Therefore, First 5 Solano decided to not proceed further with this activity.

Achievements in FY2017/18:

- In fall 2017, 26 individuals participated in the UC Berkeley Extension Professional Program in Fundraising and Volunteer Management.
- In February 2018, a grant opportunity was released to the seven First 5 Solano grantees that successfully completed the UC Berkeley Fundraising and Volunteer Management Program. Up to \$5,000 of funding was awarded to support activities in the areas of fundraising and volunteer management. Grantees include: Child Haven, Dixon Family Services, Fighting Back Partnership, Rio Vista CARE, and Solano Family and Children’s Services. Projects included marketing and outreach materials to attract donors, donor management software, additional training, direct mail campaigns, and social media work.
- In partnership with Solano County Health and Social Services (H&SS), First 5 Solano provided support for continued capacity building activities for the nonprofits who completed the UC Berkeley Fundraising and Volunteer Management course. First 5 Solano contracted with one of the advisors from the UC Berkeley program, Kate Frankfurt, to facilitate monthly discussion groups, provide guidance, and encourage peer-to-peer support to continue building capacity in the areas of fundraising and volunteer management. In addition, the First 5 grantees had access to technical assistance in areas of need that relate to fundraising or fund development.
- To pinpoint the best ways to strengthen the capacity of Solano nonprofits, First 5 Solano and Solano County H&SS sponsored a comprehensive assessment to provide a tailored analysis with recommendations.

Learning for Action (LFA) surveyed nonprofits who provide services in Solano County and drafted a report, entitled “*Solano County Nonprofit Capacity Diagnostic Findings*.” The top ranking areas identified for capacity-building include:

- **Vision and Impact Model** – Clarity on Organizational Strategy
- **Board Governance and Leadership** – Strengthening Boards and Developing New Board Members
- **Internal Evaluation and Learning** – Developing Evaluation Frameworks and Cultivating Reflective Practices.

First 5 Solano, H&SS, and LFA presented a “*Nonprofit Capacity Building and Information Learning Session*” on June 27, 2018. Over 75 nonprofit leaders attended the interactive session and provided input and feedback about their needs and their areas of interest. Staff is working with

Seven First 5 Solano grantees completed UC Berkeley’s Fundraising and Volunteer Management Program and are now more equipped to strengthen and sustain their organizations.

As a result of the countywide nonprofit capacity assessment in 2017/18, nonprofits identified Vision and Impact Model as the highest priority for further capacity building work.

nonprofit partners and potential funders to develop a “Vision and Impact Model” learning cohort.

- Kaiser Permanente presented a no-cost Social Media training session for First 5 Grantees and Solano nonprofits. Twenty participants discussed their use of specific social media platforms, and the most up-to-date strategies and tips for successful social media campaigns.

Strategy 3: Increase cross-training.

Across the various agencies working to meet the needs of young children and their families, there are common competencies that are needed, such as ability to provide trauma-informed care, or conduct child assessments, and yet the sharing of training resources is not formally organized. Activities identified in the implementation plan included: 1) Create a pool of trainers in topics relevant to several sectors; 2) Coordinate Train the Trainer sessions; and 3) Create a shared training calendar to coordinate training needs across multiple sectors.

Achievements in FY2016/17:

- First 5 Solano and the Quality Rating and Improvement Systems (QRIS) regional hub conducted a survey to determine the greatest needs for coaching and professional development as it relates to delivering quality early learning experiences. Based on the survey, the QRIS hub has offered numerous trainings in improving quality in early care and education.
- First 5 Solano convened a **Bridges Out of Poverty** training which engaged 85 providers to help them better understand and empathize with families living in poverty, and to support their work with families as partners in creating solutions to long term financial stabilization.
- Three sessions of **Advancing Racial Equity** training were offered in spring/summer 2017 to 65 community providers. The purpose of this training, offered by H&SS in partnership with the Government Alliance on Race and Equity (GARE), is to *“strengthen local leaders’ knowledge and capacity to eliminate racial disparities, heal racial divisions, and build more equitable communities.”* The training was so well received, that the Department of Child Support Services provided additional trainings to their entire staff.

Over 315 Solano County providers are more aware of and better equipped to prevent and address race or income-based disparities.

Achievements in FY2017/18:

- In partnership with the Department of Child Support Services and Solano County Public Health, First 5 Solano hosted two **poverty simulations**. A total of 170 policy makers and service providers learned about challenges and situations experienced by families living in poverty. The role-play simulated a one-month time frame in which participants were required to work, access benefits, buy food, and maintain housing given an assigned life scenario. Volunteers played vital roles representing “community resources,” such as the bank, an employer, or the doctor, with whom the families interacted during role-play. Feedback on the poverty simulation was overwhelmingly positive, with multiple other agencies expressing interest in bringing the simulation back to Solano for their staff.

RESULT: EXPANDED SYSTEMS

Result 13: Systems are expanded with leveraged or new financial resources.

Strategy 1: Find new funding for services.

The 2016 study *Foundation Giving in the Bay Area: Who Wins and Who's Left Behind?* found that while overall foundation funding had increased in the Bay Area, foundation funding to local nonprofits in Solano County had not increased since 2006. Moreover, the rate of giving per capita in Solano was the lowest of all Bay Area counties. Foundation representatives around the Bay Area noted that Solano County wasn't "on their radar," in that they rarely received funding requests from Solano. Solano County has been left behind when it comes to funding to address the needs of children and families.

Activities identified in the Systems Change Action plan were to increase the amount of new money coming into the county by raising the profile of Solano County with Bay Area funders, and learning what funders feel is needed to make Solano agencies more competitive for funding. In addition, First 5 Solano sought to increase grant-seeking activity of nonprofits serving young children and their families.

Achievements in FY2016/17:

- In the summer of 2016, First 5 Solano conducted an initial survey with the leadership of contracted nonprofit partners about their greatest needs related to fundraising. The assessment found that there was a need to help grantees forge relationships with funders. To this end, First 5 Solano and its partners developed a Funders Packet that consisted of a summary of the *Foundation Giving* report and county data snapshot, as well as profiles for four broad outcome areas that listed needs, existing assets, and funding opportunities. In fall 2016, First 5 Solano and other county leaders provided the packet in a presentation to the Northern California Grantmakers forum.
- First 5 Solano extended invitations to funders to attend local events. As a result of the invitation to Walter S. Johnson Foundation to attend the Children's Policy Forum, The Children's Network was able to apply for and secure a \$100,000 grant from that foundation to develop a Youth Leadership Council.
- First 5 Solano provided support for the submission of nine letters of interest or applications to grantmakers for a total of \$3,207,545. Of these, two grants were awarded to Solano County partners in the amount of \$652,000.

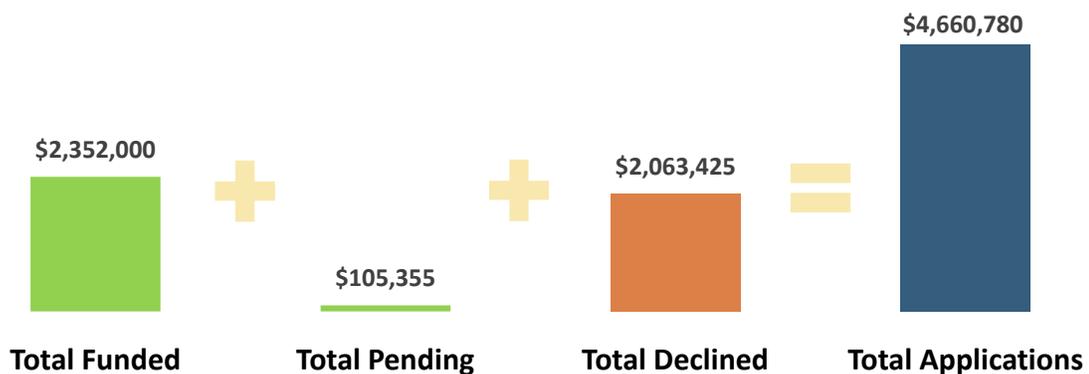
The 2016 Foundation Giving study was the catalyst for myriad new relationships with Northern California funders, and nearly \$2.5 million in new funding.

Achievements in FY2017/18:

- In FY2017/18, twenty-eight funders and partners were contacted/connected through email, phone, or “meet and greets.” As a result of these outreach efforts, Solano received four invitations to apply for funding, including from Kaiser Permanente, Callison Foundation, and Tipping Point Emergency Relief Fund. Overall, Solano was able to attract several large grants into the county, including:
 - The Zellerbach Family Foundation awarded First 5 Solano \$40,000 to offer local nonprofit leaders the opportunity to attend the Professional Fundraising and Volunteer Management Program offered by UC Berkeley Extension.
 - Kaiser Permanente awarded First 5 Solano \$90,000 to fund a campaign to raise community awareness of Adverse Childhood Experiences (ACEs) through messages via Pandora radio, billboards, and bus ads placed strategically in high-poverty areas in Solano.
 - Tipping Point Emergency Relief Fund awarded the Solano Workforce Development Board \$500,000 to support Solano residents who were affected by the Northbay fires with job training, job placement coaching, and employment related services, including transportation and child care.
 - Yocha Dehe Wintun Nation awarded Solano County \$1 million to address basic needs and increase free and affordable healthy food options in the county.

In addition, Solano County agencies have recently submitted several grant proposals for additional foundation funding. The chart below summarizes the outcome of fundraising efforts by Solano agencies from 2016-2018. As a result of relationships with foundations, nearly \$2.5 million in new funding for Solano County has been secured since 2016.

Figure 1: Outcome of Fundraising Efforts by Solano Agencies, 2016-2018



Strategy 2: Find alternative ways to fund services.

In addition to finding new sources of funding, First 5 Solano identified that there may be opportunities to find alternative, more sustainable sources of funding for services. For instance, the Affordable Care Act may cover the cost of developmental screenings, while school district early education funding may help support the Commission's priority of Early Childhood Learning and Development.

Achievements in FY2016/17:

- First 5 Solano developed a project plan for the implementation of developmental screenings in primary care settings and met with community clinics to discuss project feasibility. Unfortunately, the project was put on hold due to lack of capacity to implement at the clinic level.

Achievements in FY2017/18:

First 5 Solano reviewed all Solano School District's Local Control Accountability (LCAP) plans, and met with the 2 largest school districts to present information on the importance of early childhood education. The intent was to ensure early learning was included in LCAP plans. Although most districts had early learning in their plans under their transitional kindergarten (TK) and kindergarten programs, other early learning programs such as preschool were not explicitly mentioned in all plans. Staff will continue to engage in the process by providing information and input regarding the importance of early learning, and ideas on how to expand early learning programs.

RESULT: INTEGRATED SYSTEMS

Result 14: Systems are integrated with increased cross-systems understanding, resource sharing, referral, and collaboration.

Strategy 2: Use Solano Kids Thrive Collective Impact Initiative and Help Me Grow Solano to increase service integration.

First 5 Solano's Solano Kids Thrive (SKT) is a collective impact initiative with representation from a multidisciplinary cross-section of county service leaders who have identified a shared set of goals strategies to identify strategic points of collaboration. Likewise, Help Me Grow's call center connects families to needed services across sectors.



Achievements in FY2016/17:

- To facilitate more comprehensive referrals, SKT completed an inventory of providers countywide and coordinated with Help Me Grow Solano to upload the list into the Help Me Grow call center's directory.
- SKT implemented action plans across four goal groups (Safety, Self Sufficiency, Early Learning and Health), with substantial progress in identifying resources and assisting in connecting families to those resources.
- SKT conducted a survey of its members to identify where SKT has been successful and ideas for future directions. Results showed that SKT has been most successful at garnering commitment to a common agenda, expanding awareness of services and resources for children, and improving or maintaining the quality of existing services. SKT has improved members' understanding of the interconnection between county agencies, which helped them to consider how they might collaborate with other partners, and helped them think more systematically about services for children and families. The same survey also revealed that the initiative has been less successful at generating new policies, laws, or regulations, increasing public awareness and community support for child outcomes, improving service integration and alignment, and improving the sustainability of services.

Solano Kids Thrive has been most successful at garnering:

- ✓ **Commitment to a common agenda**
- ✓ **Increased awareness of services for children**
- ✓ **Understanding connection points – and gaps – for families navigating local service systems**
- ✓ **Increased collaboration across agencies and systems**

Achievements in FY2017/18:

- For FY2017/18, the SKT leadership team decided to focus on a single issue that underlies almost all of the community indicators on which SKT is focused: **trauma and resiliency**. On behalf of SKT, First 5 Solano began efforts to develop a county-wide 'Resilience Plan.' With \$50,000 from Solano County Public Health as part of their Community Health Improvement Plan (CHIP) implementation, First 5 Solano has partnered with ACEs Connection Network to promote understanding of Adverse Childhood Experiences (ACEs) and solicit input from multiple sectors, including education, healthcare, law enforcement, faith-based organizations, and nonprofits, to develop a local Resiliency Plan. SKT will work on implementation of the strategies outlined in the Resilience Plan beginning in January 2019.
- SKT efforts to increase awareness of Adverse Childhood Experiences (ACEs) included:
 - Held community screenings of the film, "Resilience: The Biology of Stress & the Science of Hope." Screenings were well-attended, with 142 attendees at the Sept 2017 screening in Suisun, and 80 attendees at the Vallejo screening in January 2018.
 - Launched a "Resilient Solano" website.

Solano Kids Thrive has been a catalyst for increasing community awareness and concern across the county about the adverse effects of childhood trauma.

Strategy 3: Explore feasibility of a common intake form or intake questions.

During its systems change planning process, First 5 Solano considered different ways to better connect systems such as home visiting and family resource centers that may be serving the same families. One such strategy is to have common intake tools at the front end of services. This form would enable providers to know the services in which incoming clients are already engaged, and additionally, will make it possible to quantify the extent clients are served across multiple touchpoints in the system.

Achievement in FY2016/17:

- The Children and Youth Leadership Council (CYLC, described below) discussed developing a common intake form. It was identified that before a common intake form could be utilized, agencies would need a Multi-Agency Release of Information to be utilized both across county departments as well as with the Office of Education, and eventually, county contractor partners. The Multi-Agency Release of Information is a necessary step so the information collected can be shared across departments to facilitate integrated, coordinated services for clients.

Achievement in FY2017/18:

- See update in *Strategy 4: Communication between systems*.

Strategy 4: Promote communication between systems.

It is essential that child-serving agencies across systems are coordinated in their programming and policy efforts. For example, the early care and education and the K-12 system must communicate about the transition of individual children between their systems. Cross-system communication can also be promoted between many silos, including county departments, nonprofit and county agencies, hospitals and nonprofits.

Achievements in FY2016/17:

- In partnership with Solano County H&SS and Child Support Services, First 5 Solano created the Children and Youth Leadership Council (CYLC), a team of department leaders identifying and addressing systemic barriers to services for children and youth. To set the stage for action, Applied Survey Research presented a data profile of children and youth countywide. The CYLC facilitated data sharing between Child Support and H&SS, and worked on increased coordination and communication around youth involved in both Child Welfare and Probation.
- First 5 Solano convened regular meetings with an Executive Director Leadership Group, a team of nonprofit and public agency leaders who met to discuss cross-systems opportunities related to funding and collaboration. An ad-hoc group of leaders met for several months to specifically talk about how to message and market Solano County to potential new funders and partners.

Achievements in FY2017/18:

- The Children and Youth Leadership Council continued to meet to discuss issues related to policy and coordination of services for children. Ongoing topics include: dual jurisdiction youth, commercially and sexually exploited youth (CSEC), and legislative updates. The Council has successfully made connections so Child Support Services is able to access appropriate data from H&SS. The CYLC continued to develop the Universal Release of Information that will be used across departments to communicate client information. The timeline for roll out is December 2018.
- The Executive Director's Leadership meetings were held quarterly. At each meeting, First 5 Solano and grantee executive directors provided updates on their activities. The Kaiser Community Benefit Manager presented how to develop relationships with funders and practical tips for grant making, and Barnes & Noble also provided an update on fundraising opportunities. There were also reports-out from participants in the UC Berkeley Fundraising and Volunteer Management Cohort.

The Universal Release of Information will allow agencies to share information about families they serve in common, reducing duplication of services and enabling more holistic, coordinated services for families.

RESULT: SUSTAINED SYSTEMS

Result 15: Systems are sustained with legislative and policy changes.

Strategy 1: Update lawmakers about the pressing needs of Solano children.

First 5 Solano and its partners have an understanding of the needs of children, and the factors contributing to those needs which can be used to inform lawmakers such as city councilpersons, county supervisors, state assemblypersons, and congresspersons about the status of their child and family constituents, and recommend ways in which lawmakers can support improvements in child and family outcomes.

Achievement in FY2016/17:

- First 5 Solano researched local lawmakers and their areas of interest, and developed an outreach plan.

Achievement in FY2017/18:

- Through individual meetings and participation in the First 5 Anniversary and Advocacy Day, staff had Legislative visits with Assemblymember Tim Grayson, Senator Bill Dodd, and staff of both Assemblymember Jim Frazier and Assemblymember Aguiar-Curry. Items for discussion included support for home visiting, universal developmental screenings, quality infant/toddler care, and CalWORKS home visiting.

Strategy 2: Create and/or support coordinated policy agendas

Changing local or statewide policies is a key strategy in providing ongoing support for children and families. First 5 Solano sought to create a policy agenda and/or support policy agendas of like-minded bodies, such as the First 5 Association, in order to be able to respond timely to legislation and other policies.

Achievements in FY2016/17:

- First 5 Solano reviewed multiple legislative platforms, including platforms from Solano County, First 5 California, First 5 Association, and Children Now. First 5 Solano adopted the 2017 First 5 Solano Legislative Platform to assist in acting nimbly in responding to requests for letters of support/opposition.
- First 5 Solano provided small grants to both Solano Family & Children's Services and Children's Network to aid support of AB377, a bill to develop and implement an individualized county child care subsidy plan to increase access to affordable child care for Solano families.



Achievements in FY2017/18:

- First 5 Solano provided seven letters of support, and one letter of opposition for state bills based on the Commission's Legislative Platform.
- AB 377 was signed by the governor on 10/12/17. Under AB377, Solano is required to create a Child Care Subsidy Pilot Plan with meaningful input from local stakeholders. To support this effort, the First 5 Solano Children and Families Commission has approved a \$7,000 expenditure to draft and implement the plan.

FUTURE ACTIVITIES

In 2016, First 5 Solano adopted an ambitious action plan to address four aspects of systems change in Solano County, and has continued to make progress in each of these results. Along the way, staff rearticulated and refined the original action plan to reflect the changes that were most feasible and meaningful. These changes and refinements are reflected in the following Systems Change Implementation Plan for FY2018/19.

FY2018/19 SYSTEMS CHANGE IMPLEMENTATION PLAN

Results, Strategies, and Activities

Systems are strengthened with the increased capacity of providers

1. **Equity:** Increase the service delivery capacity of providers to ensure more equitable access, experiences and outcomes regardless of class, race, sexual orientation, disability, age, or prior life experiences
 - ▶ Coordinate with MHSAs cultural competency strategies
2. **Organizational Capacity:** Increase the organizational capacity of providers serving young children and families
 - ▶ Implement activities in 3 areas identified by the 2017-18 non-profit capacity assessment:
 - *Vision and Impact Model (Clarity on Organizational Strategy)*
 - *Internal Evaluation and Learning*
 - *Board Governance and Leadership*
3. **Training:** Increase the knowledge and skills of providers serving young children and families
 - ▶ Training to expand agency capacity for quality service delivery (e.g., ACEs, QRIS, fundraising and poverty trainings)
 - ▶ Training to expand cross-systems capacity (e.g., Social Media, HSS contract management training)

Systems are expanded with leveraged or new financial resources

1. Find new funding for services for young children and families
 - ▶ Raise Solano's profile with funders (e.g., share foundation giving report, pursue relationships with individual funders)
 - ▶ Apply for competitive funding opportunities from local, state, federal and private funders
 - ▶ Track and report on success of grant-seeking activities for which First 5 has a direct role

2. Maximize resources to fund services for children and families (alternative funding, leveraged funding, greater efficiency)
 - ▶ Continue to find alternative sources to fund services (e.g., MHSA, County)
 - ▶ Encourage school districts to prioritize funding for early education (e.g., LCFF)
 - ▶ Implement blended funding models and / or co-located staff to support children and families (e.g., First 5 Center in Vallejo)

Systems are integrated with cross systems understanding, resource sharing, referral and collaboration

1. Utilize First 5 Solano's unique position as a multi-sector convener to increase systems integration (e.g., First 5 Center in Vallejo, Collective Impact)
2. Enhance cross-systems understanding and procedures
 - ▶ Convene cross-sector meetings to address system fragmentation (e.g., HMG, CYLC)
 - ▶ Create tools and protocols to address fragmentation (e.g., Common Intake Form)

Systems are sustained with policy and legislative changes

1. Increase policymakers' awareness of issues facing Solano County children and families
 - ▶ Monitor and respond to emerging policy issues for Solano County children and families
 - ▶ Conduct outreach and briefings to policymakers
2. Pursue policy changes
 - ▶ Continue implementing First 5 Legislative Platform



Annual Report AR-1
Solano Revenue and Expenditure Summary
July 1, 2017 - June 30, 2018

Revenue Detail

Category	Amount
Tobacco Tax Funds	\$2,982,978
First 5 Impact Funds	\$241,843
Small County Augmentation Funds	\$0
DLL Pilot Funds	\$0
Donations	\$1,323
Revenue From Interest Earned	\$102,534
Grants	\$50,000
Other Funds	\$368,774
Total Revenue	\$3,747,452

Improved Family Functioning

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
General Family Support	County Office of Education/School District	<ul style="list-style-type: none"> FRCs Core Support 	1660	391	0	\$183,495
General Family Support	Other Public	<ul style="list-style-type: none"> FRCs Core Support 	207	310	0	\$124,355
General Family Support	CBO/Non-Profit	<ul style="list-style-type: none"> FRCs Core Support 	432	947	9	\$253,231
Intensive Family Support	County Health & Human Services	<ul style="list-style-type: none"> Other 	152	202	1	\$161,492
					Total	\$722,573

Improved Child Development

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
Quality Early Learning Supports	County Office of Education/School District	<ul style="list-style-type: none"> Other 	0	0	63	\$202,719
Quality Early Learning Supports	CBO/Non-Profit	<ul style="list-style-type: none"> Other 	0	0	5	\$3,227
Early Learning Programs (Direct Costs)	County Office of Education/School District	<ul style="list-style-type: none"> Other 	357	357	0	\$182,274
Early Learning Programs (Direct Costs)	Child Care Centers	<ul style="list-style-type: none"> Other 	62	142	0	\$144,000
					Total	\$532,220

Improved Child Health

Service	Grantee	Program(s)	Children	Caregivers	Providers	Amount
General Health Education and Promotion	CBO/Non-Profit	<ul style="list-style-type: none"> Health Access 	645	46	0	\$156,000
Early Intervention	County Health & Human Services	<ul style="list-style-type: none"> Other 	237	293	0	\$185,431
Early Intervention	R & R (COE or Non-Profit)	<ul style="list-style-type: none"> Other 	755	1092	135	\$223,590
Early Intervention	CBO/Non-Profit	<ul style="list-style-type: none"> Other 	502	696	11	\$276,071
Total						\$841,092

Improved Systems Of Care

Service	Grantee	Program(s)	Amount
Policy and Public Advocacy	Internal	<ul style="list-style-type: none"> Other 	\$307,568
Programs and Systems Improvement Efforts	County Office of Education/School District	<ul style="list-style-type: none"> Trauma-Informed Care/ACES 	\$19,026
Programs and Systems Improvement Efforts	Internal	<ul style="list-style-type: none"> Health Systems Family Strengthening Systems Other 	\$713,910
Total			\$1,040,504

Expenditure Details

Category	Amount
Program Expenditures	\$3,136,389
Administrative Expenditures	\$508,145
Evaluation Expenditures	\$135,150
Total Expenditures	\$3,779,684
Excess (Deficiency) Of Revenues Over (Under) Expenses	(\$32,232)

Other Financing Details

Category	Amount
Sale(s) of Capital Assets	\$0
Other	\$0
Total Other Financing Sources	\$0

Net Change in Fund Balance

Category	Amount
Fund Balance - Beginning	\$8,385,908
Fund Balance - Ending	\$8,353,676
Net Change In Fund Balance	(\$32,232)

Fiscal Year Fund Balance

Category	Amount
Nonspendable	\$653
Restricted	\$0
Committed	\$0
Assigned	\$0
Unassigned	\$8,353,023
Total Fund Balance	\$8,353,676

Expenditure Note

No data entered for this section as of 9/28/2018 9:46:15 AM.

Small Population County Funding Augmentation

Category	Amount	Comment
Administration	\$0	
Evidence Based Programs	\$0	
Evidence Informed Programs	\$0	
Funded Programs	\$0	
Professional Development, Training and Technical Assistance	\$0	
Evaluation	\$0	
Other (Please Explain)	\$0	
Total	\$0	
If unspent funds occurred during the FY, please list amount and provide explanation.	\$0	



Annual Report AR-2
Solano Demographic Worksheet
July 1, 2017 - June 30, 2018

Population Served

Category	Number
Children Less than 3 Years Old	1,727
Children from 3rd to 6th Birthday	1,788
Children – Ages Unknown (birth to 6th Birthday)	0
Primary Caregivers	3,350
Other Family Members	1,126
Providers	224
Total Population Served	8,215

Primary Languages Spoken in the Home

Category	Number of Children	Number of Adults
English	2,293	2,384
Spanish	1,188	793
Cantonese	3	0
Vietnamese	2	3
Other - Specify with text box	26	17
Unknown	3	153
Totals	3,515	3,350

Race/Ethnicity of Population Served

Category	Number of Children	Number of Adults
Alaska Native/American Indian	16	19
Asian	87	82
Black/African-American	615	693
Hispanic/Latino	1,637	1,326
Native Hawaiian or Other Pacific Islander	24	23
White	627	717
Two or more races	361	251
Other – Specify with text box	90	85
Unknown	58	154
Totals	3,515	3,350

Duplication Assessment

Category	Data
Degree of Duplication	20%
Confidence in Data	Somewhat confident
Additional Details (Optional)	

**County Evaluation Summary - Solano
First 5 CA Annual Report Submission
3 – Evaluation Activities Completed**

a. AR3 Evaluation Activities Completed Description of Evaluation Activities Completed during FY2017/18.

First 5 Solano and its local evaluator, Applied Survey Research (ASR) engaged in variety of evaluation activities in FY2017/18, including:

- **FY2016/17 Annual Report to First 5 California:** In October 2017, the Commission received and authorized the statutorily-required First 5 Solano submission to First 5 California for its annual report to the Governor/Legislature.
- **Presentation on Community Indicators by Result Area:** Updated and presented the Solano County community indicators to the Commission for review during the annual review of the First 5 Solano Strategic Plan.
- **Systems Change Evaluation:** First 5 Solano conducted its second year of implementation of its Systems Change Plan in FY2017/18. ASR conducted an evaluation of the first two years of activities and provided a report in the Commission's FY2017/18 Annual Report.
- **2017 Pre-Kindergarten Academy Report:** The Commission produced a report on the children that participated in the Pre-Kindergarten Academies during the summer of 2017. 345 children with little or no prior preschool experience attended and were evaluated using the Kindergarten Student Entrance Profile (KSEP) at entrance and exit of a four-week Pre-Kindergarten Academy.
- **Program Initiative Assessments:** To assist in planning for the Commissions 2018-2023 Program Investment Plan, ASR conducted two assessments of Solano's local landscape and best practices which the Commission received in October 2017:
 - Solano County Early Childhood Mental Health Needs Assessment
 - Solano County Family Support and Parent Education Needs Assessment
- **Presentation on Status of Children and Youth:** In a joint session between the First 5 Solano Commission and the Solano County Board of Supervisors, received a presentation on the status of children and youth in Solano County.
- **Nonprofit Capacity Assessment:** In partnership with Solano County Health & Social Services, Learning for Action (LFA) conducted an assessment of the capacity of nonprofits providing services in Solano County. In June 2018, the Commission received a presentation on the final report "Solano County Nonprofit Capacity Diagnostic Findings and Recommendations."
- **Ongoing Technical Assistance and Management of Evaluation Processes and Systems:** First 5 Solano staff in conjunction with ASR provided ongoing technical assistance to all current and newly-funded First 5 Solano grantees and initiative partners, to maintain and manage the evaluation processes in place and measure progress toward target objectives.

b. AR-3 Evaluation Findings Reported (Description of evaluation findings reported during the fiscal year)

- **Pre-Kindergarten Academies:** Overall, as in years past, the results of the 2017 Pre-Kindergarten Academies are positive. 435 children attended and 388 completed both a pre and a post KSEP assessment. Aggregated post assessments show gains in all components of the Social/Emotional and Cognitive scales of the KSEP which demonstrates that children benefited from this short, targeted program to help them become ready for school. Post assessments show that 37% of children were “Ready to Go” by the end of Pre-K Academies, up from 15% at the start of the 4-week program. Another 40% were recommended for quarterly monitoring indicating that they may just have one or two key areas which require additional follow up. The most significant increase for social emotional items was “seeks help when appropriate.” The most significant increase for cognitive items was “child writes own name.”
- **Program Initiative Assessments:** The Early Childhood Mental Health Needs Assessment found that rates of screening in young children are low and that Solano County lacks a universal, systematic, coordinated screening system. In addition, it found that children are less likely to receive a screening if they are not connected to the medical or early childhood education system. The report also highlighted challenges in access to services, such as cultural and linguistic barriers, socioeconomic barriers (such as homelessness, lack of insurance that covers adequate mental health services), and challenges in navigating the service system. The report identified recommendations in prevention (universal screening, provider training, and evidence-based parent education), services coordination, and service navigation as areas for Commission consideration.

The Family Support and Parent Education Needs Assessment found that evidence-based parent education curriculum is key to achieving positive parenting outcomes. It also found that financial education is most effective when it uses a positive coaching approach, is directly relevant to a learner’s life circumstances, addresses both financial knowledge and behavior. The assessment found there are several programs available in Solano, however they are less accessible in certain communities, such as Rio Vista. Lastly, the assessment found that certain geographic areas of the county, such as Vallejo and Rio Vista have more at-risk families when looking at common indicators of well-being, such as child poverty, child maltreatment, and unemployment.

- **Nonprofit Capacity Assessment:** With response from 54 of the 103 human services nonprofits identified to receive the survey (52% response rate), the assessment found that Solano nonprofits deliver services with intention, expertise, and cultural responsiveness. They also have high levels of capacity (relative to the other dimensions) in the areas of Program Delivery, Strategic Relationships, and Technology. Areas where nonprofits identified they need support are: Vision and Impact; Board Development, Internal Evaluation, Resource Generation; and Human Resources. Nonprofits identified they have challenges with insufficient staff capacity and time, insufficient staff skill and expertise, and insufficient funding.

Recommendations were developed for areas that match Solano nonprofit’s identified needs, have high leverage to influence other areas of organizational need, and are well-suited for change via capacity-building interventions, including capacity building efforts in the areas of:

- **Vision and Impact Model** – *Clarity on Organizational Strategy*
- **Internal Evaluation and Learning** – *Developing Evaluation Frameworks and Cultivating Reflective Practices*
- **Board Governance and Leadership** – *Strengthening Boards and Developing New Board Members*

c. **AR-3 Policy Impact of Evaluation Results** (Description of the policy impact of the evaluation results)

- **Continued Funding of Pre-Kindergarten Academies:** The Commission continues to put a high value on Pre-Kindergarten Academies and continues to fund Pre-K Academies at \$200,000 annually.
- **Program Initiative Assessments:** Based on the Program Initiative Assessments, the Commission made the following changes which were incorporated into their 2018-2023 Program Investment Plan:
 - Transitioned to evidence-based Triple P Parent Education Program
 - Targeted family strengthening activities to Vallejo and Rio Vista
 - Prioritized expanding universal developmental screenings
- **Nonprofit Capacity Assessment:** Based on the assessment, First 5 Solano, in partnership with Solano County H&SS is planning on offering capacity building activities focusing on the three areas of recommendation.

Improved Systems of Care Program and Systems Improvement Efforts

Who was the primary audience for the service?

The audience for First 5 Solano's system change efforts are providers of early childhood services and others that contribute to the systems in Solano that impact children, such as higher education providers, policy-makers, and local government.

What types of services were provided?

The overarching goal of First 5 Solano's System Change Action Plan is to implement efficiencies and maintain or expand services for early childhood services as program funding is declining.

Services were provided in a wide variety of ways, including engaging cross-sector partners to increase collaboration and integration, providing training and technical assistance, seeking new financial resources, and implementing a legislative outreach plan.

What was the intended result of the service? What was the community impact of the service?

Intended Results: Systems are Strengthened, Expanded, Integrated, and Sustained

Capacity Building: Provided 26 non-profit leaders with intensive UC Berkeley Extension training in Fundraising & Volunteer Management; conducted non-profit assessment to plan future capacity building activities.

Fund Development: Provided fund development support for 12 grant applications for a total of \$2,713,235. Five grants were awarded to Solano County partners in the amount of \$1,700,000. Two applications are pending final funding announcements.

System Integration: Through a Collective Impact project "Solano Kids Thrive," expanding community knowledge of Adverse Childhood Experiences and guiding efforts to develop a countywide "Resilience Plan."

Legislative activities included updating legislators on key First 5 Solano issues; supporting/opposing legislation; and participating in the First 5 Association's Advocacy Day.

First 5 Solano 2017-18 Annual Report

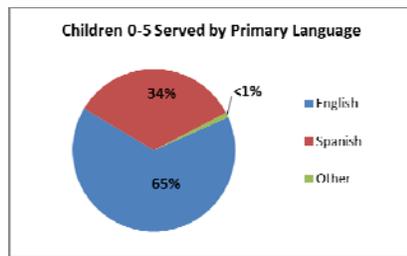
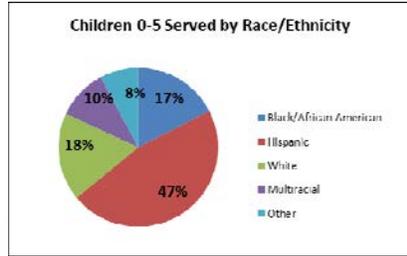


FY2017/18 Annual Report Components

- Performance Measures & Impact by Priority Area
- System Change Update
- Fiscal
- Demographics



Service Counts & Demographics



- 8,215 residents received services
- 3,515 (0-5) 3,350 (parents) 1,350 (providers)
- 47% Hispanic/Latino
- 18% White
- 17% Black/African American
- 34% Spanish speaking



Priority1: Health & Well-being

Goal 1:
All children are born to their optimal health potential



R1:
Mothers have healthy pregnancies

R2:
Newborns are healthy



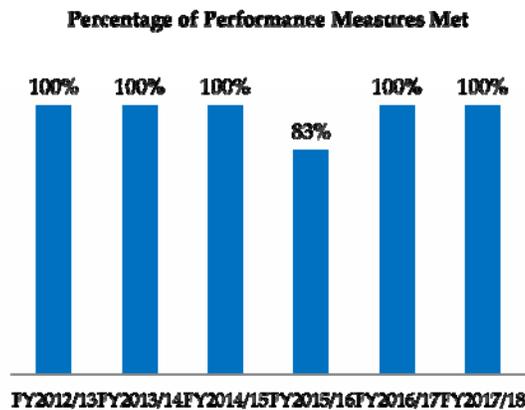
Prenatal and Health: Key Performance Measures

- Assisted parents to enroll 348 children ages 0-5 in health insurance.
- 100% of children enrolled at child development centers in elementary schools had health insurance.
- Enrolled 343 expectant mothers and their newborns in health coverage



Prenatal & Health Insurance: Performance Measures

Solano Coalition for a Better Health/Solano Kids Insurance Program (SKIP) Measures met nearly every year



Priority 1: Health & Well-being

Goal 2:
All children maintain optimal health



R3:
Children access comprehensive health insurance and health care services

R4:
Children and parents/ primary caregivers access appropriate mental health services

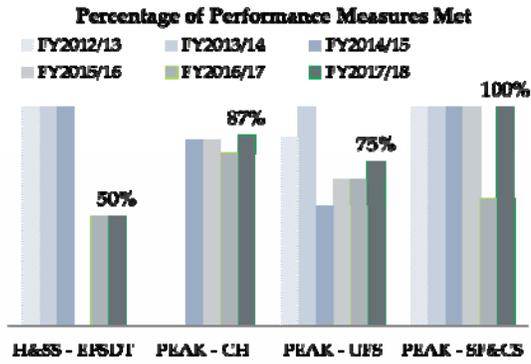


Mental Health: Key Performance Measures

- Screenings for 324 high risk children
- Mental Health Treatment for 297 children
- Trainings:
 - 98% of 213 providers increased their knowledge
 - 100% of 543 parents/caregivers increased their knowledge



Mental Health: Performance Measures



P2: Early Childhood Learning and Development



Goal 3:
All children
learn and
develop
through high
quality care



RE:
Reliable,
affordable child
care is
consistently
available to
families

RA:
Child care
providers know
and practice
high-quality child
care
programming

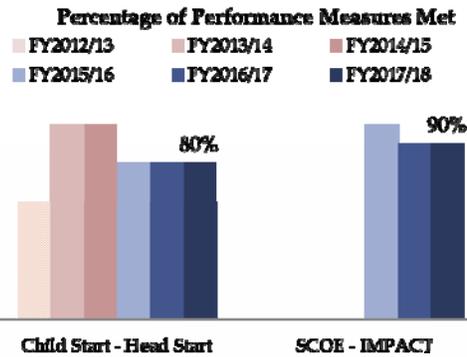


Quality Child Care: Key Performance Measures

- 56 Head Start slots enrolled
 - 40 Preschoolers
 - 16 Toddlers
- First 5 IMPACT (QRIS) enrolled 61 sites
- 85% of providers enrolled in QRIS have met at least one quality improvement goal



Quality Child Care: Performance Measures



P2: Early Childhood Learning and Development

Goal 4:
All children enter kindergarten ready to learn

R7:
Parents and primary caregivers are educated on, prepared to, and engage in helping their children enter school ready to learn

R8:
Children have access to quality, affordable early learning experiences in their community (Birth-5)



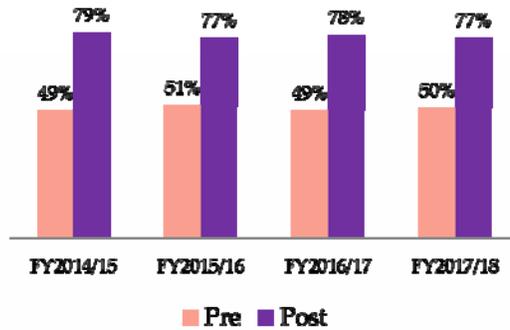
School Readiness: Key Performance Measures

- 435 children attended
- 49% no prior preschool
- 77% of students “Ready to Go” or “Nearly Ready to Go”

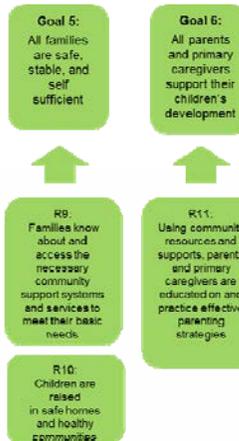


School Readiness: Performance Measures

Percentage of Students Rated "Quarterly Monitor" or "Ready to Go"



P3: Family Support and Parent Education



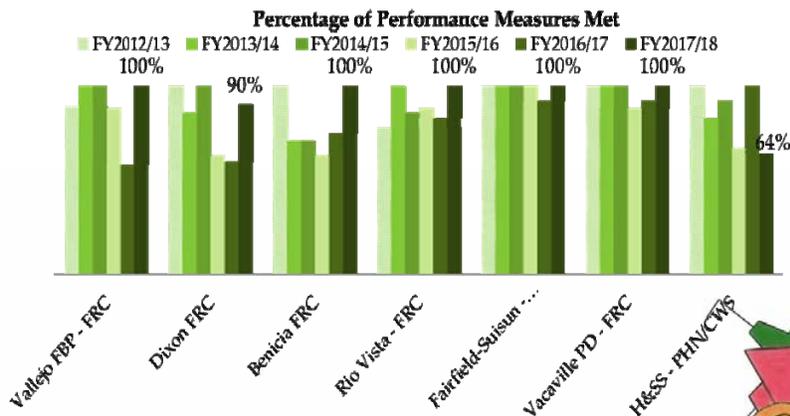
Family Support: Key Performance Measures

- 771 families were provided services
- 93% of the 402 families completing services remained stable or improved.
- 546 families received financial counseling assistance.
- 100% of the 119 high-risk children receiving CWS support remained safely in their homes or with their family unit.



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Family Support: Performance Measures

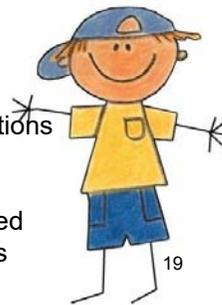


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Priorities 1-3: Overarching initiative

Access and Linkages to Services

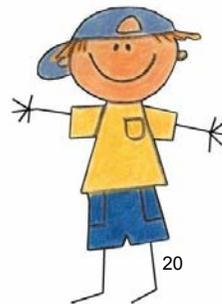
- Served 1,660 individuals
- 694 of these families were paired with a Family Navigator
- Outreach:
 - Participated in 38 community outreach events/presentations
 - 33 community/service provider meetings, presentations or individual meetings
 - Connected with 110 child healthcare and established partnerships with 24 new child healthcare providers

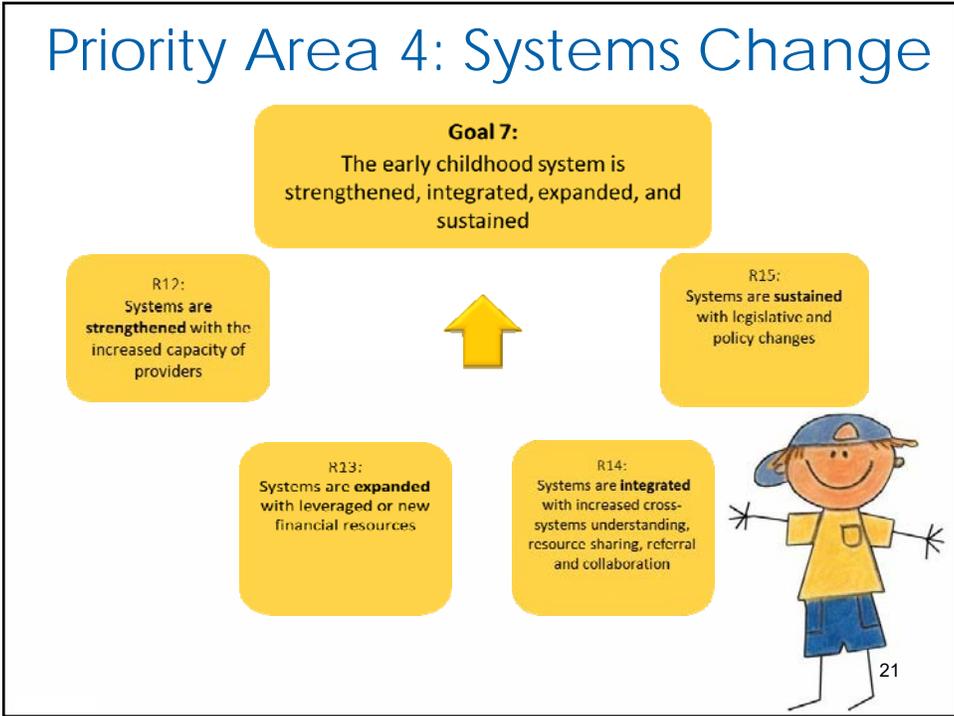


Internal Programs



- Community Engagement Activities
- Community Engagement mini-grants
- Co-Sponsorship of Training and Conferences





Result 12 – 2 Year Update

Systems are **strengthened** with the increased capacity of providers

Seven First 5 Solano grantees completed UC Berkeley’s Fundraising and Volunteer Management Program and now more equipped to strengthen and sustain their organizations.

Completed nonprofit capacity assessment to inform capacity building efforts. Identified areas for further capacity building:

- Vision and Impact Model** – Clarity on Organizational Strategy
- Board Governance and Leadership** – Strengthening Boards and Developing New Board Members
- Internal Evaluation and Learning** – Developing Evaluation Frameworks and Cultivating Reflective Practices.

Over 315 community partners participated in multiple trainings, including Advancing Racial Equity, Bridges Out of Poverty, and poverty simulations, heightening their awareness of ways to prevent and/or address disparities.



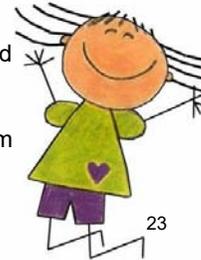
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Result 13 - 2 Year Update

Systems are **expanded** with leveraged or new financial resources



- Developed local Funder Packet
- Developed & shared Foundation Report highlighting lack of local giving
- Convened Funder Meetings to inform Bay Area Foundations about Solano's needs
- Submitted or partnered on applications for \$4.6m in funding; awarded almost \$2.5m



Result 14 - 2 Year Update

Systems are **integrated** with increased cross-systems understanding, resource sharing, referral and collaboration

Facilitated cross-systems Children and Youth Leadership Council

- First 5 Solano, Health and Social Services, Law Enforcement, Child Support, Library

Developed Local Multi-Agency Release of Information

Solano Kids Thrive Collective Impact Initiative targeted expanding communitywide knowledge of adverse childhood experiences (ACEs)

- *Screened Resilience: The Biology of Stress & the Science of Hope*
- Developing a countywide Resiliency Plan
- Media campaign



Result 15 - FY2017/18 Update

Systems are **sustained** with legislative and policy changes

- Continued to implement outreach plan:
 - » Held meetings with 4 local legislators
- Developed Legislative Platform based on
 - » Solano County, First 5 Association, First 5 California, & Children Now platforms
 - » Solano County needs
- Actively support bills that affect children and families:
 - » AB 2292: Expand capacity for infant and toddler care in California
 - » AB377: Create a Child Care Subsidy Pilot Plan
 - » AB 11: Screening services per Early and Periodic Screening, Diagnosis, and Treatment Program



Summary and Conclusion



Questions ????



DATE: September 25, 2018

TO: First 5 Solano Commission

FROM: Michele Harris, Executive Director

SUBJECT: **Strategic Plan and Community Indicators Review**

The First 5 Solano Children and Families Commission is required by statute to annually review its Strategic Plan. This Commission last updated its Strategic Plan in 2016. This meeting serves as the required annual review of the Strategic Plan.

In support of the Commission's review of its Strategic Plan, the Commission also receives an annual update on the status of common community indicators regarding the status of young children and their families.

Attachment A: Community Indicators and Strategic Plan Presentation
Attachment B: 2016 First 5 Solano Strategic Plan Update

FIRST 5 SOLANO COMMISSION MEETING

October 2, 2018



Agenda

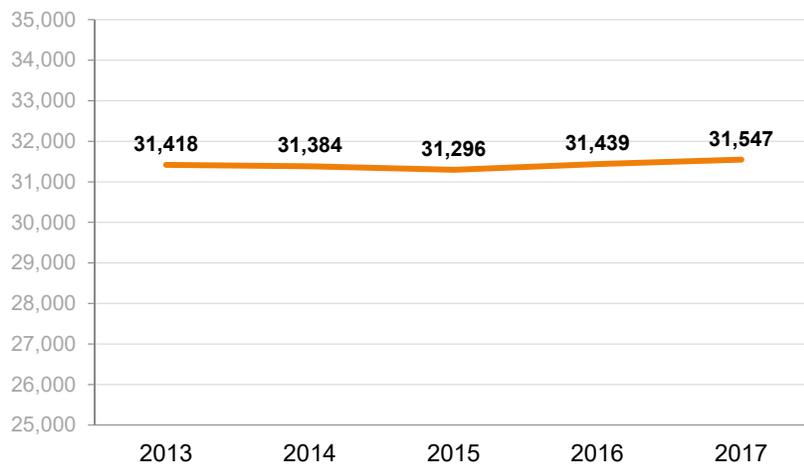
- Review of Community Indicators
 - Health, Education, and Family Support data
- Strategic Plan Review
 - Vision, Mission, Values, and Principles
 - Strategic Framework

FIRST 5 SOLANO

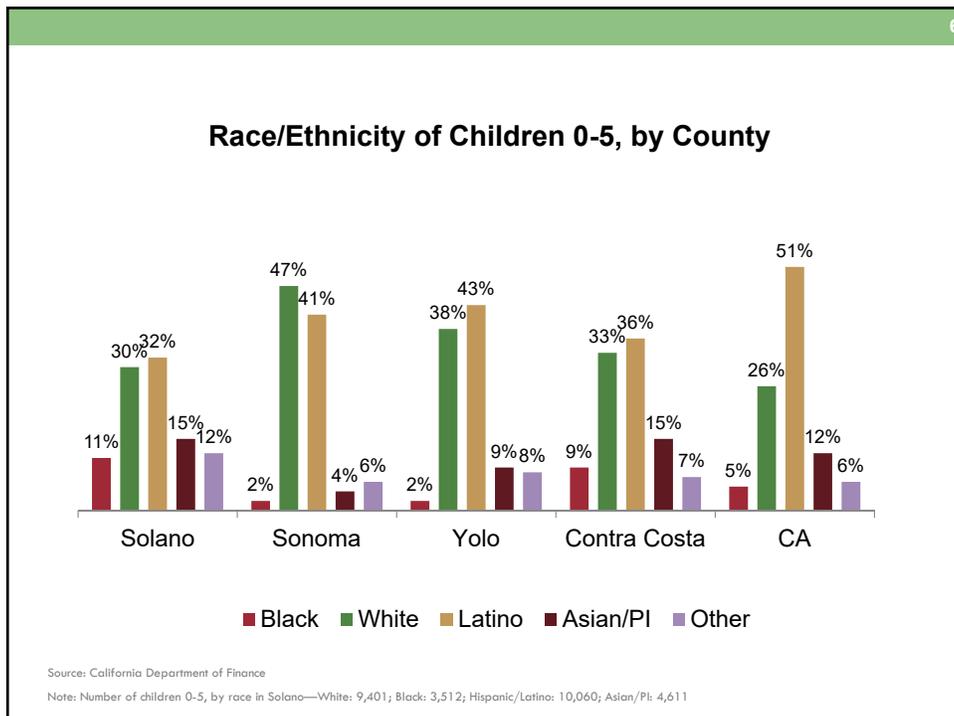
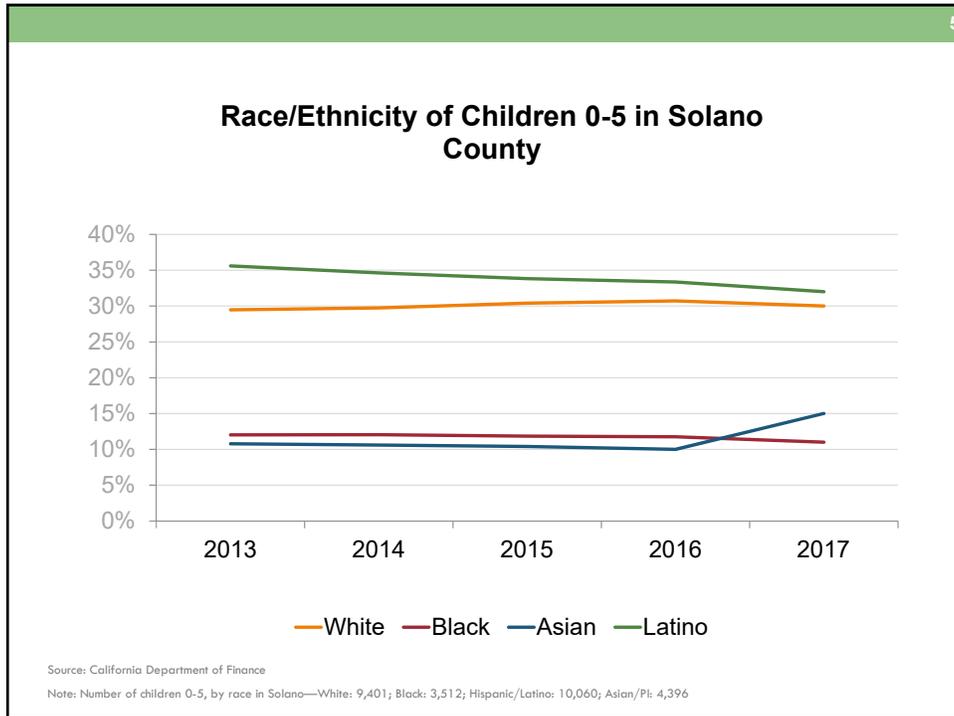
COMMUNITY INDICATOR REVIEW



Number of Children 0-5 in Solano County

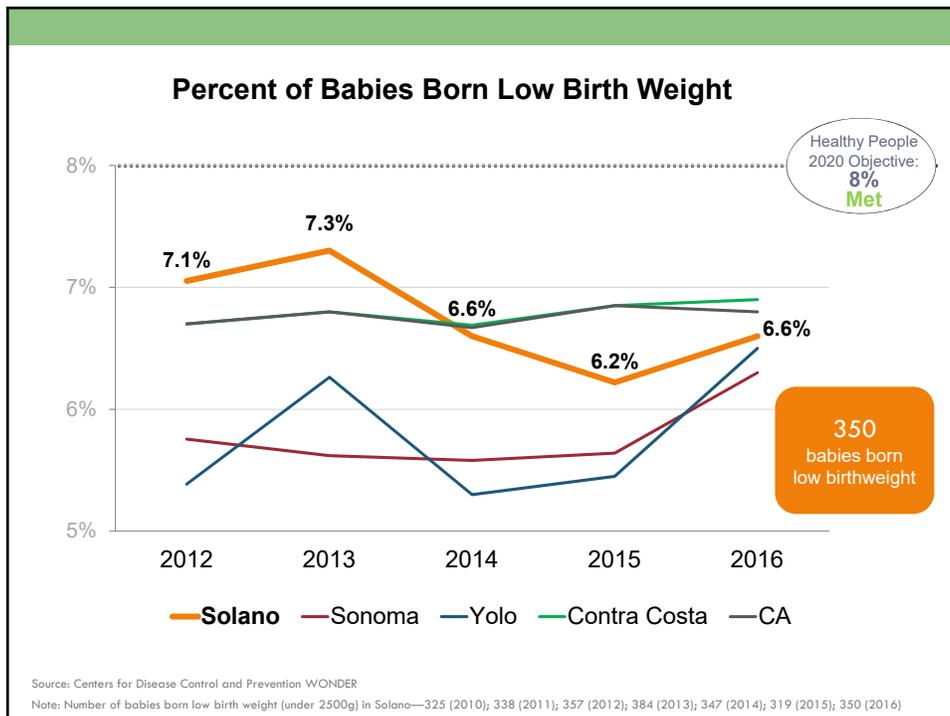


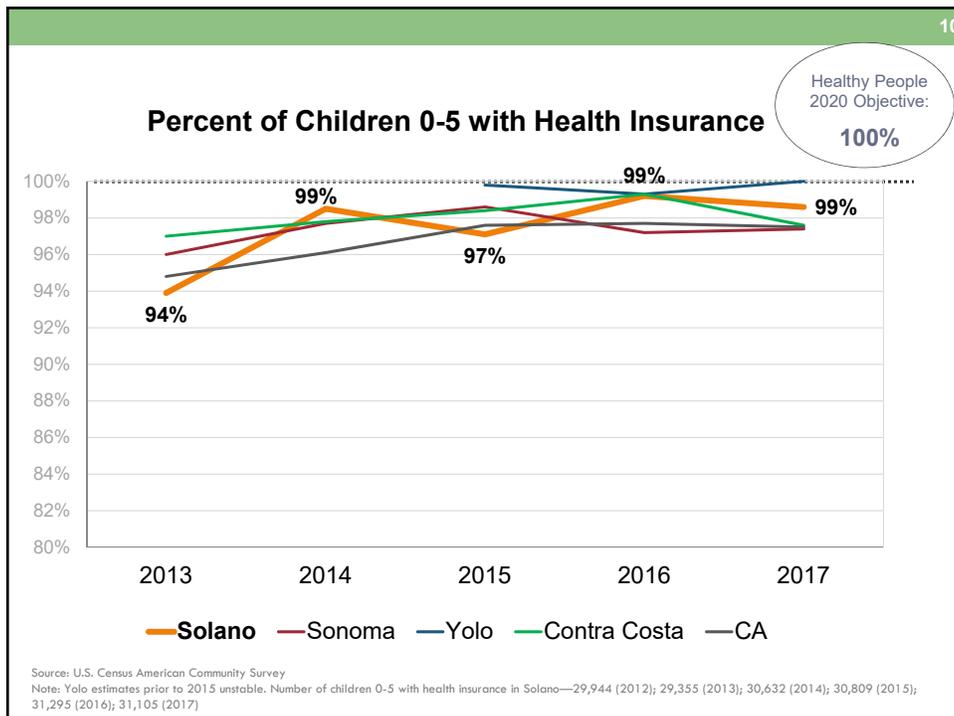
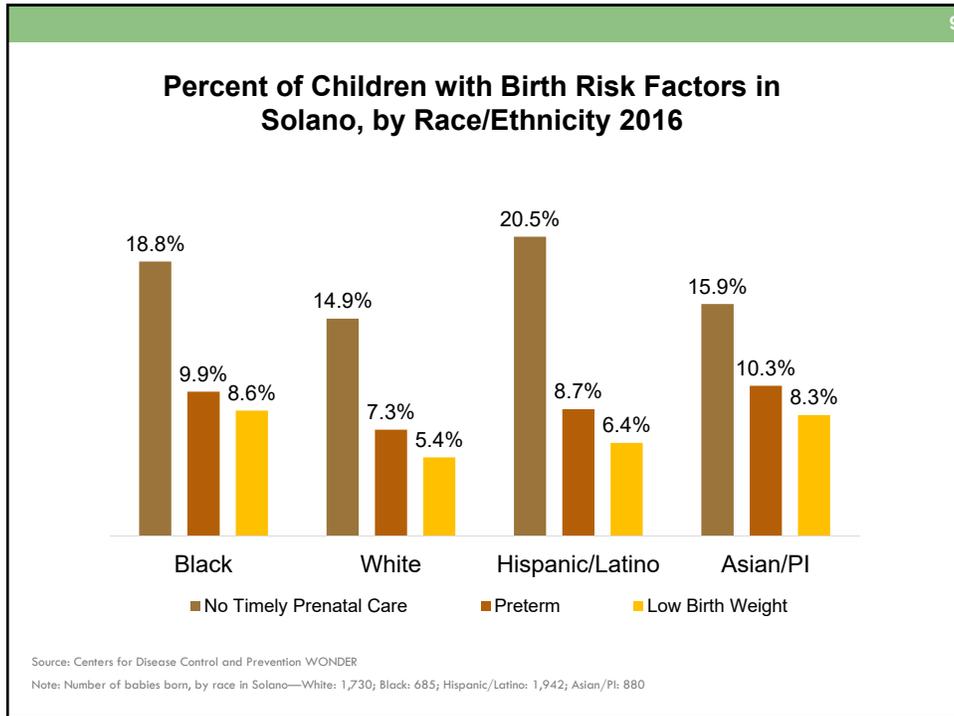
Source: California Department of Finance Population Projections (2017 vintage)

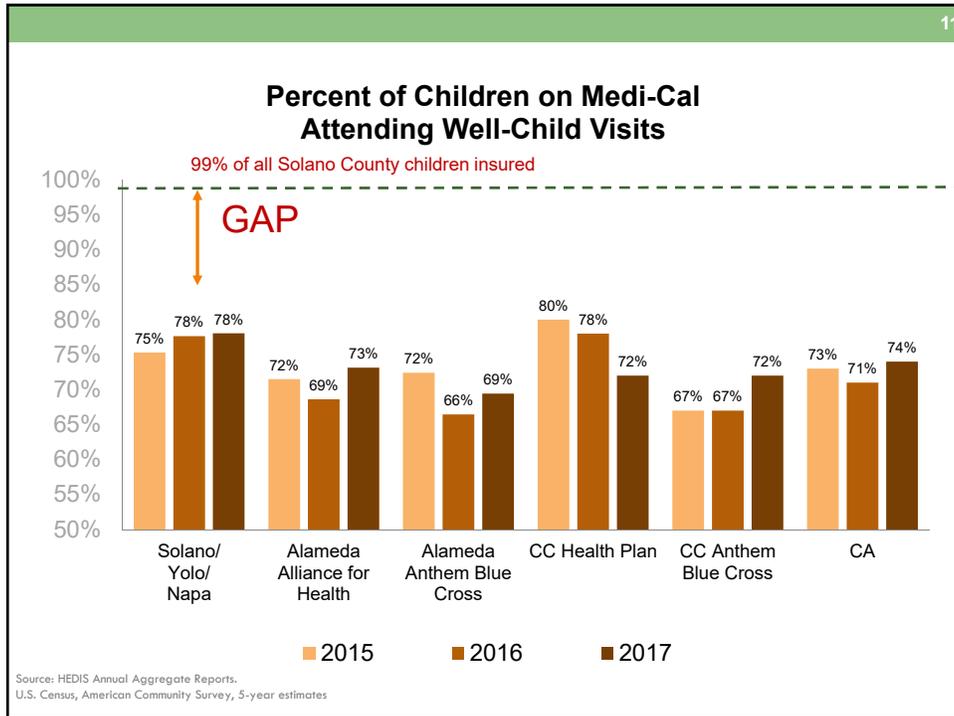


PRIORITY AREA 1: HEALTH AND WELL-BEING

- Percent of babies born low birth weight
- Percent of babies born with birth risk factors
- Percent of children with health insurance
- Percent of low-income children attending well child visits



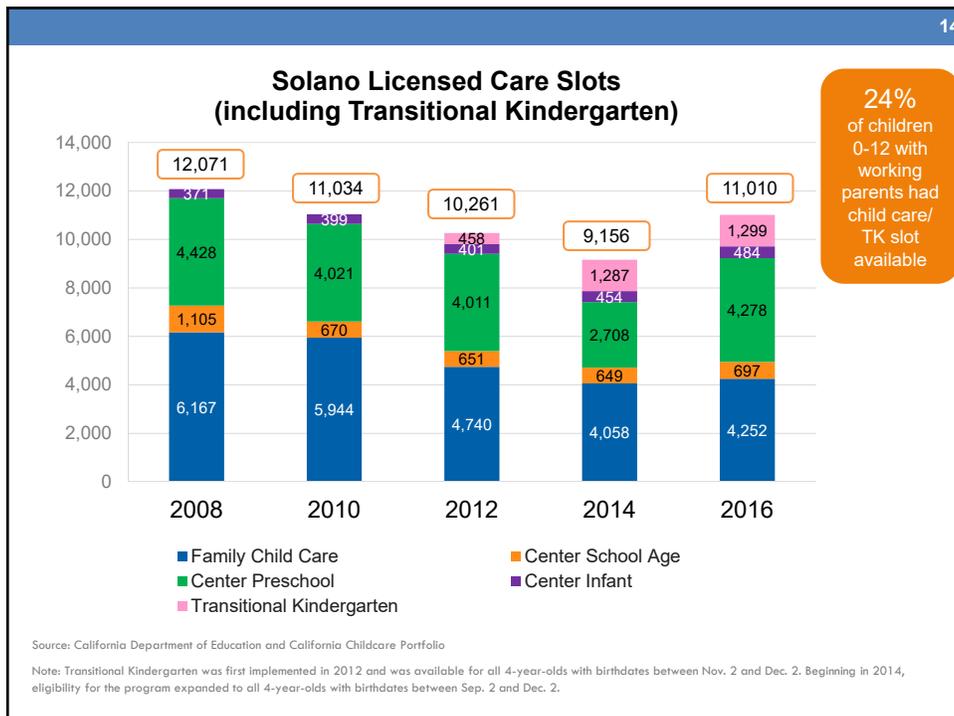


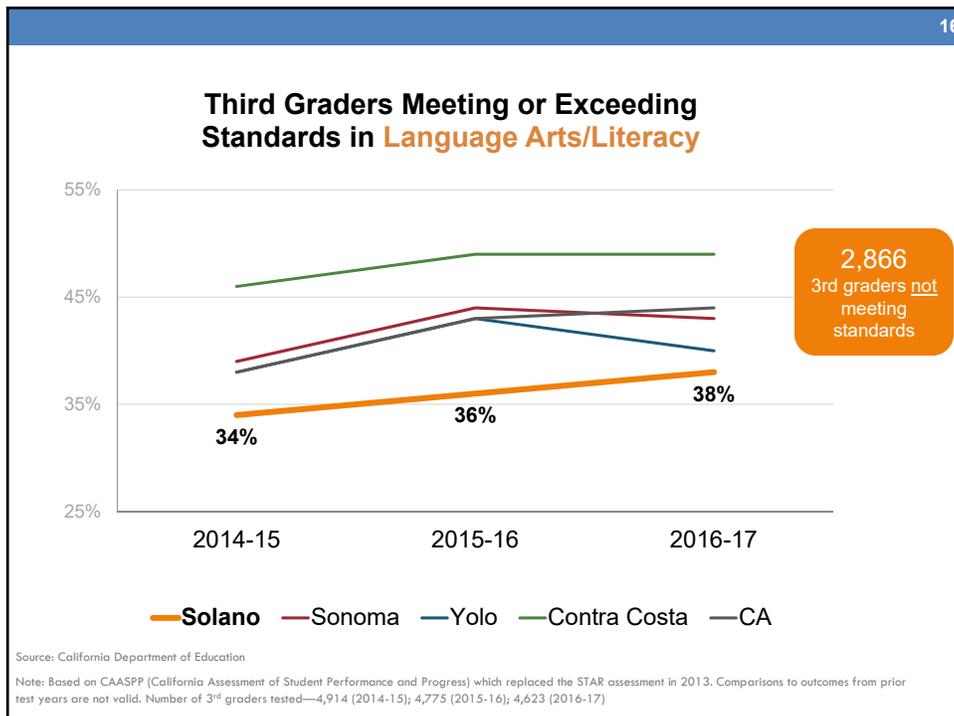
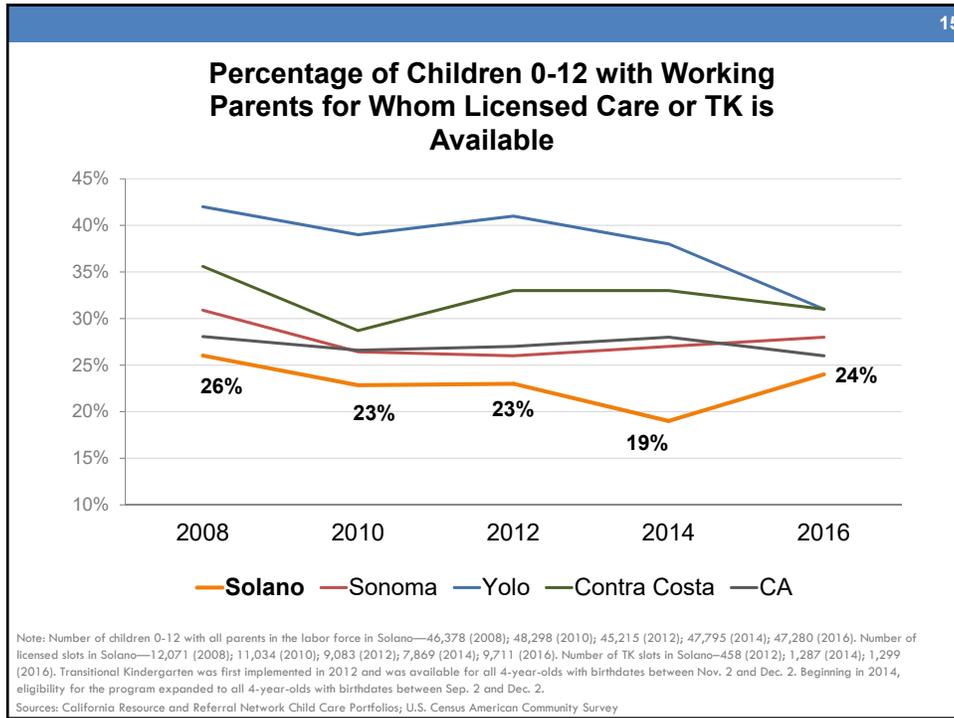


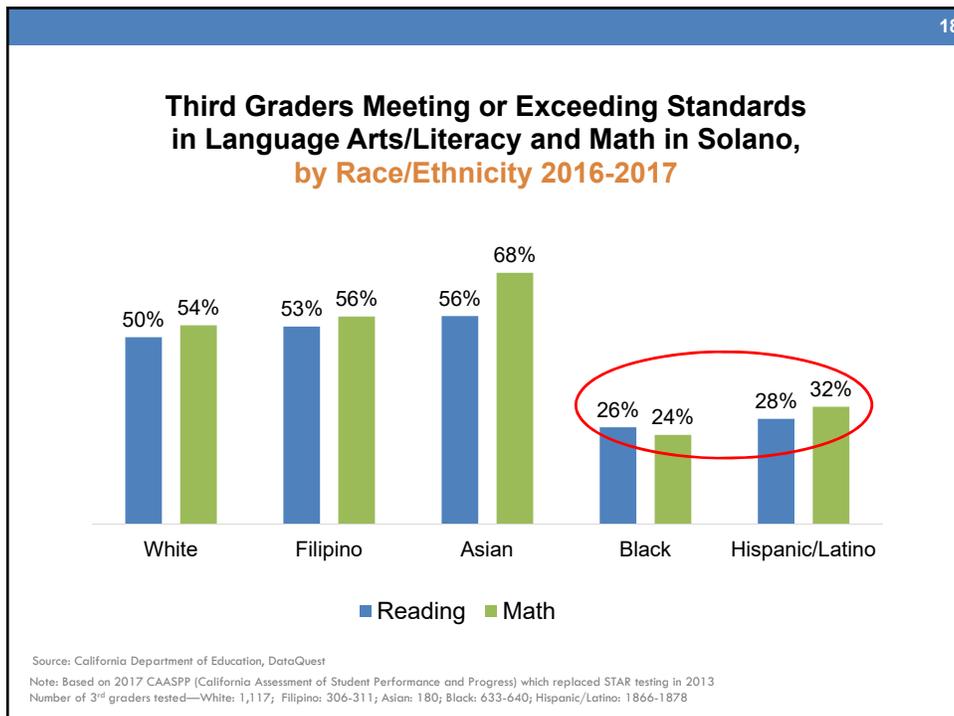
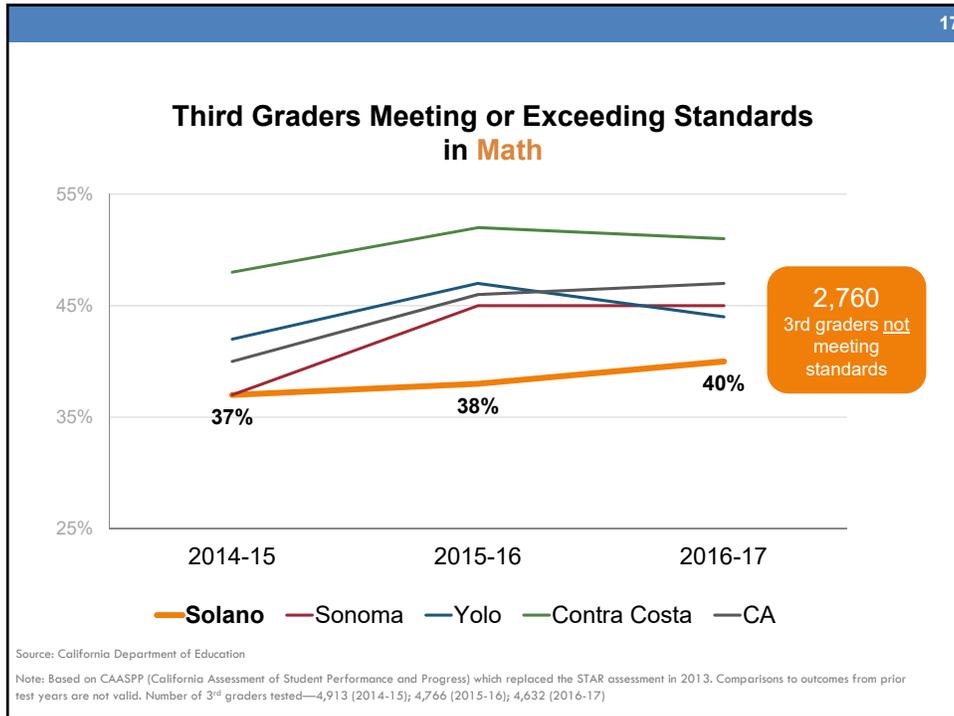
- 12
- ## Health and Well-Being Data Highlights
- Solano is nearing complete health insurance coverage for young children
 - Low birth weight rate dropped slightly in Solano in recent years; rate lower than state-wide average
 - In Solano, women of color have poorer perinatal health outcomes
 - Only about three-fourths of children ages 3-6 with Medi-Cal attend well-child visits

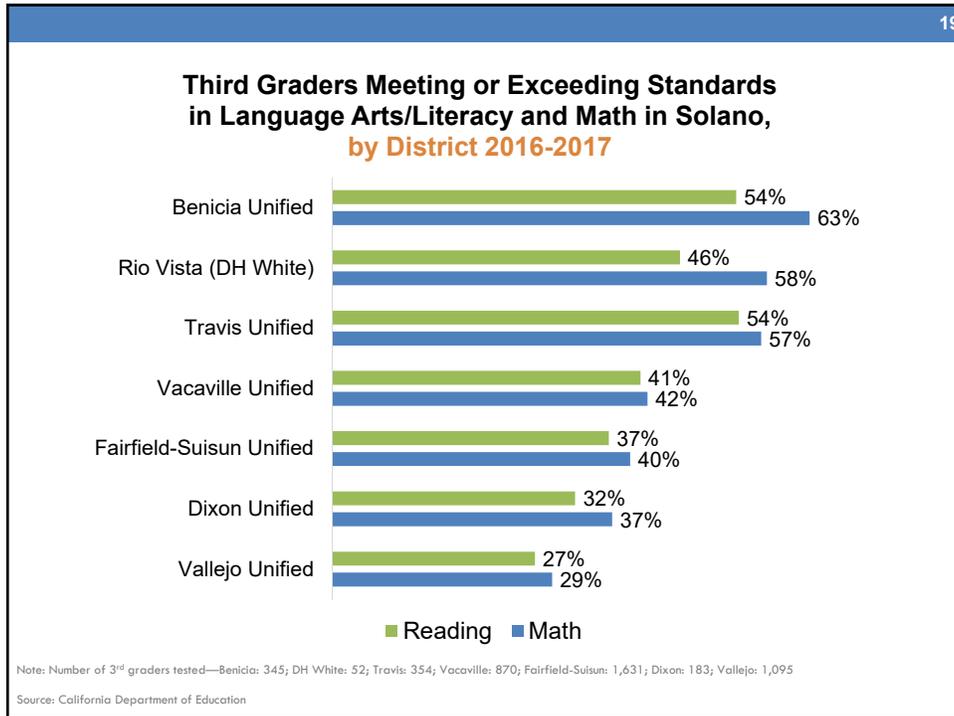
PRIORITY AREA 2: EARLY CHILDHOOD LEARNING AND DEVELOPMENT

- Availability of licensed childcare and TK
- Percent of third graders proficient in reading/math









- 20
- ## Early Childhood Learning/Development Highlights
- Transitional Kindergarten has contributed to the availability of early childhood education
 - The availability of licensed child care or TK increased in the most recent year
 - But availability for children with working parents is still lower than in comparison counties
 - Fewer than 40% of Solano 3rd graders are proficient in reading and math, lower than in comparison counties
 - Third grade proficiency rates highest in Benicia, Rio Vista, and Travis, and lowest in Vallejo.
 - Black and Hispanic/Latino children far less likely to be proficient than white, Asian, and Filipino children

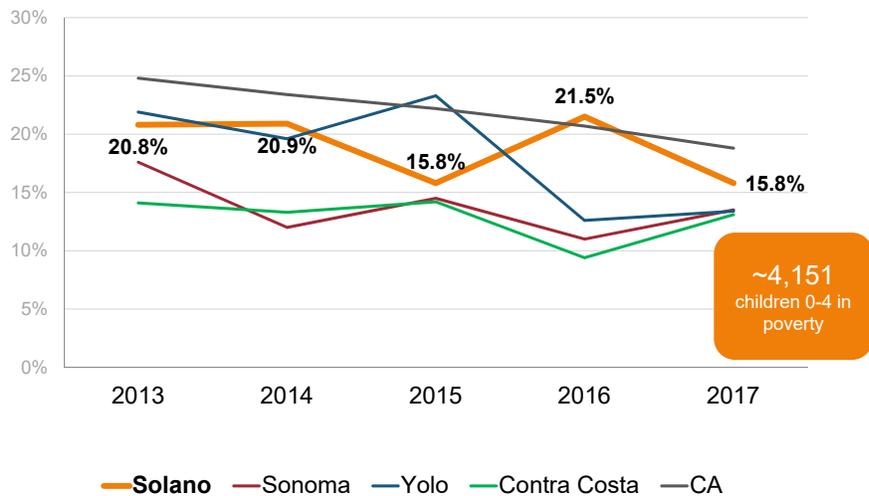
PRIORITY AREA 3: FAMILY SUPPORT AND PARENT EDUCATION

- Poverty rate
- Percent of children in food insecure households
- Unemployment rate
- Rate of substantiated maltreatment
- Percent of children without a recurrence of substantiated maltreatment



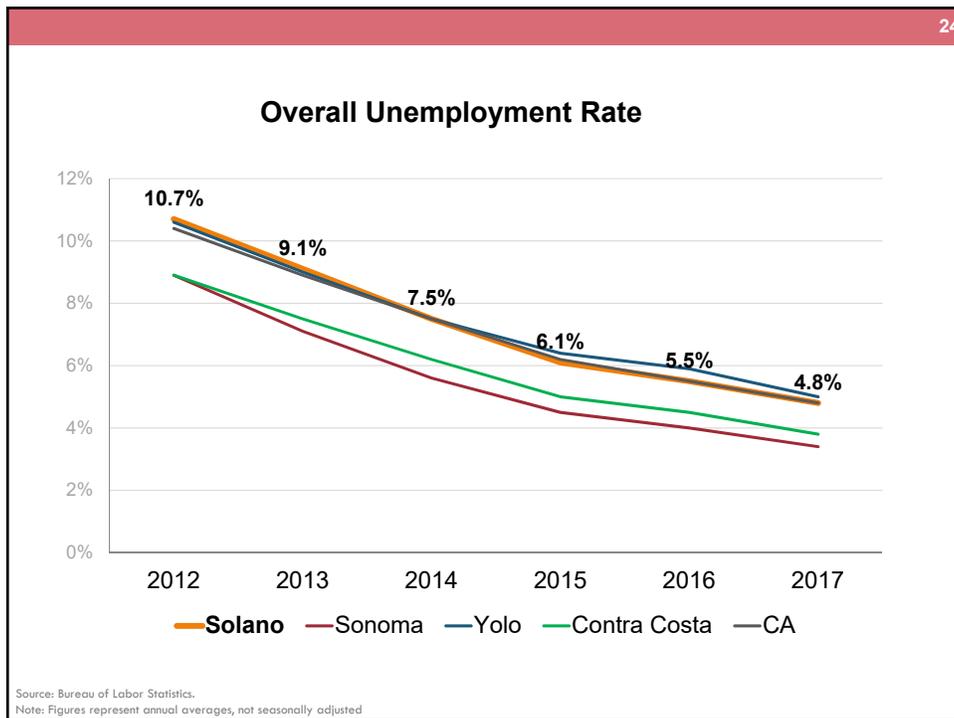
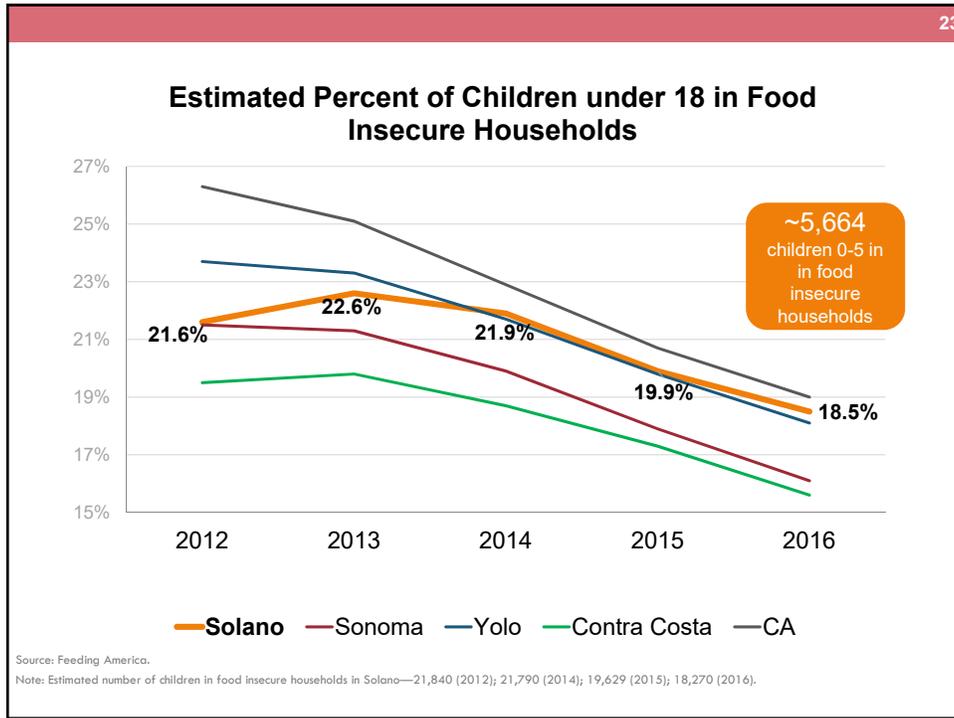
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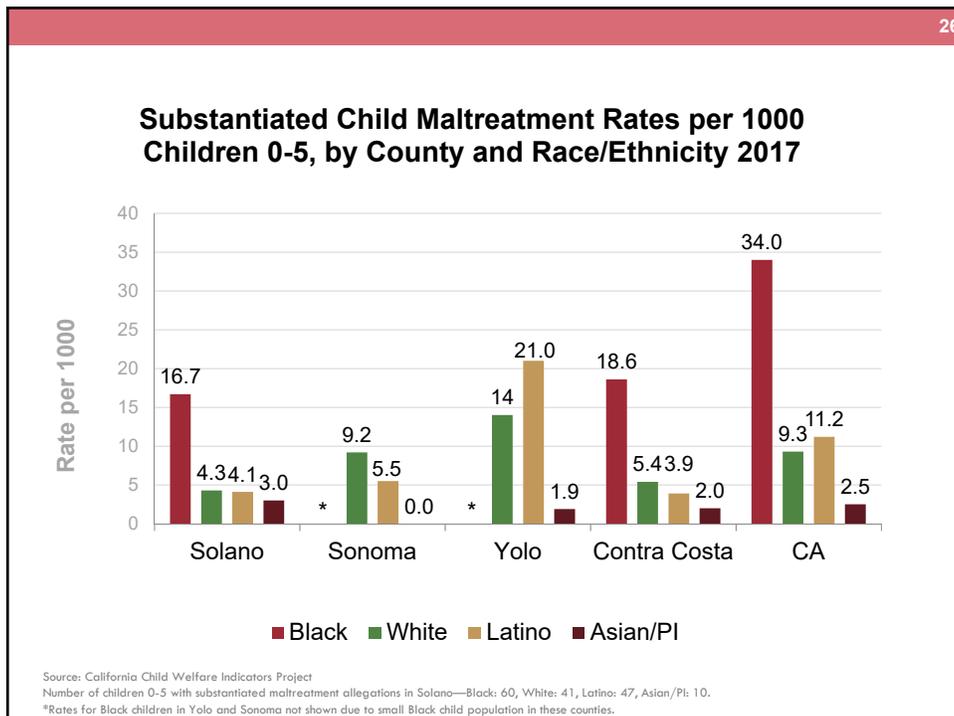
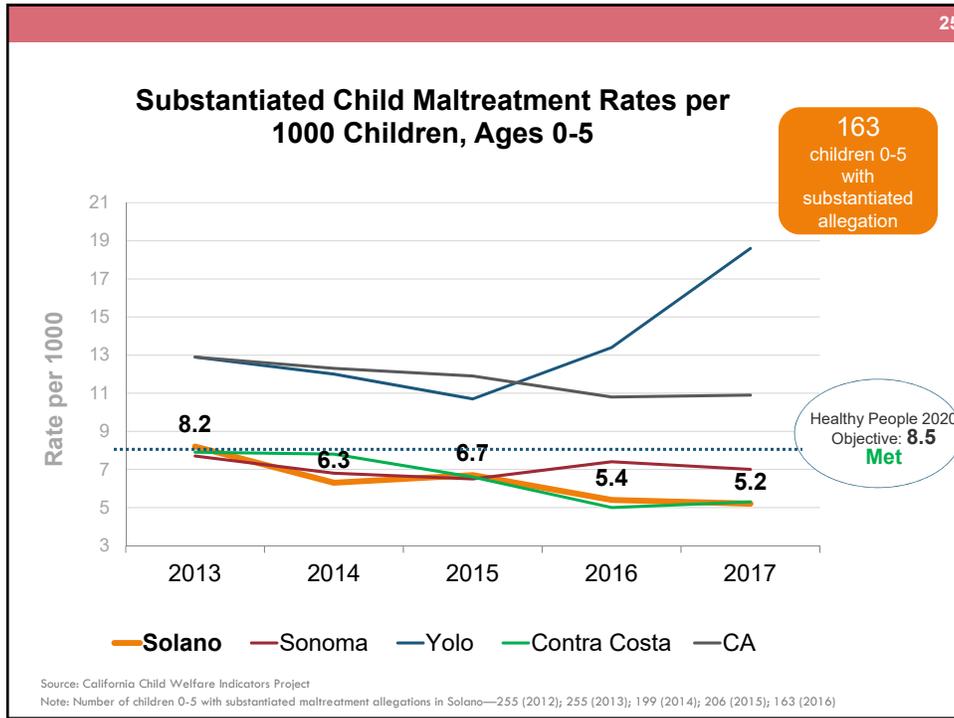
Percent of Children under 5 in Poverty

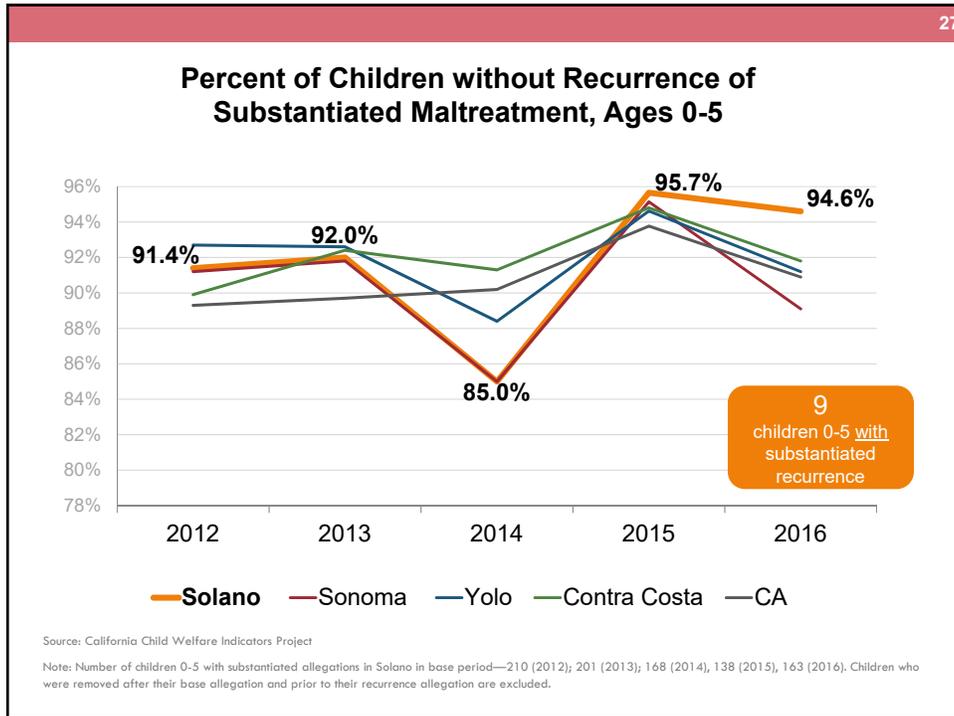


Source: U.S. Census American Community Survey

Note: Estimated number of children 0-4 in poverty in Solano—6,619 (2012); 5,360 (2013); 5,377 (2014); 4,076 (2015); 5,450 (2016); 4,151 (2017)







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Family Support/Parent Education Highlights

- Poverty rate among young children decreased in most recent year, but is higher than in neighboring counties
- Percent of children living in food insecure homes has gone down slightly in Solano; rate is higher than in neighboring counties, but lower than state overall
- Unemployment rate is trending down and is similar to the rate statewide and in Yolo, but is higher than in Sonoma and Contra Costa
- Substantiated maltreatment rate has declined since 2012 and is lower in Solano than in comparison counties and state
 - Rate remains disproportionately high among Black/African-American children across all counties
- Percent of children without a maltreatment recurrence remained higher than in comparison counties and state

FIRST 5 SOLANO STRATEGIC PLAN REVIEW

- First 5 Solano Vision, Mission, Values, and Principles
- First 5 Solano Strategic Framework



First 5 Solano Vision, Mission, Values, and Principles

Overarching Principles

Evidence-based • Trauma-informed • Focused on high-risk/need
Access • Coordination • Collaboration • Leveraging

Core Values

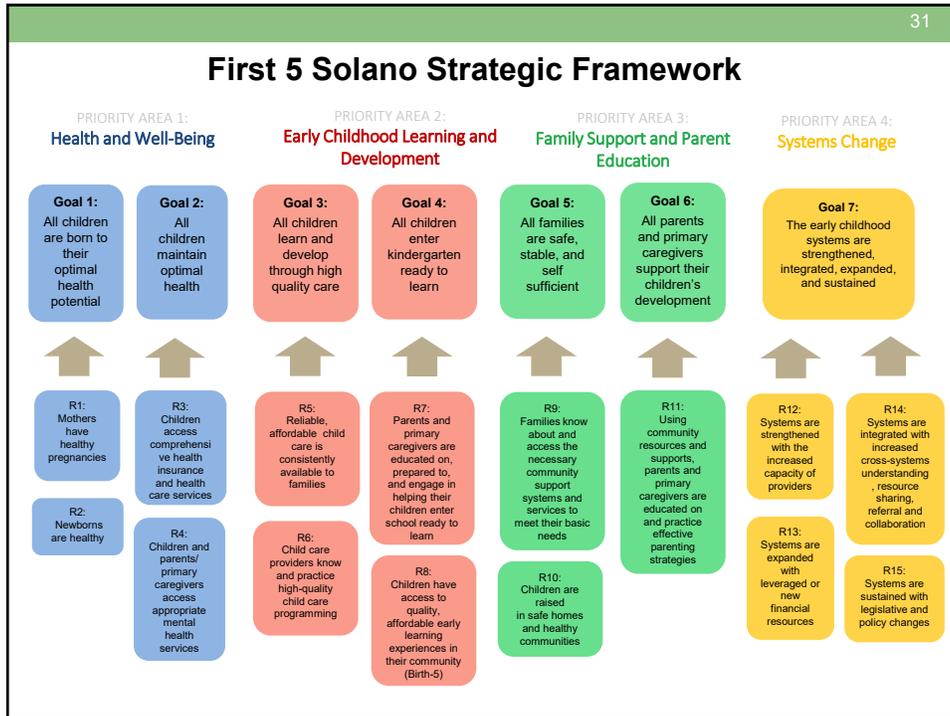
Collaboration
Innovation
Community
Engagement
Respect for Diversity
Advocacy
Integrity
Accountability
Sustainability
Equity
Integration

Mission

First 5 Solano Children and Families Commission is a leader that fosters and sustains effective programs and partnerships with the community to promote, support and improve the lives of young children, their families and their communities

Vision

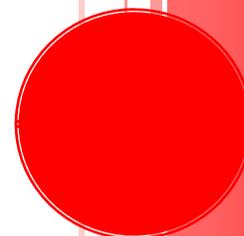
All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers, and communities





FIRST 5 SOLANO
**2016 Strategic
Plan Update**

Approved December 1, 2015



Acknowledgements

This report is the culmination of a year-long collaboration between First 5 Solano staff, its Commission, and its consultant, Applied Survey Research. Specifically, the authors would like to acknowledge the following individuals:

- Michele Harris, MPA, Executive Director, First 5 Solano
- Megan Richards, MPA, Deputy Director, First 5 Solano
- Commissioner Marisela Barbosa, MBA, Systems and Policy Committee Chairperson, First 5 Solano Commission
- Commissioner Jay Speck, MA, Systems and Policy Committee Member, First 5 Solano Commission
- Commissioner Aaron Crutison, MPA, Systems and Policy Committee Member, First 5 Solano Commission
- Susan Brutschy, President, Applied Survey Research
- Lisa Colvig-Niclai, MA, Applied Survey Research
- Kim Carpenter, PhD, Applied Survey Research
- Christina Branom, PhD, Applied Survey Research

Our Message to Solano County



Dear Solano County leaders, stakeholders, partners, residents, and families,

On behalf of First 5 Solano Children and Families Commission, we are delighted to share with you the 2016 update of First 5 Solano's strategic plan.

First 5 Solano is at a pivotal milestone in its evolution.

The local economy is emerging from the Great Recession, a time in which we saw an incredible demand for services across the county, and families struggled more than ever. Together with our partners, we were able to connect more than one in eight of the county's youngest children and their families with needed services. These services spanned the areas of health, basic resources such as food and housing, and early education programs to help children prepare for kindergarten. First 5 Solano strategically leveraged the existing resources in our county as well as addressed gaps in community services.

Now, First 5 Solano faces a horizon of decreasing revenue. Therefore, the goal of this strategic planning process was to ask and answer the questions: *Where does First 5 Solano go from here? How can we make the most of our declining revenue? What is our special contribution to Solano County?*

These are hard questions to answer. We know we can't meet all the needs of every child and family. This Strategic Plan update is based on a wide range of data to help point our compass. We looked at data to see the greatest needs of children and families across the county. We conducted interviews with local leaders to identify the great programs already in place, and also the ways in which First 5 Solano could help identify and fill critical gaps in services. We set decision-making criteria and used them to prioritize First 5 Solano's focus over the next few years.

We are at a watershed moment for First 5 Solano. Over the next few years, we will transition from primarily funding direct services toward a combination of direct services and system change efforts. Our compass will be pointed toward dual goals of helping children and families now, while ensuring strong systems are in place to support families in the long-term. We know that investing in community builds stronger generations to come.

This is a county that pulls together and stays together. With your help, First 5 Solano will be the catalyst to point us all toward a future where all Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers, and communities.

Aaron Crutison
Chair, First 5 Solano Commission

Michele Harris, MPA
Executive Director, First 5 Solano



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Introduction

A Profile of First 5 Solano

Since 1999, First 5 Solano Children & Families Commission has been leveraging and investing Proposition 10 tobacco tax funds to promote positive outcomes for children ages 0 to 5 and their families, and the community providers that serve them. Guided by a strategic framework, First 5 Solano supports programs related to prenatal care, health care access, quality child care, school readiness, family support, and parent education. In FY2014/15, First 5 Solano expended nearly \$4.3 million on community programs in these areas.

In FY2014/15, 9,539 residents in the county benefitted from First 5 Solano investments, including 4,504 children 0-5, which is approximately 15% of the county's children in this age group. Each year, data are collected regarding the number of individuals served in First 5 Solano-supported programs, as well as the performance of such programs on implementing their funded activities and contributing to desired results and outcomes for clients. These reports can be found on First 5 Solano's website.¹



First 5 Solano is governed by a commission of 9 members appointed by the County Board of Supervisors. In FY2014-15, these commissioners include:

- Dan Ayala, former Quality Control Inspector and Air Force Reserve Technical Sergeant, Travis Air Force Base (retired)
- Marisela Barbosa, Business Sustainability Strategist, Sela Sustainability Consulting
- Elise Crane, Senior Program and Policy Analyst, Office of Early Care and Education, City & County of San Francisco
- Aaron Crutison (*Commission Chair*), Deputy Director, Solano County Health and Social Services/Child Welfare Services
- Dana Dean, Solano County Board of Education Trustee, Area 3
- Erin Hannigan, Solano County Supervisor, District 1
- Gerald Huber, Director, Solano County Health and Social Services
- Liz Niedziela, Transit Program Manager, Solano County Transportation Authority
- Jay Speck, Solano County Superintendent of Schools

¹ www.First5Solano.org

Purpose of the 2016 Strategic Plan Update

Across California counties, as tobacco tax revenues decline, First 5s are serving their communities with diminishing resources, and are using reserves that were accumulated years ago to support community investments. Solano County is no different: since the last strategic plan update in 2011, Proposition 10 tobacco tax revenue has decreased in Solano by an average of 4% each year, from \$3.9 million in 2011 to \$3.3 million in 2015.

The purpose of the 2016 Strategic Plan Update is to direct investments toward the areas of highest priority need in which First 5 Solano can make a demonstrable impact with its increasingly limited financial resources. This strategic plan also sets the explicit goal of identifying opportunities within the surrounding systems to meet the needs of children and families in more cost-effective, sustainable ways.



Vision, Mission, Values & Principles

Overarching Principles

Evidence-based • Trauma-informed • Focused on high-risk/need
Access • Coordination • Collaboration • Leveraging



Vision
All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers, and communities

Mission
First 5 Solano Children and Families Commission is a leader that fosters and sustains effective programs and partnerships with the community to promote, support and improve the lives of young children, their families and their communities



Core Values
Collaboration
Innovation
Community Engagement
Respect for Diversity
Advocacy
Integrity
Accountability
Sustainability
Equity
Integration

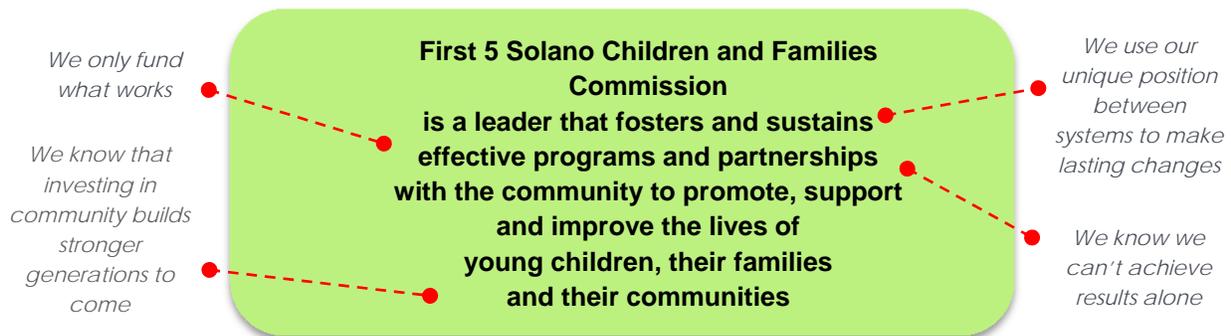
The Vision, Mission, Values & Principles help to set the strategic tone of the Commission over the course of this Strategic Plan, and are described further below.

Vision and Mission

A vision statement conveys the kind of conditions First 5 Solano hopes to ultimately see for the county's children birth to 5 and their families. First 5 Solano's vision for the county is as follows:

All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers, and communities

First 5 Solano's mission statement reflects how it will help contribute to the vision for the community. The mission statement, and the intentions behind key clauses, is as follows:



Core Values

First 5 Solano's values reflect the ways in which all of the funded programs should be delivered.

Collaboration

We will model the spirit of collaboration through teamwork in our interactions with one another, community members and service providers.

Innovation

We seek and embrace new ideas and ways of supporting services and building community capacity, considering the highest and best use of Commission resources to be leveraging funds to support system change.

Community Engagement

We are accessible to our stakeholders and make every effort to incorporate community participation into policy and funding decisions.

Respect for Diversity

We are committed to supporting families, children and organizations in ways that are respectful, inclusive and responsive to the community.

Advocacy

We will use our unique role to build public support for policies and programs that benefit young children and their families.

Integrity

We set and maintain the highest ethical and professional standards for our programs and ourselves.

Accountability

We will establish goals for progressing toward our vision, define results for funded endeavors, measure and report our progress, and use what we learn to improve the lives of children and their families.

Sustainability

We leverage our human and financial resources intentionally in order to sustain our internal and external services and strengthen the early childhood system.

Equity

We are committed to reducing and eliminating opportunity gaps among children from diverse backgrounds, abilities and circumstances.

Integration

We support the integration of early childhood resources to build a system of care that is child and family centered and that efficiently addresses a variety of needs.

Overarching Principles

First 5 Solano has defined a set of principles that guides the type of investments made and the ways in which such programs are implemented. These principles are as follows:

Evidence-based	• Services and programs shall be of the highest quality, backed by evidence that they have a positive impact on young children and families.
Trauma-informed	• Exposure to trauma is prevalent, and First 5 Solano recognizes that the toll it takes on child health and development can be far-reaching and long-lasting. Service providers shall be prepared to effectively serve children affected by trauma.
Focused on high-risk/need	• In a climate of limited resources, services will be focused on providing for those children, families, and neighborhoods in greatest need.
Access	• It is not enough to simply provide services; First 5 Solano is committed to reducing barriers that families face in accessing care.
Coordination	• The early childhood system functions best when its services are connected and coordinated.
Collaboration	• First 5 Solano recognizes that the community benefits most from a system of care in which providers work together to improve the lives of young children and their families.
Leveraging	• Wherever possible, First 5 Solano leverages funds and other resources to maximize the benefits to the community with the resources available.

Strategic Priorities

Strategic Framework Overview

First 5 Solano’s strategic framework acts as a conceptual map, articulating the priority areas for investment, and goals which First 5 Solano aspires to achieve for the county’s children ages 0-5 and their families. Next, in service of those goals, the framework defines specific results or outcomes that First 5 Solano can promote amongst the children, families and providers it serves. First 5 Solano uses this framework to align and connect its program investments with specific, measurable results.

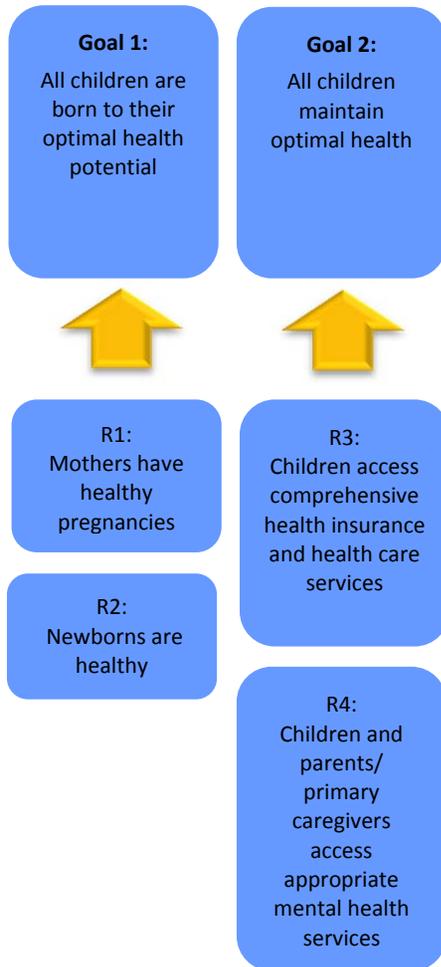


A description of the process and changes to the strategic framework can be found in Appendix A.

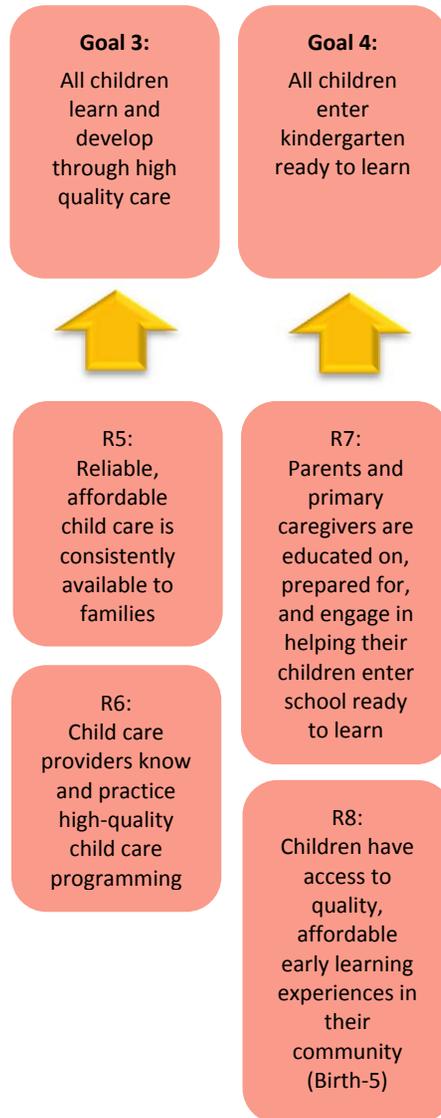
A description of First 5 Solano’s commitment to achieve results from their strategic investments can be found in Appendix B.

2016 First 5 Solano Strategic Framework

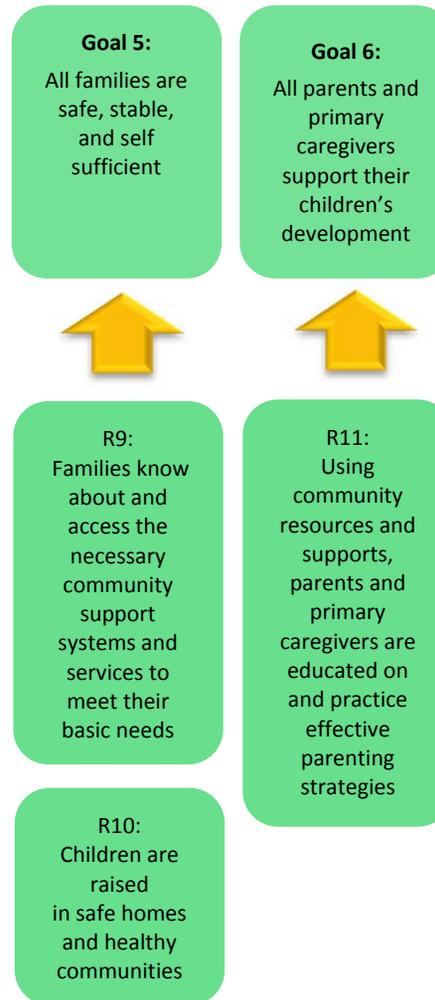
PRIORITY AREA 1: Health and Well-Being



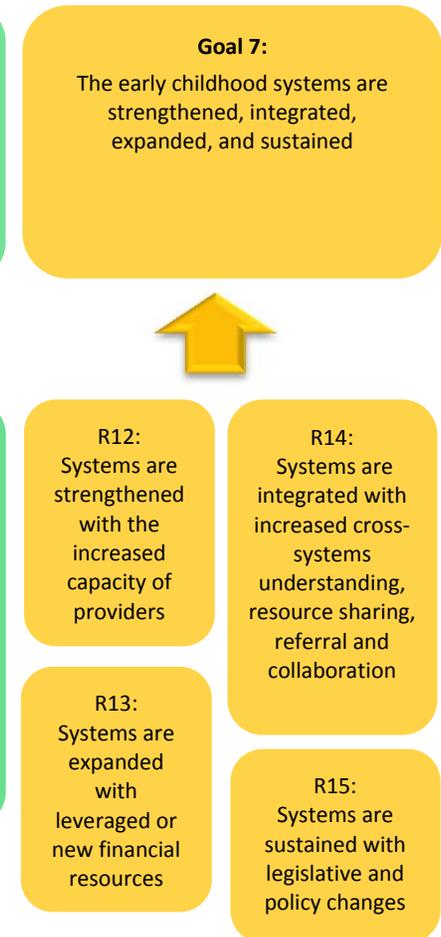
PRIORITY AREA 2: Early Childhood Learning and Development



PRIORITY AREA 3: Family Support and Parent Education



PRIORITY AREA 4: Systems Change



Overarching Principles: Evidence-based, trauma-informed, focused on high risk/need, access, coordination, collaboration, and leveraging

Priority Area 1: Health and Well-being

Goal 1: All children are born to their optimal health potential

The Needs. To understand the status of the community, community-level data² were reviewed. This review revealed that access to prenatal care in the county is improving (80% of mothers entered prenatal care by the first trimester) and the number of babies born prematurely is declining (9% of all births). Similarly, an increasing number of new mothers are initiating breastfeeding (95% of mothers).



The Desired Results. While community trends are increasingly favorable, First 5 Solano recognizes that there are still pockets of disparity in birth outcomes around the county. Therefore, in Goal 1, First 5 Solano seeks to achieve the following results:

- Mothers have healthy pregnancies
- Newborns are healthy

Potential Strategies. In order to achieve these results, strategies may include:

- Health insurance for newborns and moms

Systems Change Opportunities. There are other partners in the county with whom First 5 Solano can share in the work on prenatal services, including Solano Health and Social Services, Partnership Health Plan, local hospitals, and Early Head Start. The Commission can also align with federal and state efforts to increase home visiting resources.



² Sources for the data cited here included the U.S. Census, Centers for Disease Control and Prevention, CA Department of Education, CA Department of Public Health, CA Department of Health Services, North Bay Regional Center, CA Resource and Referral Network, Feeding America, Solano County Office of Education, CA Child Welfare Indicators Project. Except where otherwise indicated, data points are for 2013.

Goal 2: All children maintain optimal health

The Needs. The community scan found that access to health insurance for children in the county has held steady in recent years (94% of children under 6 had health insurance), while well-child visit attendance is improving among children on Medi-Cal (74% of children on Medi-Cal). In addition, the number of children 0-3 enrolled in Regional Center early intervention services is increasing (3% of children 0-3).



The Desired Results. Insurance coverage for children has been improving thanks to the Affordable Care Act and recent state legislation to cover undocumented children, though enrollment for undocumented children will not begin until 2016. In addition, early mental health services have not kept pace with the community's needs. Therefore, in Goal 2, First 5 Solano seeks to achieve the following results:

- Children access comprehensive health insurance and health care services
- Children and parents/primary caregivers access appropriate mental health services

Potential Strategies. In order to achieve these results, strategies may include:

- Health insurance outreach and enrollment
- Developmental screenings and assessments
- Provider and caregiver education and parent coaching
- Case management
- Mental health treatment

Systems Change Opportunities. First 5 Solano can integrate with other Solano County efforts for insurance enrollment, including those of the Solano Coalition for Better Health, Covered California, and community clinics. First 5 Solano can also continue to leverage Mental Health Services Act (MHSA) and Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) funds to provide mental health services to young children and their families. Finally, the Commission can encourage outreach to primary care physicians to promote developmental screening and referral for all children.



Joseph Tart/EHP

Priority Area 2: Early Childhood Learning and Development

Goal 3: All children learn and develop through high quality care

The Needs. Over the past several years, the availability of licensed child care slots in Solano for working parents has been declining, and as a result, there were only enough child care slots for 22% of the children estimated to need care (2012).

The Desired Results. The need for quality, affordable care for young children has outpaced supply in recent years. Therefore, in Goal 3, First 5 Solano seeks to achieve the following results:

- Reliable, affordable child care is consistently available to families
- Child care providers know and practice high-quality child care programming

Potential Strategies. In order to achieve these results, strategies may include:

- Coordinate, assess, and improve early learning settings through various strategies, including family engagement, professional development, and quality standards
- Provide wrap-around child care to Head Start families
- Provide a facility for Head Start

Systems Change Opportunities. The Commission can partner with First 5 California, Solano County Office of Education, Solano Quality Rating and Improvement System (QRIS) Consortium, and other community agencies to expand quality resources for child care sites.



Goal 4: All children enter kindergarten ready to learn

The Needs. Enrollment of 3-4 year olds in preschool in Solano has been declining (44% of 3-4 year olds). In terms of long term outcomes, less than half of the county's third grade students are reading at grade level (45%).

The Desired Results. Although there is a lack of community-wide data on school readiness, the findings for preschool enrollment and reading proficiency suggest quality school readiness supports are still needed in the county. Therefore, in Goal 4, First 5 Solano seeks to achieve the following results:

- Children have access to quality, affordable early learning experiences in their community (Birth-5)
- Parents and primary caregivers are educated on, prepared to, and engage in helping their children enter school ready to learn

Potential Strategies. In order to achieve these results, strategies may include:

- Outreach to children with no prior preschool experience
- Classroom instruction
- Parent education and engagement
- Child assessment
- Kindergarten articulation



Systems Change Opportunities. First 5 Solano can partner with and leverage resources from school districts to bring in additional supports for school readiness. They can also help connect early childhood education providers to other professionals serving young children (e.g., early mental health specialists).

Kindergarten! ... here I come!



Priority Area 3: Family Support and Parent Education

Goal 5: All families are safe, stable, and self sufficient

The Needs. While the poverty rate among young children in the county is slowly improving (25% of children under 5), food insecurity and secure parental employment among children are worsening (23% and 36% of children, respectively). On the other hand, the foster care entry rate has remained steady in recent years (3.7 per 1000 children 0-5 in 2014).

The Desired Results. The overall economy is improving, but the recovery from the Great Recession has been uneven, and families with young children continue to experience high levels of poverty and unemployment. Child safety also remains a concern in certain pockets of the county. Therefore, in Goal 5, First 5 Solano seeks to achieve the following results:

- Families know about and access the necessary community support systems and services to meet their basic needs
- Children are raised in safe homes and healthy communities

Potential Strategies. In order to achieve these results, strategies may include:

- Neighborhood-based family resource centers that provide basic needs support and case management; one-on-one assessment, assistance, and linkage to community resources; home visiting; and parent education
- Home visiting for high-risk families to support the work of family resource centers
- Case management, housing, and linkage to community resources for homeless families

Systems Change Opportunities. The Commission can pursue partnerships with school districts, police departments, and local, state and federal housing programs to meet families' basic needs. They can also continue to coordinate family support services with County child welfare and public health services.



Goal 6: All parents and primary caregivers support their children's development

The Needs. Maltreatment allegation rates among children 0-5 are worsening in Solano (6% of children 0-5 in 2014), but the rate at which these allegations are substantiated is improving (6.3 per 1000 children 0-5 in 2014).

The Desired Results. Substantiated child maltreatment rates are improving, but disparities in child welfare involvement remain. However, the exact level of need for parent education in the county is largely unknown due to a lack of community-wide data in this area. In Goal 6, First 5 Solano seeks to achieve the following result:

- Using community resources and supports, parents and primary caregivers are educated on and practice effective parenting strategies

Potential Strategies. In order to achieve this result, strategies may include:

- Parent workshops and courses
- Online instruction



Systems Change Opportunities. First 5 Solano can leverage the resources of school districts and other community agencies offering parent education services.



Priority Area 4: Systems Change

Goal 7: The early childhood systems are strengthened, integrated, expanded, and sustained.

The Needs. First 5 funding across the state is gradually declining as tax revenue related to tobacco use declines. In 1998, when the Proposition 10 tobacco tax was initially passed, \$650 million in tax revenue were collected statewide, but this has now decreased to \$350 million. However, demand for early childhood services has not declined, which means that First 5 Solano needs to find new, more efficient new ways to serve the same number of children, share or transition some program services to other public systems of care (health, social services, education), raise new money, or some combination of the above.

The Desired Results.

- Systems are strengthened with the increased capacity of providers
- Systems are expanded with leveraged or new financial resources
- Systems are integrated with increased cross-systems understanding, resource sharing, referral and collaboration
- Systems are sustained with legislative and policy changes

Potential Strategies. An implementation plan will be created to identify systems change opportunities in each Goal area. Preliminarily, strategies may include:

- Support Help Me Grow Solano outreach, capacity building and service integration activities
- Support Solano Kids Thrive Collective Impact Initiative as a way to harness resources and integration beyond the reaches of First 5 Solano.
- Collaborative grant seeking and fund leveraging
- Support and advocate for First 5 Association policy agenda which supports developmental screenings for all children
- Align with First 5 Association and First 5 California Legislative/Advocacy Committee
- Align with Board of Supervisors Legislative Agenda
- Educate community partners about additional funding resources
- Develop the Children and Families Policy Council
- Support the activities of the Solano Legislative Committee

Investment Approach

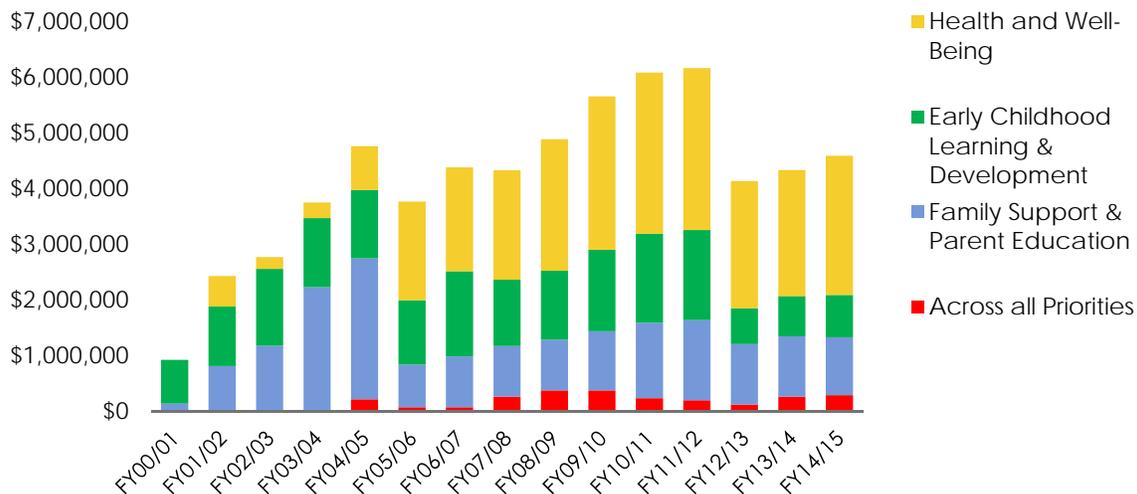
First 5 Solano launched its programmatic investments in FY 2000/01 with a mix of capital, planning, program and mini-grants. Over the past decade, the Commission has steadily deepened and refined its strategic investments through building collaboratives and initiatives. To date, over \$62 million has been invested for young children, their families, and providers in Solano County.

In accordance with its strategic plan, the Commission will continue to dedicate funding to four Priority Areas:

- Health & Well-Being
- Early Childhood Learning & Development
- Family Support & Parent Education
- Systems Change

The Commission has also dedicated resources to internally-run programs that span its priority areas, and to activities that supported its overarching goal of system change.

Annual Program Investment by Priority



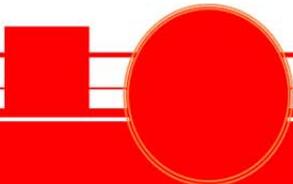
The future investment approach is based on the Commission’s Long Term Financial Plan and is detailed in the Commission’s Program Investment Plan.

As anticipated, Proposition 10 Tobacco taxes continue to decline. The Commission has and continues to strategically utilize its reserves to ensure provision of critical services in the community for young children. However, the Commission acknowledges this financial approach of utilizing funding from its reserves on an ongoing basis is not sustainable for the long-term. The Commission will look to its community partners to expand and integrate resources to ensure crucial supports for early childhood are sustained beyond First 5 Solano’s available resources.

Conclusion

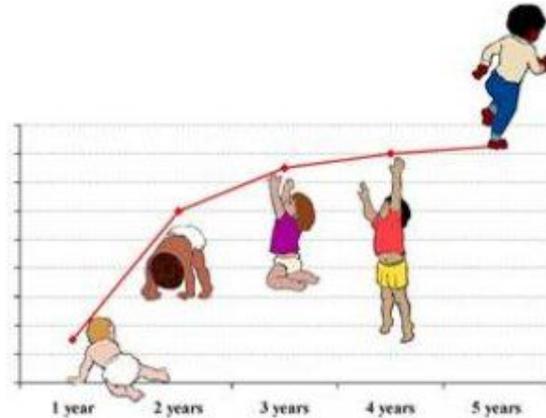
First 5 Solano will begin implementing the 2016 Strategic Plan Update after its approval in December 2015, including: implementing strategies in the areas of Health & Well-Being, Early Childhood Learning & Development, Family Support & Parent Education; developing and implementing a Systems Change Implementation Plan; and updating its evaluation efforts.

First 5 Solano would like to thank all of our early childhood community partners who contribute to the successes we have seen in the community. These partners are garnering the results that we seek so that our vision of *All Solano County children are loved, healthy, confident, eager to learn, and nurtured by their families, caregivers, and communities*, can someday become a reality.



Appendix A. Updates to the Framework for 2016

Comprehensive scan. The process of updating the strategic plan began by gathering a comprehensive view of the well-being of children 0-5 and their families, and the extent to which the systems surrounding such children and families were able to meet their needs. The First 5 Solano Commission identified approximately 30 community-level indicators related to the status of children and families in each of its priority areas, and data were gathered from secondary data sources. Next, key informant interviews were also conducted with directors and managers of complementary systems and programs in order to gauge the assets and gaps in service around the county. A synopsis of these data were compiled by Applied Survey Research into a briefing called Result Profiles.



Feedback on the Framework. The community scan was presented to the Commission to help them determine which portions of their strategic framework “still held” as critical areas for First 5 Solano focus. The original Framework consisted of 4 priority areas and 7 goals, each of which are supported by 2 to 4 concrete desired results.

Updates to the Strategic Framework. The original Framework largely remained intact, though important changes were made to wording of specific results, and the fourth Priority Area related to First 5 Futures was transformed to Systems Change and its details were refined. The details of these changes are as follows:



- Goal 5: All families are safe, stable, and self-sufficient
- Result 5: Reliable, affordable child care is consistently available to families
- Result 9: Families know about and access the necessary community support systems and services to meet their basic needs

- Result 10: Children are raised in safe homes and *healthy* communities
- In addition, “trauma-informed” was added to the key program principles in recognition of the need for services and resources that effectively address the challenges faced by children and families impacted by trauma.

The most significant update to the Strategic Framework occurred in **Priority Area 4**. In the 2012 strategic plan, this Priority Area was called First 5 Futures. As a response to the fiscal climate at that time, it was a strategy to assure the financial stability and sustainability of First 5 Solano. Results were focused on grant seeking, corporate partnerships and policy changes. In 2015, First 5 Solano’s focus in this area is expanded to include a more comprehensive strategy for strengthening the systems surrounding children and families.

Given the gradual reduction in First 5 funding, this broader approach for systems change aims to make more efficient use of First 5 Solano dollars and to help integrate First 5 Solano–dependent programs with other systems. To identify ways to potentially structure the systems change approach, Applied Survey Research conducted interviews with executive directors of First 5s around the Bay Area. Ultimately, four unique but complimentary strategies were identified:

- Result 12: Systems are strengthened with the increased capacity of providers
- Result 13: Systems are expanded with leveraged or new financial resources
- Result 14: Systems are integrated with increased cross-systems understanding, resource sharing, referral and collaboration
- Result 15: Systems are sustained with legislative and policy changes.

Appendix B. Our Commitment to Results

First 5 Solano maintains a steadfast commitment to evaluating the efficiency and effectiveness of the programs it funds in the community. Each funded program will be required to collect and submit data on the number of clients served, the fulfillment of service or performance milestones, and client results or outcomes. First 5 Solano will measure success by the following results, community indicators, and program performance measures.

Goal	Result	Potential Indicators/Performance Measures
Health and Well-Being		
All children are born to their optimal health potential	Mothers have healthy pregnancies	Number/percentage of mothers entering prenatal care by first trimester
	Newborns are healthy	Number/percentage of babies born full term (37+ weeks)
		Number/percentage of babies born at a healthy birth weight
Number/percentage of babies who are breastfed		
All children maintain optimal health	Children access comprehensive healthcare insurance and services	Number/percentage of young children with health insurance coverage
		Number/percentage of low-income children who attended well-baby and well-child visits
	Children and primary caregivers access appropriate mental health services	Number/percentage of mothers receiving ongoing clinical interventions who report decrease in symptoms
		Number/percentage of families who fulfill at least one treatment goal
		Number of children screened for potential developmental delays
		Number/percentage of children identified with potential delays referred for further assessment and service
		Number/percentage of children with delays who make developmental progress
Early Childhood Learning and Development		
All children learn and develop through high quality care	Children have access to quality, affordable learning experiences in their community	Number of children enrolled in licensed child care
		Number of children enrolled in quality licensed child care slots
		Number/percentage of preschool classrooms demonstrating increased quality
	Child care providers are prepared to provide high quality child care programming	Number/percentage of early childhood education providers pursuing higher education in ECE
Number/percentage of early childhood education providers engaged in professional development		

Goal	Result	Potential Indicators/Performance Measures
All children enter kindergarten ready to learn	Parents and primary caregivers are educated on, prepared to, and engage in helping their children enter school ready to learn	Number of parents attending parent education classes
		Number/percentage of parents demonstrating increased knowledge of strategies to prepare their child for school
	Children have access to quality, affordable early learning experiences in their community (Birth-5)	Number/percentage of parents reading to their child
Family Support and Parent Education		
All families are safe, stable, and self-sufficient	Families know about and access the necessary community support systems and services to meet their basic needs	Number/percentage of families with increased knowledge of and access to basic needs supports
		Number/percentage of families with young children showing improvement in financial stability
	Children are raised in safe homes and healthy communities	Number/percentage of homeless families that transition to permanent housing and remain there for at least 90 days
		Number/percent of high-risk children who remain safely in their homes or with their family unit served who have no subsequent abuse allegations
All parents and primary caregivers support their children's development	Using community resources and supports, parents are educated on and practice effective parenting strategies	Number of parents attending parent education classes
		Number/percentage of parents demonstrating increased knowledge of effective parenting strategies
		Number/percentage of parents demonstrating improved parenting attitudes and practices
		Number/percentage of parents reading to their child
Systems Change		
The early childhood systems are strengthened, integrated, expanded, and sustained	Systems are strengthened with the increased capacity of providers	Number of workshops and trainings provided
	Systems are expanded with leveraged or new financial resources	Total amount of funds leveraged
		Total amount of new grants received
	Systems are integrated with increased cross-systems understanding, resource sharing, referral and collaboration	Increased number of cross-agency referrals
Number of families receiving linkages to needed services		
Systems are sustained with legislative and policy changes	Number of legislative and policy changes pursued	



IT'S ALL ABOUT THE KIDS

DATE: September 26, 2018

TO: First 5 Solano Commission

FROM: Systems and Policy Committee
By: Gene Ibe

SUBJ: **Solano County Resilience Plan**

On behalf of Solano Kids Thrive (SKT), First 5 Solano is guiding efforts to develop a county-wide 'Resilience Plan.' With \$50,000 from Solano County Public Health as part of their Community Health Improvement Plan (CHIP) implementation, First 5 Solano partnered with ACEs Connection Network to promote understanding of Adverse Childhood Experiences (ACEs) in the community. Members of SKT have begun to support those efforts by making presentations to groups in multiple sectors including education, healthcare, law enforcement, faith-based organizations, and non-profits.

Committee Discussion: At the September 19th Systems and Policy Committee meeting, Commissioners received the presentation and provided input on the plan, including a suggestion to host a county-wide ACEs Summit. The Committee also requested that this information be brought forward to the full Commission for their input as well.

At today's meeting, SKT member, Juan Cisneros (Family Community Partnership Director, Child Start Inc.) and Karen Clemmer (Northern California and Northwest Community Facilitator, ACEs Connection) will be sharing the presentation and soliciting input from the Commission on the Resilience Plan.

Attachment A: Solano County ACEs Outreach Presentation

Adverse Childhood Experiences (ACEs)

Presented by: Solano Kids Thrive
with ACEs Connection



TODAY'S AGENDA

- **What is Solano Kids Thrive?**
- **Overview of ACEs**
- **Provide input to develop a Solano Resilience Strategic Plan**

SOLANO KIDS THRIVE

County-wide collaborative of education, healthcare, non-profit, and government agencies working together toward sustaining and strengthening the overall Solano County early childhood system

Collective Impact Goals

- Safe Communities
- Healthy Families
- Family Self-Sufficiency
- School Readiness



3

WHAT IS CHILDHOOD TRAUMA?

“The experience of an event by a child that is emotionally painful or distressful, which often results in lasting mental and physical effects”.

National Institute of Mental Health

4

ACE STUDY

- CDC and Kaiser Permanente study in Southern California from 1995-1997
- 17,300 Adult HMO members
- Tracked health outcomes based on childhood ACEs

5

THE SIGNIFICANCE OF ACES

#1 Public Health issue of a Generation

A person is just as likely to develop heart disease from ACEs as they are from high blood pressure, high cholesterol, or family history.

6

TEN ADVERSE CHILDHOOD EXPERIENCES

1. Child physical abuse
2. Child sexual abuse
3. Child emotional abuse
4. Physical neglect
5. Emotional neglect



7

TEN ADVERSE CHILDHOOD EXPERIENCES

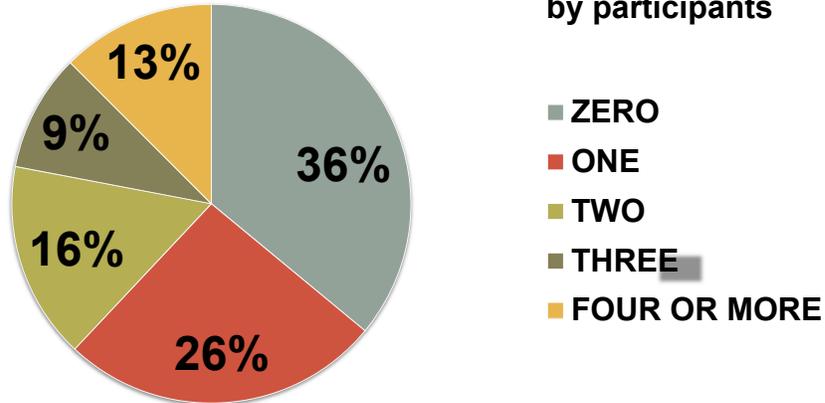
6. Mental illness, depression, or suicidal person in the home
7. Drug addicted or alcoholic family member
8. Witness to domestic violence
9. Loss of a parent due to abandonment, divorce, or death
10. Incarceration of a family member



8

ACE STUDY RESULTS

of ACEs experienced by participants



9

CONSEQUENCES OF ACES

A person who has had four or more ACEs is:

- 2 ½ times as likely to smoke
- 7 ½ times as likely to be dependent on alcohol
- 10 times as likely to use intravenous drugs

10

TYPES OF STRESS

POSITIVE STRESS

Mild/moderate and short lived stress response necessary for healthy development.

Example: First day of School

TOLERABLE STRESS

More severe stress response but limited in duration which allows for recovery.

Example: Loss of loved one

TOXIC STRESS

Extreme, frequent, or extended activation of the body's stress response **without the buffering presence of a supportive adult.**

Intense, prolonged, repeated and unaddressed

Social-emotional buffering, parental resilience, early detection, and/or effective intervention

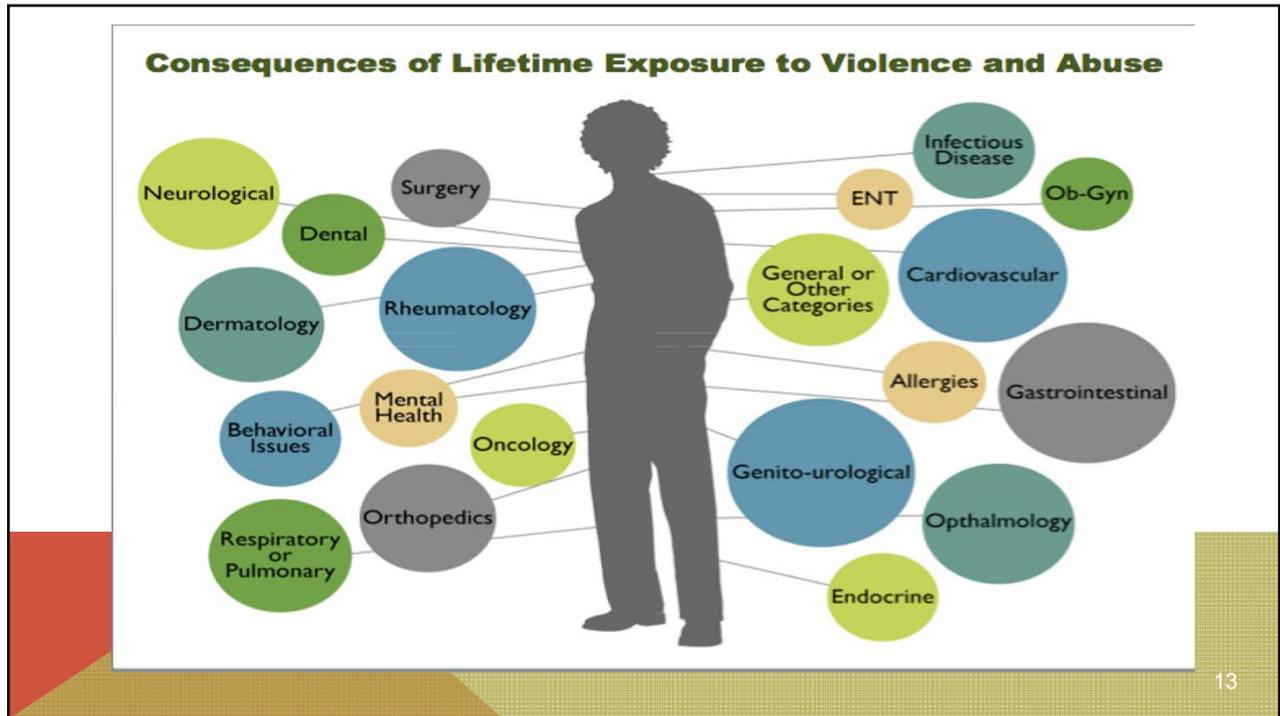
11

CONSEQUENCES OF ACES

Toxic Stress = Excessive, persistent stress that a person's own system can't deal with any longer

- **Brain and body development is altered**
- **Greater risk for health issues**
- **Long-term effects such as homelessness, prison time, or early death**

12



For questions 1-10

OBESITY **HEART DISEASE**

DEPRESSION

Resilience **100**

<https://kpirfilms.co/resilience/>

CANCER

ADDICTION

BUILDING RESILIENCE

The most common factor for children who develop resilience is to have at least one stable and committed relationship with an adult.



BUILDING RESILIENCE

How to remember the 5 PROTECTIVE FACTORS that make your family strong.



Use your Thumb to remember **Social & Emotional Competence of Children** because a "thumbs up" is one of the first ways we learn to communicate our emotions.



Your Index Finger represents **Knowledge of Parenting and Child Development** because you are your child's 1st teacher!



Your Pinky Finger signifies **Concrete Support in Times of Need** because it is the smallest finger and reminds us that we all need help sometimes.



Your Ring Finger stands for **Parental Resilience** because your first commitment must be to yourself in order to be strong for others.



Your Middle Finger can help you remember **Social Connections** because it should never stand alone! We all need a positive social network.



strengthening families

BECOMING TRAUMA-INFORMED

To be trauma informed is to make an effort to know the past and current traumas impacting those you work with, whether it's a client, patient, student, or program participant.

*Begin conversations not by asking,
“What’s wrong with you?”, but
“What happened to you?”*

17

WHAT CAN YOU DO?

- **Individual:** Be a caring adult in a child's life
- **Agency:** Develop trauma-informed practices
- **Community:** Create opportunities for participation and engagement of children and families

18

HELP US DEVELOP OUR SOLANO RESILIENCE STRATEGIC PLAN

How have you seen ACEs and resilience impact your work?

In thinking about ACEs and resilience, what should we consider as we begin to develop this strategic plan?

Are there other groups with whom we should be sharing this message?

How would you like to be informed on the progress of this work on an ongoing basis?

STAY UP TO DATE AND/OR FIND OUT MORE

Resilient Solano webpage
<http://www.acesconnection.com/g/resilient-solano>



 Like our Facebook page



IT'S ALL ABOUT THE KIDS

DATE: September 26, 2018
TO: First 5 Solano Children and Families Commission
FROM: Lorraine Fernandez, Program Manager
SUBJ: **Receive an update on Foundation Giving in Solano County and the Bay Area**

In FY2015/16, the Solano County Board of Supervisors commissioned a report on the status of foundation giving in Solano County and the Bay Area. The study was conducted by Applied Survey Research (ASR) and published in March 2016 in a report titled *Foundation Giving in the Bay Area: Who Wins, and Who's Left Behind*. This report has been distributed widely and has been used by First 5 Solano staff and Solano nonprofit leaders to conduct discussions with Bay Area funders about opportunities to fund in Solano.

In August 2018, ASR completed a 2018 Update: Foundation Giving in the Bay Area (Attachment A). This report provides an update on foundation giving and describes developments in the county that have taken place since the release of the last report.

Attachment A: 2018 Foundation Giving Update
Attachment B: Foundation Giving Update Presentation



Foundation Giving in Solano County and the Bay Area

2018 Update



AUGUST 2018



*Helping People
Build Better Communities*

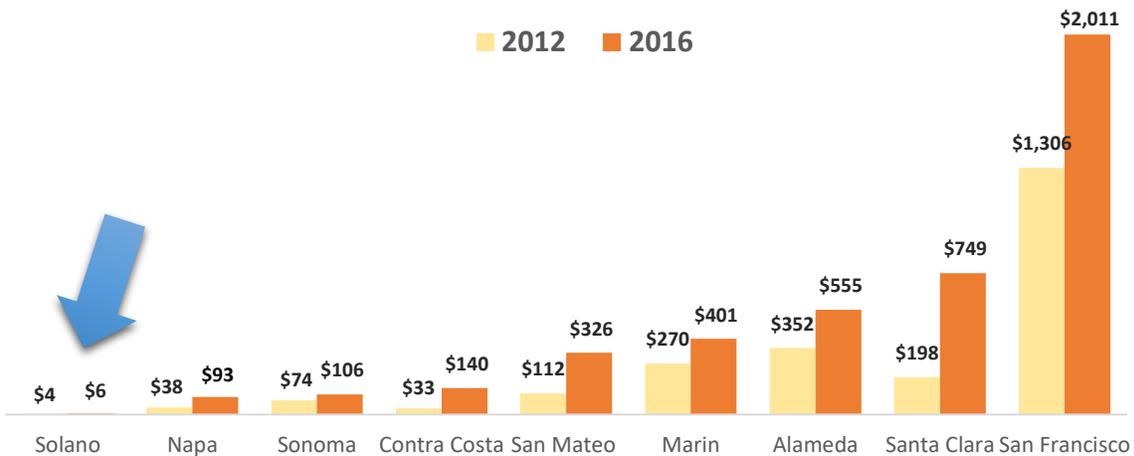
Executive Summary

In 2016, Applied Survey Research conducted a study titled *Foundation Giving in the Bay Area: Who wins, and who's left behind*, which found that Solano County was substantially under-resourced compared to the other eight counties in the region. The data revealed that in 2012, just \$3¹ per capita in foundation funding was received in Solano County – an amount unchanged since 2006 – while per capita foundation funding for other Bay Area counties ranged from \$22 to over \$1,000 per person. The current report provides an update on foundation giving and describes developments in the county that have taken place since the release of the last report over 2 years ago.

Strong growth in foundation revenue has yet to reach Solano County.

In 2016, Solano County was awarded the fewest grant dollars in the Bay Area region, receiving less than 7% of the amount received by the next least resourced county (Napa) and less than 1% of the amount received by the highest resourced county (San Francisco). In that year, Solano County agencies received just \$6 per capita in foundation grants, compared to \$93 per capita in Napa County. Strong gains in foundation funding were observed from 2012 to 2016 across the Bay Area, but these gains were slow to reach Solano County.

Figure A. Foundation Dollars Received Per Capita, 2012 and 2016



The gap between counties' per capita funding is continuing to widen.

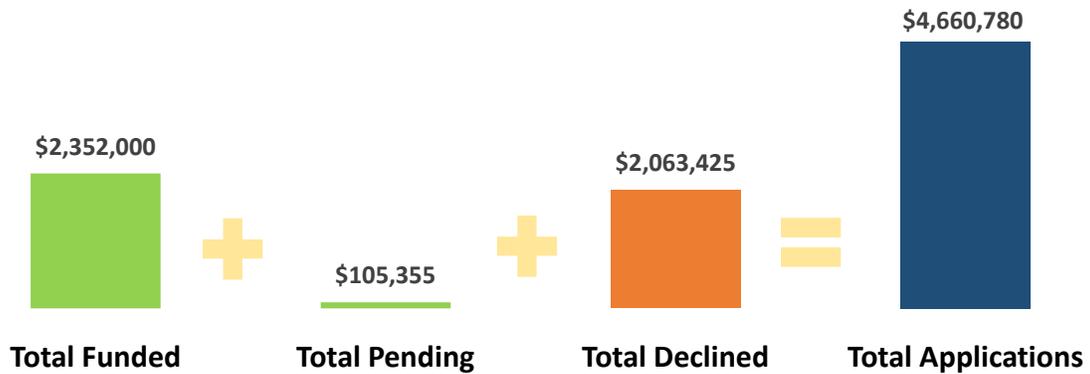
Solano County's per capita foundation funding increased by approximately 46% between 2012 and 2016 (from about \$4.03 per capita to \$5.88 per capita). In contrast, foundation funding per capita in other Bay Area counties has increased by as much as 321% in the same 4-year period, with the average growth being 84%. Foundation funding gaps between counties particularly widened from 2012 to 2016, suggesting that the rising tide of the rebounding economy has yet to reach Solano.

¹ The Foundation Center updates their database weekly with corrected tax information; the most recent data shows that foundation spending per capita was \$4 in 2012, as not \$3 as had been reported in earlier data.

Solano County partners stepped up outreach, alignment and partnerships with Bay Area foundations.

In 2016, Bay Area foundations advised that Solano County needs to “get on their radar” and to seek “relationships based on aligned priorities,” and not just “funding.” Since that time, county leaders have taken action on the recommendations surfaced in the 2016 report, seeking stronger relationships with foundations, communicating the needs of the county, improving the capacity of nonprofits to fundraise, and pursuing systems change approaches. To raise the profile of Solano County and illustrate opportunities for such partnerships, a Funders Packet was prepared, which included the 2016 *Foundation Giving* report, a county data snapshot, and county profiles that listed Solano County’s needs, existing assets, and funding opportunities. County leadership has partnered with the Northern California Grantmakers to conduct quarterly convenings of Bay Area funders to review Solano’s particular needs. In addition, Solano agency leaders and non-profit partners have met with numerous funders, and submitted grant applications and letters of interest to philanthropic organizations. New partnerships have since been formed with Kaiser Permanente, Tipping Point Emergency Relief Fund, Walter S. Johnson Foundation, Yocha Dee Wintun Nation, and Zellerbach Family Foundation. As a result of these relationships, nearly \$2.5 million in new funding for Solano County has been secured since 2016.

Figure B. Outcome of Fundraising Efforts by First 5 Solano and Other Partners, 2016-2018



In conclusion, the findings from this update to the 2016 *Foundation Giving* study suggest Solano continues to be under-resourced relative to other Bay Area counties, despite having high levels of family poverty. However, the steps taken by Solano agency leaders to raise the county’s visibility and bring in more funding have yielded early successes, including several large grants to address nonprofit capacity and health and social service needs. These are important developments that may begin to close the regional funding gap and attract the resources needed to support Solano’s residents.

Introduction

In 2016, Applied Survey Research conducted a study on foundation giving in the Bay Area, *Foundation Giving in the Bay Area: Who wins, and who’s left behind*, which found that Solano County was substantially under-resourced compared to the other eight counties in the region (San Francisco, Alameda, Marin, Santa Clara, San Mateo, Sonoma, Contra Costa, and Napa) in terms of foundation grants received. The data revealed that in 2012, just \$3² per capita in foundation funding was received in Solano County – an amount unchanged since 2006 – while per capita foundation funding for other Bay Area counties ranged from \$22 to over \$1,000 per person.

The study found that the low amount of funding for Solano County was partly attributable to the comparatively few grantmakers and nonprofits headquartered in the county, and the fact that Solano wasn’t “on the radar” of foundations headquartered outside of the county. In addition, the study found that nonprofits in Solano lacked relationships with foundations, as well as the capacity to apply for grants and be competitive in the application process. The report also included recommendations from foundation program officers regarding steps Solano could take to attract foundation dollars. Since the release of the report, Solano agency leaders have acted on the study’s recommendations and deepened their relationships with foundations. This report provides a brief update on foundation giving in Solano relative to other Bay Area counties and describes developments in the county that have taken place since the release of the 2016 report.³

Trends in Foundation Giving

Solano County continues to receive the least amount of foundation funding.

Between 2006 and 2016, \$26.3 billion in grants were given by foundations to agencies in the Bay Area. The majority of this funding went to San Francisco, Santa Clara, and Alameda Counties, with Solano County receiving significantly less foundation funding than all other counties in the region.

Figure 1: Foundation Funding Received, 2006-2016

County	Amount of Foundation Funding
San Francisco	\$ 11,573,030,227
Santa Clara	\$ 5,824,667,204
Alameda	\$ 5,871,248,162
San Mateo	\$ 1,188,852,757
Marin	\$ 753,628,052
Contra Costa	\$ 592,123,662
Sonoma	\$ 376,295,677
Napa	\$ 114,904,480
Solano	\$ 24,626,060
Total	\$ 26,319,376,281

² The Foundation Center updates their database weekly with corrected tax information; the most recent data shows that foundation spending per capita was \$4 in 2012, as not \$3 as had been reported in earlier data.

³ The data in this report come from foundations’ 990 and 990-PF tax forms as catalogued and reported by the Foundation Center. Excluded from the report are funds from entities that do not file these forms, including most faith-based organizations, state institutions, and nonprofits that do not have tax-exempt status.

Strong regional growth in foundation revenue from 2012 to 2016 has yet to reach Solano County.

How has the landscape of foundation funding changed since 2012? The chart below shows that nearly every Bay Area county has seen at least 100% growth in foundation funding since 2012, likely the reflection of the rebounding economy. However, the ripple effects of this growth are slow to reach Solano County; the county was awarded the fewest grant dollars in the most recent year (2016), receiving just 20% of the amount received by the next least resourced county (Napa) and less than 1% of the amount received by the highest resourced county (San Francisco).

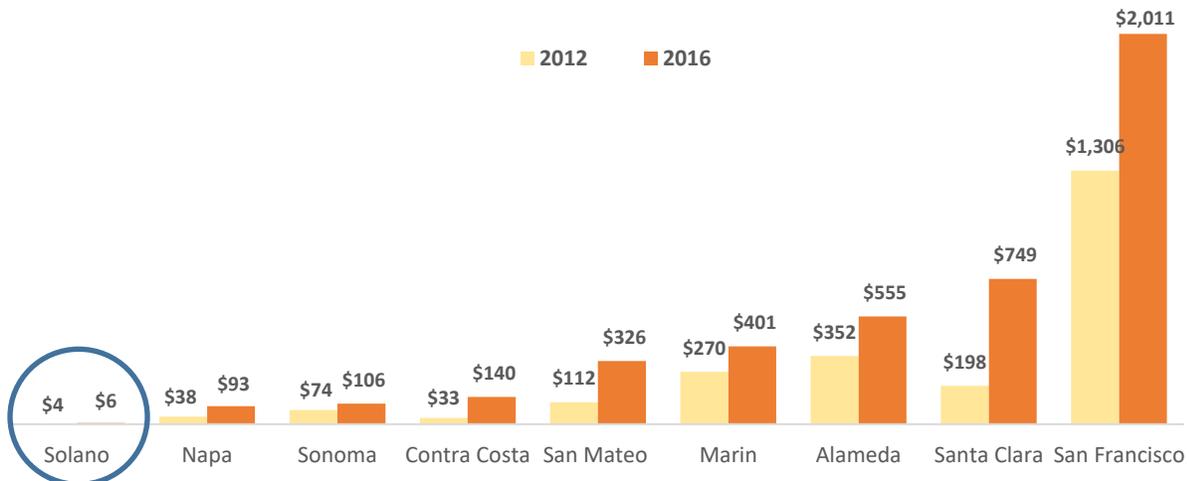
Figure 2: Foundation Dollars Received (in Millions), 2012 and 2016



Note: The Foundation Center updates their database weekly with corrected tax information; therefore the 2012 figures presented here may differ from those reported in 2016.

How is foundation revenue distributed relative to county population size? In 2016, Solano agencies received just \$6 per capita in foundation grants, while Napa County received \$93 per capita. For Solano, the change from 2012 to 2016 was just \$2 per capita in foundation funding, while per capita revenue in Napa County more than doubled and more than tripled in Contra Costa.

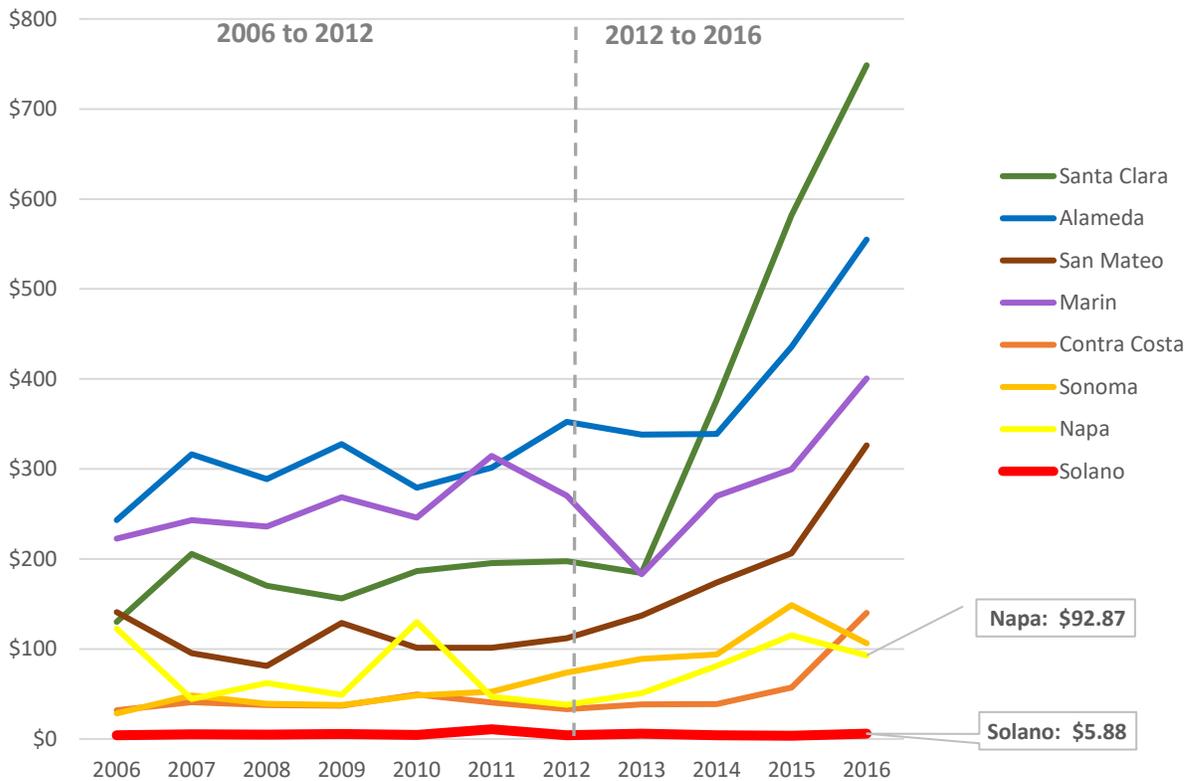
Figure 3: Foundation Dollars Received Per Capita, 2012 and 2016



The gap between counties’ foundation funding per capita widened after 2006 and dramatically so after 2012.

Solano County not only continues to trail other counties in the region in terms of total foundation funding, and funding per capita, but the **gaps in foundation giving between Solano County and other areas of the Bay Area region have actually widened**. Although the foundation dollars per capita received in Solano have increased slightly since 2012, the amount received by most other counties has increased more rapidly over the same time period. Solano’s per capita foundation funding increased by approximately 46% between 2012 and 2016 (from about \$4.03 per capita to \$5.88 per capita). In contrast, foundation funding per capita in other Bay Area counties has increased by as much as 321% in the same 4-year period, with the average growth being 84%. (Though not shown below, San Francisco’s per capita foundation revenue grew from \$1,306 in in 2012 to \$2,011 in 2016.) As the chart below illustrates, foundation funding gaps between counties particularly widened from 2012 to 2016, again suggesting that the rising tide of the rebounding economy has yet to reach Solano.

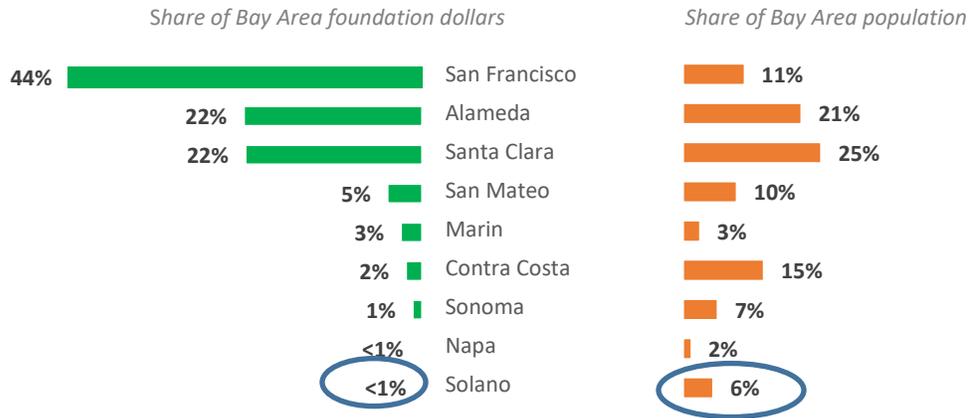
Figure 4: Foundation Dollars Received Per Capita, by Year



The amount of foundation funding a county receives is not linked to population size.

One hypothesis examined in the 2016 *Foundation Giving* report was whether differences in funding are linked to population size. However, the data do not support this theory; as suggested by the funding data reported above, a disproportionate share of the region’s foundation dollars (44%) goes to San Francisco County, which comprises just 11% of the regional population.⁴ Although Solano makes up 6% of the region’s population, it received less than 1% of the foundation funding given in the Bay Area between 2006 and 2016. Foundation funding is therefore not linked to county population sizes.

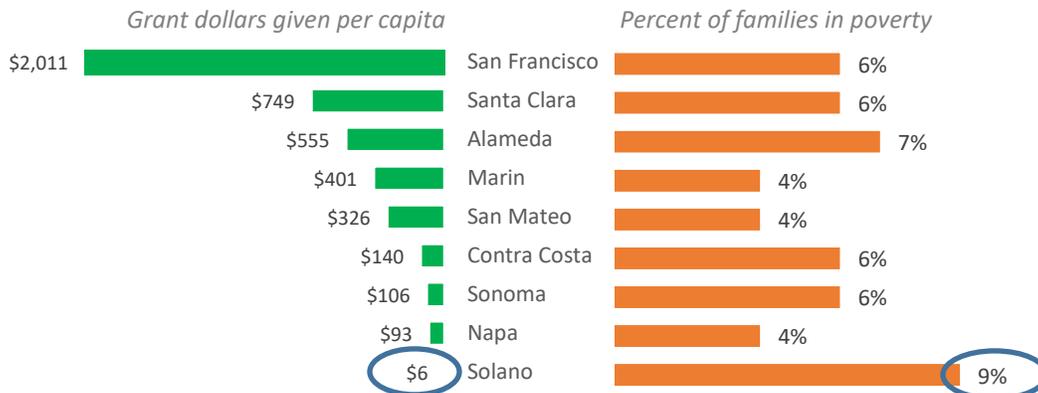
Figure 5: Distribution of Foundation Dollars Across the Bay Area Compared to Population, 2006-2016



The amount of foundation funding received is also not linked to level of county need.

Another hypothesis explored in the 2016 *Foundation Giving* report was that the level of foundation funding in each county was proportional to the level of need in the community. In fact, this hypothesis is also not supported by the data; consistent with what was found in the 2016 study, Solano has the highest rate of family poverty (9%) across the Bay Area,⁵ and yet has the lowest level of foundation funding (overall and per capita). Therefore, the amount of foundation funding is not correlated with community need, as measured by family poverty.

Figure 6: Relationship Between Per Capita Giving and Family Poverty, 2016



⁴ U.S. Census, American Community Survey, 2016 1-year estimates.

⁵ U.S. Census, American Community Survey, 2016 1-year estimates.

Foundation funding still appears to be linked to local nonprofit capacity.

While the amount foundation funding received by a county is not correlated with its population size or level of family poverty, the disproportionality of foundation giving in the Bay Area may be related to the number of nonprofits in each county, and their efficacy in acquiring foundation funding. There is some evidence for this explanation: Solano County has fewer nonprofits per 10,000 residents than all other Bay Area counties, and this did not change from 2012 to 2016.⁶

Figure 7: Number of Nonprofits Per 10,000 Residents, 2012 and 2016

County	Number of Nonprofits, 2012	Number of Nonprofits, 2016	Nonprofits per 10,000 Residents, 2012	Nonprofits per 10,000 Residents, 2016
Marin	2,177	2,359	85.0	90.5
San Francisco	6,330	6,373	76.7	73.2
Napa	797	868	57.3	61.1
Sonoma	2,863	2,875	58.2	57.1
Alameda	7,581	8,524	48.8	51.7
Santa Clara	8,179	9,187	44.5	47.9
San Mateo	3,218	3,547	43.5	46.4
Contra Costa	4,208	4,718	39.0	41.6
Solano	1,508	1,643	35.8	37.3

Furthermore, as in 2012, in 2016 Solano County nonprofits continued to bring in less foundation funding on average than nonprofits in other counties in the region. Solano-based nonprofit leaders surveyed in the 2016 study said that they often lacked the time and resources to apply for foundation funding.

Figure 8: Average Funding Per Nonprofit, 2012 and 2016

County	Ave. Foundation Dollars per Nonprofit, 2012	Ave. Foundation Dollars per Nonprofit, 2016	Percentage Increase, 2012-2016
Marin	\$ 31,781	\$ 44,264	39%
San Francisco	\$ 170,418	\$ 274,851	61%
Napa	\$ 6,634	\$ 15,210	129%
Sonoma	\$ 12,649	\$ 18,593	47%
Alameda	\$ 72,240	\$ 107,265	48%
Santa Clara	\$ 44,404	\$ 156,403	252%
San Mateo	\$ 25,762	\$ 70,347	173%
Contra Costa	\$ 8,534	\$ 33,721	295%
Solano	\$ 1,124	\$ 1,575	40%

⁶ Data on the number of non-profits in each county come from National Center for Charitable Statistics, Urban Institute. (2016). NCCS web tools. <https://nccs.urban.org/sites/all/nccs-archive/html/tablewiz/tw.php>

Efforts to Increase Foundation Giving in Solano County since 2016

While the funding gap between Solano and its neighbors remained as of 2016, recent developments in the county may be helping close this gap.

After the release of the 2016 report, leaders in Solano County began taking action on strategies recommended in the report, including building relationships with funders, clearly communicating the needs of the county to funders, and building nonprofit capacity to attract foundation funding. Below is a summary of actions that took place in 2016 and 2017 and the early outcomes of these efforts.

Solano County partners stepped up outreach and alignment with Bay Area foundations.

In the 2016 study, foundation program officers said that Solano was underfunded because it was simply not “on the radar” of many foundations, and that agency leaders had not communicated to foundations Solano’s unique needs. Foundation program officers interviewed for the 2016 study had also recommended that Solano agencies highlight the alignment of their work with foundation priorities to improve the likelihood of attracting grants.

In response to the feedback from foundation officers, many government and nonprofit leaders in Solano County collaborated to develop a Funders Packet, which included the 2016 *Foundation Giving* report, a county data snapshot, and county profiles for four broad outcome areas – health, safety, education, and self-sufficiency – with each profile listing Solano’s needs, existing assets, and funding opportunities. This Funders Packet is designed to be able to be used by any agency in Solano, and can be supplemented by agency-specific information. The Packet has been used to raise the profile of Solano County and illustrate opportunities for partnerships with philanthropy.

Additionally, Northern California Grantmakers has taken a leadership role in convening Solano stakeholders and philanthropic partners to facilitate continued discussions about how to bring additional resources to Solano County.

Increased connections with funders have translated to increased partnerships.

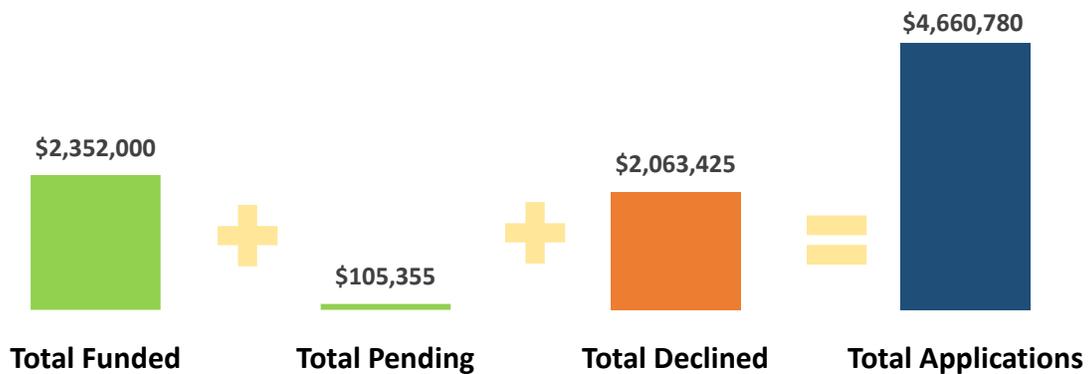
As described above, numerous meetings have been held between individual Solano County agencies and funders to discuss specific local needs and the ways in which those needs align with funders’ priorities. As a result of these meetings, Solano was able to attract several large grants into the county, including those described below:

- **Kaiser Permanente** awarded a \$90,000 grant to fund a campaign to raise community awareness of ACEs through messages via Pandora radio, billboards, and bus ads placed strategically in high-poverty areas in Solano.
- **Tipping Point Emergency Relief Fund** awarded \$500,000 to support Solano residents with job training, job placement coaching, and employment related services, including transportation and child care.
- **Walter S. Johnson Foundation**, provided a \$100,000 grant to fund a Youth Leadership Council to advocate for policies that improve the quality of life for at-risk youth.

- **Yocha Dehe Wintun Nation** awarded Solano County \$1 million to address basic needs and increase free and affordable healthy food options in the county.
- The **Zellerbach Family Foundation** awarded a \$40,000 grant to offer local nonprofit leaders the opportunity to attend the Professional Fundraising and Volunteer Management Program from UC Berkeley Extension.

In addition, Solano County agencies have recently submitted several grant proposals for additional foundation funding. The chart below summarizes the outcome of fundraising efforts by Solano agencies. As a result of relationships with foundations, nearly \$2.5 million in new funding for Solano County has been secured since 2016.

Figure 9: Outcome of Fundraising Efforts by Solano Agencies, 2016-2018



Solano County is increasing its capacity to continue fundraising.

The UC Berkeley Professional Fundraising and Volunteer Management Program mentioned above is helping address another reason cited in the 2016 study that Solano is underfunded, namely the lack of nonprofit capacity to secure sustainable funding for their agencies. This four-month program, which was held in Fall 2017, helped 25 Solano-based nonprofit leaders develop skills in resource development and volunteer recruitment. In addition to building nonprofits’ fundraising capacity through the UC Berkeley training program, a nonprofit capacity assessment was conducted in Solano to inform future capacity building efforts.

Conclusion

The findings from this update to the 2016 *Foundation Giving* study suggest Solano County continues to be under-resourced relative to other Bay Area counties, despite having high levels of family poverty. This is in part due to the fact that there are fewer nonprofits headquartered in Solano County than in other counties in the region, and that these nonprofits have limited capacity to successfully forge partnerships with foundations.

Since 2016, county leaders have taken action on the report’s recommendations, including seeking stronger relationships with foundations, communicating the needs of the county and improving the organizational capacity of nonprofits. These steps have yielded early successes, including several large grants to address nonprofit capacity and health and social service needs. These are important

developments that may begin to close the regional funding gap and attract the resources needed to support Solano County's residents.



Foundation Giving in Solano County and the Bay Area

2018 Update



Introduction

■ Background:

- ✓ The 2016 Foundation Giving study found disparities between Solano and other Bay Area Counties
- ✓ Giving was not explained level of need (poverty)
- ✓ Giving was not linked to population size or distribution
- ✓ Giving WAS linked to low foundation capacity in the county (few foundations, low assets)
- ✓ Giving WAS linked to low non profit capacity in the county (few non profits, few grants per non profit)

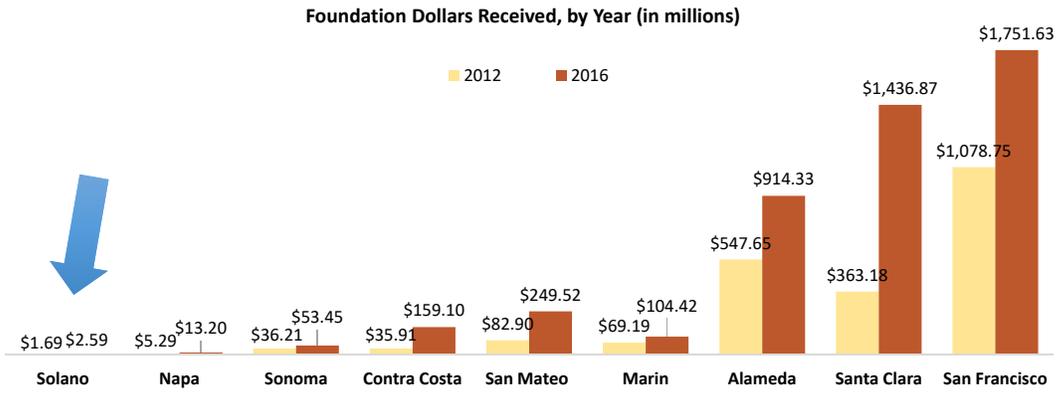
■ Purpose: Assess trends in foundation giving to Solano between 2012 and 2016

- ✓ Note that outreach efforts since 2016 will not be reflected in this data pull

■ Method:

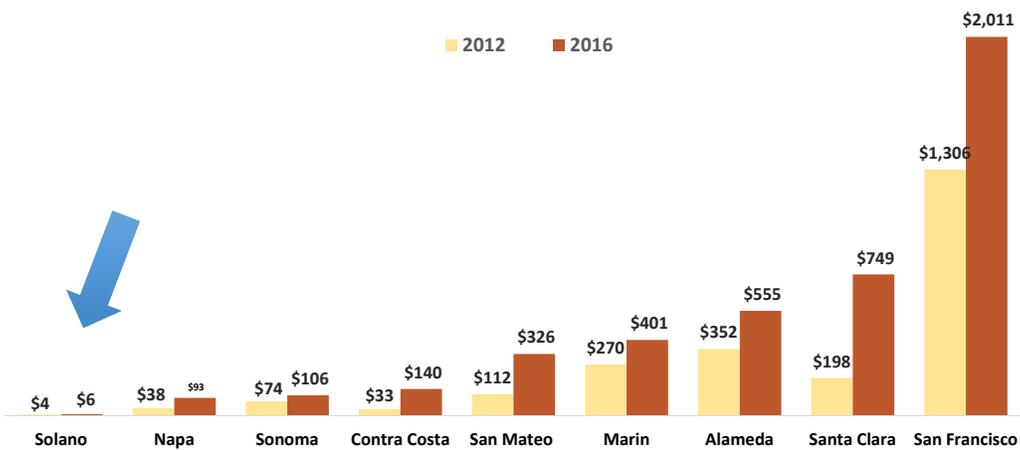
- ✓ Analysis of data from IRS tax filings of foundations

Foundation funding increased in every county from 2012-2016



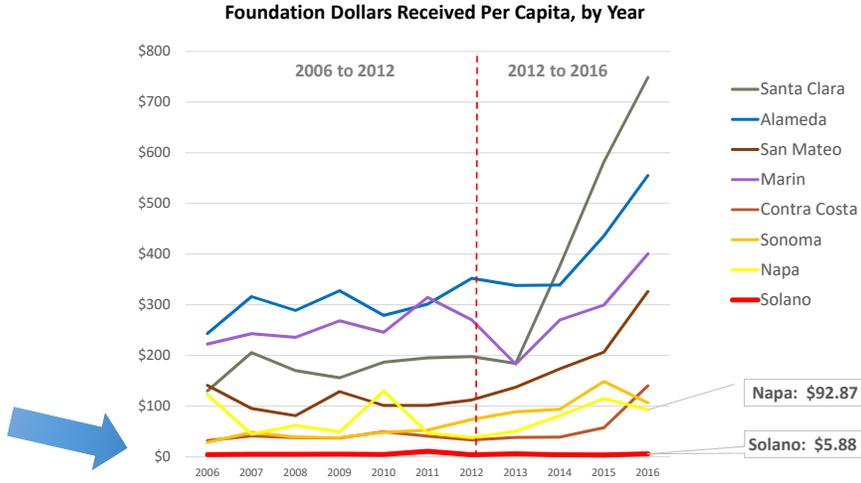
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But Solano still receives the least per capita...



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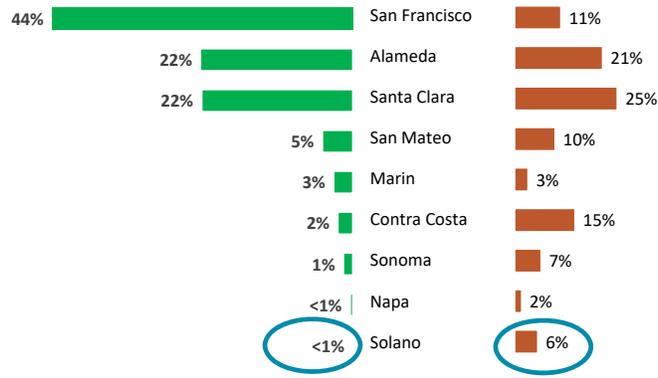
Gaps in foundation giving widened since 2012



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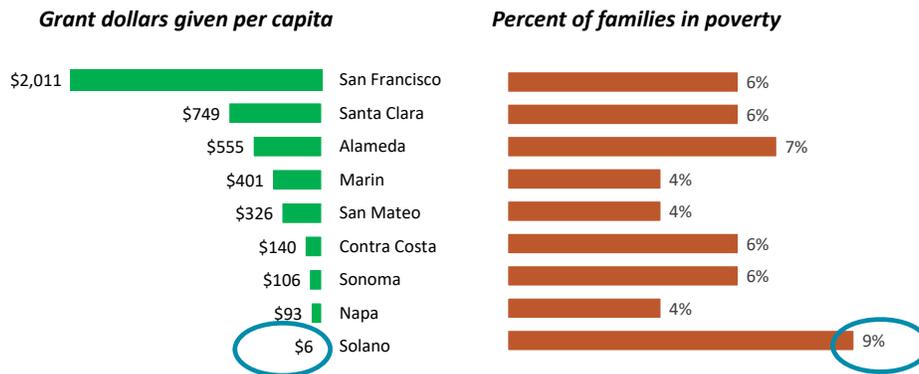
Foundation giving not linked to population size

Distribution of Foundation Dollars Across the Bay Area Compared to Population, 2006-2016



6

It is not linked to level of need, either...



7

Giving IS linked to nonprofit capacity...

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Marin	2,177	2,359	85.0	90.5
San Francisco	6,330	6,373	76.7	73.2
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8

Giving IS linked to nonprofit capacity...

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Marin	\$ 31,781	\$ 44,264	39%
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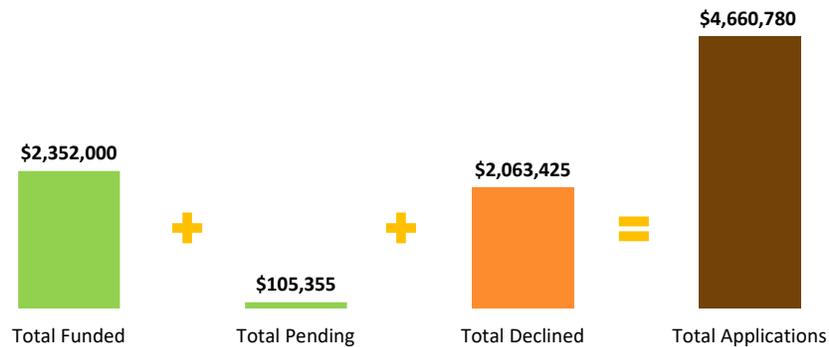
Solano County is getting “on the radar” of foundations

- **Solano County partners stepped up outreach with Bay Area foundations.**
 - ✓ Understand their priorities
 - ✓ Share Solano’s priorities
- **Increased connections with funders = increased partnerships.**
 - ✓ **Zellerbach Family Foundation:** \$40,000 grant for local nonprofit leaders to attend the Professional Fundraising and Volunteer Management Program from UC Berkeley Extension.
 - ✓ **Kaiser Permanente:** \$90,000 to raise community awareness of ACEs
 - ✓ **Tipping Point Emergency Relief Fund:** \$500,000 for employment related services, including transportation and child care.
 - ✓ **Walter S. Johnson Foundation:** \$100,000 to form a Youth Leadership Council
 - ✓ **Yocha Dehe Wintun Nation:** \$1 million for basic needs, free and affordable healthy food

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Solano gained 2.5 million in new funding since 2016

Outcome of Fundraising Efforts by Solano Agencies, 2016-2018



11

Conclusion

- **Since 2012, foundation giving has increased dramatically across the Bay Area, but Solano is still last in line:**
 - ✓ lowest in amount of total foundation funding
 - ✓ lowest in per capita giving
 - ✓ fewest nonprofits per population
 - ✓ fewest funders per population
 - ✓ lowest local foundation assets
- ...and the funding gap only continues to widen.

12

DATE: September 27, 2018
TO: First 5 Solano Children and Families Commission
FROM: Megan Richards, Deputy Director
SUBJ: **Policy Updates**

Motion A: Consider approval to update three policies to reflect the transition to one Policy and Oversight Committee

Commission policies are updated either on an as-needed basis, or in an annual review process that takes place in or around each September to ensure they are consistent with changes to local, state, and federal laws/regulations and Commission actions during the prior year. This includes the biennial review of the Commission's Conflict of Interest Code.

Staff conducted the annual review of Commission policies and recommended to the Systems and Policy Committee an update of three policies, which the Committee in turn recommended to the full Commission for approval.

In August 2018, the Commission updated its Committee Structure Policy to combine its two standing Committees into one Policy and Oversight Committee. Upon review of the Commission's Policies, it is recommended the Commission update their policies which refer to the previous Committees to refer to the new Committee name and update to current procedures. Policies which are in need of such revision include:

1. Business Challenge Grant Fund Policy
2. Co-Sponsorship of Conference and Training Fund Policy
3. Salary and Benefits of Commission Staff Policy

The recommended changes to the policies can be found in Attachment A.

No other policies, including the Conflict of Interest Code are recommended for updates at this time.

Motion B: Consider a recommendation to reaffirm the Commission's policy on Non-Discrimination

The Commission has recently heard information on the status of children and families with one or more member of the family that does not have United States citizenship. The Commission has had a *Policy on Non-Discrimination* since 2002 which states "Services funded by the Solano County Children and Families Commission will be available to children and families residing in Solano County without regard to national origin, religion, race, ethnicity, sexual orientation or citizenship status" (Attachment B).

Staff recommended to the Systems and Policy Committee to bring forward a motion to reaffirm this policy in light of actions occurring in the community regarding immigration status. Should the Commission reaffirm this policy, staff will redistribute the policy to grantee organization to remind them of the policy.

The Committee agreed with the staff recommendation and are bringing forward the motion to reaffirm the policy.

Attachment A: Policies Recommended for Revision

Attachment B: First 5 Solano Commission Policy on Non-Discrimination

BUSINESS CHALLENGE GRANT FUND POLICY

This Policy sets forth the guidelines and criteria for the Business Challenge Grant Fund (“Fund”). The goal of the Fund is to assist community based organizations in engaging with local businesses to contribute funds toward services benefiting Solano children ages 0-5 years and their families. By incentivizing the business community to contribute cash donations matched by dollars from this Fund, First 5 Solano expands services for young children in the community.

Grants from \$1,000 to \$10,000 each may be awarded from this Fund on a pre-approved basis. Monies authorized under this Fund must further the priorities and goals of First 5 Solano Children and Families Commission as set forth in the Commission’s most current Strategic Plan.

A. Guidelines for the Business Challenge Grant Fund

The purpose of the Fund is to support the expansion of services benefiting children ages 0-5 and their families by incentivizing the business community to contribute cash donations matched by dollars from this Fund.

Guidelines for requesting funds:

1. Grants range from \$1,000 to \$10,000.
2. Applicants shall complete the Business Challenge Grant Fund Application. Applicants may request the application by calling (707) 784-1332, or applicants may download an application from the First 5 Solano website at www.first5solano.org. Applications may also be picked up at the First 5 Solano office. Applications must be typed or written legibly.
3. Applicant **MUST** receive *prior* approval before seeking matching funds from a potential local business partner.
4. Business must not have donated to the applicant in the previous 2 full Fiscal Years.
5. In consultation with the Policy and Fund Development Manager, applications shall be reviewed and approved by the ~~Systems and Policy~~Policy and Oversight Committee Chair, or their designee.
6. An applicant may only have one application in process (under review or approved to seek funding) at a time.
7. Match funding from First 5 Solano shall be issued to the applicant organization after the business partner funding has been received by the applicant. If the funding is not obtained from the business, it is the applicant’s responsibility to notify First 5 Solano and close the application.
8. There is a limit of two funded applications and \$10,000 per agency per Fiscal Year.

Additional Guidelines and Criteria:

1. The applicant organization must serve children ages 0-5 and their families and authorized funding must be used for direct services to children ages 0-5 and their families in Solano County. Exceptions to the direct services requirement (e.g. play structures, early literacy materials, advocacy services) may be considered on a case-by-case basis.
2. Both the applicant organization and the business providing the matchable funds must have tobacco/nicotine-free workplaces.
3. The applicant and business partner must acknowledge the support of First 5 Solano Children and Families Commission in advertising of the funded services.
4. If the grant is approved, Grantee must read and sign the “Agreement for First 5 Solano Business Challenge Grant Fund” prior to authorization of funds.
5. As stated in the “Agreement for Business Challenge Grant Fund,” Grantee must complete and return the “Business Challenge Grant Fund Services Report” about the use of the funding within 30 days of the completion of the fiscal year. Any individual or organization that fails to provide this report may be considered ineligible for future funding.
6. Grantee must make every responsible effort to utilize funds in the fiscal year in which they are issued.

CO-SPONSORSHIP OF CONFERENCES AND TRAINING FUND POLICY

This Policy is to set forth the guidelines and criteria for the Co-Sponsorship of Training and Conferences Fund (“CSTC Fund”) allocated annually by First 5 Solano, with applications for funds approved by the ~~Systems and Policy~~Policy and Oversight Committee in accordance with the guidelines in this Policy. Monies authorized under this fund must further the priorities and goals of First 5 Solano Children and Families Commission as set forth in its Strategic Plan. Applications must identify specifically how the proposed grant aligns with the First 5 Solano Strategic Framework by Priority, Goal(s) and Result(s).

Note: the CSTC Fund Policy differs from the Community Engagement Fund Policy in that the CSTC Fund is designed for activities benefitting providers of services to children aged 0-5, and the Community Engagement Fund is designed for activities benefitting parents/caregivers of children aged 0-5 and/or to educate and engage the general public.

A. Funds for Co-Sponsorship of Conferences and Training

The purpose of the funding for education and co-sponsorship of conferences and training events is to contribute to improving the capacity of individuals and organizations in Solano County to serve expectant parents, children birth to five years old and their families.

Guidelines for allocation of funds for education/conference/training:

1. The limit per event is \$3,000.
2. Requests must be made using the approved application form.
3. First 5 grantees that are funded for an activity may not use CSTC funds for that same activity.
4. Allowable uses for monies granted from this Fund include, but are not limited to space/facility rental, supplies, registration fees, refreshments, event promotion/outreach. Funds may not be used for travel or costs associated with travel.
5. Individuals or agencies approved for funding will be reimbursed ~~upon receipt of invoice~~ for costs incurred for the event that were approved by the ~~Systems and Policy~~Policy and Oversight Committee. ~~Funds may be advanced upon prior approval by the Systems and Policy Committee.~~
6. Grant funds must be utilized in the fiscal year in which they are issued. Any funds not utilized in the fiscal year in which they were issued must be returned to First 5 Solano.
7. The event must be designated tobacco-free.
8. The event must acknowledge the support of the Commission in its advertising.
9. The event may not be used for religious purposes, to benefit an individual, or to promote a candidate for public office.
10. If the grant is approved, Grantee must read and sign the “Agreement for First 5 Solano Co-Sponsorship of Conferences/Training” prior to authorization for funds.
11. The requesting individual or agency must complete and return the “Co-Sponsorship of Conferences and Training Activity/Event Report” within 45 days of the event. Any individual or organization that fails to provide this report may be considered ineligible for future funding.



IT'S ALL ABOUT THE KIDS

SALARY AND BENEFITS OF COMMISSION STAFF POLICY

The First 5 Solano Children and Families Commission, as part of Solano County, hereby affirms the use of the Solano County Human Resources Personnel and Salary Resolution Policies. Any updates to the Solano County Human Resources Personnel and Salary Resolution Policies document will be strictly adhered to by the First 5 Solano Commission. ~~The Systems and Policy Committee will notify the First 5 Solano Commission of updates at the next regularly scheduled meeting following an update.~~



IT'S ALL ABOUT THE KIDS

POLICY ON NON-DISCRIMINATION
Adopted June 18, 2002

Services funded by the Solano County Children and Families Commission will be available to children and families residing in Solano County without regard to national origin, religion, race, ethnicity, sexual orientation or citizenship status.

SYSTEMS AND POLICY COMMITTEE MEETING
September 19, 2018, 2:00-3:30 PM
601 Texas Street, Suite 210, Fairfield, CA 94533

CALL TO ORDER

I. Introductions, Public Comment, Commissioner Comment

II. Consent Calendar

Action

- A. Approve the September 19, 2018 SPC Meeting Agenda
- B. Approve the August 2, 2018 SPC Meeting Minutes
- C. Receive the Commissioner Meeting Attendance Status Report

III. Co-Sponsorship of Training and Conferences Fund Application

Action

Motion A: Consider approval of a request from A More Excellent Way Health Organization for an allocation of up to \$3,000 to provide Community Breastfeeding Peer Counselor Training

Motion B: Consider approval of a request from Solano County Health & Social Services for an allocation of up to \$1,075 for Dr. Shandi Fuller to attend the 2018 ACEs Conference and Pediatric Symposium

Megan Richards, First 5 Solano

IV. Solano County Resilience Plan

Discussion

Receive a presentation and provide input on the Solano County Resilience Plan
Gene Ibe, Program Manager; Lisa Eckhoff, Solano County Office of Education, Donielle Prince, ACEs Connection

V. Systems Change Update

Discussion

Receive an update on:

- A. 2018 Update: Foundation Giving in Solano County and the Bay Area
- B. FY2018/19 Systems Change Implementation Plan
- C. Systems Change Activities

Lorraine Fernandez, Program Manager; Lisa Nicolai, Applied Survey Research

VI. Annual Review of Commission Policies

Action

Motion A: Consider a recommendation to update three policies to reflect the transition to one Policy and Oversight Committee

Motion B: Consider a recommendation to reaffirm the Commission's policy on Non-Discrimination

Megan Richards, Deputy Director

VII. First 5 Solano Staffing and Finance Update

Information

Receive a report on First 5 Solano staffing and financials, including the FY2017/18 year end report

Megan Richards, Deputy Director

VIII. Future Agenda Items, Meeting Time/Date/Location

Information

The Systems and Policy Committee is scheduled to meet next on Wednesday, November 14, 2018, 2:00 PM to 3:30 PM, at 601 Texas Street, Suite 210, Fairfield, CA. Future agenda items include: Triple P Parent Education; Co-Sponsorships of Training and Conferences; Systems Change Update, and Staffing and Finance Update

ADJOURN

Vision: *All Solano County children are loved, healthy, confident, eager to learn, nurtured by their families, caregivers and communities.*

Mission: *First 5 Solano Children and Families Commission creates and fosters programs and partnerships with community entities to promote, support and improve the lives of young children, their families and their communities.*

The First 5 Solano Children and Families Commission does not discriminate against persons with disabilities. If you require a disability-related modification or accommodation in order to participate in the meeting, please call (707) 784.1332 at least 24 hours in advance of the meeting to make arrangements. Non-confidential materials related to an item on this Agenda submitted to the Commission are available for public inspection at the First 5 Solano business office, 601 Texas Street, Suite 210, Fairfield, CA during normal business hours.

**First 5 Solano Children and Families Commission
Systems & Policy Committee Meeting**
September 19, 2018, 2:00 PM – 3:30 PM
601 Texas Street, Suite 210, Fairfield, CA

Minutes

Commissioners present: Lisette Estrella-Henderson, Jerry Huber, Erin Hannigan

First 5 Solano Staff present: Michele Harris (Executive Director), Megan Richards (Deputy Director), Lorraine Fernandez, Gene Ibe, and Andrew Boatright

Members of the public present: Lisa Eckhoff (Solano County Office of Education), Donielle Prince (ACEs Connection)

Chair Huber called the meeting to order at 2:01 PM

I. Public Comment

There were no public comments.

II. Consent Calendar (4th)

A. Approve the September 19, 2018 SPC Meeting Agenda

Motion: Approve the SPC Meeting Agenda for September 19, 2018

Moved by Commissioner Hannigan; Seconded by Commissioner Estrella-Henderson

Approved 3-0-0

Yea: Commissioners Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

B. Approve the August 2, 2018 SPC Meeting minutes

Motion: Approve the SPC Meeting Minutes for August 2, 2018

Moved by Commissioner Estrella-Henderson; Seconded by Commissioner Hannigan

Approved 3-0-0

Yea: Commissioners Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

C. Receive the Commissioner Meeting Attendance Status Report

III. Co-Sponsorship of Training and Conferences Fund Applications

Motion A: Approve an allocation of up to \$3,000 to A More Excellent Way Health Organization to provide Community Breastfeeding Peer Counselor Training.

Moved by Commissioner Estrella-Henderson; Seconded by Commissioner Hannigan

Approved 3-0-0

Yea: Commissioners Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

Motion B: Approve an allocation of up to \$1,075 to Solano County Health & Social Services for Dr. Shandi Fuller to attend the 2018 ACEs Conferences and Pediatric Symposium.

Moved by Commissioner Hannigan; Seconded by Commissioner Estrella-Henderson

Approved 2-0-0

Yea: Commissioners Estrella-Henderson, Hannigan

Nay: None

Abstain: None

Recused: Commissioner Huber

IV. Solano County Resilience Plan

Danielle Prince reviewed the presentation on Adverse Childhood Experiences (ACEs) currently in use to agency and practitioners across several gatherings throughout Solano County. Ms. Prince noted that a film is shown in tandem with the presentation. Ms. Prince explained that the effects of higher ACEs scores results in a higher risk for health concerns, however, taking action in adulthood or earlier can sway the negative health risks to lessen.

Committee member comments included: include prevention as an important piece, explain in the presentation the full life cycle of trauma, recovery, and prevention of health risks associated with overcoming ACEs through therapy efforts. Plan next joint BOS/First 5 Solano meeting or an ACEs summit as a venue to present this information. Record ACEs presentation and upload to ACEs Connection, Facebook, SCOE's website, and more. Present to the full commission.

V. Systems Change Update

a. 2018 Update: Foundation Giving in Solano County and the Bay Area

Lisa Niclai presented an update on foundation giving in Solano County and Bay Area including the differences in data from 2012 – 2016 original foundation study. Ms. Niclai noted that across time other Bay Area counties have increased in growth starting from 300%

increases, whereas Solano County has grown by half as much and Solano County's overall funding coming in is only around 1% of the total funds coming in to Bay Area funds.

b. FY2018/19 Systems Change Implementation Plan

Ms. Niclai presented a summary of the results, strategies, and activities from the two-year implementation plan. Ms. Niclai explained the 4 systems change areas have evolved and narrowed to meet the needs identified in some of the efforts attempted in the past two years.

c. Systems Change Activities

Lorraine Fernandez listed the efforts taking place under systems change, highlighting specifically

VI. Annual Review of Commission Policies

Ms. Richards reviewed the typical policy review and noted that the Business Challenge Grant Fund Policy, Co-Sponsorship of Conferences and Training Fund Policy, and salary and benefits policy.

Motion A: Approve three policies to reflect the transition to on Policy and Oversight Committee.

**Moved by Commissioner Estrella-Henderson; Seconded by Commissioner Huber
Approved 3-0-0**

Yea: Commissioners Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

Ms. Richards reminded the committee members that since 2002 First 5 Solano Children and Families Commission has a policy on non-discrimination. Staff recommended that the Commission reaffirm this policy and allow staff to send it out grantees.

Motion B: Reaffirm the Commission's policy on Non-Discrimination.

**Moved by Commissioner Estrella-Henderson; Seconded by Commissioner Huber
Approved 3-0-0**

Yea: Commissioners Estrella-Henderson, Hannigan, Huber

Nay: None

Abstain: None

VII. First 5 Solano Staffing and Finance Update

Megan Richards reviewed the contract expenditure list for FY2017/18.

VIII. Future Agenda Items, Meeting Time/Date/Location

The next Systems and Policy Change Committee is scheduled November 14, 2018, at 601 Texas Street, Suite 210, Fairfield, CA. Future agenda items include: Co-Sponsorships of Training and Conferences; Systems Change Update, and Staffing and Finance Update.

Adjourn

Commissioner Huber adjourned the meeting at 3:32 PM.

Andrew Boatright, Office Assistant III

Approved:

DATE: September 26, 2018

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **Executive Director's Report for September 2018**

ACEs Awareness Media Campaign: On behalf of Solano Kids Thrive, First 5 Solano applied for a Kaiser Community Benefit Grant related to Mental Health Stigma Reduction, and received a \$90,000 award to launch an ACEs (Adverse Childhood Experiences) Media Campaign. The campaign includes efforts to raise community awareness of ACEs through messaging via Pandora radio, billboards, and bus ads placed strategically in high-poverty areas in Solano county.

This activity complements the objectives currently being pursued by Solano Kids Thrive to implement a Resilience Strategic Plan in Solano. The first phase of advertisements will launch beginning in October 2018.



Vacaville Resilience Screening: In September 2018, Kaiser Permanente and Solano Kids Thrive (SKT) co-hosted a screening of “*Resilience: The Biology of Stress & the Science of Hope*” at Kaiser Vacaville Medical Center. The movie examined the science of Adverse Childhood Experiences (ACEs) and a new movement among pediatricians, therapists, educators, and communities to prevent and treat toxic stress. Over 120 Kaiser medical staff, agency partners, and community members attended the screening. Masters of Ceremonies Lisette Estrella-Henderson, Solano County Superintendent of Schools and Jane Shamieh, Superintendent, Vacaville Unified School District encouraged group discussion following the film to address ACEs in the community.

2018 ACEs Conference & Pediatric Symposium: In October, First 5 Solano staff will attend the 2018 ACEs Conference & Pediatric Symposium in San Francisco. Hosted by the Center for Youth Wellness in collaboration with ACEs Connection, the theme will be “Action to Access” addressing how individuals and communities are able to obtain and use the information, resources, and systems they need to reduce children’s exposure to adversity and its traumatic effects. Featured speakers at the conference include Nadine Burke Harris, CEO and Founder of the Center for Youth Wellness and author of *The Deepest Well*, and Vincent Felitti, MD, renowned expert of childhood trauma and principal investigator of the ACEs study.

Triple P Implementation: With funding from the First 5 Solano Commission and Mental Health Services Act, Triple P is being implemented as a parenting education strategy throughout the county this fiscal year. Over the last few months, grantees have been preparing for service delivery by attending Triple P practitioner trainings in all four levels to receive accreditation. In addition, a Triple P collaborative group, attended by grantee program coordinators and

providers has also convened, allowing opportunities for providers to partner and cross-refer as the program is launched across the county.

California State Association of Counties (CSAC) Call for Entries – Challenge Awards: In June 2018 First 5 Solano submitted an entry for the 2018 CSAC Challenge Awards entitled “Nonprofit Capacity Assessment.” This submission was one of two entries from Solano County, and showcased the partnership between First 5 Solano and Solano County Health and Social Services to develop and implement a nonprofit diagnostic capacity assessment for the purpose of informing local capacity building efforts and strengthening the nonprofit community. In September 2018 staff was informed that neither of the Solano entries were selected for an award.

Blue Shield of California Foundation: In June, 2018 First 5 Solano submitted a grant application to Blue Shield of California under their “*Exploring the Value of Prevention*” Request for Proposals. The proposal was for \$177,880 annually to fund a 2-year program for First 5 Solano and its partners to develop and implement a multi-sector Collective Impact Plan to change the outcomes for Vallejo’s most vulnerable children. Blue Shield notified staff in mid-September that the proposal would not be funded, noting that they did receive a high volume of proposals.

Fund Development:

Staff have been working on fund development efforts to support the following projects:

- 1) Non-profit Capacity Building: Staff has been conducting outreach to potential funders for the support of an inaugural “Vision and Impact Model” cohort in response to the “*Solano County Nonprofit Capacity Diagnostic Findings Report and Recommendations.*”
- 2) Vallejo First 5 Center: As part of the project plan for the Vallejo First 5 Center, staff has been conducting outreach to potential funders and submitting proposals for support of the tenant improvement costs. Pending proposals include:
 - A \$500,000 proposal has been submitted to Yocha Dehe Wintun Nation requesting \$300,000 to fund a portion of the tenant improvements for the Vallejo First 5 Center; and, \$200,000 to continue funding Basic Needs Assistance throughout Solano County.
 - Staff has met with Syar Industries regarding submitting a proposal for funding for tenant improvements for the Vallejo First 5 Center.

First 5 Association Policy Hack-A-Thon: In September 2018, Michele Harris Lorraine Fernandez, attended the First 5 Association Policy Hack-A-Thon. The goals of this event included tapping into the knowledge base of early childhood systems across the First 5 network to inform statewide conversations focused on First 5 sustainability; developing key priorities to inform the First 5 Network strategy; and, identifying First 5 leaders to represent the First 5 network in statewide early childhood systems conversations.

Letter of Support and Request for Signature AB 11 (McCarty): On September 8, 2018 First 5 Solano submitted a Letter of Support and Request for Signature to Governor Brown for AB11 (McCarty): Early and Periodic Screening, Diagnosis, and Treatment Program (EPSDT): Screening Services (Attachment A). This legislation would clarify that providers are required to adhere to the Bright Future's screening periodicity for children 0-3 and must use a validated screening tool for services made available under the EPSDT benefit.

Subsequently, Governor Brown vetoed AB11 stating that screening is already a requirement. Below is the First 5 Association's statement on the action:

A MESSAGE TO OUR MEMBERS ABOUT AB 11:

As some of you may have already heard, last Friday, Governor Brown vetoed AB 11, despite the bill passing with bi-partisan and unanimous support through both the California State Assembly and Senate. Though First 5 Association is disappointed with the decision, we are hopeful that this will present an opportunity to further advance the conversation about the importance of developmental screening and continue the momentum that AB 11 created around the issue of early identification.

First 5 Association looks forward to working with Department of Health Care Services on ways to improve California's early identification and intervention system so that children with delays can receive the timely and appropriate intervention they need to achieve their greatest potential.

We appreciate of the tremendous support we have received throughout this process and thankful to the many voices who joined us in asking our state leadership prioritize the health development of children. We are confident that AB 11 has shined the spotlight on California's perpetual and declining performance on developmental screenings and that the momentum around this conversation will continue.

Quality Counts CA: First 5 Solano staff, Juanita Morales attended the Quality Counts CA state consortium on September 17th in Sacramento along with Solano County Office of Education Quality Counts staff, Lisa Eckhoff and Michelle Burhorn. First 5 CA Executive Director Camille Maben kicked off the meeting by presenting the newly adopted Continuum Matrix and Sarah Neville Morgan from California Department of Education followed with presenting key bills on the legislative agenda that support early care and education. A peer panel discussion on visioning a high quality early learning childhood system that serves the whole child was presented. The afternoon consisted of breakout sessions focused on QRIS data systems, using data to craft success narratives and aligning systems to serve the whole child.

HMG CA Statewide convening: On August 3rd, 2018 First 5 Solano Staff, Juanita Morales attended the Help Me Grow Statewide Convening in Los Angeles. The meeting began with a review of Help Me Grow CA over the past year and included planning for 2020 and beyond. The afternoon consisted of small group breakout sessions to discuss how local system goals fit into the First 5 network strategy and vision of HMG CA, what the networks priorities should be and roles and responsibilities of First 5 Association and HMG CA. Some key take-aways included making a shift from focusing on a singular initiative (First 5 and HMG) to creating a roadmap for greater collaboration, a framework for communicating effectively about unmet needs rather than programmatic achievements, and identifying early childhood as a priority in all funding streams – housing, transportation and poverty reduction.

ECE conference: Solano County Office of Education and the Quality Counts program held its annual Early Care and Education conference at Solano Community College on September 22, 2018. The conference was an opportunity for early care educators such as family childcare providers, preschool, transitional kindergarten and kindergarten teachers and staff, to participate

in workshops and network with other early care professionals. This year the conference had over 160 participants, presenters, vendors and volunteers at the full day professional learning event. The conference was a huge success thanks to all of the other partners, including Solano Community College, and Child Start Inc., just to name a few.

Vallejo F2B event: Footsteps 2 Brilliance is a pre-k through 3rd grade literacy program that utilizes mobile technology to connect school to home and the community for academic success. Its mobile technology platform allows comprehensive literacy apps to be accessed online or offline from any mobile device or traditional computer. In 2016, Vallejo City Unified School District implemented Footsteps 2 Brilliance in all of its preschool, TK and K classrooms. This year Vallejo City Unified School District is happy to announce that is continuing to partner with Footsteps 2 Brilliance to provide the program communitywide. VCUSD is also forming a collaborative in order to maximize the impact of the program. First 5 staff, Juanita Morales will serve on the collaborative to help support all learners, especially underserved children and families.

Solano Oral Heal Advisory Committee: In November of 2016, California voters approved the passage of Proposition 56, the California Healthcare, Research and Prevention Tobacco Tax Act of 2016 (Prop 56). This initiative increased the state cigarette tax by \$2 per pack and added an equivalent amount on other tobacco products. The purpose of this initiative is to develop or expand a local oral health program using Prop 56 funds. The grant was awarded to Solano Public Health as part of the Solano Vibe program. The grant consists of 2 phases: Planning and implementation. In June of 2018 First 5 Solano staff was invited to participate on the Solano Oral Health Advisory Committee (SOHAC). To date the committee has met monthly and have completed a majority of the planning phase by participating in a needs assessment focus group, developing a work plan timeline, prioritizing criteria, and forming mission and value statements. The grant is a five year grant totaling \$ 1,128,150.00. The SOHAC group continues to meet monthly.

First 5 Solano Staffing: The Commission's FY2018/119 Budget included a new position for a Health Education Specialist to support the work of the Commission in the areas of Triple P Parent Education and Adverse Childhood Experiences. Kwiana Algere will be starting in October 2018 in this role. Welcome Kwiana!

Attachment A: Letter of Support/Request for Signature – AB 11 (McCarty)

September 5, 2018

COMMISSIONERS

Erin Hannigan

Chair

Dan Ayala

Jennifer Barton

Aaron Crutison

Lisette Estrella-Henderson

Gerald Huber

Nicole Neff

The Honorable Edmund G. Brown, Jr.
Governor of California
State Capitol, Room 1173
Sacramento, CA 95814

RE: Support - AB 11 (McCarty): Early and Periodic Screening, Diagnosis, and Treatment Program: Screening Services, Request for Signature

Dear Governor Brown,

On behalf of the First 5 Solano Children and Families Commission, I am writing to request your signature on AB 11 (McCarty), which would ensure every infant and toddler enrolled in Medi-Cal is screened for developmental delays using a validated screening tool, three times before their third birthday. By establishing clear guidelines and oversight for developmental screening, delays will be identified earlier, and California's children will be more likely to achieve their greatest potential. Currently, California ranks 43rd in the nation for parents completing a timely developmental screenings.¹

The AAP established the Bright Futures guiding recommendations for developmental screenings nearly 20 years ago, and the historic passage of the Affordable Care Act mandated developmental screenings as part of pediatric practice, yet pediatricians still rely on surveillance rather than using a validated screening tool to identify children at risk for developmental delays. If they do screen, pediatricians do not consistently use validated tools. In fact, only 37% of California pediatricians indicate that they use a validated or evidence-based screening tool to identify the majority of developmental concerns.² This lack of consistency in practice has kept California as one of the lowest-performing states for developmental screening: 70% of children with delays go undetected until kindergarten. This creates additional demands for California's special education system and puts our children at a serious disadvantage.³

AB 11 requires Department of Health Care Services to use an external quality review organization to annually review, survey, and report on managed care plan reporting and compliance with the use of validated developmental screening tools and the periodicity schedule recommended by AAP for children zero to three, an important first step in identifying and resolving inconsistencies in screening. By ensuring consistency, California's

¹ [2016 National Survey of Children's Health](#), Data Resource Center for Child & Adolescent Health

² ["From the Doctor's Office: California Pediatrician Survey on Early Childhood Developmental Screening"](#), Center for Early Learning Silicon Valley Community Foundation, June 2017

³ ["Screening for Developmental Delays Among Young Children: National Survey of Children's Health"](#); Centers for Disease Control and Prevention, 2007



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children, especially those who are low income and the most at risk for delay, will receive the timely developmental screenings that are so critical to their optimal growth and development.

Without clear timelines and guidance from DHCS regarding how managed care will address California's under-performance in developmental screenings, we are concerned that the status quo of allowing children to go unidentified and their developmental delays to go untreated will only persist. Every day that California fails to effectively address this problem, we fail our children.

For these reasons, we respectfully request your signature on AB 11.

Sincerely,

A handwritten signature in blue ink that reads "Michele Harris". The signature is written in a cursive, flowing style.

Michele Harris
Executive Director, First 5 Solano