

COMMISSION MEETING
October 19, 2022 – 3:00-5:00pm
3375 Sonoma Blvd, Suite 30, Vallejo, CA 94590

Pursuant to the State of California Proclamation of a State of Emergency in response to the COVID-19 pandemic issued March 4, 2020 by Governor Newsom, and under the statutory requirements established by AB 361 (Open Meetings: state and local agencies: teleconferences), **public access to the First 5 Solano Commission Meeting will be limited.**

Commissioners and public may attend via remote meeting using the following options:

Join livestream via Zoom:

<https://us02web.zoom.us/j/5922775330>

Join via Phone:

1-669-900-6833

Meeting ID: 592 277 5330

Public Comments:

Public Comment may be provided: During the meeting via the phone/computer audio when the Chair calls for Public Comment on an item; or submitting written public comments to First 5 Solano via email at cfcsolano@solanocounty.com by 2:00pm on the day of the meeting.

CALL TO ORDER / SALUTE TO THE FLAG

I. Public Comment

Information

This is the opportunity for members of the public to address the Commission on matters not listed on the Agenda that are otherwise within the subject matter jurisdiction of the Commission. Please submit a Speaker Card and limit your comments to 3 minutes.

II. Consent Calendar (5 min)

Action

- A. Approve the October 19, 2022 Commission Meeting Agenda
- B. Approve the [August 17, 2022 Commission Meeting Minutes](#)
- C. Adopt [Resolution 2022-14](#) authorizing remote teleconference meetings under the State of California Proclaimed State of Emergency issued March 4, 2020, and pursuant to AB 361 requirements, for a term of October 19, 2022 to November 18, 2022.

III. Recognition of Service (10 min)

Action

Adopt [Resolution 2022-15](#) honoring Megan Richards for her 9 years of distinguished service to Solano County's children ages 0-5 and their families.

Erin Hannigan, Commission Chair

IV. Public Hearing: FY2021/22 Annual Audit (15 min)

Action

Receive the [FY2021/22 First 5 Solano Audit](#)

Michele Harris, Executive Director; Kyle Bartle, Eide Bailly

V. Public Hearing: FY2021/22 Annual Report (30 min)

Action

Approve the First 5 Solano submission to First 5 CA for the [FY2021/22 Annual Report](#)

Lorraine Fernandez, Program Manager; Kim Gillette, Applied Survey Research

- VI. Draft Reserve Policy** (forwarded from Policy and Oversight Committee) (10 min) **Action**
Consider a recommendation from the Policy and Oversight Committee to approve a [Commission Reserve Policy](#)
Michele Harris, Executive Director
- VII. Draft 2023 Long Term Financial Plan** (forwarded from Policy and Oversight Committee) (15 min) **Information/ Discussion**
Review and provide input on the [Draft 2023 Long Term Financial Plan](#)
Michele Harris, Executive Director
- VIII. Draft 2023 Program Investment Plan** (forwarded from Policy and Oversight Committee) (20 min) **Information/ Discussion**
A. Review and provide input on the [Draft 2023 Program Investment Plan](#)
B. Review and rank the remaining potential program investments (\$92,000 annually)
Michele Harris, Executive Director; Kim Gillette, Applied Survey Research
- IX. Executive Director's Report** (10 min) **Information**
Michele Harris, Executive Director
- X. Commissioner Remarks** (5 min) **Information**
- XI. Future Agenda Items, Meeting Time/Date/Location** **Information**
The next Commission meeting will be held on December 21, 2022 at 3:00PM via teleconference. Support staff located at 3375 Sonoma Boulevard, Suite 30, Vallejo. Future agenda items include: Long Term Financial Plan and Program Investment Plan Approval, Committee Report, 2023 Slate of Officers, Legislative Platform

ADJOURN

Vision: All Solano County children are healthy, eager to learn, and nurtured by strong families in safe and inclusive communities.
Mission: First 5 Solano is a catalyst that strategically advances innovative, prevention-focused approaches across systems that improve the lives of young children and their families.

The First 5 Solano Children and Families Commission does not discriminate against persons with disabilities. If you require a disability-related modification or accommodation in order to participate in the meeting, please call (707) 784-1332 at least 24 hours in advance of the meeting to make arrangements. Non-confidential materials related to an item on this Agenda submitted to the Commission are available for public inspection at the First 5 Solano business office, 3375 Sonoma Blvd Ste 30, Vallejo CA during normal business hours.

**First 5 Solano Children and Families Commission
Commission Meeting**

August 17, 2022, 3:00 PM – 5:00 PM
3375 Sonoma Blvd, Suite 30, Vallejo, CA

Minutes

Commissioners present via Zoom videoconferencing: Chair Erin Hannigan (joined at 3:05), Vice Chair Tyffany Wanberg (exited at 5:07), Jennifer Barton, Lisette Estrella-Henderson, Amy Fabi, Tiffanee Jones (exited at 4:19), Neely McElroy, Nimat Shakoor-Grantham

Vice Chair Wanberg called the meeting to order at 3:02 PM

I. Public Comment

No public comment

II. Consent Calendar

Motion:

A. Approve the August 17, 2022 Commission Meeting Agenda

B. Approve the June 15, 2022 Commission Meeting Minutes

C. Adopt Resolution 2022-12 authorizing remote teleconference meetings under the State of California Proclaimed State of Emergency issued March 4, 2020, and pursuant to AB 361 requirements, for a term of August 17, 2022 to September 16, 2022.

Consent Calendar moved by Commissioner Estrella-Henderson; Seconded by Commissioner Tiffanee Jones

Approved 7-0-0

Yea: Commissioners Barton, Estrella-Henderson, Fabi, Jones, McElroy, Shakoor-Grantham, Wanberg

Nay: None

Abstain: None

III. Vacaville Play 4 All Park

Motion:

Consider a recommendation from the Policy and Oversight Committee to allocate up to \$400,000 to Play 4 All Park to complete Phase I of the Vacaville Play 4 All Park, supporting play for families of all abilities

Tom Phillippi, of Phillippi Engineering, spoke on Vacaville's Play 4 All Park. This park is all-inclusive and designed for children of all abilities and disabilities, featuring fenced in

play areas, picnic areas, people-friendly surfaces, and swings able to accommodate for children with or without disabilities.

Chairperson Hannigan joined the meeting.

Approved 8-0-0

Yea: Commissioners Barton, Estrella-Henderson, Fabi, Jones, McElroy, Shakoor-Grantham, Wanberg, Hannigan

Nay: None

Abstain: None

IV. Annual Grants Review

Kim Gillette, of Applied Survey Research (ASR), presented a review of First 5 Solano's Annual grants from FY2018/19 through FY2020/21. ASR provided both process considerations as well as dollar considerations. First 5 Solano Executive Director Michele Harris discussed the recommendations from the Policy and Oversight Committee, which included allowing up to 20% of grants to be a repeat project to continue success as well as the recommendation that the next funding cycle be limited to \$160,000 per year. The Commission discussed further clarifying what the Commission is looking for when we say "innovative proposals" and directed staff to revise the language to provide more clarity for applicants.

V. Universal Transitional Kindergarten Presentation

Megan Richards, Deputy Director for First 5 Solano, introduced Lisa Eckhoff from Solano County of Education who presented on the roll out of universal pre-kindergarten (UPK) in California. UPK will align standards for the State Preschool Program (CSPP) and Transitional Kindergarten (TK) programs and expand inclusive opportunities for children with disabilities and low-income families. Some potential opportunities resulting from UPK include increased access for children served in Solano County, more educational options for young children and their families, educational and career advancement options for Early Learning Center teachers, including private care centers, and increased collaboration with early learning and care partners. Some potential pitfalls include the loss of 4-year-olds to private providers, workforce shortages may increase as teachers move into CSPP/TK teaching opportunities, and the need for more before/after school care. The rollout of UPK will also mean facilities will need to be modernized and expanded, as existing facilities are aged and do not have enough room to accommodate an influx of more children.

VI. 2023 Program Investment Planning

Megan Richards, Deputy Director of First 5 Solano, presented a brief overview of the 2023 Program Investment Plan (PIP). The PIP is used to communicate how funds will be allocated toward strategies that contribute to strategic goals and results and aligns with the Strategic Plan. Ms. Richards shared that the Commission has weighed in on the vast majority of its investments and has \$452,000 annually to decide what strategies it wants to fund. Based upon the Policy and Oversight Committee's (POC) direction, staff offered baseline recommendations for the remaining strategies. The Commission discussed and generally agreed with staff's recommendations and began discussing the remaining strategies. Commissioners Hannigan and Estrella-Henderson agreed that it is important to continue funding Rio Vista in some capacity. At the end of the discussion, there was approximately \$92,000 remaining to be decided upon. Executive Director Harris indicated that the Commission could make these final rankings at its October meeting.

VII. Executive Director's Report

Executive Director Michele Harris reported that F5S received \$5M in state funds to replace the roof and HVAC system for the Vallejo Early Learning Center. Additionally, F5S hired the consultation company Navalta Media to perform equity work.

VIII. Commissioner Remarks

No Commissioner remarks.

IX. Future Agenda Items, Meeting Time/Date/Location

The next Commission meeting will be held on October 19, 2022, at 3:00 PM via teleconference. Support staff located at 3375 Sonoma Boulevard, Suite 30, Vallejo. Future agenda items include Program Investment Planning, Annual Audit, Annual Report, Committee Report

Adjourn

Chair Hannigan adjourned the meeting at 5:13 PM.

Caitlin Brakefield, Office Assistant III

Approved:

Resolution No. 2022-14

A RESOLUTION OF FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION AUTHORIZING REMOTE TELECONFERENCE MEETINGS FOR THE PERIOD OCTOBER 19, 2022 TO NOVEMBER 18, 2022 AS A RESULT OF THE CONTINUING COVID-19 PANDEMIC STATE OF EMERGENCY

WHEREAS, on March 4, 2020, Governor Newsom issued a Proclamation of State of Emergency in response to the COVID-19 pandemic; and,

WHEREAS, the proclaimed state of emergency remains in effect; and,

WHEREAS, on March 17, 2020, Governor Newsom issued Executive Order N-29-20 that suspended the teleconferencing rules set forth in the California Open Meeting law, Government Code section 54950 et seq. (the "Brown Act"), provided certain requirements were met and followed; and,

WHEREAS, on June 11, 2021, Governor Newsom issued Executive Order N-08-21 that clarified the suspension of the teleconferencing rules set forth in the Brown Act, and further provided that those provisions would remain suspended through September 30, 2021; and,

WHEREAS, on September 16, 2021, Governor Newsom signed AB 361 that provides that a legislative body subject to the Ralph M. Brown Act ("Brown Act") may continue to meet without fully complying with the teleconferencing rules in the Brown Act provided the legislative body determines that meeting in person would present imminent risks to the health or safety of attendees, and further requires that certain findings be made by the legislative body every thirty (30) days; and,

WHEREAS, California Department of Public Health ("CDPH") and the federal Centers for Disease Control and Prevention ("CDC") caution that variants of COVID-19 are more transmissible than prior variants of the virus, may cause more severe illness, and that even fully vaccinated individuals can spread the virus to others resulting in rapid and alarming rates of COVID-19 cases and hospitalizations (<https://www.cdc.gov/coronavirus/2019-ncov/variants/delta-variant.html>); and,

WHEREAS, the CDC has established a "Community Transmission" metric with 4 tiers designed to reflect a community's COVID-19 case rate and percent positivity; and,

WHEREAS, Solano County currently has a Community Transmission metric of "medium" which is still cause for concern; and,

WHEREAS, in the interest of public health and safety, as affected by the emergency caused by the spread of COVID-19, the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION deems it necessary to find that meeting in person would present imminent risks to the health or safety of attendees, and thus intends to invoke the provisions of AB 361 related to teleconferencing provided that all teleconferenced meetings are conducted in such a manner that protects the statutory and constitutional rights of the parties and the public appearing before this legislative body.

NOW, THEREFORE, BE IT RESOLVED, by the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION as follows:

1. The recitals set forth above are true and correct.

2. The FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION finds that meeting in person would present imminent risks to the health or safety of attendees and therefore, shall conduct its meetings in accordance with Government Code section 54953(e) and all other applicable provisions of the Brown Act.
3. This Resolution shall take effect immediately upon its adoption and shall be effective until the earlier of (i) November 18, 2022, or such time the Board of Directors adopts a subsequent resolution in accordance with Government Code section 54953(e)(3) to extend the time during which the FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION may continue to teleconference without compliance with paragraph (3) of subdivision (b) of section 54953.

PASSED AND ADOPTED this 19th day of October 2022, by the following vote:

AYES:

NOES:

ABSENT:

Erin Hannigan, Chair
First 5 Solano Children and Families Commission

ATTEST:

Caitlin Brakefield, Office Assistant III
First 5 Solano Children and Families Commission

Resolution No. 2022-15

A RESOLUTION OF FIRST 5 SOLANO CHILDREN AND FAMILIES COMMISSION HONORING MEGAN RICHARDS FOR HER 9 YEARS OF DISTINGUISHED SERVICE TO SOLANO COUNTY'S CHILDREN AGES 0-5 AND THEIR FAMILIES

WHEREAS, Megan Richards joined the staff of First 5 Solano as its Deputy Director in November 2013; and

WHEREAS, Ms. Richards consistently exceeded expectations, addressing First 5 Solano's critical need to be fiscally and contractually accountable, all while implementing multiple Strategic Plan Updates, Program Investment Plans and Long-Term Financial Plans; and

WHEREAS, Ms. Richards devoted herself to building a stronger early childhood system in Solano County, working closely with First 5 grantees to put in place and carry out fiscal, administrative and programmatic innovations, culminating in the new data management system, Apricot, that more effectively collects programmatic and fiscal data for First 5 Solano, and

WHEREAS, Ms. Richards worked closely with First 5 grantees and other partners to understand the early childhood landscape, and then helped to add to the existing knowledge base of stakeholders, by driving production of key reports such as the Foundation Study that demonstrated the scarcity of foundation giving in Solano County and the Nonprofit Capacity Building Assessment, which helped to identify the highest need areas for capacity building for our local nonprofits; this new data helped to contribute to long-term changes to early childhood systems and shape the growth and trajectory of the systems building that has occurred over many years; and

WHEREAS, Ms. Richards led the charge on COVID-19 response and successfully secured \$1 million in CARES Act funds to provide support to the early childhood systems to shore up child care and provide personal protective equipment to emergency workers, working countless hours to distribute these supplies so that our heroes could be their best selves while providing services to those children and families that needed assistance, and

WHEREAS, over the past 9 years, Ms. Richards continued her professional and personal development, selected for the a cohort of the County's "Future Leaders" program, and earning a County Supervisors Association of California Executive Credential, all the while continuing to practice and promote the highest standards of innovation and quality at First 5 Solano; and

WHEREAS, her leadership and commitment stretched beyond First 5 to the County as a whole, as she designed and managed the Board of Supervisors Community Investment Fund, and implemented several solicitations for services and resultant contracts, utilizing her knowledge base that spanned beyond the realm of services to children ages 0-5 and their families; and

WHEREAS, her commitment to excellence quickly made First 5 stand out as a contracts expert in the county, resulting in Ms. Richards developing multiple trainings related to contracting and soliciting for services; she delivered those trainings to many cohorts of Solano County Health and Social Services staff, and offered technical assistance to those class attendees that needed help implementing a solicitation; and



CHILDREN ARE OUR BOTTOM LINE

WHEREAS, Ms. Richards has been a tirelessly efficient and effective manager and supervisor, designing and managing the ever-increasing complexity of First 5 Solano's operations and supporting the professional development of Senior Managers and Administrative staff, and

WHEREAS, her knowledge of past dates of when events occurred, her ability to herd cats and her tireless positivity and "get the job done" demeanor will be truly missed;

NOW, THEREFORE, BE IT RESOLVED, that the First 5 Solano Children and Families Commission hereby honors and thanks Megan Richards for her 9 years of dedicated and superb service to First 5 Commissioners, her First 5 Solano Team and County colleagues and the local and statewide early childhood system, and wishes her every success in her future endeavors.

PASSED AND ADOPTED this 19th day of October 2022, by the following vote:

AYES:

NOES:

ABSENT:

Erin Hannigan, Chair
First 5 Solano Children and Families Commission

ATTEST:

Caitlin Brakefield, Office Assistant III
First 5 Solano Children and Families Commission



IT'S ALL ABOUT THE KIDS

DATE: October 12, 2022

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **First 5 Solano FY2021/22 Annual Audit**

Motion: ***Receive the First 5 Solano FY2021/22 Annual Audit***

Enclosed is the FY2021/22 statutorily required independent audit of the First 5 Solano Children and Families Commission. The report will be presented to the Solano County Board of Supervisors on October 25, 2022 and then submitted to the California State Controller's Office.

The fiscal year 2021/22 Financial and Compliance Audit was conducted in accordance with auditing standards generally accepted in the United States of America, the standards applicable to financial audits contained in the *Government Auditing Standards*, issued by the Comptroller General of the United States and state requirements contained in the *Standards and Procedures for Audits of Local Entities Administering the California Children and Families Act*. Once approved, the audit is submitted to First 5 California and the State Controller's Office.

Eide Bailly, the Commission's auditor, reviewed the Commission's overall financial condition, including financial statements, transactions, contracting procedures, record-keeping, and adherence to policies.

The Audit consists of 3 separate reports:

1. The Independent Auditor's Report and Financial Statements
2. Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters
3. The Independent Auditor's Report on State Compliance

The FY2021/22 Audit is submitted for Commission consideration.



IT'S ALL ABOUT THE KIDS

DATE: October 12, 2022

TO: First 5 Solano Children and Families Commission

FROM: Lorraine Fernandez, Program Manager

SUBJ: **Public Hearing: FY2021/22 Annual Report**

Motion: **Approve the First 5 Solano Submission to First 5 California for the FY2021/22 Annual Report**

Each year, the First 5 California Children and Families Commission is required by law to submit to the Legislature and the Governor a report outlining the activities and accomplishments of both the state First 5 Commission and the 58 local First 5 county commissions.

The First 5 Solano submission to First 5 California for its FY2021/22 Annual Report has been prepared in accordance with state requirements for submission by the deadline of October 31, 2022. The report includes a fiscal report, "aggregate data" by program category (service counts and demographics), as well as a snapshot of evaluation activities and system level activities.

As the First 5 California Annual Report submission requirements are relatively narrow and prescriptive following the required elements for state Commission, the report also includes a FY2021/22 Year End Performance Report which outlines the Commission's programs and includes performance information and qualitative data to give a fuller picture of the Commission's investments for FY2021/22; and, a Systems Change Evaluation Report which provides an in depth picture of the continued implementation of the Commission's Systems Change Action Plan.

[Attachment A: FY2021/22 Annual Report Presentation](#)



FY2021/22 Annual Report



1

Annual Report Components



Performance Measures & Impact



Systems Change Update



Fiscal



Demographics

2

2

Reporting Performance Results Based Accountability



How Much Did We Do?



How Well Did We Do It?



Is Anyone Better Off?

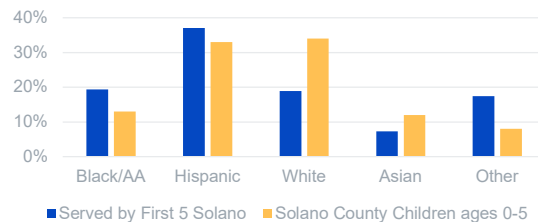
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Demographics

- **12,828** residents received services
 - **7,059** Children 0-5
 - **4,503** Parents/Caregivers & other family members
 - **1,266** Providers
- When compared to Solano overall – children 0-5 who received services were more likely to be Black or Hispanic

Children 0-5 by Race Ethnicity



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- A total of 1,527 parents attended
- a Triple P service

TRIPLE P SOLANO



- 27 new facilitators were trained across multiple levels of interventions and accredited by Triple P America
- Continued provision of Triple P via virtual format allowed parents flexibility to attend sessions during the pandemic, increasing parent engagement
- 96% of Level 4 participants rated the program overall as “excellent” or “good”



Pre and post-participant surveys showed that nearly all parents improved in their parenting knowledge and skills

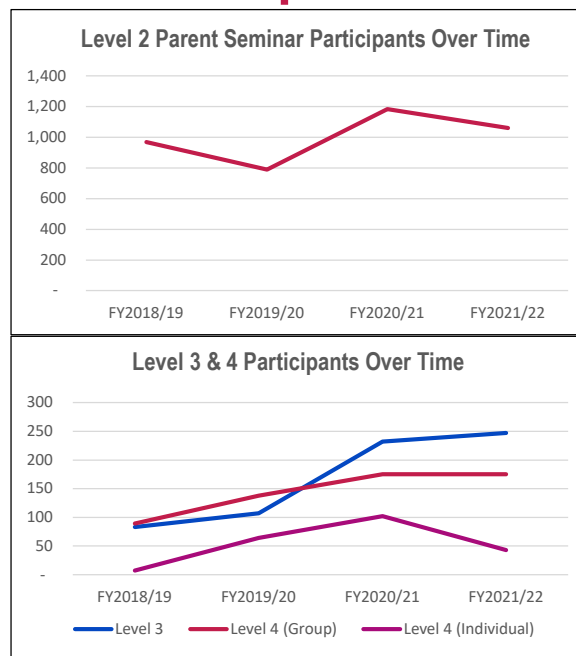
Percent of Parents with Improved Skills

Level 2	100%
Level 3	100%
Level 4 (Group)	97%
Level 4...	100%

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Triple P Participants Over Time



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- **IMPACT/Quality Counts:** 41 early learning sites participated
- **Raising a Reader:** 1,081 children participated

QUALITY EARLY LEARNING



- **IMPACT/Quality Counts:**
 - Quality Counts Early Education Conference was offered virtually
 - An Early Childhood Mental Health Consultant was available to provide classroom strategies that support all children
- **Raising a Reader:**
 - Implementers reported that children come back to school and shared about the books in their bag that they had read that week.

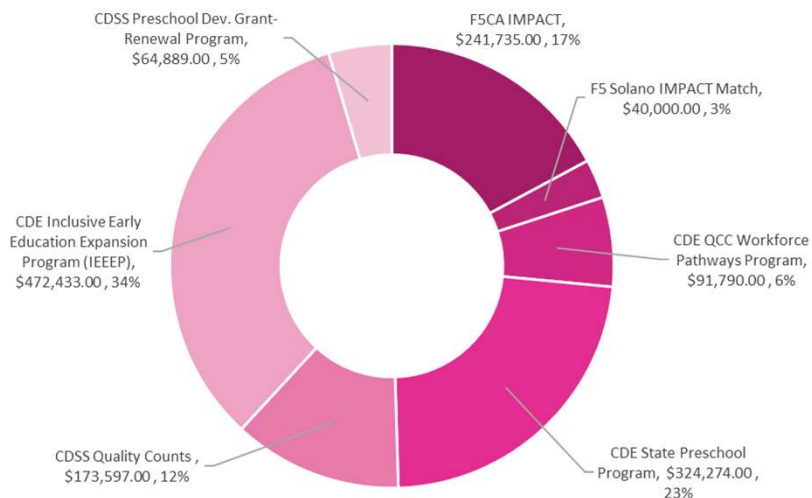


- **IMPACT/Quality Counts:**
 - 93% of sites developed quality improvement plans and made at least one change to enhance the quality of their program
- **Raising a Reader:**
 - 93% of staff reported that children were excited about receiving the red books.

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Solano Quality Early Learning Blended Funding Model
FY2021/22 Budgeted = \$1,408,718



First 5 Solano partners with the Solano County Office of Education who delivers the program utilizing a blended funding model.

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- 14 sessions were held throughout Solano County and provided by the school districts and the Solano County Office of Education
- 227 children participated in the program
- Solano County Office of Education provided backpacks full of school supplies
- 104 students received a dental screening

PRE-K ACADEMIES



- 73% of students that attended a PKA had no prior preschool experience.
- 23% of students that attended a PKA were Dual Language Learners
- 23% of students that attended a Pre-K Academy completed a Kindergarten Oral Health Assessment and 37% of PKA students had fluoride varnish applied.

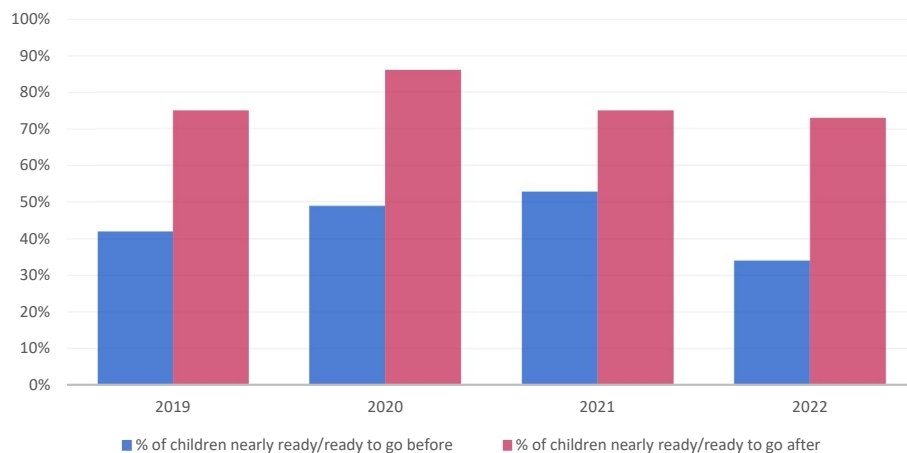


- 73% of students were ready to go or nearly ready to go to kindergarten at the end of the academy, up from 34% at program entry

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% of Children Nearly Ready/Ready to go to Kindergarten Before and After a Pre-K Academy



After participating in the 4-week program, the number of children “nearly ready” or “ready” increases to between 73-85%, a consistent increase of approximately 33% in just 4 short weeks.

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- 2,357 call from families were received and 2,146 referrals to services were provided to families
- 633 developmental screenings were administered either electronically or in person

HELP ME GROW SOLANO



- 91% of callers were provided at least one referral to a program or service
- 1,115 families with more than one high risk factor were provided family navigation.
- Of the 633 developmental screenings, 127 resulted in a high-risk referral for significant concerns

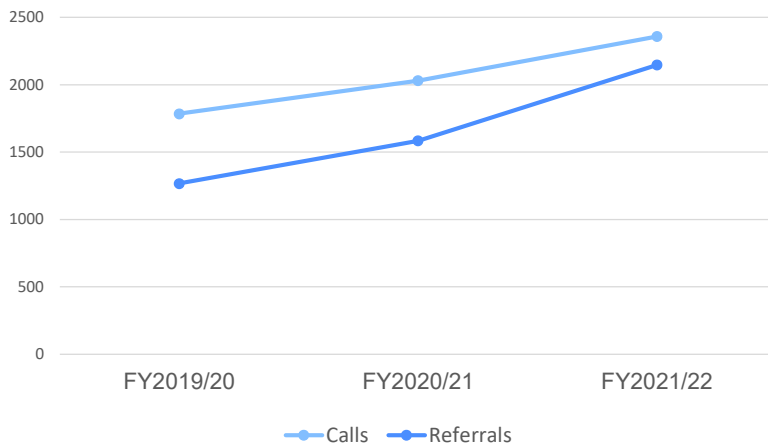


- 91% of families that were provided with a referral reported that they were successfully connected to services at follow-up

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**Help Me Grow Solano
Calls and Referrals FY2019/20 - FY2021/22**



Help Me Grow Solano has been in operation since 2014.

Over the years the numbers of calls and referrals has steadily increased.

In 2021/22 HMG Solano had the highest numbers of calls and referrals.

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VALLEJO FIRST 5 CENTER



- 1,265 individuals, 830 children & 435 parents/caregivers participated in at least one class, activity or event.
- 293 parents and caregivers received a consultation and were connected resources & provided with follow-up
- 107 six-week parent/child classes were completed



- As we emerged from the restrictions of COVID, we were able to increase class sizes and provide large events to engage new families
- 99% of parents seen by the Community Resource Specialist had their needs met



- 100% of families who completed a class series and completed a post series survey demonstrated increased knowledge and awareness of healthy behaviors and activities

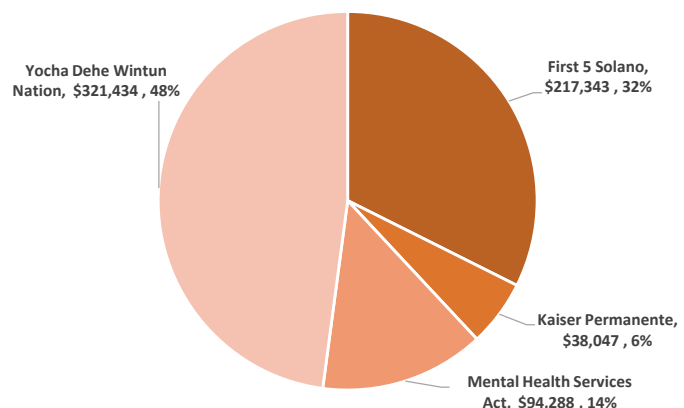
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Utilizing a Blended Funding Model

First 5 Solano Commissioners and staff have prioritized creating a blending funding model for the Center and made it a reality.

Vallejo First 5 Center Blended Funding Model FY2021/22 Total Spent: \$628,056



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- Engaged 7 new early care providers and organizations.
- Provided services to 1,599 children and 1,138 parents/caregivers.

ANNUAL GRANTS



- 441 children ages 0-5 accessed fresh produce at their childcare program
- Over 250 children ages 3-5 and their caregivers participated in STEAM activities
- Nearly 100 parents were informed about ACE's through community outreach
- 52 resource families (foster families) received mentorship and connection to services
- Over 400 parents received car seat education, and 256 car seats were provided
- Over 300 parents served by Solano County Child Support Services were provided with resource navigation to access additional services for their families
- Provided the Footsteps 2 Brilliance technology-based app to 300 children ages 0-5

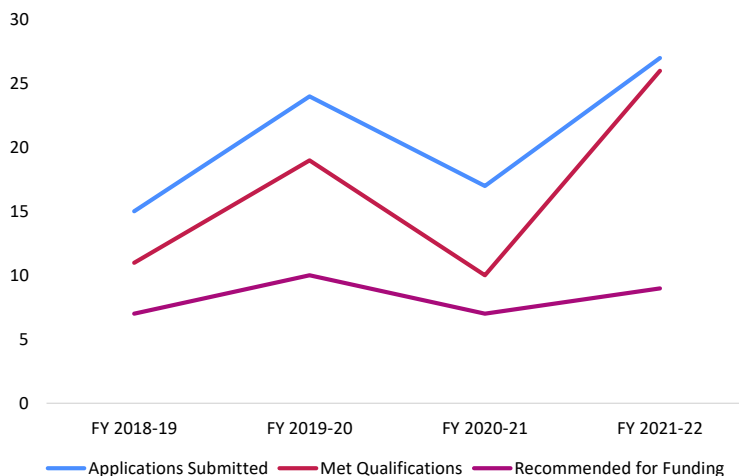


- *Child Support* has integrated resource navigation into their case management services and will continue to connect families to an array of resources
- *Food is Free* has become a non-profit agency and is expanding food distribution to families throughout Solano County

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Numbers of Annual Grant Applications



In an analysis of Annual Grant applications over time, both the quantity and quality of applications has increased.

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Other First 5 Solano Initiatives



Early Childhood Mental Health Treatment

- ✓ 219 children received EPSDT mental health services
- ✓ 98% of 189 children in on-going treatment evaluated for their 6-month review demonstrated improvement in at least one stated treatment goal

Family Support – Rio Vista CARE

- ✓ 45 families were provided case management with over 86% showing improvement in multiple domains of the Family Development Matrix and the Protective Factors Survey
- ✓ 100% of families receiving basic needs/homeless resources remained in stable housing
- ✓ 19 children participated in pre-kindergarten readiness activities with 100% of the children demonstrating increased school readiness



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Questions?

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EARLY CHILDHOOD SYSTEMS CHANGE IN SOLANO COUNTY

FY2021/22

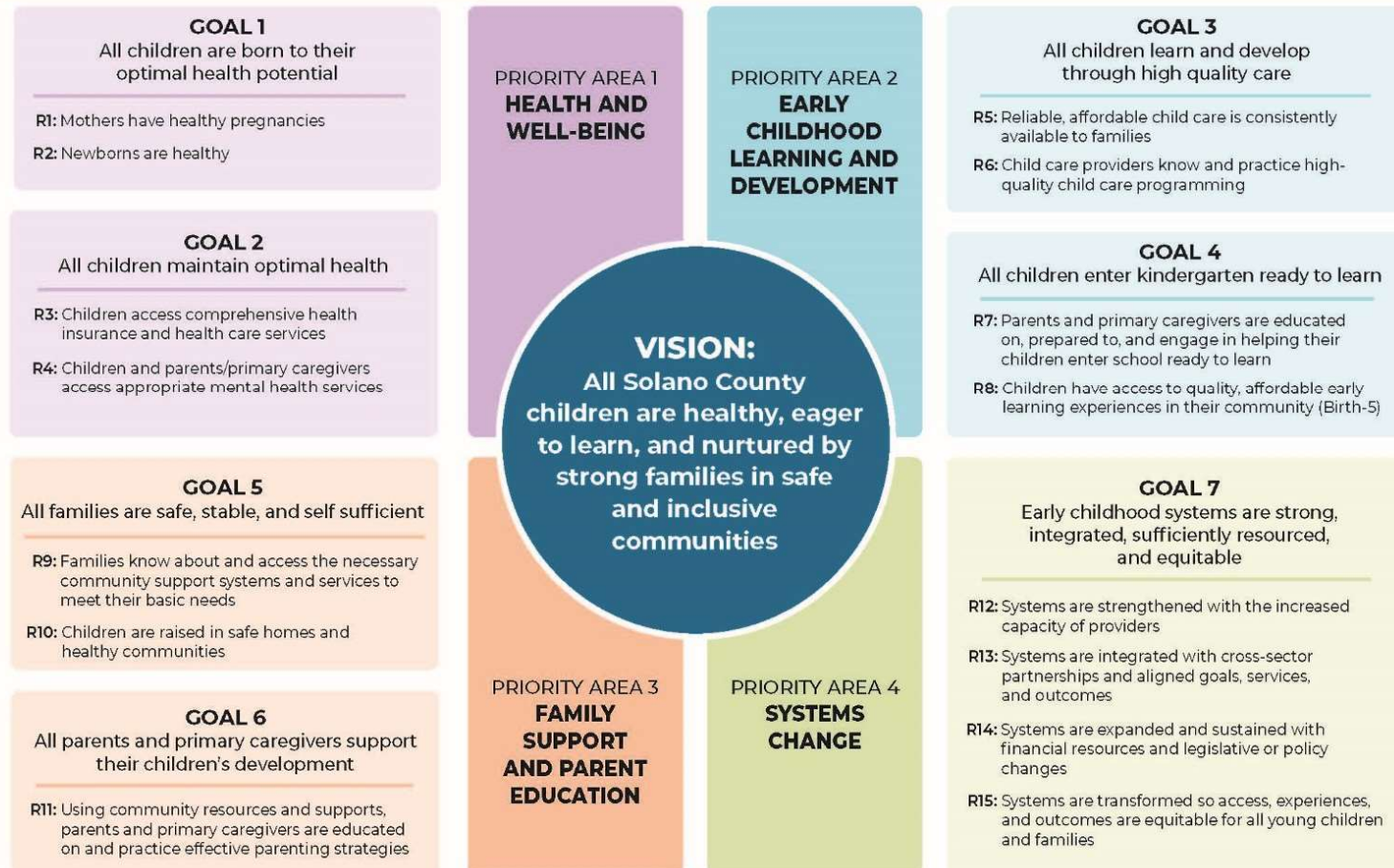


October 19, 2022



FIRST 5 SOLANO STRATEGIC FRAMEWORK

MISSION: First 5 Solano is a catalyst that strategically advances innovative, prevention-focused approaches across systems that improve the lives of young children and their families.



VALUES: EQUITY - ACCESSIBILITY - SUSTAINABILITY - ADVOCACY - COLLABORATION - RESPECT

Introductions to Systems Change

Introduction to Systems Change

PRIORITY AREA 4 SYSTEMS CHANGE

GOAL 7

Early childhood systems are strong, integrated, sufficiently resourced, and equitable

R12: Systems are strengthened with the increased capacity of providers

R13: Systems are integrated with cross-sector partnerships and aligned goals, services, and outcomes

R14: Systems are expanded and sustained with financial resources and legislative or policy changes

R15: Systems are transformed so access, experiences, and outcomes are equitable for all young children and families

- The goal of the Systems Change priority area was to improve early childhood systems by assessing how the existing systems could be strengthened, integrated, expanded, and sustained.
- Now the goal is to be strong, integrated, sufficiently resourced, and equitable.

Systems are strengthened with increased capacity of providers

- Granted 4 nonprofits and/or providers \$7,500 to implement a capacity-building project or to receive technical assistance from consultants.
- Cohosted with the Fairfield Police Activity League (PAL) a 4-hour training on "Trauma Informed Systems" for 200 staff from Child Start.
- Hosted/co-hosted webinars, trainings, and conferences on trauma and Early Care Education (ECE) to over 400 (potentially duplicated) Solano County providers.

Systems are strengthened with increased capacity of providers, continued

Spotlight on Increased Provider Capacity via the Annual Grant Process

- Fund innovative projects and support new partners with capacity building.
- Assist applicants in thinking through their grant ideas during Grant Development Sessions by asking them:
 - What problem are they trying to solve and how does their proposed project solve the problem?
 - How does their project fill a gap, pilot a new or innovative idea, or address a time-sensitive community need?
 - What is the intended outcome of their project and how will they measure it?
 - How does the budget support their project?
- Staff and recent grantees agreed the grant development sessions were helpful.

The development sessions helped me better understand what [funders] are looking for. So, if I apply for similar grants, I need to be specific with stating what we will do and our target area.
-Grantee

Systems are integrated with cross systems understanding, resource sharing, referral and collaboration

- Establishing the Vallejo Early Learning Center (ELC) to offer comprehensive and coordinated services for families.
 - Collaborative project with:
 - Vallejo City Unified School District
 - Child Start
 - Solano County Office of Education
 - Fighting Back Partnership.
 - To open in 2024 at former Beverly Hills Elementary School.
- Launching a First 5 Center in Fairfield, in partnership with the City of Fairfield.
 - Slated to open in spring 2024 as part of the new North Texas Recreation Facility.



Systems are integrated with cross systems understanding, resource sharing, referral and collaboration, continued

Spotlight on Shared Systems: New Child Care Business Management System

- Sponsoring a business management system to increase child care providers' capacity and improve resource sharing so they can become more sustainable through better management of their enrollment and financial data.
- Piloting the system in the fall of 2022 with 10 family child care providers.
- Made possible in partnership with the Shared Services Alliance Pilot for Early Learning and Care grant from First 5 California.



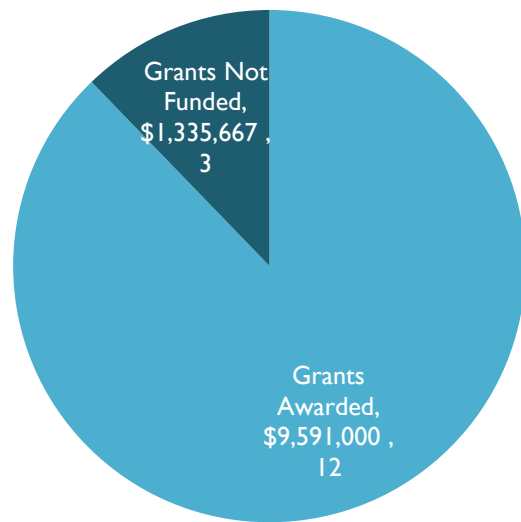
Systems are expanded and sustained with financial resources and legislative or policy changes

- Continued to build relationships with state and local policymakers.
 - Met with Assemblymembers Cecilia Aguiar-Curry and Tim Grayson, and Senator Bill Dodd.
 - First 5 Association Virtual Advocacy Day
 - Support of Triple P program
 - Hosted a visit to the Vallejo First 5 Center by Camille Maben, Executive Director of First 5 California.

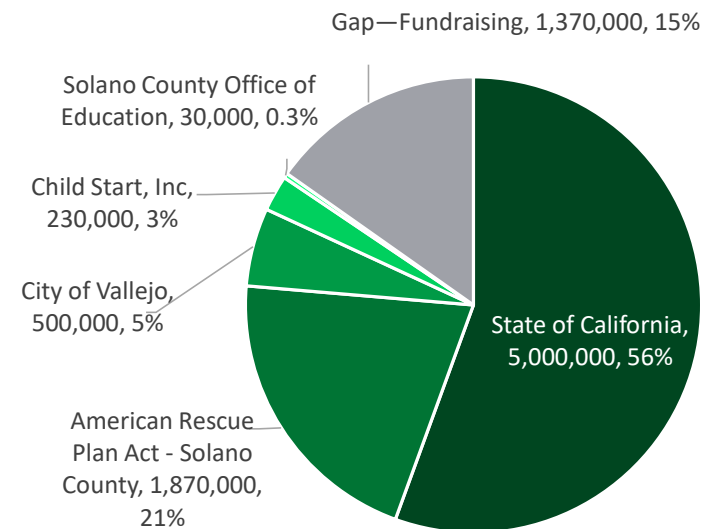


Systems are expanded and sustained with financial resources and legislative or policy changes, continued

Grants First 5 Solano Submitted or Supported



Vallejo Early Learning Center Blended Funding
\$7,630,000 Committed Funding



Systems are transformed so access, experiences, and outcomes are equitable for all young children and families

- Specified equity as a new result area in the 2023-2028 Strategic Framework.
- Locating the Fairfield First 5 Center in the geographic area with the highest risk factors for children.
- Provided resources in the highest-need area of Solano County at the Vallejo First 5 Center.
- Prioritized efforts to address inequities related to race, geography, and socio-economic status.
 - Based decisions on Achieving Equity in Solano County: Examining Disparities in Child and Family Well-Being Across Dimensions of Diversity and Identity report.



The background of the slide is a blue-tinted photograph showing a top-down view of many hands of various skin tones stacked together in a circular pattern, symbolizing teamwork and unity.

Thank you!



Date: October 10, 2022

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **Draft Reserve Policy** (forwarded from Policy and Oversight Committee)

Staff Report

Motion: Consider a recommendation from the Policy and Oversight Committee to approve a Reserve Policy

Staff Report: The Commission completes a review of its policies on an annual basis to update any outdated information given the current environment. The Commission's review of policies is completed, and all existing policies are appropriate for the next year's services. During the Commission's Strategic Planning and Long Term Financial Planning process, the Commission has determined that they are in need of a Commission Reserve Policy to help guide them as to when and how Commission Reserve dollars may be spent.

With the passage of Proposition 10, First 5 County Commissions were established, and funding began amassing in County Reserves. At its peak, the First 5 Solano Commission Reserve was over \$26 million. After years of intentional spending, the First 5 Solano Commission's reserve balance rests at just over \$13 million at the end of FY2021/22 providing an opportunity for the Commission to provide additional services for young children in the community. That said, the First 5 Solano Commission has been successful in securing other dollars to match its commitments and expand its level of service in the community. This investment approach can be unpredictable and can impact year over year investments making it important for the Commission to have a reserve for times of need.

The attached Draft Reserve Policy ([Attachment A](#)) provides a framework for the Commission to ensure funds are being spent, while also ensuring reserves are available when needed, and is included for Committee consideration.

Committee Discussion

The Committee discussed the Draft Reserve Policy and expressed that the Policy accurately captured the Commission's discussions for use of its reserves. The Committee asked about next steps for spending from the reserve and staff directed the Committee to the proposed Reserve Spending Plan, which is an attachment in the Commission's Draft Program Investment Plan.

RESERVE POLICY

DRAFT 10/19/22

It is the goal of the First 5 Solano Commission to intentionally expend funds to support young children and families in Solano County while maintaining enough funds in the Reserve account to cover the services in the Commission's Program Investment Plan should one of the Commission's funding streams unexpectedly be reduced or eliminated. This policy outlines the level of funding the First 5 Solano Commission intends to maintain its Reserve account.¹

First 5 Solano aims to maintain a Reserve balance of between \$5 million and \$8 million. The Commission will review its Reserve amount at the time of the Commission's Program Investment Plan update and take one of the following actions:

- A. Should the Reserve balance be at less than \$5 million, the Commission will budget for no draw on reserve until reserve meets a minimum of a \$5 million balance.
- B. Should the Reserve balance be in excess of \$8 million, the Commission will develop Reserve Spending Plan in conjunction with the Program Investment Plan Update. The Reserve Spending Plan will indicate a target amount to be spent from the Reserve over the next funding cycle and the mechanism for spending (e.g. integrate an annual amount into program spending; spend on one-time projects brought forward as they arise).
- C. Should the Reserve balance be between \$5 million and \$8 million, the Commission may develop a Reserve Spending Plan at its discretion.

The Reserve amount and need for a Reserve Spending Plan will be revisited with each Program Investment Plan Update.

¹ First 5 Solano follows the Solano County Investment Policy and maintains a Reserve account within the Solano County Treasury for its Reserve funds. The Reserve funds may be added to or expended through the First 5 Solano and Solano County annual budget process.

Date: October 10, 2022

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **Draft 2023 Long Term Financial Plan** (forwarded from Policy and Oversight Committee)

DRAFT 2023 Long Term Financial Plan

The Commission has spent the majority of 2022 planning for its upcoming 2023-2028 funding cycle and implementation of its strategic plan. The Commission is in a strong financial position and is using this Long Term Financial Plan to articulate how it intends to utilize its resources over this next period. The attached Draft 2023 Long Term Financial Plan is included for review, discussion and input. ([Attachment A](#))

Committee Discussion

The Policy and Oversight Committee discussed the Long Term Financial and expressed that the Plan was clear in articulating the financial position of the Commission and recommended that it be moved forward for Commission approval.



FIRST 5 SOLANO

2023 LONG TERM FINANCIAL PLAN UPDATE

DRAFT OCTOBER 1, 2022



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I. Introduction and Background

Introduction

The First 5 Solano Children and Families Commission's (First 5 Solano) Long Term Financial Plan (LTFP) is designed to directly support the Commission's Strategic Plan and its ongoing investments. It provides a framework that helps the Commission view the long-term financial impacts of First 5 Solano's program and system change investments that support activities and services for children and families in Solano County.

In 2004, First 5 Solano was one of the first Commissions in California to establish a LTFP with previous updates taking place in 2013 and 2016; each update adjusted for differing levels of investments and considered changes in the landscape of the Commission. This 2023 LTFP Update again establishes a consistent level of investment and considers multiple changes in the landscape, including:

- Proposition 10 tobacco tax funds are not declining as rapidly as once projected
- Interest is no longer a significant source of revenue
- First 5 Solano has diversified and increased its revenue portfolio to include more grant funds as well as funds from the state budget and other public sources resulting in an average of \$2 million secured annually for the last 4 years
- Since 2018, the Commission has been budgeting program expenditure based on the amount of anticipated revenue. In these years, revenue has come in higher than expected and program expenditure has been lower than budgeted which has led to an increase in the Commission's reserve
- SB 395 went into effect July 2022 which provided a new tax on electronic cigarettes at a rate of 12.5% of the purchase price; 12% of the funds collected will be going to First 5s; there were no projections available to measure the effects of this change on tobacco tax collection
- It is an unknown whether a ban on flavored tobacco/nicotine products will go into effect in 2023; if it does, the effects of this ban on tobacco tax revenue are unknown
- There have been new state and federal resources directed toward early childhood which have changed the landscape for how the Commission should consider their investments, such as the implementation of Transitional Kindergarten for all 4-year-olds in California, a monumental investment in young children by the State.

It is within this changing financial landscape that the Commission once again looks forward to the future impact it can make for children and families in Solano County.

Background

The overarching goal of the LTFP is to provide a guiding framework for financial decisions made by the First 5 Solano Commission in support of the Commission's Strategic Plan.

More specific goals include:

- Clarify and make explicit the sources and uses of funding.
- Establish a strategic and predictable rate of annual grant spending.

- Develop future-year projections for expectation and use of Commission resources that can be updated annually based on actual financial data.
- Provide a systematic approach to financial decisions that incorporate an understanding of both short- and long-term implications for each decision.
- Support sustainability work to address the decline of Proposition 10 tobacco tax.

The LTFP is one of several tools (see Appendix A, “Interrelationship of Planning Tools”) that provide the Commission with the financial framework it needs to make difficult choices among many worthy potential funding priorities.

While it is important to know what the Long Term Financial Plan *is*, it is equally important to know what it *is not*. The LTFP does not name specific programs that will receive funding and does not dictate First 5 Solano’s future financial obligations, appropriations, or funding commitments; rather, it describes First 5 Solano’s fiscal landscape now and in the future.

The First 5 Solano Long Term Financial Plan model is updated annually with actual revenues and expenditures. The Commission periodically reviews the assumptions that support the financial model and determine any changes that are warranted. The LTFP is also used to support ongoing Program Investment Plans and the annual budget process.

Long Term Financial Plan Principles

This Financial Plan’s principles provide guidance and procedures for the use of and revisions to the LTFP.

1. The LTFP is designed to communicate the Commission’s long-term approach to funding; therefore, revenues and expenses are estimated over a multi-year horizon.
2. The Commission uses the LTFP as the framework for development and/or updating of its Multi-Year Program Investment Plan and Annual Budget.
3. The LTFP outlines funding appropriations by category; it does *not* obligate the Commission to actual contracts or awards of funding.
4. The financial model is updated annually based on actual data and to validate the LTFP assumptions. Updates are brought to the Commission, as appropriate.
5. Changes to the LTFP are approved by the Commission.

First 5 Solano’s Financial Status

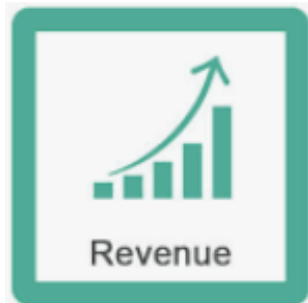
First 5 Solano’s financial status at the close of FY2021/22 is as follows:

- Proposition 10 revenues are \$3.4 million with a 1.7% annual rate of decline.
- The FY2022/23 budget is \$4.7 million in revenue and program/admin expenses
- Commission’s reserves are at approximately \$13.5 million. Of this amount:
 - \$2.1 million is obligated to the Fairfield First 5 Center.
 - \$1.63 million is obligated to the Vallejo Early Learning Center.

II. Financial Assumptions

The Commission's LTFP is grounded in financial assumptions which are made to provide a level of stability of expenditure and services in the community for young children. These assumptions include definitions and financial forecasting and have evolved over time to consider the current financial landscape.

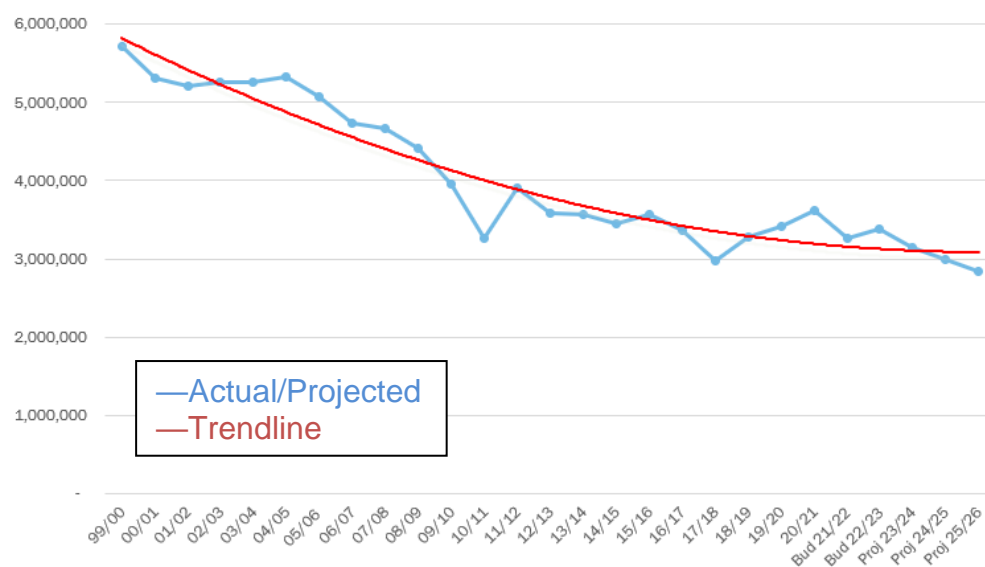
Financial assumptions are categorized below by revenue, expenditure, and reserves.



- Proposition 10 Tobacco Tax
- Interest Income
- Unexpended Program Investment
- Matching/Other Funds

1. **Proposition 10 Tobacco Tax** – Proposition 10 revenues are the taxes on cigarettes and Other Tobacco Products (OTPs) that are collected at the state level and distributed to all 58 counties based on birth rate. Since the last LTFP, there have been several changes in the Proposition 10 revenues:
 - a. The actual rate of decline of proposition 10 tobacco tax has begun to level off slightly, with the rate of revenue actually increasing from FY2017/18 through FY2020/21. The California Department of Tax and Fee Administration (CDTFA) projects the rate of decline to be about 1.7% annually for the next ten years; approximately half of the previous estimated rate of decline.

Proposition 10 Tobacco Tax Revenue over time



- b. In 2016, an additional \$2 tax per pack of cigarettes was enacted for the California Healthcare, Research, and Prevention Fund (Proposition 56). This led to a decrease in Proposition 10 funds for First 5s due to fewer people smoking, but there is a clause in the proposition providing backfill to First 5s so there is no net revenue loss.
- c. In 2017, the definition of Other Tobacco Projects (OTPs) which are taxed was expanded to include electronic smoking devices. As all OTPs only account for approximately 11% of Tobacco Tax Revenue, this provided a relatively small increase to the tax revenue collected.
- d. SB 395 went into effect July 2022 which provided a new tax on electronic cigarettes at a rate of 12.5% of the purchase price; 12% of the funds collected will be going to First 5s; there were no projections available to measure the effects of this change on tobacco tax collection
- e. In 2020, the state passed SB 793 which bans the sale of flavored tobacco products and would have the effect of decreasing the overall sales of and taxes on tobacco products. The law was postponed pending the outcome of a referendum on the November 2022 ballot that would repeal its provisions. The original State level estimates showed the decrease in First 5 Solano's Proposition 10 revenue would be approximately \$600,000 annually. However, the state estimates were characterized by another analysis as very steep. First 5's experience has been that generally the CDTFA is conservative in their revenue estimations.

Historically, the financial planning model in the Commission's LTFP uses state-level projections provided by the CDTFA for Proposition 10 revenues. As the First 5 Solano Commission needed to make decisions regarding their ongoing level of spending prior to the outcome of the November 2022 ballot measure, the Commission provided direction to budget for the average between the high and low County-level estimates (high estimate-if no flavor ban takes place; low estimate-if the favor ban gets implemented).

Based on these assumptions, the average annual Proposition 10 over the next 5 years (FY2023/24-FY2027/28) is estimated at \$2,930,000. This will be adjusted in the planning model as the results of the referendum are known.

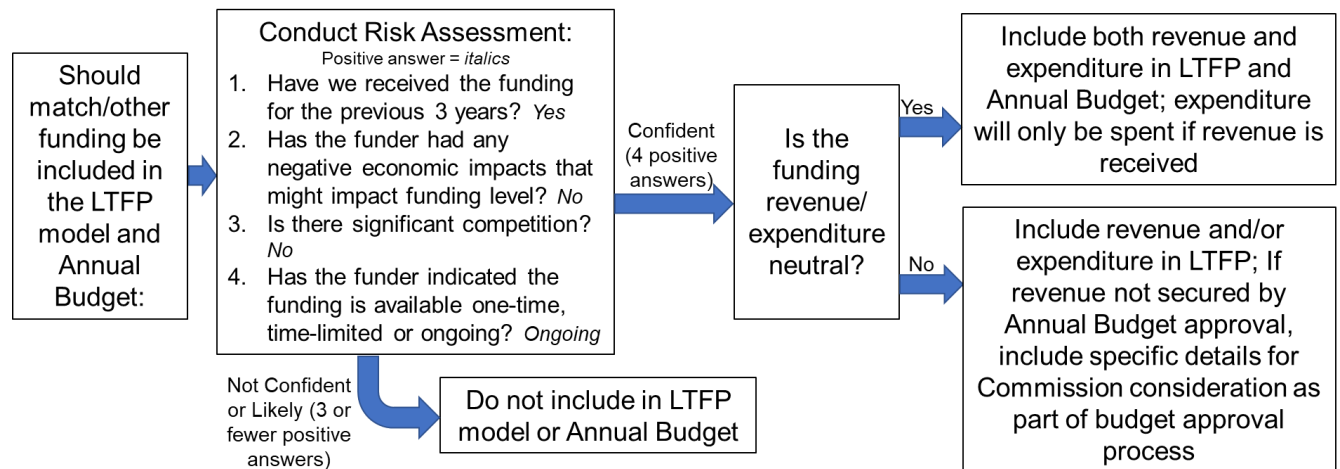
2. **Rate of interest earnings** – As an Agency of the County, the Commission's funds are managed by the Solano County Treasurer's Office and invested in the County Investment Pool following the Solano County Investment Policy. Interest rates have varied over the last 5 year period from a high of 1.83% to a low of 0.29% for an average of 1.03%. For this LTFP, interest is estimated to generate \$125,000 annually, or approximately a 1% rate of return on the Commissions Trust Fund.
3. **Unexpended program investment** – The Commission has historically underspent its budget due to vacancies in staffing, under-spending in services and supplies, and under-spending in grant and program areas. The past LTFP model included an estimated 5% in unexpended program investment due to under-spending in the annual budget.

Analysis of the last 4 years showed actual underspending varied between 16%-31% with an average of 22%. Based on this information, this LTFP budgets for 15%

underspending; this means that *budgeted* expenditure at approximately \$640,000 more than anticipated revenue with the goal that *actual* expenditure comes in closer to *actual* revenue.

4. **Matching/Other funds** – Matching funds or other funding, such as grants, may be secured via multi-year contracts, year over year on an annual basis, or one-time. Previous long-term financial plans only included funding from sources other than Proposition 10 if they were fully secured (e.g. in contract). However, in the last 5 years, the Commission has done a significant amount of work to raise its profile and secured other resources resulting in an average of \$2 million secured annually in the First 5 Solano budget for the last 4 years. This triggered a reevaluation of how matching/other funds are considered in the Commission’s LTFP.

Based on the increase in matching/other funds, matching/other funds will be considered for inclusion in the LTFP based upon a Risk Assessment as detailed below:



Utilizing the Risk Assessment and flow chart, the following funding sources are included in the Commission’s LTFP:

- **Mental Health Services Act (MHSA):** Since 2008, First 5 Solano has received \$600,000 annually in matching funds from MHSA Prevention and Early Intervention for early childhood mental health prevention and early intervention services. Most recently, this has been used to partially fund developmental screenings, Triple P Parent Education, and the Help Me Grow Solano resource and referral program. The MHSA funds meet all 4 questions in the Risk Assessment giving it a rating of “Confident” and the funding is revenue/expenditure neutral as the revenue is only received if there is a corresponding qualifying expenditure.
- **Kaiser Permanente:** First 5 Solano has received between \$25,000-\$40,000 annually to fund services at the Vallejo First 5 Center. While the grant does require an annual application, it is by invitation only, so there is not significant competition meeting the criteria in the Risk Assessment. The funding is also revenue/expenditure neutral as it is used to fund services at the First 5 Center. \$25,000 is included in the Commission’s LTFP.

- Yocha Dehe Wintun Nation: Since 2020, Yocha Dehe Wintun Nation has provided \$300,000 annually to support the Vallejo First 5 Center. Based on the Risk Assessment and flow chart, these funds are included in the Commission's LTFP.
- County General Fund: First 5 Solano has historically provided contract management services to the Solano County Board of Supervisors for contracts related to the Community Investment Fund. The county provides \$65,000 in reimbursement for staffing related to the management of these contracts. As this funding is expected to be ongoing and meets the criteria in the Risk Assessment and flow chart, it is included in the Commission's LTFP.

The revenue from these four funding sources totals \$990,000. Other funds sources which have been received which were evaluated but did not meet the criteria in the flow chart included:

- First 5 California grants: Over the past funding cycle, First 5 Solano has received multiple grants from First 5 California including for IMPACT quality early learning and Home Visiting Coordination. First 5 California has not announced its funding strategy for grants beyond FY2022/23 and therefore these are not included. Should First 5 Solano secure funding and a contract with First 5 California via a Request for Applications, this will be included in LTFP model updates as appropriate.
- Public and private project-based one-time grants: Over the past 5 years, First 5 Solano has been successful in obtaining one-time grants or funding from both public sources, such as the state budget, the City of Vallejo, or private sources, such as foundations, for projects such as the renovations at the Vallejo First 5 Center, the launch of the Fairfield First 5 Center, and the renovations of the Vallejo Early Learning Center. As these grants have been one-time in nature, they are not included in the Commission's LTFP.

In addition, the Commission has outlined principles for seeking other funding. There are benefits and drawbacks for seeking various sources which should be considered and general principles with which First 5 Solano will evaluate other funding sources are:

- Where possible, connect nonprofit and community partners directly to funding opportunities and funding relationships and assist them as needed to be competitive and access resources
- Evaluate return on investment, especially for smaller funding opportunities
- Do not compete in opportunities in which other community partners are competing, unless there are multiple awards available
- Focus on opportunities which bring in additional funding to Solano County or to the early childhood system (making Solano's "pie" bigger)
- Assist early childhood system by providing in-kind coordination, backbone support, and local match resources, where applicable.



- Administration
- Program
- Evaluation

1. **Administration:** Administrative costs are characterized following the Commission's Administrative Cost Policy and include salary, benefits, services, and supplies associated with supporting the Commission and general operations. Per the Commission's Administrative Cost Policy, Administrative costs can be no more than 15% of the Commission's overall budget. For this LTFP, Administrative costs are calculated at an average of \$460,000 annually.
2. **Program:** Contracted/professional services expenses by priority area as allocated in the Commission's Program Investment Plan. In addition, program expenses include salary, benefits, services, and supplies associated with implementing and monitoring the Commission's investments and operating internal programs, such as: Community Engagement, Community Responsive Minigrants, and Systems Change. Program expenditures are the vast majority of the Commission's budget and are calculated at an average of \$4,105,000 annually.
3. **Evaluation/Data Collection:** Evaluation expenses are related to data collection and evaluation of the Commission's investments and are calculated at \$120,000 annually.



- Reserves

Reserves – The Commission holds a Reserve account with the Solano County Treasury. The Reserve account holds the balance of unspent funds from prior years.

The Commission receives revenues and makes expenditures from its annual budget throughout the Fiscal Year. Annual expenditure is first reimbursed by applying the current year Proposition 10 revenue and other current revenue, such as interest, matching funds, and grants. At the close of the Fiscal Year, if there is revenue that is not spent during the year, that amount is added to the Reserve, increasing the next year's beginning Reserve balance. If expenditure is more than revenue received in a Fiscal Year that amount is withdrawn from the Reserve, decreasing next year's beginning Reserve Balance.

These amounts are further illustrated below for the period of FY2018/19-FY2021/22:

	Actual FY2018/19	Actual FY2019/20	Actual FY2020/21	Actual FY2021/22
Reserve (Beginning)	8,353,676	9,751,039	9,721,402	10,795,044
Total Revenue	4,876,545	5,401,853	5,301,056	6,810,216
Total Expenditure	3,479,182	5,431,490	4,227,414	4,042,020
Net Increase/Decrease	1,397,363	(29,637)	1,073,642	2,768,196
Reserve (Ending)	9,751,039	9,721,402	10,795,044	13,563,240

First 5 Solano had been intentionally spending its Reserve until 2012 when the Commission became concerned that First 5 Solano would no longer have a reserve if spending continued at that level. The Commission worked over the 2012 funding cycle to bring revenues in line with expenditures. Since 2018, the Commission has no longer been budgeting for a draw on reserve to meet its obligations. In addition, as noted above, Proposition 10 tobacco tax has not declined as rapidly as initially projected and the Commission has been successful in bringing in additional revenue, which has led to the Commission adding to its reserve over the last 5-year period.

This increase in Reserve balance led the Commission to implement a Reserve Policy in which the Commission aims to maintain a Reserve balance between \$5 million and \$8 million and outlines the actions the Commission will take should the Reserve balance go above or below this amount.

III. Framework for Investment

First 5 Solano utilizes the projections from the LTFP to identify a multi-year stable level of expenditure through its Program Investment Plan. This allows the Commission to allocate funding to programs over a multi-year time period giving stakeholders a predictable level of program investment and stability in services in the community.

To identify the funds available for programs to support implementation of the Commission's Strategic Plan, based on the assumptions described above, the total anticipated revenue over the next 5 years is \$4,685,000. This is broken down by revenue source in the following table:

Revenue Source	Average Annual Projected Amount
Proposition 10	2,930,000
Interest	125,000
Other Funding (MHSA, Kaiser, Yocha Dehe, General Fund)	990,000
Underspensing	640,000
Total Revenue	4,685,000

Based on the required expenditure categories, the Commission's multi-year expenditure plan is as follows:

Expenditure Category	Average Annual Projected Amount
Evaluation	120,000
Administration	460,000
Program	4,105,000
Total Expenditure	4,685,000

The \$4,105,000 within the Program expenditure is further broken down into multiple categories as identified in the table below:

Program Expenditure	Description	Average Annual Projected Amount
Program Staffing and Operations	Internal First 5 Solano staff and supplies to operate programs, such as program planning, procurement, contract monitoring, etc.	820,000
Priorities 1-3	Programs delivered via community partners to support the Commission's Strategic Plan Areas 1-3	2,565,000
Priority 4: Systems Change	Internal First 5 Solano staff and program expenses directly attributable to the Commission's Systems Change Priority Area	600,000
Community Engagement	Internal First 5 Solano expenses related to community engagement, such as Community Responsive Mini grants, the community information calendar, events, etc.	120,000
Total Program Expenditure		4,105,000

Taking into account both revenue and expenditure, as well as First 5 Solano or other matching funds which generally have restrictions on how they can be utilized, the Commission's Revenue and Expenditure Projection for the next 5 year period is identified in the table below:

5-Year Revenue and Expenditure Projections			
Revenue Category	First 5 Solano Funds	Other Funds	Average Annual Projected Amount
Proposition 10	2,930,000		2,930,000
Interest	125,000		125,000
Other Funding (MHSA, Kaiser, Yocha Dehe, General Fund)		990,000	990,000
Underspending	640,000		640,000
Total Revenue	3,695,000	990,000	4,685,000
Expenditure Category	First 5 Solano Funds	Other Funds	Average Annual Projected Amount
Evaluation/Data Collection	120,000		120,000
Administration	460,000		460,000
Program Staffing and Operations	755,000	65,000	820,000
Priorities 1-3	1,640,000	925,000	2,565,000
Priority 4: Systems Change	600,000		600,000
Community Engagement	120,000		120,000
Total Expenditure	3,695,000	990,000	4,685,000
Change in Fund Balance	0	0	0

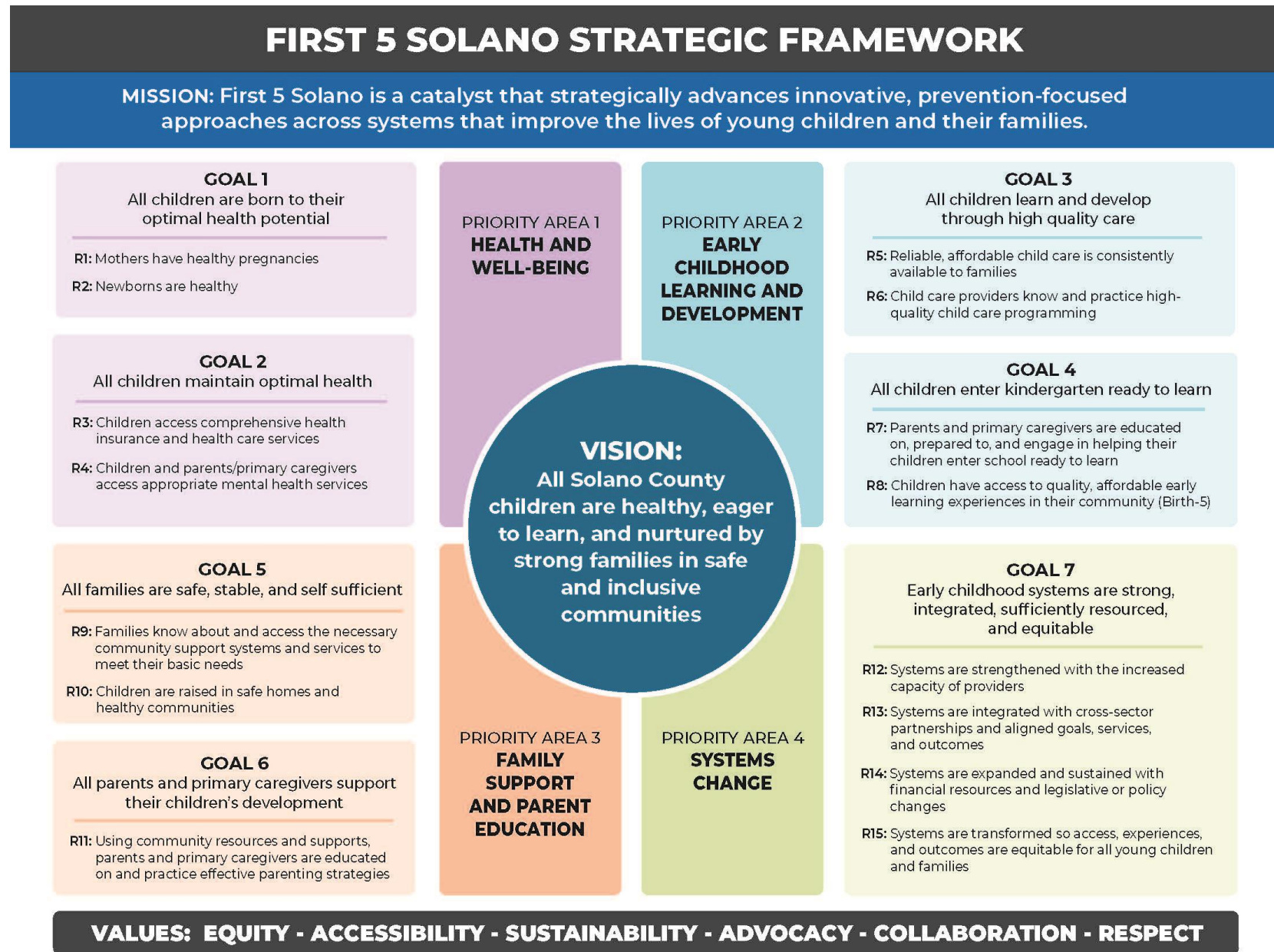
As past practice with the LTFP, the 2023 LTFP funding model utilizes the FY2023/24 level of spending with a stable level of funding up to FY2027/28 and projects out to FY2032/33. (See Appendix C: Financial Model for more detail.) However, if the Commission makes future financial decisions or actuals come in significantly different than projections, this would change the LTFP model and the financial outlook of the Commission.

APPENDIX A: Interrelation of Planning Tools

	Strategic Plan & Systems Change Action Plan	Long Term Financial Plan	Multi-Year Program Investment Plan	Annual Budget
Purpose	Sets vision, mission, values, priority areas, goals, and results	Provides long-term policies and projections for funding priorities	Communicates how funds will be allocated toward strategies that contribute to strategic goals and results	Translates vision into action through detailed spending plan
Key Functions	<ul style="list-style-type: none"> – Describes vision and role of First 5 Solano in achieving that vision – Outlines values First 5 Solano will adhere to in its work – Sets strategic goals and results within priority areas – Offers sample strategies to achieve the goals and results 	<ul style="list-style-type: none"> – Forecasts funding by type of revenue or expense – Quantifies amount of program investment each year – Establishes policies on use of financial resources 	<ul style="list-style-type: none"> – Reviews recent allocations against LTFP policies – Directs program investment funds to initiatives aligned with strategic priority areas, goals, and results – Provides a timeline for funding 	<ul style="list-style-type: none"> – Provides specific forecasts and expenditure plans by revenue and expense accounts
Scope	Broad	Broad	Moves from broad to specific	Specific
Planning Horizon	Generally 5 years	10 years	Generally aligns with Strategic Plan	One year
Does not...	Make specific spending commitments	Obligate future Commissions to specific funding or authorize contracts	Specify grantees or contracts	Guarantee future funding to current grantees

Adapted from Proposition 10 Financial Planning Guidebook, June 2002, page 18

APPENDIX B: First 5 Solano Strategic Framework



APPENDIX C: Financial Model

CATEGORY			FY2023/24-FY2027/28					FY2028/29 & Beyond				
	Actual FY2021/22	Budgeted FY2022/23	Projection FY2023/24	Projection FY2024/25	Projection FY2025/26	Projection FY2026/27	Projection FY2027/28	Projection FY2028/29	Projection FY2029/30	Projection FY2030/31	Projection FY2031/32	Projection FY2032/33
REVENUE												
Fund Balance (Beginning)	10,795,044	13,563,240	12,685,440	12,905,312	12,973,147	12,966,550	12,872,251	12,692,881	12,466,750	12,194,653	11,877,372	11,515,675
Tobacco Tax - Prop 10	3,466,687	3,388,594	3,149,872	2,997,835	2,923,403	2,835,701	2,750,630	2,703,869	2,657,903	2,612,719	2,568,303	2,524,642
Interest	39,102	53,211	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Matching Funds	762,841	1,003,519	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Other	2,541,586	70,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000
Unexpended funds returned to LTFP		283,849	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000
TOTAL REVENUE	6,810,216	4,799,173	4,904,872	4,752,835	4,678,403	4,590,701	4,505,630	4,458,869	4,412,903	4,367,719	4,323,303	4,279,642
Total Available Resources	17,605,260	18,362,413	17,590,312	17,658,147	17,651,550	17,557,251	17,377,881	17,151,750	16,879,653	16,562,372	16,200,675	15,795,317
APPROPRIATIONS												
Program Priorities 1-4	3,339,719	4,999,522	3,985,000	3,985,000	3,985,000	3,985,000	3,985,000					
Salaries, Benefits, Services and Supplies	650,375	551,508	820,000	820,000	820,000	820,000	820,000					
Priority 1 - Health and Well Being	373,594	505,375										
Priority 2 - Early Care & Education	524,506	752,337	2,565,000	2,565,000	2,565,000	2,565,000	2,565,000					
Priority 3 - Family Support & Parent Education	857,182	1,080,595										
Priority 4 - Systems Change	401,389	483,867	600,000	600,000	600,000	600,000	600,000					
Other	532,673	1,625,840	120,000	120,000	120,000	120,000	120,000					
Evaluation & Data Collection	151,450	110,400	120,000	120,000	120,000	120,000	120,000					
Total Program	3,491,169	5,109,922	4,225,000	4,225,000	4,225,000	4,225,000	4,225,000					
Administrative												
Total Administrative	550,851	567,051	460,000	460,000	460,000	460,000	460,000	-	-	-	-	-
Administrative Percentage	14%	10%	10%	10%	10%	10%	10%	0%	0%	0%	0%	0%
TOTAL APPROPRIATIONS	4,042,020	5,676,973	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000
Net Increase/Decrease	2,768,196	(877,800)	219,872	67,835	(6,597)	(94,299)	(179,370)	(226,131)	(272,097)	(317,281)	(361,697)	(405,358)
Fund Balance (Ending)	13,563,240	12,685,440	12,905,312	12,973,147	12,966,550	12,872,251	12,692,881	12,466,750	12,194,653	11,877,372	11,515,675	11,110,317

1

A photograph showing several young children in a classroom setting, wearing face masks and playing with large, colorful (yellow, green, red) building blocks. One child in the foreground is focused on stacking the blocks.

First 5 Solano

Long Term
Financial Plan

Commission Meeting
October 19, 2022

1

2

Current Landscape

- ▶ Prop 10 fund not declining as rapidly as once projected
- ▶ Interest no longer a significant source of revenue
- ▶ Diversified and increased portfolio
- ▶ Increase in Commission Reserve
- ▶ New revenue from tax on e-cigs
- ▶ Flavor ban impacts unknown
- ▶ New resources for early childhood, including monumental investment in Transitional Kindergarten, establishing a new grade for 4-year olds

2

3

LTFP Principles

- ▶ Designed to communicate Commission's long-term approach to funding
- ▶ Uses LTFP as a framework for development of Program Investment Plan and Budget
- ▶ LTFP outlines appropriations by category – does not obligate commission
- ▶ Financial Model updated annually
- ▶ Any changes approved by full commission

3

4

Financial Model

CATEGORY	FY2023/24-FY2027/28							FY2028/29 & Beyond				
	Actual FY2021/22	Budgeted FY2022/23	Projection FY2023/24	Projection FY2024/25	Projection FY2025/26	Projection FY2026/27	Projection FY2027/28	Projection FY2028/29	Projection FY2029/30	Projection FY2030/31	Projection FY2031/32	Projection FY2032/33
REVENUE												
Fund Balance (Beginning)	10,795,044	13,563,240	12,685,440	12,905,312	12,973,147	12,966,550	12,872,251	12,692,881	12,466,750	12,194,653	11,877,372	11,515,675
Tobacco Tax - Prop 10	3,466,687	3,388,594	3,149,872	2,997,835	2,923,403	2,835,701	2,750,630	2,703,899	2,657,903	2,612,719	2,568,303	2,524,642
Interest	39,102	53,211	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000	125,000
Matching Funds	762,841	1,003,519	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000	600,000
Other	2,541,586	70,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000	390,000
Unexpended funds returned to LTFP	283,849	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000	640,000
TOTAL REVENUE	6,810,216	4,799,173	4,904,872	4,752,835	4,678,403	4,590,701	4,505,630	4,458,869	4,412,903	4,367,719	4,323,303	4,279,642
Total Available Resources	17,605,260	18,362,413	17,590,312	17,658,147	17,651,550	17,557,251	17,377,881	17,151,750	16,879,653	16,562,372	16,200,675	15,795,317
APPROPRIATIONS												
Program Priorities 1-4	3,339,719	4,999,522	3,985,000	3,985,000	3,985,000	3,985,000	3,985,000					
Salaries, Benefits, Services and Supplies	650,375	551,508	820,000	820,000	820,000	820,000	820,000					
Priority 1 - Health and Well Being	373,594	505,375										
Priority 2 - Early Care & Education	524,506	752,337	2,565,000	2,565,000	2,565,000	2,565,000	2,565,000					
Priority 3 - Family Support & Parent Education	857,182	1,080,595										
Priority 4 - Systems Change	401,389	483,867	600,000	600,000	600,000	600,000	600,000					
Other	532,673	1,625,840	120,000	120,000	120,000	120,000	120,000					
Evaluation & Data Collection	151,450	110,400	120,000	120,000	120,000	120,000	120,000					
Total Program	3,491,169	5,109,922	4,225,000	4,225,000	4,225,000	4,225,000	4,225,000					
Administrative												
Total Administrative	550,851	567,051	460,000	460,000	460,000	460,000	460,000					
Administrative Percentage	14%	10%	10%	10%	10%	10%	10%	0%	0%	0%	0%	0%
TOTAL APPROPRIATIONS	4,042,020	5,676,973	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000	4,685,000
Net Increase/Decrease	2,768,196	(877,800)	219,872	67,835	(6,597)	(94,299)	(179,370)	(226,131)	(272,097)	(317,281)	(361,697)	(405,358)
Fund Balance (Ending)	13,563,240	12,685,440	12,905,312	12,973,147	12,966,550	12,872,251	12,692,881	12,466,750	12,194,653	11,877,372	11,515,675	11,110,317

4

5

Financial Status

- ▶ Proposition 10 revenues are \$3.4 million with a 1.7% annual rate of decline.
- ▶ The FY2022/23 budget is \$4.7 million in revenue and program/admin expenses
- ▶ Commission's reserves are at approximately \$13.5 million. Of this amount:
 - ▶ \$2.1 million is obligated to the Fairfield First 5 Center.
 - ▶ \$1.63 million is obligated to the Vallejo Early Learning Center
 - ▶ \$400,000 is obligated to the Play For All Park
- ▶ Balance of \$9.37 million

5

6

Financial Assumptions

Revenues

- ▶ Proposition 10 Tobacco Tax
- ▶ Interest Income
- ▶ Unexpended Program Investment
- ▶ Matching/Other Funds

Expenditures

- ▶ Program
- ▶ Admin
- ▶ Evaluation

Reserves via a Reserve Spending Plan

6

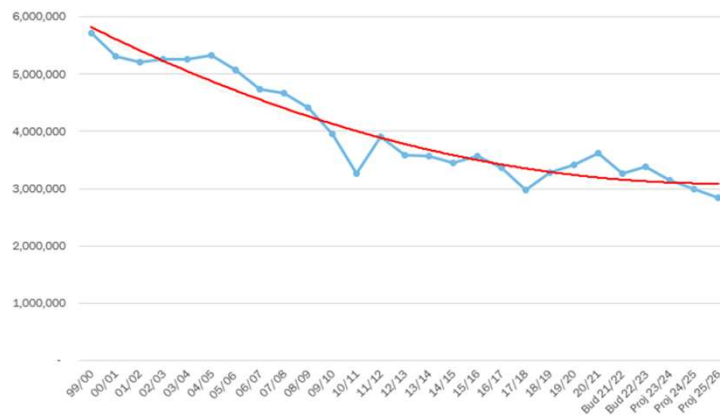
7

Proposition 10 Revenue Over Time

3% decrease
annually

changed to

**1.7%
decrease
projected
annually**



7

8

Other Revenue Changes

- ▶ 1% interest rate
- ▶ Unexpended program expenditure increased from 5% to 15% projection
- ▶ Matching funds budgeted based upon risk assessment

8

9

Expenditure

- ▶ Program
- ▶ Administration
- ▶ Evaluation

- ▶ LTFP now includes probable matching funds

9

10

Reserves

	Actual FY2018/19	Actual FY2019/20	Actual FY2020/21	Actual FY2021/22
Reserve (Beginning)	8,353,676	9,751,039	9,721,402	10,795,044
Total Revenue	4,876,545	5,401,853	5,301,056	6,810,216
Total Expenditure	3,479,182	5,431,490	4,227,414	4,042,020
Net Increase/Decrease	1,397,363	(29,637)	1,073,642	2,768,196
Reserve (Ending)	9,751,039	9,721,402	10,795,044	13,563,240

Developed Reserve Spending Plan

10

11

5-Year Revenue & Expenditure Projections

5-Year Revenue and Expenditure Projections			
Revenue Category	First 5 Solano Funds	Other Funds	Average Annual Projected Amount
Proposition 10	2,930,000		2,930,000
Interest	125,000		125,000
Other Funding (MHSA, Kaiser, Yocha Dehe, General Fund)		990,000	990,000
Underspending	640,000		640,000
Total Revenue	3,695,000	990,000	4,685,000
Expenditure Category	First 5 Solano Funds	Other Funds	Average Annual Projected Amount
Evaluation/Data Collection	120,000		120,000
Administration	460,000		460,000
Program Staffing and Operations	755,000	65,000	820,000
Priorities 1-3	1,640,000	925,000	2,565,000
Priority 4: Systems Change	600,000		600,000
Community Engagement	120,000		120,000
Total Expenditure	3,695,000	990,000	4,685,000
Change in Fund Balance	0	0	0

11

12

Next Steps



Incorporate comments into
Draft Long Term
Financial Plan



Approve
Long Term
Financial Plan



Implement
Strategic Plan

12

Date: October 10, 2022

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **Draft Program Investment Plan** (forwarded from Policy and Oversight Committee)

Agenda Item VIII: 2023 Program Investment Planning

Of the Commission's planning efforts, it most recently has been working on its 2023 Program Investment Planning. The Program Investment Plan (PIP) is used to articulate the funding that the Commission intends to use over the next 5-year period, and the strategies it intends to implement to achieve its mission. While the Commission still has to prioritize its remaining programming, the Draft of the 2023 Program Investment Plan is attached for review, discussion and input. ([Attachment A](#))

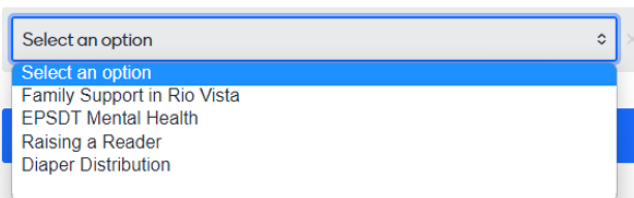


Additionally, the Commission has approximately \$92,000 remaining annually to designate for programs. At the October Commission Meeting, Applied Survey Research (ASR), the Commission's Strategic Planning and Evaluation partner will facilitate with the Commission a ranking process for its remaining strategies using Mentimeter to finalize the investments for the 2023-2028 PIP.

Prioritize Remaining Strategies

Select as many as you want in the order you prefer. There are 4 options in total.

1st



Select an option

Select an option

- Family Support in Rio Vista
- EPSDT Mental Health
- Raising a Reader
- Diaper Distribution

The Commission has 4 remaining programs to consider investment in. Those areas are listed below, along with their previous funding amount. Note that the Commission does not have to fund at the previous amount; it can choose a different amount in which to invest:

- EPSDT ~ \$100,000
- Family Support in Rio Vista ~ \$50,000
- Raising a Reader ~ \$75,000
- Diaper Distribution ~ \$50,000

The programs listed above have Strategy Snapshots included as Attachment B to this staff report.

Committee Discussion

The Policy and Oversight Committee reviewed the draft of the 2023 Program Investment Plan and expressed that accurately captured the Commission's direction. The Committee also indicated that the Mentimeter activity was appropriate to rank its last potential investments.



PROGRAM INVESTMENT PLAN

2023 – 2028 FUNDING CYCLE

PROPOSED OCTOBER 19, 2022



First 5 Solano Program Investment Plan: 2023 – 2028 Funding Cycle

I. Introduction

The First 5 Solano Children and Families Commission's Program Investment Plan for the 2023-2028 funding cycle identifies the initiatives and programs that the Commission intends to implement in support of its Strategic Plan over the next five years. During the time of this Program Investment Plan (PIP), the Commission will oversee over \$15.8 million in programmatic investments to support the Commission's mission and vision for Solano's young children and generate tangible results for children and families. This PIP establishes the Commission's sustainable funding level for the next 5 years at \$4.685 million annually including matching funds; this is the amount at which it is projected that expenditures and revenues are balanced and no use of Commission reserves is required.

During this five-year period, for the first time the Commission includes unsecured matching funds in its PIP, demonstrating its continued commitment to work alongside funding partners to achieve similar goals and maximize resources.¹ These efforts further establish First 5 Solano in its role as one member of a larger group of agencies dedicated to supporting the early childhood system.

At the start of this PIP, the Commission has a healthy reserve and for the first time, has decided to develop a Reserve Policy. This Reserve Policy articulates the circumstances in which the Commission may spend from its Reserve or may reduce ongoing spending to conserve funds to rebuild its Reserve. The Reserve Policy states that when the Commission has funds available to spend from its reserve, a Reserve Spending Plan will be developed. The Reserve Spending Plan is included in this PIP, which identifies dollars that may be spent during this FY2023-2028 funding cycle.

The PIP is designed to serve as a "bridge" document between the Strategic Plan, the Long Term Financial Plan, and the Annual Budget. The term of this PIP is five fiscal years – FY2023/24 through FY2027/28. During this time, the Commission's ongoing expenditure level is projected to be on par with its projected revenue level and will not draw on its reserves for ongoing programs and services.

The Commission's Strategic Plan mission is that "First 5 Solano is a catalyst that strategically advances innovative, prevention-focused approaches across systems that improve the lives of young children and their families." With this mission the Commission demonstrates its intent to think differently, be bold, pay attention to data and best practices, and be strategic in its efforts with its partners in the community to achieve outcomes for children ages 0-5 and their families.

II. Investment and Services

¹ The Commission's Long Term Financial Plan outlines a risk assessment process to identify matching funds which are highly likely to be secured and included in the Commission's Long Term Financial Plan and Program Investment Plan.

The Commission utilizes a multi-pronged approach, providing significant programmatic investment in the community, partnering and aligning with like-minded agencies in the community to maximize resources, and investing in system change activities. This approach is designed to allow First 5 Solano to prioritize its investments in sustainable ways that allow it to live within its means, complement other efforts happening around the county to maximize resources and achieve lasting change in the early childhood system.

The components of this multi-pronged approach are as follows:

A. Programmatic Investment

First 5 Solano continues to sustain its commitment to support programs and services in the community that fill the most critical gaps in services across its portfolio. While no longer being able to fund entire programs, the Commission utilizes its unique position in the community to contribute to efforts as one component of larger service systems. For the first time, the Commission is including unsecured matching funds in its Long Term Financial Plan and Program Investment Plan, demonstrating the Commission's commitment to work alongside partners to achieve similar goals and maximize resources in the community.

For this funding cycle, the Commission considered multiple data and evaluation sources, including a review of community indicators of early childhood well-being, geographic analysis of high-risk areas in the county, and other data reports on best practices.

Through this data driven process, the Commission has allocated funding to the following strategies:

- Help Me Grow Solano: An evidence-based resource and referral program affiliated with the national model which includes developmental screenings and linking families to community resources
- Triple P Positive Parenting Program: An evidence-based parent education program with multiple levels from one-time light touch to intensive individual and group services to meet a wide variety of parenting needs
- First 5 Centers: Neighborhood based hubs in under resourced communities which provide a complement of services including parent child interaction classes, a community resource specialist, peer to peer support and linkages to community resources
- School Readiness: Activities to strengthen school readiness for young children such as increased articulation from other early learning programs into transitional kindergarten, information sharing for parents around TK, preschool, and early learning options, identification and solutions to fill gaps in care
- Perinatal Services for Black Moms: Support for Solano HEALS to address birth disparities for Black moms and babies through strategies such as equity focused community discussions, comprehensive training series for medical providers to increase the lens of equity within the healthcare

system, Black Centering Pregnancy Groups, comprehensive Advocacy training for community leaders, medical providers, and medical support staff to support and advocate for Black birthing mothers.

- Annual Grants: One-year grants to fill community gaps, provide flexibility in responding to community needs, pilot new and innovative ideas, engage with new community partners, and address time-sensitive needs between funding cycles.
- TBD

Ramp Down Old/Ramp Up New Programs

The Commission is establishing a new Fairfield First 5 Center on the North Texas Recreational Facility, with an open date of April 2024. With the funding cycle beginning July 2023, the Commission has one-time savings of \$250,000 from this later program launch. The Commission also has a few programs that are ramping down and being replaced by a newer Commission effort. This one-time funding will be used to ramp down the following programs:

- **Head Start Lease/Early Learning Center**

First 5 Solano currently holds the lease with Solano County for the child care site on the Solano County Health & Social Services campus on Beck Avenue in Fairfield. First 5 Solano allows Child Start, Inc. to use the space at no cost to serve 36 children in Head Start/Early Head Start. The term of this lease is for 2 more fiscal years, through FY2024/25 for approximately \$55,000 annually. The Commission will pay the remaining 2 years of the lease at \$110,000 and facilitate transitioning the lease with Solano County to Child Start to continue services.

- **Pre-K Academies/Childcare Supports**

The Commission has long-funded pre-k academies, working to support children to be ready for school. The State of California recently expanded transitional kindergarten (TK) to provide school readiness for all 4-year olds. This is a monumental change for the TK-12 system and an opportunity for many children be better prepared for school regardless of income. TK will be ramped up over the first 2 years of the Commission's funding cycle, so the Commission will fund some pre-k academies (\$80,000 in year 1 and \$60,000 in year 2 of the funding cycle) to ramp down pre-k academies as TK ramps up. This \$140,000 total is the remainder of the \$250,000 available from the late launch of the Fairfield First 5 Center.

B. Systems Change Efforts

First 5 Solano continues to work with other county leaders and partners to proactively pursue opportunities that achieve its systems change goal that "Early childhood systems are strong, integrated, sufficiently resourced and equitable."

While this work can be difficult to quantify, this Commission has been able to see tangible changes with this work over the last funding cycle, such as:

- COVID-19 response, with the Commission providing child care for emergency workers and needed PPE supplies to providers across the county
- Leveraged resources in the community for early childhood of \$4 million annually, increasing resources for multiple partners and expanding the work of First 5 Solano
- Nonprofit capacity building, assisting both agencies that are new to the field that start out with small grants, as well as training and technical assistance for established agencies
- The successful launch of a Vallejo First 5 Center and state funding secured to establish a Fairfield First 5 Center
- Planning for of an Early Learning Center in Vallejo, providing critical infant/toddler slots and expanding slots by up to 300 children

With this work, the Commission seeks to leverage existing partnerships to positively impact the early childhood system and sustain the work that has been rooted in Solano County. The Commission continues to accomplish these results by effectively blending its portfolio to complement other investments in the community which helps maximize resources and broaden the ability to meet multiple community needs alongside other early childhood entities.

C. Reserve Spending Plan

For the first time, the Commission has decided that while its ongoing investments are articulated in its PIP, it also intends to make one-time investments from its Reserve, as appropriate, to support implementation of its Strategic Plan. According to the Commission's Reserve Policy, "should the Reserve balance be in excess of \$8 million, the Commission will develop Reserve Spending Plan in conjunction with the Program Investment Plan Update".

Commission's reserves are at approximately \$13.5 million. Of this amount:

- \$2.1 million is obligated to the Fairfield First 5 Center.
- \$1.63 million is obligated to the Vallejo Early Learning Center.

The balance of the Reserve is \$9.77 million, triggering the development of a Reserve Spending Plan, included in this PIP.

Attachments

- A: Summary Spending Plan & Annual Program Investment Levels
- B: Reserve Spending Plan
- C: 2023 Strategic Plan Framework

Attachment A
Summary Spending Plan & Annual Program Investment Levels

Summary Spending Plan

Expenditure Category	First 5 Solano Funds	Other Funds in LTFP	Annual Amount
Evaluation/Data Collection	120,000		120,000
Administration	460,000		460,000
Program Staffing and Operations	755,000	65,000	820,000
Priorities 1-3	1,640,000	925,000	2,565,000
Priority 4: Systems Change	600,000		600,000
Community Engagement	120,000		120,000
Total	3,695,000	990,000	4,685,000

Annual Program Investment Levels

Initiative	Strategies	First 5 Solano Funds	Matching Funds	Total
Prenatal for Black Moms	Systems change efforts to support better outcomes for prenatal and early parenting black mothers	100,000		\$100,000
Help Me Grow Solano	Access and linkage to services; family navigation/coordination	138,000	192,000	\$330,000
Triple P	Parent education and mental health treatment	250,000	250,000	\$500,000
First 5 Centers	Parent education; family strengthening programs with a focus on Vallejo and Fairfield	800,000	483,000	\$1,283,000
School Readiness	Supports for the early childhood education system to address impacts from new TK grade	100,000		\$100,000
Annual Grants	Small grants issued every year to fill gaps, address time-sensitive issues and spark innovation	160,000		\$160,000
TBD				
Systems Change	Supports to ensure early childhood systems are strong, integrated, sufficiently resourced and equitable	600,000		600,000
	Total:	1,188,000	925,000	\$2,713,000

Attachment B Reserve Spending Plan

According to the Commission's Reserve Policy, when the Commission's Reserve is above \$8 million, the Commission will develop a Reserve Spending Plan. The Commission's Reserve balance is currently \$13.5 million, triggering the development of a Reserve Spending Plan.

While the Commission's Reserve is \$13.5 million, it is important to note that this is not the amount that is available for spending. Of the \$13.5 million:

- \$2.1 million is obligated to the Fairfield First 5 Center
- \$1.63 million is obligated to the Vallejo Early Learning Center
- \$400,000 is obligated to the Play 4 All Park in Vacaville

The balance of the Reserve is \$9.37 million, still above the \$8 million ceiling detailed in the Commission's Reserve Policy, triggering the development of this Reserve Spending Plan.

Over the FY2023-2028 funding cycle, the Commission may utilize up to \$3.37 million on investments in the community. These investments will:

- Be one-time in nature
- Align with the Commission's Strategic Plan
- Have data that supports the need in the community.

During this funding cycle the Commission has prioritized investments from its Reserve in the Vallejo Early Learning Center as well as investments which transform systems so that access, experiences, and outcomes are equitable for all young children and families. Other investments may be considered on a case-by-case basis. Any allocations from the Commission's Reserve must be allocated by the full Commission.

MISSION: First 5 Solano is a catalyst that strategically advances innovative, prevention-focused approaches across systems that improve the lives of young children and their families.



VALUES: EQUITY - ACCESSIBILITY - SUSTAINABILITY - ADVOCACY - COLLABORATION - RESPECT

EPSDT Mental Health

Program Description and Current Strategies

Provides part of the local match requirement for Medi-Cal mental health interventions via assessment and mental health treatment for young children and their parents.

Annual Funding: \$100,000

Dose: Intensive

Medi-Cal Entitlement; All Medi-Cal eligible children with significant mental health needs receive intensive treatment.

Commission Strategic Plan



Addresses Priority 1

Numbers Served/Reach: Approximately 150 children 0-5 receive mental health treatment under EPSDT annually. The population in need of mental health treatment is unknown – data development area.

Leveraging Potential: High

Health care, including mental health services, are included as a covered service. This funding is utilized as part of the required local match.

Supplemental Considerations: Trend data over the past 3 years shows that H&SS utilizes approximately \$50,000 of this funding per year. Cal AIM will change how EPSDT services are funded by Counties from a fee for service model to a managed care model. The impact of this change is unknown.

Support Level Options	A: \$100,000	B: \$50,000	C: \$0
Level of Service	Continue current level of service	Trend data indicates this level of funding meets current needs	

Raising a Reader

Program Description and Current Strategies

Raising A Reader is an evidence-based early literacy program helping young children develop, practice, and maintain habits of reading together at home. The core program model increases access to books via a rotated bookbag and offers support for strengthening the culture of reading at home.

Annual Funding: \$75,000

Dose: Low

Families receive a new bookbag with books rotated weekly, with the provider training the parent on how to use the books with their child

Numbers Served/Reach: Approximately 800 children participate in the program annually.

Leveraging Potential: Low

There are very few funding partners for Raising a Reader. With the variety of available literacy supports, other funders can be hard to identify.

Supplemental Considerations: Over the past 5 years, a significant investment in books utilized for the program has been made so continuing the program would ensure the investment is utilized. There is an ongoing cost for engaging and training providers, and book replacement as needed. In addition, the Commission has supported other literacy efforts through Annual Grants and Community Responsive Mini Grants and the state budget includes \$10 million for early literacy programming through WIC.

Commission Strategic Plan



Addresses Priority 2

Support Level Options	A: \$75,000	B: \$50,000	\$0
Level of Service	Current level of service	Reduced funding in consideration of book supply already in rotation	

Family Support in Rio Vista

Program Description and Current Strategies

Provides family support, including case management and school readiness activities for families of children ages 0-5 in Rio Vista.

Annual Funding: \$50,000

Dose: Variable

Intensity of services is based on family need. Most families engage for 3-6 months.

Numbers Served/Reach: Approximately 50 families are served annually.

Leveraging Potential: Low

There are few funders that will fund core family resource center operations. Most funders for family resource centers fund basic needs that go directly to the families.

Supplemental Considerations: Rio Vista is no longer one of the two highest need communities when comparing Community Indicators of Child Well-Being. That said, there is a significant need in Rio Vista.

Commission Strategic Plan



Addresses Priority 3

Support Level Options	A: \$50,000	B: \$0
Level of Service	Current level of service	

Diaper Distribution

Program Description and Current Strategies

Provides monthly diaper kits to over 200 families in Vallejo, Fairfield, and Vacaville.

Annual Funding: \$50,000

Dose: Low

Families receive monthly diapers, but also provides First 5 Center/Family Resource Centers the opportunity to check in with families.

Numbers Served/Reach: 210 families are served monthly.

Leveraging Potential: Low

State funding has been pursued, but no other funding is yet to secure funding for Solano County.

Supplemental Considerations: \$50,000 no longer covers costs for procurement and delivery of 210 diaper kits monthly across 3 sites. In addition, it can be challenging for sites to receive pallets and store them between delivery and distribution.

Commission Strategic Plan



Addresses Priority 3

Support Level Options	A: \$50,000	B: \$0
Level of Service	Current level of service (although there may be a decrease in the number of kits in a future contract)	

1



First 5 Solano 2023-2028

Program Investment Plan & Final Investment Rankings

Commission Meeting
October 19, 2022

1

Today's PIP Agenda:

- ▶ Review remaining potential program investments
- ▶ Rank the remaining investments
- ▶ Review and provide input on the Draft Program Investment Plan



2

3

LTFP Expenditure (Annual)

Expenditure Category	First 5 Solano Funds	Other Funds in LTFP	Annual Amount
Evaluation/Data Collection	120,000		120,000
Administration	460,000		460,000
Program Staffing and Operations	755,000	65,000	820,000
Priorities 1-3 Allocated (HMG, PPP, F5C)	1,188,000	925,000	2,113,000 (plus 177,000 unsecured)
Priorities 1-3 remaining	452,000		452,000
Priority 4: Systems Change	600,000		600,000
Community Engagement	120,000		120,000
Total	3,695,000	990,000	4,685,000

3

4

Program Investment Plan Potential Strategies

Strategy	Max Request
Annual Grants	\$200,000
Perinatal Services for Black Moms	\$100,000
EPSDT-Mental Health Treatment	\$100,000
Head Start—Beck Ave site	\$55,000
Pre-K Academies	\$200,000
School Readiness—Systems Supports	\$150,000
Raising a Reader	\$75,000
Family Support in Rio Vista	\$50,000
Diaper Bank	\$50,000
Vallejo Early Learning Center	Address via reserve
Total	\$980,000

**\$452,000
available**

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Baseline Recommendation

Strategy	Max Request	Baseline Recommended	Notes
Annual Grants	\$200,000	✓ \$160,000	Recommended by POC
Perinatal Services for Black Moms	\$100,000	✓ \$100,000	From review of Community Indicator Data
EPSDT-Mental Health Treatment	\$100,000	0	
Head Start—Beck Ave site	\$55,000	✓ 0	Current commitment of 2 years—covered by Fairfield F5Center savings
Pre-K Academies	\$200,000	✓ 0	Ramp down over 2 year—covered by Fairfield F5Center savings
School Readiness—Systems Supports	\$150,000	✓ \$100,000	Replaces Pre-K Academy strategy
Raising a Reader	\$75,000	0	
Family Support in Rio Vista	\$50,000	0	
Diaper Bank	\$50,000	0	
Total	\$980,000	\$360,000	
Balance		\$92,000	

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**\$92,000
available**

Commission Allocation

Strategy	Commission Ranking	Amount Recommended	Notes
EPSDT-Mental Health Treatment	0		
Raising a Reader	0		
Family Support in Rio Vista	0		
Diaper Bank	0		
Total		\$92,000	

EPSDT Mental Health

Program Description and Current Strategies
Provides part of the local child support for mental health treatment for young children and their parents.

Annual Funding: \$200,000

Dose: Intensive
Medication management, all mental health services with significant mental health needs require intensive treatment.

Numbers Served/Reach: Approximately 100 children in mental health treatment under EPSDT annually. The program is most of mental health treatment in children - data development area.

Leveraging Potential: High
Mental care, including mental health services, are included as a covered service. This funding is utilized as well as other mental health.

Supplemental Considerations: Trend data over the past 3 years shows that child services approximately \$10,000 of this funding per year. Cal state will change how EPSDT services are funded by counties from a flat fee service model to a managed care model. The impact of this change is unknown.

Support Level Options	A: \$100,000	B: \$50,000	C: \$0
Level of Service	Current level of service	Reduced funding in consideration of local supply already in condition	

Raising a Reader

Program Description and Current Strategies
Raising a Reader is an evidence-based early literacy program helping young children develop, practice, and maintain habits of reading together at home. The core program model involves access to books via a related building and offers support for strengthening the culture of reading at home.

Annual Funding: \$75,000

Dose: Low
Families receive a new building with books related assets, with the provider helping the parent to have to use the books with their child.

Numbers Served/Reach: Approximately 400 children participate in the program annually.

Leveraging Potential: Low
There are very few funding partners for Raising a Reader. With the variety of available literacy supports, other funders can be hard to identify.

Supplemental Considerations: Over the past 3 years, a significant investment in books utilized for the program has been made as continuing the program would ensure the investment is utilized. There is ongoing work for engaging and training providers, and book replacement as needed. In addition, the Commission has supported other literacy efforts through Annual Grants and Community Improvement Grant and the state budget includes \$10 million for early literacy programming through 2025.

Support Level Options	A: \$75,000	B: \$50,000	C: \$0
Level of Service	Current level of service	Reduced funding in consideration of local supply already in condition	

Family Support in Rio Vista

Program Description and Current Strategies
Provides family support, including case management and school readiness activities for families of children ages 0-5 in Rio Vista.

Annual Funding: \$50,000

Dose: Variable
Intensity of services is based on family need. Most families engage for 3-6 months.

Numbers Served/Reach: Approximately 50 families are served annually.

Leveraging Potential: Low
There are few funders that will fund core family resource center operations. Most funders for family resource centers fund basic needs that go directly to the families.

Supplemental Considerations: Rio Vista is no longer one of the two highest need communities when comparing Community Indicators of Child Well-being. That said, there is a significant need in Rio Vista.

Support Level Options	A: \$50,000	B: \$0
Level of Service	Current level of service	

Diaper Distribution

Program Description and Current Strategies
Provides monthly diaper kits to over 200 families in Valley, Fairfield, and Vacaville.

Annual Funding: \$50,000

Dose: Low
Families receive monthly diapers, but also provides first 5 Central Family Resource Centers the opportunity to check in with families.

Numbers Served/Reach: 220 families are served monthly.


Leveraging Potential: Low
State funding has been provided, but no other funding is yet to secure funding for Solano County.

Supplemental Considerations: \$50,000 no longer covers costs for procurement and delivery of 220 diaper kits monthly across 3 sites. In addition, it can be challenging for sites to receive pallets and store them between delivery and distribution.

Support Level Options	A: \$50,000	B: \$0
Level of Service	Current level of service (although there may be a decrease in the number of kits in a future contract)	

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Ranking Activity



Prioritize Remaining Strategies


Select as many as you want in the order you prefer. There are 4 options in total.

1st

Submit

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Ranking Activity



Prioritize Remaining Strategies

Select as many as you want in the order you prefer. There are 4 options in total.

1st

Select an option

Family Support in Rio Vista

EPSDT Mental Health

Raising a Reader

Diaper Distribution

Drop Down Options

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Ranking Activity



Prioritize Remaining Strategies

Select as many as you want in the order you prefer. There are 4 options in total.

1st ⋮

EPSTD Mental Health ⌵ ×

2nd ⋮

Family Support in Rio Vista ⌵ ×

+ ⋮

Select an option ⌵ ×

Select an option

Raising a Reader

Diaper Distribution

After you select, Drop down options change to indicate remaining options

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Program Investment Plan

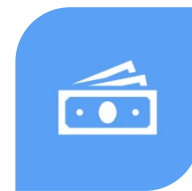
10



INVESTMENTS AND
SERVICES



SYSTEMS CHANGE
EFFORTS



RESERVE SPENDING
PLAN

10

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Summary Spending Plan

Expenditure Category	First 5 Solano Funds	Other Funds in LTFP	Annual Amount
Evaluation/Data Collection	120,000		120,000
Administration	460,000		460,000
Program Staffing and Operations	755,000	65,000	820,000
Priorities 1-3	1,640,000	925,000	2,565,000
Priority 4: Systems Change	600,000		600,000
Community Engagement	120,000		120,000
Total	3,695,000	990,000	4,685,000

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Annual Program Investment Levels

Initiative	Strategies	First 5 Solano Funds	Matching Funds	Total
Prenatal for Black Moms	Systems change efforts to support better outcomes for prenatal and early parenting black mothers	100,000		\$100,000
Help Me Grow Solano	Access and linkage to services; family navigation/coordination	138,000	192,000	\$330,000
Triple P	Parent education and mental health treatment	250,000	250,000	\$500,000
First 5 Centers	Parent education; family strengthening programs with a focus on Vallejo and Fairfield	800,000	483,000	\$1,283,000
School Readiness	Supports for the early childhood education system to address impacts from new TK grade	100,000		\$100,000
Annual Grants	Small grants issued every year to fill gaps, address time-sensitive issues and spark innovation	160,000		\$160,000
TBD				
Systems Change	Supports to ensure early childhood systems are strong, integrated, sufficiently resourced and equitable	600,000		600,000
Total:		1,188,000	925,000	\$2,713,000

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Systems Change Efforts

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COVID-19
response

Leveraged \$4
million annually

Nonprofit
capacity
building

Launch Vallejo
First 5 Center

Funding to
establish a
Fairfield First 5
Center

Early Learning
Center Planning

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Reserve Spending Plan

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- ▶ Commission's Reserve above \$8M – requires development of Reserve Spending Plan
- ▶ Commission's Reserve is \$13.5 million with the following obligations:
 - ▶ \$2.1 million is obligated to the Fairfield First 5 Center
 - ▶ \$1.63 million is obligated to the Vallejo Early Learning Center
 - ▶ \$400,000 is obligated to the Play 4 All Park in Vacaville
- ▶ Balance \$9.37M

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Reserve Spending Plan (cont.)

- ▶ Utilize \$3.37M in funding cycle on investments that prioritize:
 - ▶ Vallejo Early Learning Center
 - ▶ Systems change investments in equity related projects
- ▶ These investments will:
 - ▶ Be one-time in nature
 - ▶ Align with the Commission's Strategic Plan
 - ▶ Be supported by community data
 - ▶ Be considered on a case-by-case basis

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Next Steps



Incorporate comments/
rankings into Draft
Program Investment Plan



Approve Program
Investment Plan



Solicit for
Services

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DATE: October 12, 2022

TO: First 5 Solano Children and Families Commission

FROM: Michele Harris, Executive Director

SUBJ: **Executive Director's Report for October 2022**

First 5 Solano Equity Initiative – Kick Off Meeting with Navalta Media: At the August 10th Commission meeting staff announced that Navalta Media was selected to assist the Commission with implementing its equity work. In mid-August staff finalized a \$73,000 contract with Navalta Media. Staff met with Pati Navalta the following week to kick-off the implementation of this work. During the first 6 months of the contract, Navalta Media will conduct an equity audit which is a *systematic, comprehensive research method that evaluates an organization's performance, capacity and effectiveness with a focus on communications*. Phase 2 will follow with a focus on creating an *Internal Equity Strategy* and an *Implementation Strategy*.

Legislative Visit and Vallejo First 5 Center Tour with Assemblymember Lori Wilson: On September 21st Assemblymember Lori Wilson met with Commissioner Erin Hannigan and First 5 Solano staff for a legislative visit and a tour of the Vallejo First 5 Center. This discussion included a history and overview of First 5 Solano, the Fairfield First 5 Center and Vallejo Early Learning Center projects, and other initiatives. Assemblymember Wilson discussed her vision for the constituents of Solano County and her priorities for her time in the legislature.

RISER Conference 2022: Executive Director, Michele Harris and Program Manager, Lorraine Fernandez attended the virtual *RISER Conference* on September 28 & 29, presented by the Center on the Ecology of Early Development. This conference focused on *Policy-Relevant Research to Promote Black Children's Optimal Development* and included presentations from researchers and policymakers. Topics included: *Embedding Equity in Survey Design and Data Collection; Understanding Racism as a Barrier to Achieving Health Equity; and, Pursuing Systematic Change in Early Learning Environments*.

IMPACT Legacy: First 5 Solano Executive Director, Michele Harris and Program Manager, Juanita Morales, attended the First 5 CA IMPACT Legacy listening sessions which provided an overview of First 5 CA commitment to its final investment in IMPACT (Improve and Maximize Programs so All Children Thrive) through release of an RFA (request for applications) to support and improve the quality in child care settings across the entire continuum—from alternative settings and family, friend, and neighbor care to family child care homes, centers, and preschools. This aligns with the states vision to ensure all children in California receive the best start in life and thrive". The listening session also provided a concept & timeline for release of the RFA and breakout sessions to identify and discuss individual county's top priorities. The intent is to release a regional RFA to county regional hubs and identifying one county as the lead agency which would subcontract to individual counties to do the work. The First 5 CA Commission will consider the recommendation to approve the final investment in IMPACT at their October 27th, 2023 Commission meeting. Should the First 5 CA Commission approve the funding, an RFA would be released in February of 2023, with awards of funding announced in June of 2023.

Mental Health Services Act (MHSA) Annual Stakeholder Meetings: First 5 Solano staff and other community stakeholders recently participated in an annual MHSA community program planning process hosted by Solano County Behavioral Health. MHSA (a result of Prop 63), imposes a 1% income tax on personal income in excess of \$1 million for residents of California. The majority of the funding is then distributed to county mental health programs in order to support community mental health services. The stakeholder meetings allowed advocates and individuals whose lives are affected by mental illness to provide input into the development of the behavioral health service delivery system in Solano. Behavioral Health staff use the input provided to evaluate current efforts, identify the mental health delivery system's strengths, and consider changes that need to be made to the system.

First 5 Solano Deputy Director Recruitment: Solano County Human Resources department has conducted an application review for the First 5 Solano Deputy Director position. The first round of interviews will be held on Thursday, October 20, 2022, and second round of interviews will be scheduled approximately one week later. First 5 Solano staff look forward to introducing a new Deputy Director to the Commission by the next Commission meeting in December 2022.

Election of 2023 First 5 Solano Commission Officers: As with past Commission practice, based on tenure on the Commission, First 5 Solano staff confirmed that Commissioners Tyffany Wanberg and Amy Fabi agree to hold office as the 2023 Chair and Vice Chair, respectively. The formal election for these positions will occur at the Commission's December 2022 meeting and their appointments will begin in January 2023. Commissioner Fabi will also join the Policy and Oversight Committee with this appointment, replacing Commissioner Estrella-Henderson as past Chair.

Community Responsive Mini Grant: The first application period for FY2022/23 was successful in awarding nine grant requests totaling \$30,000, issuing awards of up to \$5,000 each for community engagement events, professional development opportunities, materials and equipment, and time-limited programs. The second application period for all four types of mini grants (Community Engagement Event, Professional Development Opportunity, Equipment and Materials, and Time-Limited Programs) will be open on October 17, 2022 and will end on November 4, 2022. Between January 1, 2023 and June 30, 2023, a total of \$15,000 will be available for events or activities. As a reminder, the application process has been simplified for these smaller grants, making them more accessible for new community partners to engage with First 5 Solano in support of young children and families.