	POLICY NUMBER;	EFFECTIVE DATE:	
SOLANO COUNTY CALIFORNIA	206 July 15, 2019		
PROBATION DEPARTMENT	SUPERCEDES:	<u> </u>	
	NEW POLICY		
APPROVED BY:	TITLE:		
(- Rha	JUVENILE PROBATION		
CHIEF PROBATION OFFICER	RESPONSE TO NON-CO	OMPLIANT BEHAVIOR	

- 1. <u>Policy</u> The Solano County Probation Department is committed to the implementation of evidence-based responses to youth non-compliant behavior for the purpose of enhancing community safety and promoting positive youth behavior change.
- 2. <u>Definitions</u> (<u>Attachment A</u>)

3. **Procedures**

- A. Response Matrix A Youth Non-Compliant Response Matrix (Attachment B) consisting of three severity levels (High, Moderate, and Low) for non-compliant behaviors and corresponding graduated responses based upon the youth's supervision level, shall guide decisions by Deputy Probation Officers (DPOs).
 - (1) When responding to a youth's non-compliant behavior, the DPO shall select a response of the same or lower severity level as the non-compliant behavior and the youth's supervision level.
 - (2) Unless approved by a Supervising Deputy Probation Officer (SDPO) as indicated in the Matrix (<u>Attachment B</u>), only one response from the Matrix may be applied for a non-compliant behavior.
 - (3) Non-compliant behavior responses in the Youth Non-Compliant Response Matrix shall, where indicated, be approved by an SDPO prior to being imposed.
- B. Each non-compliant behavior that is the result of independent events occurring at different times shall be individually responded to in accordance with this policy.
- C. When multiple non-compliant behaviors occur as a result of a single event, only the most serious non-compliant behavior shall be considered when selecting a response from the Youth Non-Compliant Response Matrix.
- D. If a youth has had three or more low or moderate non-compliant behaviors within a three month period, a response for the next non-compliant behavior that is one severity level higher than the non-compliant behavior may be imposed.

- E. Unless approved by the SDPO, the use of THC or alcohol shall not result in a response that can lead to custody for the youth, unless there is a clear nexus between the THC or alcohol use and the criminal behavior of the youth. In such cases the SDPO shall inform the Manager.
- F. Having a youth participate in a treatment program or activity to address an identified need, can occur without being a response to non-compliant behavior.
- G. Each non-compliant behavior along with the response shall be documented in the Case Management System (CMS).
- H. <u>DPO Violation Behavior Review</u> In responding to a youth's non-compliant behavior, the DPO shall consider the following interrelated goals:
 - (1) Increasing the youth's success and accountability.
 - (2) Changing the youth's future behavior.
 - (3) Increasing public safety.
 - (4) Reducing recidivism.
- I. When responding to a youth's non-compliant behavior the DPO shall adhere to the following principles:
 - (1) Respond to the non-compliant behavior as quickly as possible.
 - (2) Respond each time non-compliant behavior occurs.
 - When possible, select a response that is consistent with responses to other youth with similar circumstances.
 - (4) Select a response that takes into consideration the youth's individual circumstances.
 - (5) Select a response that is the least restrictive possible to change the non-compliant behavior.
- J. Upon learning of a youth's non-compliant behavior, the DPO should meet with the youth as soon as possible and shall normally respond to the youth at a face-to-face meeting no later than 5 business days for High non-compliant behaviors; 7 business days for Moderate non-compliant behaviors; and 10 business days for Low non-compliant behaviors from when the DPO becomes aware of the non-compliant behavior.
- K. Prior to meeting with the youth the DPO shall review the following:
 - (1) Seriousness of the non-compliant behavior.
 - (2) Circumstances of the non-compliant behavior.

- (3) Youth's supervision level, the current offense, and the youth's assessed needs.
- (4) Youth's progress toward achieving the goals of their My Change Plan/Case Plan.
- L. Prior to determining the appropriate response to the youth's non-compliant behavior, the DPO shall in accordance with Sections 3.A.(1) and D. of this policy, consider the following mitigating (less restrictive response) and aggravating (more restrictive response) factors when determining the severity level and restrictiveness of the response.

(1) <u>Mitigating Factors</u>:

- a. Stable residence
- b. Currently engaged in pro-social activities
- c. Pro-social support system
- d. Currently attending school
- e. Currently participating in a recommended program
- f. Positive progress in achieving the My Change Plan/Case Plan goals
- g. Positive attitude
- h. Currently drug free

(2) Aggravating Factors:

- a. Active substance use
- b. Presently not attending school
- c. Recent significant life stressors and poor coping reaction
- d. Recent escalation of mental health issues with no willingness to address them
- e. Recent increase in activity with anti-social associates
- f. Lack of participation in a recommended program
- M. When discussing with the youth their non-compliant behavior, the DPO shall as closely as possible, follow the Response to Non-Compliant Behavior Guide (Attachment C).
- N. <u>Violation of Probation</u> If the DPO determines that the youth has demonstrated a pattern of failure to comply with other non-compliant behavior responses, or due

to the nature of the non-compliant behavior the youth poses an ongoing threat to others and/or the community and therefore a formal violation of probation is warranted, the DPO shall do the following:

- (1) Review the case with a SDPO. When reviewing the case the SDPO shall take into consideration the youth's supervision level, severity of the non-compliant behavior, number and frequency of prior non-compliant behaviors, the threat the youth poses to others and/or the community, and other possible responses to address the non-compliant behavior. The SDPO shall also review the youth's prior delinquency record, the youth's pro-social support system, and the youth's attitude.
- (2) If it is determined that the youth is likely to pose an ongoing risk to others and/or the community and a formal violation of probation is approved by the SDPO, one of the following actions shall be taken:
 - a. Ward of the Court Welfare and Institutions Code (WIC 602) The DPO shall complete and sign the Solano County Probation Department Intake Assessment for Detention Hearing Supplemental Report (Attachment D), and after it is approved and signed by the SDPO or designee, the SDPO or designee shall forward the completed Report to the Clerical Unit for submission to the Court.
 - b. <u>Deferred Entry of Judgment (WIC 790)</u> The DPO shall complete the Deferred Entry of Judgment Notice of Non-Compliance (<u>Attachment</u> <u>E</u>) and after it is approved by the SDPO or designee, the SDPO or designee shall forward the completed Notice to the Clerical Unit for submission to the Court.
 - c. <u>Informal Probation (WIC 725 or 654.2)</u> The DPO shall notify the Court in writing of the violation and its circumstances (<u>Attachment F</u>).
- (3) A youth shall not automatically have a violation of probation initiated for a single non-compliant behavior, unless it is determined that there is an imminent risk to self and/or public safety, and it is approved by the SDPO. In such cases the SDPO shall inform the Manager.
- O. The provisions of this policy shall not apply to youth classified as low risk in accordance with P-200 Juvenile Probation Case Classification and Supervision. A pattern of non-compliant behavior or a new felony filing by youth classified as low risk shall normally result in a classification override in accordance with P-200.
- 4. <u>Exceptions</u> Any exceptions to the provisions set forth in this policy shall require prior written approval from the Chief Probation Officer.

DEFINITIONS

- A. <u>"602"</u> A youth who is a Ward of the Court for committing a misdemeanor or felony offense.
- B. "725 / 654.2" A youth who is not a Ward of the Court but has been placed on informal probation.
- C. <u>"790"</u> A youth who is not a Ward of the Court but has been placed on a Deferred Entry of Judgment for committing a felony offense.
- D. **Business Day** For the purpose of this policy, an employee's scheduled work day excluding any approved days off (e.g., vacation days, sick days, holidays).
- E. <u>Deferred Entry of Judgment Notice of Noncompliance</u> The form completed by the Deputy Probation Officer to notify the Court that a youth placed on a Deferred Entry of Judgment status has not complied with the terms of supervision.
- F. <u>Deputy Probation Officer (DPO)</u> The DPO actively or directly responsible for supervising youth under court-ordered or statutory conditions of probation.
- G. <u>Intake Assessment for Detention Hearing Supplemental Report</u> The form completed by the DPO to notify the Court that a youth who is a Ward of the Court has been arrested or violated their terms of supervision.
- H. Non-Compliant Behavior Conduct by youth under supervision that is illegal or otherwise against, or in violation of the court ordered conditions of supervision.
- Non-Compliant Behavior Response Formally imposed consequences for conduct by youth under supervision that is illegal or otherwise against, or in violation of the court ordered conditions of supervision.
- Youth Refers to all individuals under supervision of Juvenile Probation Deputy
 Probation Officers for terms of court-ordered supervision.
- K. <u>Youth Non-Compliant Response Matrix</u> A grid designed for use in Solano County Juvenile Probation to guide Deputy Probation Officers and their supervisors in making decisions relating to conduct constituting violations by youth under supervision.

YOUTH NON-COMPLIANT RESPONSE MATRIX

			Non-Compliant Behavior	
		High	Moderate	Low
	V E R Y H I G	Escalation of Supervision* Multiple Level Responses in Combination* Any Moderate or Low Response Probation Violation*	Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Escalation of Supervision* Multiple Level Responses in Combination* Probation Violation*	Increase in Drug Tests Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Escalation of Supervision* Verbal Admonishment/ Warning
R I S K L E V E	H I G H	Increase in Drug Tests Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Escalation of Supervision* Multiple Level Responses in Combination* Probation Violation*	Increase in Drug Tests Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Escalation of Supervision* Multiple Level Responses in Combination* Probation Violation*	Referral to Treatment/ Intervention Write an Essay Regarding the Infraction Interactive Journaling/Carey Guides Written Behavior Contract Verbal Admonishment/ Warning
	M O D E R A T E	 Increase in Drug Tests Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Escalation of Supervision* 	Increase in Drug Tests Weekend Academy Referral to Treatment/ Intervention Home Detention (PO Imposed) Written Behavior Contract Interactive Journaling/Carey Guides Write an Essay Regarding the Infraction	Referral to Treatment/ Intervention Verbal Admonishment/ Warning Write an Essay Regarding the Infraction Verbal Behavior Contract

^{* =} SDPO Approval required

P-206 - Attachment B

NON-COMPLIANT BEHAVIORS & LEVELS

LOW	LEVEL	
Unexcused absence from school (partial or full day)	L	
School Discipline for attendance	L	
School Detention	L	
FTA Office Visit without valid excuse	L	
Curfew violation	L	
AWOL from home (less than 24 hours)	L	
Gang Association / paraphernalia (w/no previous association)	L	
1st positive test or failure to submit to a drug test*	L Fo	rmatted: Superscript
Failure to obey PO or parent	L	- Cooperacipe
Failure to attend a treatment group	L	
MODERATE		
Violate no contact order	М	
Failure to obey laws – arrest on non-filed charges / new misdemeanor filing	M	
Cont. unexcused absence(s) from school [full day(s)] + failure to comply with lower level response	M	
School Detention (Fighting, Threats of violence toward students/staff)	M	
School Suspension or Expulsion	M	
2 nd positive test or 2 nd failure to submit to a drug test*	M	
2 consecutive FTA Office Visits without valid excuse	M	
Gang Association / Hanging out with known gang members (w/no previous association)	M	
Failure to respond to treatment	M	
Sex Offender non-web based porn violation (i.e., magazines) OR being on social media	M	
Failure to complete a treatment group/program	M	
Zone violation (GPS)	M	
Tampering with EMP/GPS device	М	
Unauthorized Leave (EMP/GPS)	M	
AWOL from home (more than 24 hours)	M	
Failure to comply with a Low Level response	М	
HIGH		
3 or more positive tests or 3 or more failures to submit to a drug test*	Н	
Gang association / involvement (of a known gang member)	Н	
School Expulsion – Gang Related (i.e., Gang Fight), Assaultive behavior	Н	
3+ consecutive FTA Office Visits without valid excuse	н	
New Felony filing	Н	
Failure to comply with a Moderate Level response	Н	
Removal of EMP/GPS device	н	
Zone violation victim (GPS)	Н	
Failure to complete required Sex Offender treatment	Н	
Failure to comply with a Court ordered special condition	Н	

^{*} Use of THC or alcohol shall not result in a response that can lead to custody, unless there is a clear nexus between the THC or alcohol use and criminal/delinquent behavior of the youth.

P-206 – Attachment C

SOLANO COUNTY JUVENILE PROBATION SUPERVISION GUIDE

A	CTIVITY: RESPONSE TO NON-COMPLIANT BEHAVIOR
DI	EPUTY PROBATION OFFICER ACTIVITY STEPS:
0	Introductions / Meeting Purpose: Greet the youth in a respectful manner, and discuss meeting purpose.
0	Problem Identification : Ask the youth to identify and explain the problem (non-compliant) behavior and seek clarification.
0	Express Disapproval : Tell the youth in an objective manner that you disapprove of the behavior and why it was unacceptable.
П	Consequential Thinking: Ask the youth what they saw as possible negative results of their behavior.
0	Pro-Social Options : Ask the youth to identify pro-social alternatives that could be used to avoid the unacceptable behavior in the future.
0	Pro-Social Agreement : Obtain a verbal commitment from the youth to use a pro-social alternative in the future.
0	Consequences : Discuss with the youth the possible response options and determine what the consequences (response) of their non-compliant behavior will be.
0	Summary: Summarize the meeting and ask the youth if they have any questions.

P-206 - Attachment D

SOLANO COUNTY PROBATION DEPARTMENT INTAKE ASSESSMENT FOR DETENTION HEARING

SUPPLEMENTAL REPORT

OUT OF CUSTODY

<u>NA</u>	<u>ME</u> ;	DOB:	CASE NUMBE	<u>R</u> :
	ARREST DATE: EARING DATE:	TIME: TIME:	DEPT.:	
<u>D1</u>	PO COMPLETING REPORT:		DPO ASSIGNED:	
OFF	ENSE:			
PER: [] [] []		No Parent/G	er uardian Available Incarcerated	
<u>INDI</u> []	AN CHILD WELFARE ACT: ICWA-010(A) Child Inquiry Attach	ment form was sub	mitted on/; [] is atta	ched for the court.
CIRC	CUMSTANCES OF VIOLATION:			
PRIC	OR RECORD:			
	HISTORY:			
D	According to CPS, they have the following	lowing contacts wit	h the minor and family:	
0	A telephone and written request has neglect and a history of prior filings. Hopefully, the information will be a	, but a response has	not been received at the tir	
П	Prior CPS history, or lack thereof ha contacts to report.	s been provided in p	previous reports and there	are no additional
VIC1	TIM (S):			

The victim has been contacted and was advised of the right to appear at this and all other hearings pursuant to 676.5 WI. The victim was advised to contact the District Attorney for any subsequent court dates, and was advised that our department would be contacting them again for restitution purposes if and when the petition is sustained.

Attempts to contact the victim per 676.5 WI have met with negative results and a written notice will be

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IN CUSTODY

sent to them prior to the next Court Hearing.

[] No victim indicated.

OTHER:

ASSESSMENT:

- The willingness and/or ability of the parents to control the minor's activities must be strengthened before the minor can be safely returned to their custody.
- Depending upon the availability of services and the level of cooperation shown by the minor and parent, it may be possible to return the minor home.
- No relatives have been identified who are willing or able to provide the needed care and control for the minor.
- Relatives have been identified who may be willing or able to provide the needed care and control for the
- Based upon the nature of the offense, it appears the minor's parents are not exercising enough care and control to keep the minor from involvement in delinquent behavior.
- After an investigation in which the least restrictive alternative was preferred, it was determined that a petition was required and that release of the minor was not appropriate as there were no other reasonable services which could prevent or eliminate the need for removal of the minor from the home.
- Continued detention appears necessary with the development of a case plan prior to the minor's return home or if the minor is removed from the home for more than 30 days.
- [] Continued Placement appears necessary.

REASONS FOR RECOMMENDATION:

RECOMMENDATION:

- After an investigation pursuant to 626 WI it appears that reasonable efforts have been made to prevent or eliminate the need for removal of the minor from the home and to make it possible for the minor to return to the home.
- [] That the minor be placed in the temporary care, custody and control of the Probation Officer for detention/out of home placement, the Court having found that continuance in the home of the parent would be contrary to the minor's welfare.
- That the minor be detained pursuant to Rule 5,760 (c) (1) in that the minor has violated an order of the Court.
- [] That the minor be detained pursuant to Rule 5.760 (c) (2) in that the minor has escaped from a

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	commitment of the Court,					
n	That the minor be detained pursuant to Rule 5.760 (c) (3) in that the minor is likely to flee the jurisdiction of the Court.					
D	That the minor be detained pursuant to Rule 5.760 (c) (4) in that it is a matter of immediate and urgent necessity for the protection of the minor.					
[]	That the minor be detained pursuant to Rule 5.760 (c) (5) in that it is reasonably necessary for the protection of the person or property of others.					
Place	of detention shall be: [] Juvenile Hall [] County Jail					
That f	he minor be referred to: [] Home Supervision Program [] Home Detention [] Electronic Monitoring Program under standard terms established by the Probation Officer. [] at the discretion of the Probation Officer.					
0	with additional conditions that the minor:					
[]	Submit his/her person and property, including residence and automobile to search and seizure by any peace officer, day or night, with or without a warrant, with or without probable cause.					
11	Submit to random alcohol and drug testing through the Probation Department.					
11	Possess no weapons or ammunition.					
11	Have no contact with:					
D	Not be present at any known gathering area of any gang: Not associate with any known members or associates of any gang: Not wear gang clothing or emblems: Not possess any gang paraphernalia, including, but not limited to, gang graffiti, symbols, photographs, rosters or other writings or publications Not acquire any tattoo, either permanent or temporary: Not be present at any court proceeding to which the minor is not a party, or a subpoenaed witness.					
0	The court grants \$750 for the purposes of a psychological evaluation for treatment intervention and community risk assessments at the finding of petition.					
[]	The minor obtain employment to pay any fees associated with the above orders,					
0	That the minor be released to the custody of the: [] Parent [] Guardian [] Relative [] Other					
[]	Minor may live with \underline{name} , with his/her parents'/legal guardian(s) permission at: $\underline{address}$					
n	Pending further hearing. [] At the discretion of the Probation Officer.					

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DNA testing required if felony petition is sustained.

P-206 – Attachment D

WAR	RANT		Issued	[]	Stayed	0	Recalled			
D	Upon a				t is recomn of legal re			ıd findin	gs be transferred to	
0	and all p	prior c		ain in ful					ispositional report be wa emplate for additional te	
[]			inued place n the estab			s necess	ary and approp	priate and	the Probation Departme	ent has
D	The min	nor's p	progress to	ward con	npleting the	e Case P	lan has been:	0 0	Satisfactory Unsatisfactory	
Ð	The like	ely dat	te the Cou	t will add	opt a Perma	anent Pla	n is:			
H	Vacate :	hearin	ng <u>Date</u>	Type of I	learing:					
II	In effor dated			oliant wit	lı Title IV-l	E regula	tions, it is reco	nnnende	d that the placement revi	iew
[]	Other:									
							Resp	ectfully S	Submitted,	
							Depu Date: Ext.:	-	tion Officer	
Appro	ved:									
Superv	rising De	eputy l	Probation (Officer						
Juven	's Name ile Num Date:									

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P-206 – Attachment E

	JV-61
CASE NAME:	CASE NUMBER:
DEFERRED ENTRY OF JUDGMENT NOTICE The youth was granted a deferred entry of judgment on Relevant conditions of probation were (briefly describe as (a), (b), etc.):	OF NONCOMPLIANCE
Petitioner Probation Officer Deputy District Attorney Probation Officer Deputy District Attorney Probating within 15 court days because the youth committed one or more misd offenses): See Attachment 2.	equests that the court exercise its discretion to set a emeanors on a single occasion (state date and
Petitioner Probation Officer Deputy District Attorney 10 court days because a. The youth is not performing satisfactorily in the assigned program or See Attachment 3a.	requests that the mandatory hearing be set within complying with the terms of probation in that (explain,
b. The youth is not benefiting from education, treatment, or rehabilitation See Attachment 3b.	on in that (explain):
c:	
d.	ions (state dates and offenses):
a. No hearing is set; all prior orders to continue. b. A hearing is set for Date: Time c. Other orders:	e: Dept:
ate:	JUDICIAL OFFICER Page 1 of

P-206 - Attachment F

				JV-74
CASE NAME			CARNO	Miss
-				
	PETITION	TO MODIFY, CHANGE, OR S CHANGE OF CIRC		RDERS
		nder Welfare and Institutions Cod	e section 601	602
Petitioner		_ [
	parent	e. [attorney for the child	
b. 📙 1	egal guardian	f. [child	
	probation officer	9 <u>.</u> L	other interested person	(describe interest):
	adult relative (state reta	* *		
Petitioner or change or	alleges the following ch new evidence):	anges of circumstances or new e	vidence regarding the child (describe changes, numbering each
See /	Attachment 3 for furthe	grounds.		
Petitioner	equesis the following r	nodifications of prior orders:		
		order a hearing to be held on (dal	(9):	at (fime),
E COURT	FINDS AND ORDERS			
		aside previous order filed (date):		
(T	denied.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
b st	ates a change of circur	nstances or new evidence		
c is	agreed to by all partie	s and attorneys of record		
t appea	rs that the best interes	of the child may be promoted by	the proposed modification.	
The pet	tion for modification is	granted		
a. [as requested in iter	•		
b as	follows (state specific	modifications)		
	Continued in Attach	ment 8b.		
[] OF-	r orders:			
-	rorders: natter is set for heari			
on (date);	at (time):	in Dept.:	Room
le:			:	
Recu	ests for Accommodatio	ns		JUDICIAL OFFICER
Assis days	tive listening systems, co before the proceeding. Co	inputer-assisted real-time captioning,	or sign language interpreter serv courts co gov/forms for Request	ices are available if you ask at least fiv for Accommodations by Persons With
Disab	willes a in Meabouse from	is lain-toly forall cope 2 and		Page 1 o